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Pd-Catalyzed C(sp²)–H Alkoxycarbonylation of Phenethyl- and Benzylamines with Chloroformates as CO Surrogates

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Dedication ((optional))

Abstract: The site-selective functionalization of C–H bonds within a complex molecule remains a challenging task of capital synthetic importance. Herein, we report an unprecedented Pd-catalyzed $C(sp^2)$ –H alkoxycarbonylation of phenylalanine derivatives and other amines featuring picolinamide as the directing group (DG). This oxidative coupling is distinguished by its scalability, operational simplicity and avoids the use of toxic carbon monoxide as the C1 source. Remarkably, the easy cleavage of the DG enables the efficient assembly of isoindolinone compounds. Density Functional Theory calculations support a Pd(II)/Pd(IV) catalytic cycle.

Introduction

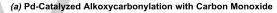
Carbonylation reactions are of paramount importance in both academic and industrial environments and represent a crucial technology for the production of bulk and fine chemicals worldwide.^[1] One of the most relevant carbonylation processes is the palladium-catalyzed alkoxycarbonylation of aryl halides featuring the combination of CO (gas) as the C1 source along with an alcohol for the introduction of the ester unit (Scheme 1, *route a*).^[1] Despite its high abundance and low price, the use of carbon monoxide poses severe downsides such as high risk in handling and storage as well as toxicity and flammability, among others. As a result, a myriad of CO surrogates have been investigated in the last years to perform carbonylation reactions in a safer and sustainable fashion.

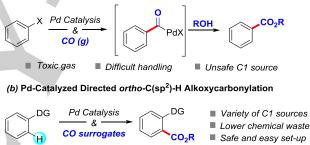
Compared to classical syntheses occurring in pre-functionalized substrates, C–H functionalization has changed the landscape of modern chemistry enabling the direct conversion of traditionally unreactive hydrocarbon moieties into valuable functionalized compounds.^[2] In particular, the chelation assistance approach based on the installation of a Lewis basic motif commonly named

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directing group (DG) offers a more streamlined and atom-efficient approach to chemical synthesis.^[3] This tactic has allowed for the challenging site-selecive appendance of a variety of CO surrogates at the *ortho* position of non-functionalized arenes such as carbon dioxide,^[4] α-keto esters,^[5] azodicarboxylates,^[6] DMF,^[7] chloroform^[8] or alkyl chloroformates,^[9] among others (Scheme 1, *route b*). Although the latter methods have clearly expanded the toolkit of available carbonylations, the selective C–H alkoxycarbonylation^[10] occurring at C-sites remotely positioned from a given DG still remains an unmet challenge of prime synthetic significance.

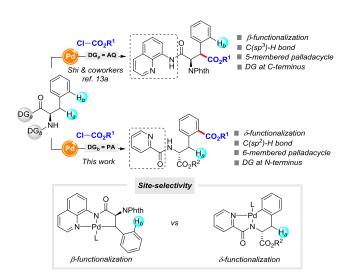




Scheme 1. Pd-catalyzed alkoxycarbonylations.

The recent years have witnessed a tremendous interest in the chemical modification of amino acids and peptides derived thereof.^[11] In this regard, transition-metal catalysis has unlocked new paradigms for the site-selective labeling of a vast array of amino acids and fueled the development of innovative bond disconnections upon C-H functionalization processes.^[12] In 2016 the group of Shi designed an efficient Pd-catalyzed C(sp3)-H alkoxycarbonylation with alkyl chloroformates as the practical C1 source for the modification of a broad range of aliphatic carboxamides bearing 8-aminoquinoline (AQ) as the DG.[13a] Remarkably, a wide variety of phenylalanine (Phe) residues housing the DG at the C-terminal position smoothly underwent the selective alkoxycarbonylation at the benzylic site through the formation of a 5-membered palladacycle (Scheme 2, top). More recently, they have achieved the assembly of a number of phthalic acid derivatives through a Pd-catalyzed AQ-directed C(sp²)-H alkoxycarbonylation process.[13b]

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Scheme 2. Alkoxycarbonylation of Phe derivatives.

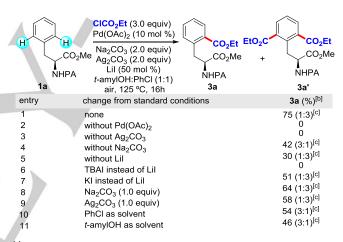
Inspired by these excellent results, we envisioned a complementary amino acid tagging technique featuring the installation of picolinamide (PA) as bidentate auxiliary at the N-terminus of the Phe residue, thus enabling the remote δ -functionalization upon the intermediacy of a challenging 6-membered palladacycle (Scheme 2, bottom). Assuming an analogue mechanism to that proposed by Shi involving a Pd(II)/Pd(IV) regime,[13] we anticipated that the judicious choice of the reaction parameters would be crucial for achieving high positional selectivity. In fact, careful analysis of the existing literature clearly verified that siteselectivity issues may hamper the targeted δ-functionalization as the transient Pd(IV) intermediate could undergo competitive reductive elimination processes to deliver either the Nfunctionalized product or the corresponding indoline compound upon an intramolecular C-H amination reaction.^[14] To the best of our knowledge, the C(sp²)-H alkoxycarbonylation of βarylethylamines remains unexplored and, if successful, we could unlock its full synthetic potential toward the diversification of other arylamines beyond phenylalanine derivatives. As part of our interest in C-H functionalization,[15] herein we disclose a Pdcatalyzed site-selective C(sp2)-H alkoxycarbonylation of picolinamide-containing phenethyl and benzyl amines with chloroformates. The salient features of our method include the broad group tolerance, scalability, retention of the native chirality, and facile removal of the required DG, thus streamlining the assembly of biologically relevant isoindolinone framework in the absence of carbon monoxide. Likewise, Density Functional Theory (DFT) studies unraveled a Pd(II)/Pd(IV) catalytic manifold and rationalized the common use of tert-amyl alcohol as a noninnocent solvent in C-H functionalization reactions.

Results and Discussion

Since the seminal work by Daugulis on the use of picolinamide (PA) as a removable, efficient DG,^[16] it has demonstrated superior

directing abilities to assist a variety of transformations in the realm of C–H activation.^[17] Encouraged by these results, we began our studies by selecting the alkoxycarbonylation of PA-Phe-OMe (**1a**) with commercially available ethyl chloroformate (**2a**) as the model reaction. Whereas the formation of the indoline derivative through an intramolecular δ -amination was never detected,^[14] initial exploratory screening preferentially afforded the undesired *N*functionalized product. Control experiments in the absence of Pd(OAc)₂ ruled out the formation of the latter compound through a classical base-assisted substitution reaction and supported a C–N bond forming reductive elimination of the putative Pd(IV) species (*vide infra*). However, careful screening of all the reaction parameters revealed that the latter reaction pathway could be minimized and achieved the desired δ -alkoxycarbonylation instead.^[18]

Table 1. Pd-catalyzed $\delta\text{-}C(sp^2)\text{-}H$ alkoxycarbonylation of PA-Phe-OMe with ethyl chloroformate^{[a]}



^[a] Reaction conditions: **1a** (0.25 mmol), **2a** (0.75 mmol), Pd(OAc)₂ (10 mol %), Ag₂CO₃ (2.0 equiv), Na₂CO₃ (2.0 equiv), Lil (50 mol %) in a mixture of *t*-amyIOH:PhCI (1:1) (2 mL) at 125 °C for 16 h under air. ^[b] Yield of isolated product after column chromatography. ^[c] Ratio of mono- and difunctionalized product **3a:3a'**.

After considerable experimentation, we found that the combination of $Pd(OAc)_2$ (10 mol %), Na_2CO_3 , Ag_2CO_3 , Lil as additive in a mixture of *t*-amyl alcohol and PhCl at 125 °C under air provided the best results, giving rise to **3a** in 75% yield as a mixture of mono- and dialkoxycarbonylated products (1:3 ratio) (Table 1, entry 1). Control experiments proved instructive in understanding the requirements of the process: whereas the Pd catalyst and silver carbonate had a crucial role as not even traces of **3a** were detected in their absence (entries 2 and 3, respectively), the addition of Na_2CO_3 and Lil were found beneficial and resulted in higher yields of **3a** (entries 4 and 5, respectively). Other iodide sources afforded **3a** in lower yields (entries 6 and 7). Likewise, the use of a mixture of PhCl and *tert*-amyl alcohol led to the best results (entries 10 and 11). In order to overcome the

persistent problem of regioselectivity between the mono- and difunctionalization reaction, the evaluation of supporting ligands, equivalents of 2a and other parameters were carefully analyzed. Unfortunately, higher selectivity toward the monoalkoxycarbonylated product 3a was only achieved at the expense of having much lower overall yields. Importantly, different DGs were evaluated under the optimized conditions and PA showed a superior coordinating ability as a bidentate DG (Scheme 3). In this regard, benzoyl- and tosyl-protected Phe derivatives devoid of an additional nitrogen-chelating atom remained unreactive as well as the parent derivative bearing a 3pyridine unit, thereby supporting the bidentate nature of PA. Likewise, a related carboxamide housing a 1,2,3-triazole unit could be also employed as efficient bidentate DG, albeit with lower efficiency.

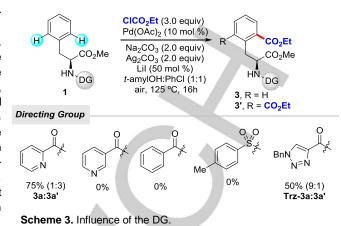
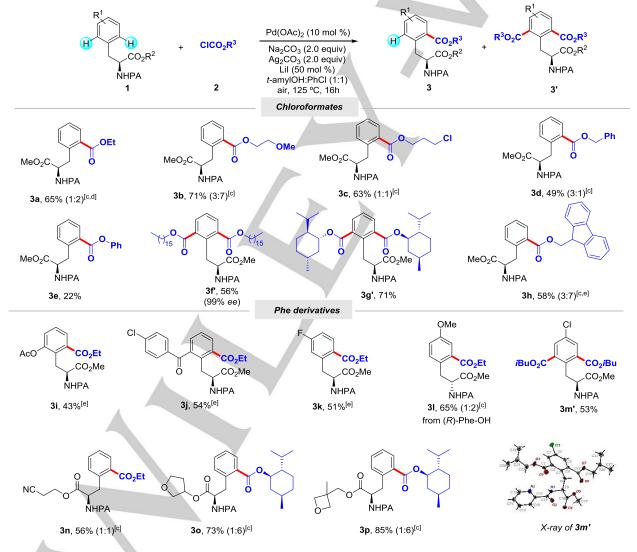


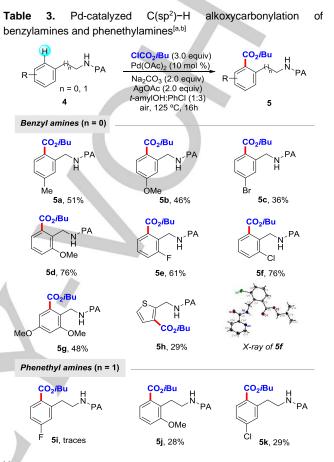
Table 2. Pd-catalyzed δ -C(sp²)-H alkoxycarbonylation of Phenylalanine derivatives^[a,b]



^[a] As for Table 1, entry 1. ^[b] Yield of isolated product or product mixture after column chromatography, average of at least two independent runs. ^[c] Ratio of mono- and diacylated product (**3:3'**). ^[d] Gram scale experiment. ^[e] *t*-amylOH:PhCl (1:1) (4 mL).

We next investigated the preparative scope of the δ -C(sp²)–H alkoxycarbonylation protocol to assemble a new family of decorated Phe compounds in a simple fashion (Table 2). Gratifyingly, the model substrate PA-Phe-OMe (1a) smoothly underwent the target alkoxycarbonylation with a wide variety of electronically diverse chloroformates. Not only simple alkyl chloroformates such as ethyl (2a), benzyl (2d), hexadecyl (2f) and 9-fluorenylmethyl (2h) derivatives but also structurally complex menthyl chloroformate (2q) furnished the corresponding products 3 as variable mixtures of mono- and dialkoxycarbonylated compounds, which were separated by column chromatography. Notably, the process was tolerant with chloroformates bearing methoxy and chloro groups within the alkyl chain, thereby affording the corresponding Phe compounds 3b and 3c, respectively, in high yields. Whereas benzyl chloroformate preferentially delivered the mono-carbonylated product 3d in 49% yield, hexadecyl and 9-fluorenylmethyl derivatives resulted in the exclusive formation of difunctionalized Phe compounds 3f' and 3g' in 56 and 71 % yield, respectively. Importantly, any chloroformates as 2e could be also used, albeit with lower efficiency. The use of unnatural Phe derivatives accomodating different substitution pattern within the aromatic ring led to the exclusive formation of the monoalkoxycarbonylated compounds. In this regard, Phe residues bearing ortho or meta substituents, which blocked the difunctionalization process, resulted in 3i-k in good yields. Conversely, para-substituted Phe residues resulted in the preferential (3I) or exclusive formation (3m') of the difunctionalized product. Importantly, HPLC analysis of 3f' verified that no racemization occurred along the oxidative process,^[18] and crystallographic analysis of 3m' confirmed that the absolute stereochemistry was identical to that of the starting Phe residue. Notably, the method boded well with Phe derivatives bearing alkylnitriles (3n) as well biologically relevant cyclic ethers (3o and 3p). Furthermore, the process could be performed in gram-scale with a remarkable 65% yield, thus highlighting the synthetic utility and robustness of our δ -C(sp²)-H alkoxycarbonylation manifold.

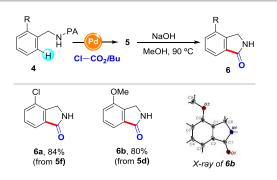
Although the method described by Shi was not applied to a more challenging peptide framework,^[13a] we submitted a variety of picolinamide-protected Phe dipeptides to the developed reaction conditions. However, PA-Phe-Gly-OMe, PA-Phe-Phe-OMe and PA-Phe-Pro-OMe remained unreactive.^[18] In stark contrast, a wide variety of simple benzyl amines bearing the PA as DG smoothly underwent the mono-functionalization process to furnish compounds **5a-h** in moderate to good yields after slight modification of the reaction conditions (Table 3). The latter would occur through the formation of a kinetically more favored 5-membered palladacycle. This protocol complements the method by Shi for the assembly of phthalic acid derivatives from benzamides bearing the AQ as the DG,^[13b] thereby enabling the γ -C(sp²)-H alkoxycarbonylation of simple benzyl amines.



^[a] Reaction conditions: 4 (0.25 mmol), CICO₂/Bu (0.75 mmol), Pd(OAc)₂ (10 mol %), Na₂CO₃ (2.0 equiv), AgOAc (2.0 equiv) in a mixture of *t*-amyIOH:PhCI (1:3) (4 mL) at 125 °C for 16 h under air. ^[b] Yield of isolated product after column chromatography, average of at least two independent runs.

To our surprise, other simple β -arylethylamines devoid of the ester group of the corresponding Phe residue resulted in the corresponding alkoxycarbonylated products **5i-k** in low yields (Table 3). We hypothesized that the ester motif of the Phe unit could have a key role in the stabilization of the transient intermediates and a Thorp-Ingold effect could not be discarded.

Notably, the removal of the DG could be easily performed upon treatment with NaOH^[19] with simultaneous hydrolysis of the ester motif, thus delivering the corresponding benzolactam compounds through an intramolecular condensation event in excellent yields (Scheme 4). X-Ray analysis of compound **6b** verified the formation of the *N*-unprotected heterocyclic core. This tandem alkoxycarbonylation/deprotection sequence offers an attractive alternative to the commonly used Pd-catalyzed carbonylation techniques of alkylamines, which customarily involve the use of toxic and flammable CO (gas).^[20]

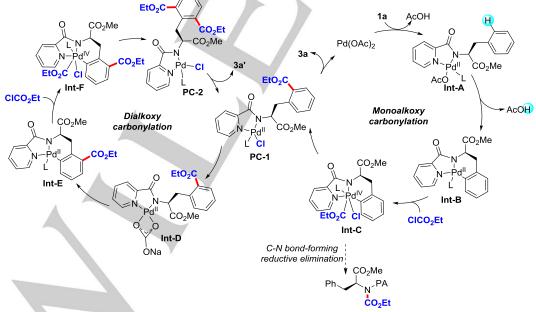


Scheme 4. Assembly of isoindolinones upon cleavage of the DG

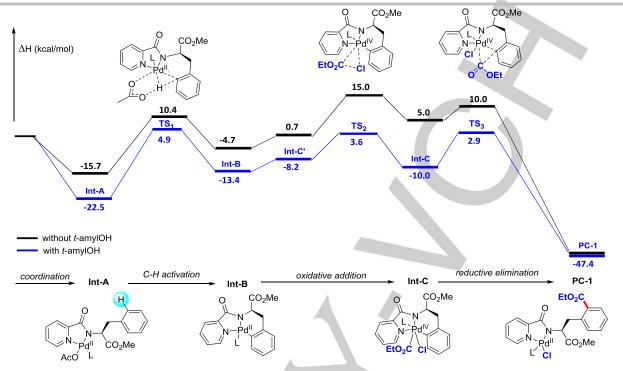
In order to understand the reaction pathway as well as some of the observed experimental evidences, we further performed DFT studies for the C(sp²)–H alkoxycarbonylation of PA-Phe-OMe (1a) with CICO₂Et (2a). Assuming a similar reaction pathway to that of related PA-directed Pd-catalyzed C–H functionalization processes,^[15d,17] we proposed the mechanism depicted on Scheme 5 entailing monomeric palladium intermediates. Complexation of 1a with Pd(OAc)₂ would initially afford Pd(II) complex In-tA,^[15d,17c] which would next undergo a directed *ortho*-selective cyclometallation to provide the six-membered palladacyle IntB.^[21] The latter would next undergo oxidative addition with ethyl chloroformate to provide the corresponding Pd(IV) IntC, which would ultimately deliver the mono-carbonylated product complex PC-1 through a C–C bond forming reductive elimination. Eventually, PC-1 could either

release product **3a**, thereby recovering the active catalyst or undergo a second functionalization event to yield dialkoxycarbonylated derivative **3a'**. Notice that along this study, in order to ensure the continuity of the reaction energy profiles, infinitely separated reactants and products are considered along with reactant complexes, transition states, intermediates and products. Hence, with the aim of avoiding the unphysical overestimation of entropic effects due to the no inclusion of explicit solvent molecules, all the energetic discussion will be carried out with enthalpies.^[22]

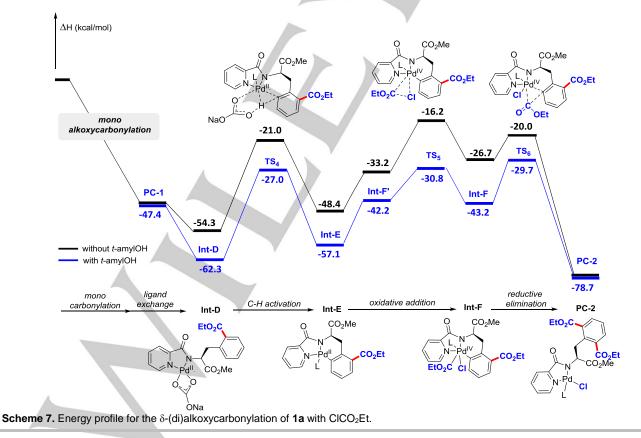
Owing to the subtleties of our catalyst system including the use of t-amyIOH as solvent as well as Ag₂CO₃ and Lil as additives, we anticipated that they could have a crucial role in favoring the formation of some of the putative reaction intermediates. We experimentally observed that the nature of the solvent had a profound effect in the reaction rate, yield and selectivity of the process. Indeed, competitive N-alkoxycarbonylation (resulting from reductive elimination from Int-C) and hydrolysis of the ester group under basic conditions could be inhibited when adding tamyIOH to the reaction medium. Accordingly, DFT calculations were undertaken both in the absence and presence of t-amyIOH molecules in an implicit manner (Scheme 6, black and blue reaction pathway, respectively).^[18] With the energy values in hand, we can conclude that the reaction pathway when considering t-amyIOH is energetically more favored and the latter showed a high stabilizing effect through coordination of the alcohol to the metal center and the formation of hydrogen bonds with the ester group of the Phe residue.



Scheme 5. Proposed mechanism for the δ-alkoxycarbonylation of 1a with CICO2Et.



Scheme 6. Energy profile for the δ -(mono)alkoxycarbonylation of 1a with CICO₂Et.



Although the whole reaction pathway is energetically more favored, the stabilization effect is stronger on the oxidative addition step. In fact, without considering the effect of *t*-amyIOH the oxidative addition step would be endothermic. In contrast, with the aid of the solvent molecules, this step would become exothermic. As a result, under these reaction conditions the C(sp²)-H alkoxycarbonylation could be favored over other competitive side reactions, such as N-alkoxycarbonylation reaction and the basic hydrolysis of the ester group. As mentioned above, the proposed mechanism would involve three fundamental steps. The first one would consist of the formation of Int-A through deprotonation and coordination of substrate 1a to the initial catalyst, leading to a reactant complex stabilized by -22.5 kcal/mol with respect to the separated species. The latter would next undergo a C-H activation event to afford Int-B through TS1. Although this path could proceed via different mechanisms, we have assumed a CMD pathway wherein the C-H bond activation was assisted by an auxiliary carboxylate/carbonate ion acting as a base, which is often invoked in the directed ortho-palladation of aromatic substrates.²³ The optimized structure of the transition state (TS₁) reveals an elongation of the C-H bond from 1.08Å to 1.38 Å and the approximation of the O atom to the H atom (d_{O-H} = 1.34 Å) coupled with the formation of the Pd–C bond (d_{Pd-C} = 2.17 Å). In this case, the C-H activation step would be the ratelimiting step of the catalytic cycle with a barrier of 27.4 kcal/mol. Intermediate Int-B would next coordinate with ethyl chloroformate to deliver Int-C' with an energy penalty of 5.2 kcal/mol. The optimized structure of this intermediate reveals a Pd-C bond length of 2.63Å. The latter would undergo oxidative addition via a concerted pathway with a barrier of 11.8 kcal/mol to afford thermodynamically favored Pd(IV) species Int-C trough TS₂. In this three-membered transition state, the Pd-Cl and Pd-C distances are shortened to 2.42 Å and 2.23 Å, respectively, whereas the C-CI distance is lengthened to 2.02 Å. With the formation of Int-C and dissociation of the C-Cl bond, Pd-Cl and Pd–C distances are shortened and maintained over 1.96 and 2.36 Å, respectively. Although this species has been proposed to exist as both monomeric Pd(IV) and dimeric Pd(III) species, we have considered the monomeric form due to its relative stability. Finally, this reactant complex could undergo a reductive elimination with a barrier of 12.9 kcal/mol via a three-membered transition state TS₃, in which the C-C distance is shortened to 2.03 Å and the Pd-C distance is lengthened in 0.11Å, leading to the thermodynamically favored product complex PC-1 with an energy of -47.4 kcal/mol. At this point, two possible scenarios could occur: the dissociation of the product complex to provide the mono-functionalized product 3a, thereby releasing the active Pd(II) catalyst or a series of ligand exchange reactions to deliver Int-D, which could undergo the second C-H functionalization process (Scheme 7). It is worth noting that the formation of Int-D has been simplified and reduced to a simple one-step reaction. In this regard, despite the fact that the presence of silver carbonate was found indispensable for the process to occur, its actual role cannot be attributed as a mere halide scavenger since heterodimeric Pd-Ag intermediates^[24] could be also formed within our catalytic cycle.

The formation of the dialkoxycarbonylated product 3a' would take place following an analogue catalytic cycle featuring C-H functionalization, oxidative addition and reductive elimination steps.^[25] The geometries of the transient species are similar to those mentioned in the catalytic cycle toward the monoalkoxycarbonylated compound 3a. As depicted on Scheme 7, the C-H activation event is also the rate-limiting step with an energy barrier of 35.3 kcal/mol. Moreover, not only the oxidative addition (TS_5) and reductive elimination (TS_6) steps, with energy barriers of 11.4 and 13.5 kcal/mol, respectively, but also all the intermediates described are energetically viable. Therefore, the thermodynamically favored product complex PC-2 would be easily formed at the optimized reaction conditions involving a reaction temperature of 125 °C. As in the first catalytic cycle, coordination of the transient species with t-amyIOH led to a more favored reaction pathway (blue vs black pathway in Scheme 7).

Concerning the key role of Lil within the reaction outcome, we performed some DFT calculations assuming a ligand exchange prior to the reductive elimination step but we did not obtain any significant energy values.^[18] Accordingly, further studies are required to clarify the role of iodide additives as they could accelerate other fundamental steps and, likewise, the intermediacy of iodide bridged Pd dimers could not be discarded.^[26]

Finally, we carried out some calculations to rationalize the experimentally observed lower or lack of reactivity of aryl chloroformates and dipeptides devoid of the ester motif within our alkoxycarbonylation manifold. As shown on Figure S3,^[18] the kinetic barriers and the thermodynamic values when using CICO₂Ph are similar to those obtained with highly reactive CICO2Et; however, the use of CICO₂Ph led to the target product 3e in low yields (Table 2). Accordingly, we hypothesized that its lower reactivity might be derived from unproductive reaction pathways. Concerning the lack of reactivity of related Phe-containing dipeptides, computational studies with PA-Phe-Gly-OMe as the model substrate were undertaken. As depicted on Figures S4 and S5,^[18] the nitrogen atom of the peptide backbone could also coordinate to the palladium center, thereby resulting in a distinct reaction intermediate that could eventually undergo oxidative addition of CICO₂Et instead of the desired C(sp²)–H carbonylation reaction. In agreement with the experiments, the use of dipeptides could result in an energetically more favored N-alkoxycarbonylation event.

Conclusions

In conclusion, we have developed an unprecedented siteselective \overline{o} -C(sp²)–H alkoxycarbonylation technique for the modification of a variety of Phe residues in a simple fashion. This protocol avoids the use of toxic carbon monoxide as C1 source and complements the method by Shi for the installation of ester moieties now at remote sites of Phe derivatives. Notably, this alkoxycarbonylation reaction could be also applied in simple benzylamines and upon cleavage of the DG, it results in the

assembly of the privileged isoindolinone core in a straightforward manner and in the absence of commonly used carbon monoxide. Salient features of the protocol are the scalability, the functional group tolerance and the performance under air, which represents a practical bonus in terms of operational simplicity. Computational studies supported a Pd(II)/Pd(IV) catalytic cycle and provided valuable insights on the reaction mechanism such as the key role of *t*-amyIOH as co-solvent. We anticipate that this Pd-catalyzed oxidative C–H carboxylation manifold could become a useful synthetic tool for the rapid diversification of a virtually unlimited set of β -arylethylamines and benzylamines.

Acknowledgements

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Keywords: C–H functionalization • alkoxycarbonylation • phenylalanine • benzylamine • DFT calculations

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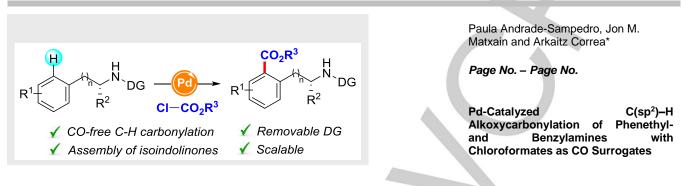
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Entry for the Table of Contents

Layout 2:

FULL PAPER



A Pd-catalyzed $C(sp^2)$ –H alkoxycarbonylation of phenylalanine derivatives and other amines featuring picolinamide as the directing group (DG) is described. Notably, the easy cleavage of the DG results in the straightforward assembly of isoindolinone compounds. Density Functional Theory calculations support a Pd(II)/Pd(IV) catalytic cycle.