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Relationships between large-scale climate modes and the South Atlantic Ocean wave climate

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Abstract

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43 Modes of variability in ocean wave conditions are coupled to atmospheric circulation changes due to ex-44 change of energy and momentum at the interface. Here, we explored for the South Atlantic Ocean the 45 relations between three main climate oscillations (El Niño-Southern Oscillation [ENSO], Southern Annular 46 Mode [SAM], and Pacific Decadal Oscillation [PDO]), four wave parameters (significant wave height $[H_s]$, 47 mean wave period $[T_m]$, and zonal $[D_{m,x}]$ and meridional $[D_{m,y}]$ wave direction components) and wind pa-48 rameters (wind speed [WS_{10}], and zonal [u_{10}] and meridional [v_{10}] components). For this purpose, we re-49 gressed wind and wave parameters against the oscillation indices to create spatial composites of slope val-50 ues, quantifying the correlation between wave parameters and indices. An EOF (empirical orthogonal func-51 tion) analysis was also carried out to identify variability modes of wave parameters and to associate them 52 to each climate index. The combining effects of ENSO and SAM were analysed by calculating H_s , T_m and 53 wind speed anomalies for the periods in which the phases of these oscillations co-occur. We found important 54 correlations not only with the dominant mode of variability, but also with secondary and even quaternary 55 modes. For ENSO, negative correlations between the Oceanic Niño Index (ONI) and H_s , T_m , and $D_{m,x}$ in 56 the northwest part of the South Atlantic Ocean were highlighted, with a decrease (increase) of up to 8 cm 57 of H_s per ONI unit in El Niño (La Niña) events. We established positive correlations also between ONI and 58 these wave parameters in subtropical regions along the western African coast during austral summer, which 59 were intensified by negative SAM. During autumn, however, we observed La Niña positive H_s anomalies 60 for this region, which were also intensified by negative SAM. Finally, we found new, significant correla-61 tions between South Atlantic Ocean wave climate and SAM. We determined that the PDO index has neg-62 ative correlations with H_s and T_m , while directional components present stronger variability. 63 64

Keywords wave climate; South Atlantic Ocean; El Niño Southern Oscillation; Southern Annular Mode; Pacific Decadal Oscillation

1. Introduction

Energy transfers that take place at ocean–atmosphere interface are dynamical and involve a range 69 of complex processes that link the smallest scales to the largest ones. Surface ocean waves are the outcome 70 of exchange of energy and momentum between atmosphere and ocean. These wind-induced oscillations 71 grow in size proportionally to wind speed, fetch and duration. Since many climate oscillations are reported 72 to induce changes in sea level pressures and wind patterns, surface ocean waves are under the influence of 73 both large-scale atmospheric circulation and climate teleconnections. 74

The influence of climate variability on wave climate has been well examined for the North Atlantic 75 (Allan and Komar, 2006, 2000; Dodet et al., 2010; Gulev and Grigorieva, 2006; Woolf et al., 2002) and 76 North Pacific oceans (Allan and Komar, 2006; Gulev and Grigorieva, 2006; Menéndez et al., 2008; 77 Ruggiero et al., 2010). However, only a few studies have focused on the Southern Hemisphere, even though 78 the region encompasses the Southern Ocean — Earth's most important area of swell generation (Young, 79 1999). One of the most significant studies of the influence of climate variability on wave climate in the 80 Southern Hemisphere was conducted by Hemer et al. (2010), which found strong correlations between the 81 Pacific Ocean wave climate and both the Southern Annular Mode (SAM) Index and the Southern Oscilla-82 tion Index (SOI) — which are used for classifying the SAM and the El Niño–Southern Oscillation (ENSO), 83 respectively, into their positive and negative phases. Marshall et al. (2018) extended the work of Hemer et
al. (2010), reinforcing the important role of SAM on wave climate variability by analysing mean sea level
pressure (MSLP), wind and wave anomalies. This most recent study also established links between SAM
and ENSO. Both works, however, focused only on the Pacific Ocean region of the Southern Hemisphere.
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Regional studies have also established links between wave climate and SOI: prior research suggest-88 ing a clockwise rotation of wave direction during negative anomalies of SOI are well documented for the 89 Gold Coast at Queensland, Australia (Hemer et al., 2008; Phinn and Hastings, 1995; Ranasinghe et al., 90 2004). Significant correlations between variability modes of significant wave height and wind anomalies 91 related to ENSO in the Southern New Zealand wave climate are also acknowledged (Laing, 2000). These 92 works are comprehensive, but primarily focused on the Pacific Ocean, whilst the Southern Atlantic Ocean 93 (SAO) remains comparatively understudied. To our knowledge, only four studies have directly examined 94 the influence of climate variability in the wave climate of SAO at a range of spatial scales. Significant 95 correlations between climate indices and direction of mean wave energy flux were found by Reguero et al. 96 (2013), however, this study only analysed coastal regions of Latin America. Similarly, Pereira and Klumb-97 Oliveira (2015) correlated the Oceanic Niño Index (ONI) to significant wave height in the central area of 98 the coastal zone of Rio de Janeiro, Brazil, associating larger waves to El Niño and smaller waves to La 99 Niña. The other two works analysed wave climate variability offshore Santa Catarina, Brazil: Dalinghaus 100 (2016) established links between ENSO, SAM, Pacific Decadal Oscillation (PDO), and wave parameters, 101 while Oliveira (2017) found correlations between wave direction and SOI. 102

To explore variability and trends of surface waves across large regions of oceans without data gaps, 103 climate reanalyses offer the most comprehensive dataset. Buoys also deliver consistent long-term data, but 104 are usually discontinuously located and cover no more than the last three decades. Satellite altimetry provides global coverage since 1978, but only for significant wave height. Reanalyses, however, provide a 106 spatially and temporally complete record of wave climate, some of them assimilating both observations and 107 satellite altimetry measurements into their numerical models. Nevertheless, *in situ* observations are essential for calibration and validation of reanalysis datasets. 109

The understanding of wave climate and its relationship to large-scale climate modes is essential 110 information for several fields, such as coastal management, marine biodiversity, renewable energy extrac-111 tion, navigation and tourism. For instance, when surface ocean waves reach the coastline, they induce ra-112 diation stress gradients that result in longshore currents, the primary mechanism of sediment transport in 113 the coastal zone. The magnitude of this process is influenced by large scale climate conditions. Surface 114 ocean waves also have major impact in marine biodiversity by carrying nutrients to beaches and transform-115 ing habitats, for example. Surf related tourism has been growing in popularity in recent years, and trends 116

regarding climate oscillations are a subject that tourist operators already keep in mind when planning. We 117 focus here on the demand for a comprehensive study of wave variability associated to climate oscillations 118 in the South Atlantic Ocean. By analysing wave data from the ERA5 reanalysis dataset, this study aims to 119 provide statistical analyses of wave patterns during SAM, ENSO and PDO phases in order to show how 120 these climate oscillations affect synoptic processes responsible for wave climate in SAO. This paper is 121 organised as follows: Section 2 presents the relevant datasets; in Section 3 we introduce and discuss the 122 relationship between wave patterns and climate oscillations; Section 4 describes the combined effects of 123 ENSO and SAM. Conclusions are presented in Section 5. As the reader will find herein the use of many 124 acronyms, a list of acronyms is supplied bellow for facilitating reading. 125

List of Acronyms

SAM: Southern Annular Mode	139 D _{m,x} : Zonal wave direction	128
SAMI: Southern Annular Mode Index	140 D _{m,y} : Meridional wave direction	129
SAO: South Atlantic Ocean	141 ENSO: El Niño-Southern Oscillation	130
SOI: Southern Oscillation Index	142 EOF: Empiric Orthogonal Function	131
SST: Sea Surface Temperature	143 H_s : Significant wave height	132
T_m : Mean wave period	144 kNN: k-Nearest Neighbours	133
T_p : Peak wave period	¹⁴⁵ MSLP: Mean Sea Level Pressure	134
u_{10} : Zonal wind component	146 ONI: Oceanic Niño Index	135
<i>v</i> ₁₀ : Meridional wind component	147 PC: Principal Component of the EOF	136
WS ₁₀ : Wind speed	148 PDO: Pacific Decadal Oscillation	137
	PDOI: Pacific Decadal Oscillation Index	138

2. Datasets

2.1. Climate indices

Climate indices are used to characterise climate oscillations in their respective phases, quantifying environmental factors such as MSLP and sea surface temperature (SST). The indices adopted here are ONI for ENSO, SAMI for SAM and PDOI for PDO. The source and calculation method to obtain each of these indices are described in Table 1.

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Table 1 Climate indices details regarding the index author, calculation	n method and source
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Index	Reference	Calculation	Data Source:
ONI	NOAA Climate Prediction Centre	The running 3-month average sea surface tempera- tures anomaly at the Niño 3.4 region (5°N-5°S, 120°- 170°W)	http://origin.cpc.ncep.noaa.gov/prod- ucts/analysis_monitoring/en- sostuff/ONI_v5.php
SAMI	Marshall (2003)	Average of the zonal MSLP between 40°S and 65°S parallels	http://www.nerc- bas.ac.uk/icd/gjma/sam.html
PDOI	Mantua (1999)	The principal component of the first Northern Pacific Ocean SST variability mode	https://www.ncdc.noaa.gov/telecon- nections/pdo/

2.2. Wave parameters and wind data

Significant wave height (H_s), mean wave period (T_m), peak wave period (T_p), mean wave direction (D_m), 159 zonal (u_{10}) and meridional (v_{10}) components of 10 m wind direction were obtained from the ERA5 reanal-160 ysis dataset for the period between January 1979 and December 2019. The data was collected for the region 161 comprising 15°N-60°S latitude and 75°W-30°E longitude. Wind speed (WS_{10}) was calculated from u_{10} and 162 v_{10} wind components and zonal $(D_{m,x})$ and meridional $(D_{m,y})$ wave direction components were decomposed 163 from D_m . The ERA5 reanalyses, developed by the European Centre for Medium-Range Weather Forecasts 164 (ECMWF), is freely available and provides data from 1979 to the present, with hourly temporal resolution, 165 0.25° grid spacing for wind data, and 0.50° grid spacing for wave data. In ERA5, atmospheric data assim-166 ilation occurs in 12-hourly windows. Ocean waves are generated from atmosphere via surface wind stress. 167 A two-way interaction is considered, where sea surface waves influence the atmospheric boundary layer. 168 ERA5 also introduced a new wave advection scheme that compared to its predecessor, ERA-Interim, better 169 resolves propagation along coastlines (Hersbach et al., 2020). The scatter index of significant wave height 170 against buoy observations is much lower than the one of ERA-Interim for all locations assessed in the paper 171 of Hersbach et al. (2020). 172

2.3. Buoy data

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In order to assess the quality of ERA5 reanalysis wave data for our region of interest, statistical measures 174 were applied to verify the correlation between reanalysis parameters and observations. Here, observations 175 were obtained from buoys along the Brazilian coast. Seven different buoy sites located on open coastline 176 were chosen for the validation: Cabo Frio, Fortaleza, Itajaí, Recife, Rio Grande, Santos and Vitória. Fig- 177 ure 1 displays buoy locations plotted against the General Bathymetric Chart of the Oceans 2020 grid 178 (GEBCO Compilation Group, 2020), and Table 2 presents buoy additional information. 179

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To reduce observational uncertainty and noise, buoy measurements were filtered for outliers due to 182 measurement errors by using the k-Nearest Neighbours (kNN) algorithm from the PyOD Python toolkit 183 (Zhao et al., 2019). For kNN, given an observation, the distance to its k-th nearest neighbour is interpreted 184 as an outlier score (Angiulli and Pizzuti, 2002; Ramaswamy et al., 2000). The relation between H_s and T_p 185 was assessed by the algorithm in order to classify the outliers with contamination rate set to 0.01. A scatter 186 plot showing the kNN decision function, inliers and outliers, as well as the buoy timeseries before and after 187 the retrieval of outliers, is demonstrated in Figure 2. It is important to mention that even though this ap-188 proach is important, it is subject to classifying correct observations as outliers. However, as Figure 2 indi-189 cates, kNN delivered a good performance. After removing outliers, for each of the selected sites, the corre-190 sponding nearest grid point was extracted from ERA5 dataset and compared to the buoy timeseries through 191 Pearson's correlation test, providing a measure of linear correlation for the reanalysis wave dataset. The 192 analysed period is described in Table 2. 193

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Table 2 Buoy location and period of available data

Site name	Latitude	Longitude	Depth	Start date	Final available date	Number of hourly samples
Cabo Frio	-23.63°	-42.63°	142 m	2016-07	2017-10	3,905
Fortaleza	-3.21°	-38.43°	357 m	2016-11	2017-12	2,408
Itajaí	-28.48°	-47.52°	182 m	2009-04	2017-07	22,270
Recife	-8.15°	-34.56°	165 m	2012-09	2016-04	12,469
Rio Grande	-31.52°	-49.81°	241 m	2011-02	2017-06	21,489
Santos	-25.70°	-45.14°	522 m	2011-04	2017-07	47,918
Vitória	-19.93°	-39.70°	281 m	2015-10	2017-07	14,065



Figure 2 Decision function based on kNN algorithm separating inliers from outliers for the buoy of Cabo Frio (top). Cabo Frio 196 H_s timeseries before and after kNN processing (bottom).

The ERA5 timeseries correlates well to wave observations, with a slight underestimation of maximum values and overestimation of minimum values, especially at Fortaleza and Recife. Wave parameters 199 have the highest correlation at Cabo Frio, with a correlation coefficient R=0.92 for H_s (Fig. 3a), R=0.77 for 200 T_p (Fig. 3b) and R=0.91 for D_m (Fig. 3c). The lowest correlation is found at Recife, where coefficients are 201 significant, but the R value found for T_p is 0.52 (Figs. 3d–f). The T_p correlations were weaker when com-

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pared to other parameters. Even though outliers were eliminated, this is likely because buoy T_p is an instan-203 taneous measure recorded in discrete time intervals. In addition to this, especially T_p , but also H_s and D_m 204 presented smaller R values at the buoys located in north-east Brazilian coast (Fortaleza and Recife), where 205 the continental shelf is narrower and steeper when compared to the other locations (Fig. 1), leading to an 206 abrupt evolution of waves due to shallow water transformation processes. Still regarding wave transfor-207 mation processes, another limitation of this analysis is the potential for wave direction to change due to 208 refraction in the shallow waters in which Waverider buoys are positioned. This might have introduced a 209 small bias between the buoy wave direction data and ERA5 reanalyses in some locations. To improve future 210 results, buoy wave directions could be inversely ray-traced into deep water, following the methods de-211 scribed by Hemer et al. (2010). Despite these limitations, all *p*-values remain smaller than 0.001, indicating 212 valid correlations. Results of this analysis are presented in Table 3. 213



Figure 3 Graphic analysis of correlation between wave parameters of ERA5 reanalyses and buoy observations for significant 215 wave height (A and D), peak wave period (B and E) and mean wave direction measured in degrees clockwise from True North 216 (C and E) at Cabo Frio (A–C) and Recife (D–F). Trendline is plotted in red.

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Table 3 Validation results where H_s is significant wave height measured in metres, T_p is mean wave period measured in seconds, and D_m is mean wave direction measured in degrees clockwise from True North. "min" represents minimum and "max" represents maximum values registered

	Waya parama	W	Vaverider bi	иоу		ERA5			
Site	ter	min	mean	max	min	mean	max	р	R
	H_s	0.7 m	1.9 m	4.5 m	0.8 m	2.0 m	4.4 m	< 0.001	0.92
Cabo Frio	T_p	3.6 s	9.3 s	15.4 s	5.3 s	8.0 s	13.4 s	< 0.001	0.78
	D_m	8.0°	123.1 °	326.0°	46.9°	126.7°	249.2°	< 0.001	0.91
	H_s	0.8 m	1.5 m	2.7 m	1.0 m	1.7 m	2.5 m	< 0.001	0.86
Fortaleza	T_p	3.6 s	9.6 s	20.0 s	5.5 s	7.7 s	13.6 s	< 0.001	0.68
	D_m	2.0°	57.5°	323.0°	1.23°	59.8°	134.6°	< 0.001	0.82
	H_s	0.6 m	2.0 m	5.5 m	0.7 m	2.0 m	5.6 m	< 0.001	0.92
Itajaí	T_p	3.7 s	9.4 s	18.2 s	5.0 s	7.8 s	13.6 s	< 0.001	0.72
	D_m	1.0°	122.2°	329.0°	0.1°	123.8°	317.5°	< 0.001	0.92
	H_s	0.7 m	1.6 m	4.2 m	0.9 m	1.6 m	3.6 m	< 0.001	0.87
Recife	T_p	4.1 s	8.8 s	16.7 s	5.2 s	7.6 s	12.0 s	< 0.001	0.52
	D_m	38.0°	108.7°	314.0°	27.7°	109.9°	169.0°	< 0.001	0.72
	H_s	0.6 m	2.1 m	5.9 m	0.7 m	2.0 m	6.0 m	< 0.001	0.92
Rio Grande	T_p	3.6 s	9.0 s	16.8 s	4.9 s	7.7 s	12.8 s	< 0.001	0.72
	D_m	2.0°	119.5°	329.0°	2.3°	118.2°	327.1°	< 0.001	0.93
	H_s	0.7 m	1.9 m	5.4 m	0.8 m	1.9 m	5.5 m	< 0.001	0.92
Santos	T_p	3.9 s	9.5 s	20.0 s	4.9 s	8.1 s	13.8 s	< 0.001	0.72
	D_m	1.0°	131.9°	330.0°	1.5°	129.8°	328.9°	< 0.001	0.91
Vitória	H_s	0.6 m	1.5 m	4.0 m	0.8 m	1.6 m	3.7 m	< 0.001	0.90
	T_p	3.4 s	9.0 s	20.0 s	5.0 s	7.6 s	13.6 s	< 0.001	0.70
	D_m	9.0°	103.0°	244.0°	15.1°	113.4°	198.8°	< 0.001	0.90

3 Wave climate patterns regarding climate oscillations

For correlating wave and wind parameters to climate indices, data was first separated into meteorological 224 seasons by grouping the monthly means of December, January and February for summer; March, April and 225 May for autumn; June, July and August for winter; and September, October and November for spring. 226 Wave and wind parameters were linearly regressed against climate indices, and wave and wind seasonal 227 climatologies were calculated by seasonally averaging these parameters. Composites were created by plot-228 ting the slope of the linear regression, the *p*-value, and the climatology of the analysed parameter to the 229 Equidistant Cylindrical Projection of Matplotlib Basemap toolkit (Hunter, 2007). 230

To further analyse the influence of climate oscillations on wave climate variability, EOF (empirical 231 orthogonal function) analyses were performed on seasonal wave parameter data to identify wave variability 232 modes and correlate their principal components (PCs) to climate indices (Dawson, 2016). Before computing 233 the EOFs, anomalies of wave parameter data were calculated by subtracting the 40-year mean seasonal 234 value from the actual seasonal mean. Their long-term tendencies were also removed by subtracting the 235

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linear least-squares fit from the data. Thus, all that was left was the variability related neither to long-term
cycles (such as climate warming) nor to seasonal signals, making it possible to interpret the remaining
variability modes as products of the influence of climate oscillations. It is important to note, however, that
the following results are not categorical and absolute: Section 4 will explore the combined effects of ENSO
and SAM, showing how the wave state varies depending on the combination of ENSO and SAM phases.

3.1 El Niño-Southern Oscillation patterns

As we have seen in Section 2.1, ONI is an indicator of the ENSO phase, which, within the context of this 243 index, can be in one of three different phases: negative, positive or neutral. ENSO is a coupled atmosphere-244 ocean phenomenon where SST anomalies are observed over the Equatorial region of Pacific Ocean (Niño 245 3.4 Region) during the two active ENSO phases (La Niña and El Niño). MSLP surface anomalies also occur 246 together with these SST anomalies, in an atmosphere-ocean positive feedback process denominated 247 Bjerknes feedback (Bjerknes, 1966). Resultant changes in the convection pattern over Equator caused by 248 this positive feedback modulate Rossby waves, extending the changes in MSLP and wind regime into tem-249 perate latitudes (Bhaskaran and Mullan, 2003). 250

Due to the orientation of Brazilian coast, the southern swell has limited impact over low latitudes 251 of western SAO. Except for austral spring and summer, when the North Atlantic Ocean swell arrives to the 252 region, the north-west of SAO is dominated by seas characterised by east direction (Reguero et al., 2013; 253 Young, 1999). Along equatorial line, the northern Brazilian coast displays positive correlations between 254 H_s , $D_{m,y}$ and ONI and negative correlations between T_m , $D_{m,x}$ and ONI during austral autumn (Fig. 4). This 255 translates into waves with shorter T_m (up to -0.18 s per ONI, R=-0.39) (Fig. 5b) yet larger H_s (up to 8 cm 256 per ONI, R=0.54) (Fig. 5a) and stronger north-eastern component as ONI becomes increasingly positive, 257 and the opposite as ONI gets negative, suggesting the favouring of trade wind seas during El Niño (positive 258 ONI) and hindering of trade wind seas during La Niña (negative ONI). This finding is also supported by 259 significant correlations between u10, v10, WS10 and ONI along equatorial line (Fig. 6): trade winds are en-260 hanced (positive ONI) or dampened (negative ONI) by up to 60 cm/s per ONI. The more positive ONI is, 261 the more the enhanced trade winds strengthen trade-wind swell in the north-west SAO, leading to larger 262 H_s . These results are interesting, since the literature usually associates El Niño events to the weakening of 263 Walker Circulation, resulting in dampening of trade winds over the Pacific Ocean (Aragão, 1998, 1986; 264 Kousky et al., 1984; Souza and Ambrizzi, 2002). However, our study shows that trade winds are intensified 265 for the west Equatorial Atlantic region and dampened for the east Equatorial Atlantic region during austral 266 autumn and winter, even though ENSO usually peaks in austral summer (Chen and Jin, 2020). 267

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Figure 4 Seasonal composites of significant wave height (H_s) , mean wave period (T_m) , zonal component of wave direction $(D_{m,x})$ 269and meridional component of wave direction $(D_{m,y})$ regressed against Oceanic Niño Index. Climatologies are plotted in grey270contour levels, and significant positive and negative correlations at 90% confidence level (p<0.10) are hatched with black dots.</td>271DJF stands for December, January, February (austral summer), MAM for March, April, May (austral autumn), JJA for June,272July, August (austral winter) and SON for September, October, November (austral spring).273

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Figure 5 Graphic analysis of correlation between summer anomalies of wave parameters and ONI at selected locations. Trendline 276 is plotted in red. 277



Figure 6 Seasonal composites of zonal and meridional components of 10 m wind (u_{10} and v_{10} , respectively) and wind speed280(WS_{10}) regressed against Oceanic Niño Index. Climatologies are plotted in grey contour levels, and significant positive and
negative correlations at 90% confidence level (p<0.10) are hatched with black dots. DJF stands for December, January, Febru-
ary (austral summer), MAM for March, April, May (austral autumn), JJA for June, July, August (austral winter) and SON for
September, October, November (austral spring).281
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During austral spring and summer, the swell originated from polar lows in the North Atlantic 285 (Semedo et al., 2009; Young, 1999) reaches the low latitudes of the Atlantic Ocean. For summer, Figure 4 286 shows increase of H_s (Fig. 5c), T_m (Fig. 5d) and $D_{m,x}$ and decrease of $D_{m,y}$ with negative ONI in the low 287 latitudes of western Atlantic Ocean. The opposite is observed for positive ONI. These correlations suggest 288 stronger North Atlantic swell signals as ONI becomes negative and weaker North Atlantic swell signals as 289 ONI transitions into its positive phase. This assumption is supported by the fact that La Niña events are 290 associated with anomalously negative MSLP in the north of 50°N in January and February, with a stronger 291 polar vortex (Moron and Gouirand, 2003). The opposite of this is observed for El Niño. Recent studies have 292 found negative correlations between ONI and the North Atlantic Oscillation, which also presents a pattern 293 of negative MSLP anomalies (Hardiman et al., 2019; Iza et al., 2016; Zhang et al., 2019). As the North 294 Atlantic oscillation has already been observed to modulate H_s (Gulev and Grigorieva, 2006; Semedo et al., 295

2009), the correlations found here for $H_{s,} T_{m,} D_{m,x}$ and $D_{m,y}$ are a possible reflection of the trans-basin teleconnections between ONI, the North Atlantic Oscillation, and the North Atlantic swell. 297

Except for austral autumn, the south-eastern sector of SAO (below 30°S) shows positive correlations 298 between H_s , T_m , $D_{m,x}$ and ONI (Fig. 4). A possible driver of this is the enhancement of the subtropical jet 299 stream (Bhaskaran and Mullan, 2003; Held et al., 1989; Karoly, 1989; Zimmermann, 2017) during El Niño 300 events, favouring the development of mid-latitude cyclones and stronger westerlies. During La Niña years, 301 however, the subtropical jet stream is weakened, as well as the frequency of mid-latitude cyclones. The 302 increase in H_s (Fig. 5e), T_m (Fig. 5f), and $D_{m,x}$ in south-eastern SAO with higher ONI (Fig. 4) is coherent to 303 the increased frequency of extra-tropical cyclones and stronger westerlies, driving larger and more westerly 304 waves when ONI is higher (El Niño) and smaller and more easterly waves when ONI is lower (La Niña) 305 (Fig. 4). Along the western African coast, however, negative correlations between these parameters and 306 ONI are observed during austral autumn, leading to larger H_s and T_m with lower ONI (Fig. 4). 307

Selected principal components of variability modes returned from the EOF analysis correlate well 308 to ONI (bold values in Table 4). The second variability mode of summer H_s , the third variability mode of 309 autumn H_s and the fourth variability modes of both winter and spring H_s are associated to ONI (Fig. 7). 310 Regarding $D_{m,x}$ and $D_{m,y}$, significant correlations were found with the PCs of EOF 4 of summer $D_{m,x}$, EOF 311 2 of spring $D_{m,x}$, EOF 2 of summer $D_{m,y}$ and EOF 3 of spring $D_{m,y}$ (Fig. 7). The parameter T_m had its third 312 mode of summer and autumn, second of winter and fourth of spring significantly correlating to ONI. The 313 spatial patterns of these variability modes are notably similar to the ones found for correlation composites 314 between wave parameters and ONI. 315

Table 4 Module of correlation coefficients (|R|) between climate indices (ONI, PDO and SAMI) and principal components associated to variability modes (EOF) of each wave parameter (WP). VF is the total variance fraction accounted for by each EOF mode. Values in bold represent significance values at 95 % level (p < 0.05)

WP	Season	EOF	VF %	R	R	R	EOF	VF %	R	R	R
				ONI	PDO	SAMI			ONI	PDO	SAMI
	Summer	1	27	0.03	0.06	0.21	3	11	0.09	0.04	0.00
		2	22	0.35	0.21	0.45	4	06	0.02	0.10	0.08
	Autumn	1	40	0.08	0.07	0.10	3	10	0.22	0.06	0.30
11		2	14	0.12	0.09	0.39	4	07	0.15	0.19	0.23
Πs	Winter	1	30	0.12	0.26	0.26	3	13	0.19	0.12	0.14
		2	15	0.15	0.21	0.29	4	10	0.24	0.00	0.07
	Spring	1	46	0.11	0.06	0.24	3	09	0.09	0.10	0.12
		2	12	0.13	0.06	0.52	4	08	0.24	0.22	0.02
	Summer	1	36	0.12	0.01	0.06	3	12	0.20	0.20	0.34
		2	16	0.01	0.03	0.05	4	08	0.12	0.17	0.07
	Autumn	1	36	0.03	0.22	0.08	3	10	0.22	0.16	0.35
T		2	23	0.11	0.02	0.05	4	06	0.08	0.03	0.20
1 m	Winter	1	43	0.19	0.30	0.09	3	08	0.00	0.25	0.20
		2	15	0.21	0.03	0.36	4	07	0.19	0.18	0.05
	Spring	1	39	0.19	0.08	0.17	3	11	0.26	0.30	0.21
		2	17	0.05	0.13	0.10	4	06	0.28	0.32	0.12
$\overline{D}_{m,x}$	Summer	1	26	0.22	0.13	0.23	3	07	0.08	0.05	0.07

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WP	Season	EOF	VF %	R	R	R	EOF	VF %	R	R	R
				ONI	PDO	SAMI			ONI	PDO	SAMI
		2	17	0.05	0.13	0.24	4	06	0.33	0.14	0.28
	Autumn	1	25	0.04	0.03	0.18	3	10	0.05	0.10	0.12
		2	17	0.09	0.00	0.13	4	08	0.04	0.13	0.04
	Winter	1	25	0.14	0.06	0.06	3	11	0.18	0.02	0.11
		2	22	0.13	0.06	0.01	4	07	0.00	0.00	0.22
	Spring	1	25	0.21	0.23	0.10	3	08	0.02	0.06	0.02
		2	20	0.24	0.00	0.17	4	06	0.09	0.00	0.33
	Summer	1	19	0.18	0.00	0.02	3	09	0.16	0.13	0.17
		2	12	0.24	0.26	0.60	4	05	0.05	0.00	0.13
	Autumn	1	19	0.00	0.02	0.31	3	12	0.07	0.13	0.14
$D_{m,y}$		2	13	0.05	0.06	0.13	4	08	0.08	0.00	0.47
	Winter	1	22	0.06	0.04	0.11	3	10	0.05	0.06	0.13
		2	15	0.07	0.07	0.00	4	09	0.13	0.14	0.04
	Spring	1	26	0.03	0.14	0.16	3	12	0.25	0.22	0.25
	-	2	12	0.00	0.04	0.09	4	06	0.13	0.21	0.23



Figure 7 Seasonal composites of selected variability modes of significant wave height (left) and zonal and meridional wave321components of wave direction (right). The principal component of the corresponding variability mode is plotted under its respective correlation map, in front of Oceanic Niño Index, which is plotted as anomaly plot. DJF stands for December, January,321February (austral summer), MAM for March, April, May (austral autumn), JJA for June, July, August (austral winter) and SON324for September, October, November (austral spring).325

3.2 Pacific Decadal Oscillation patterns

Figure 8 demonstrates a prevalence of negative correlations between PDO index and H_s , and between the 327 index and T_m , leading to an increase of H_s up to 10 cm per PDOI during negative phases of the oscillation 328 and a decrease of H_s of the same magnitude during positive ones (Fig. 9a-b). These findings are congruent 329 to the outcomes of Pezza et al. (2007) work. In their paper, it was shown that negative PDO events are 330 associated to higher frequency of cyclonic activity over Antarctica and weaker than average anticyclones 331 in subtropical latitudes, promoting the development of low-pressure systems over these regions. The in-332 crease in both H_s and T_m in negative PDO events are coherent with lower pressures and higher wind speeds 333 in SAO triggered by this PDO phase. During summer, however, wave patterns are similar to ENSO corre-334 lation patterns: in temperate latitudes waves acquire westerly components (Fig. 8) with a larger index, as 335 well as a larger H_s and T_m (Fig. 9c-d). 336

EOFs whose PCs have their best correlation coefficients with PDO are mostly the ones that also 337 have their best correlation coefficients with ENSO (Table 4). This is not surprising because even though 338 PDO and ENSO operate in different time scales, a negative event of PDO produces atmospheric circulation 339 patterns similar to a negative event of ENSO; likewise, a positive PDO presents circulation patterns similar 340 to a positive ENSO (Gershunov and Barnett, 1998; Mantua and Hare, 2002; Zhang et al., 1997). Thus, the 341 effects of both oscillations on wave climate tend to be alike. 342



Figure 8 Seasonal composites of significant wave height (H_s) , mean wave period (T_m) , zonal component of wave344direction $(D_{m,x})$ and meridional component of wave direction $(D_{m,y})$ regressed against Pacific Decadal Oscillation345Index. Climatologies are plotted in grey contour levels, and significant positive and negative correlations at 90%346confidence level (p<0.10) are hatched with black dots. DJF stands for December, January, February (austral summer),</td>347MAM for March, April, May (austral autumn), JJA for June, July, August (austral winter) and SON for September,348October, November (austral spring).349



Figure 9 Graphic analysis of correlation between autumn (A and B) and summer (C and D) anomalies of wave parameters and 352 PDOI at selected locations. Trendline is plotted in red.

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3.3 Southern Annular Mode patterns

Figure 10 demonstrates significant correlations between wave fields and SAMI, opposing the findings of 355 Hemer et al. (2010) that indicated a lack of SAM-related variability in SAO. One significant difference 356 between our work and the work of Hemer et al. (2010) is the use of a third-generation reanalysis dataset 357 from our side, with higher temporal and spatial resolutions. An increase in H_s with higher SAMI is observed 358 in the southernmost latitudes (below 45° S) during spring and summer and along the Brazilian coast in 359 summer (Fig. 11a-b). Between 30° S and 45° S, however, the eastern SAO and the Argentinian coast shows 360 an increase in both H_s and T_m (Fig 11c-d) with lower SAMI during spring and summer (Fig. 10). In winter, 361 when the storm belt is naturally displaced northward, SAM presented little effect on the wave climate. 362 These correlations reflect the movement of the Southern Ocean storm belt during positive and negative 363 phases of SAM. During a positive SAM, the storm belt contracts toward Antarctica. The contraction en-364 hances the polar jet stream and drives strong westerly winds in higher latitudes (Limpasuvan and Hartmann, 365 2000; Thompson and Wallace, 2000). In temperate latitudes, conversely, positive SAM leads to increasing 366

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MSLP, which undermines the development of mid-latitude cyclones (Carvalho et al., 2005; Limpasuvan 367 and Hartmann, 2000; Thompson and Wallace, 2000) at the region, a synoptic type associated to larger 368 waves. Consequently, smaller waves are observed in subtropical and temperate latitudes during a positive 369 SAM. Negative SAM, however, causes expansion of the ocean storm belt toward the Equator, weakening 370 winds in southernmost latitudes but promoting the development of synoptic types associated to low MSLP 371 in temperate latitudes (Limpasuvan and Hartmann, 2000; Thompson and Wallace, 2000), which leads to 372 larger waves propagating further north. 373

These patterns are also observed in the EOF analysis. The second variability mode of summer and 374 spring H_s displays this bimodal pattern between high- and mid-latitudes (Fig. 12). Furthermore, a pattern 375 similar to the positive correlation pattern along the Brazilian coast is also observed in the EOF 2 of summer. 376 The EOF 2 of autumn and the EOF 2 of winter display a zonal bimodal pattern which is also observed in 377 the correlation composites (Fig. 10). The correlation coefficients between the PCs of EOFs 2 of H_s in all 378 seasons and SAMI are significant and considerably high (Table 4). 379



Figure 10 Seasonal composites of significant wave height (H_s), mean wave period (T_m), zonal component of wave381direction ($D_{m,x}$) and meridional component of wave direction ($D_{m,y}$) regressed against Southern Annular Mode Index.382

Climatologies are plotted in grey contour levels, and significant positive and negative correlations at 90% confidence383level (p<0.10) are hatched with black dots. DJF stands for December, January, February (austral summer), MAM for</td>384March, April, May (austral autumn), JJA for June, July, August (austral winter) and SON for September, October,385November (austral spring).386

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Figure 11 Graphic analysis of correlation between summer (A and B) and spring (C and D) anomalies of wave parameters and389SAMI at selected locations. Trendline is plotted in red.390

The changing position of low-pressure systems is also capable of acting over wave direction (Hemer 391 et al., 2010). Indeed, positive correlations with $D_{m,x}$ (Fig. 10) were obtained for latitudes higher than 50°S 392 during spring and summer, and in north-west SAO for all seasons, whereas negative correlations were 393 observed in latitudes lower than 50°S in spring and summer, especially along the western African coast, 394 forming a dipole with the southern part of South America. The parameter $D_{m,v}$ maintains its pattern of 395 negative correlations in high latitudes and positive correlations in mid-latitudes during all seasons. Hence, 396 with a more positive SAM, waves acquire a north-westerly component in latitudes higher than 50°S and in 397 north-western SAO, and a south-easterly component with larger ONI in latitudes lower than 50°S and along 398 the western African coast. SAMI and u_{10} correlation composites demonstrate the very same patterns (Fig. 399 13), indicating wave direction as consequence of wind direction during SAM phases. EOFs of $D_{m,x}$ and $D_{m,y}$ 400 that presented the highest correlation coefficients with climate indices (Table 4) also reflect these patterns401(Fig. 12).402



Figure 12 Seasonal composites of selected variability modes of significant wave height (left) and zonal and meridional wave404components of wave direction (right). The principal component of the corresponding variability mode is plotted under its respective correlation map, in front of Southern Annular Mode Index, which is plotted as anomaly plot. DJF stands for December,405January, February (austral summer), MAM for March, April, May (austral autumn), JJA for June, July, August (austral winter)407408408



Figure 13 Seasonal composites of zonal and meridional components of 10 m wind (u_{10} and v_{10} , respectively) and wind speed410(WS_{10}) regressed against Southern Annular Mode Index. Climatologies are plotted in grey contour levels, and significant positive411and negative correlations at 90% confidence level (p<0.10) are hatched with black dots. DJF stands for December, January,</td>412February (austral summer), MAM for March, April, May (austral autumn), JJA for June, July, August (austral winter) and SON413for September, October, November (austral spring).414

4 Combined effects of ENSO and SAM

In Section 3, the main effects of SAM, ENSO and PDO upon surface ocean waves analysed over forty years 417 of data are presented. To analyse the combined effects of SAM and ENSO, wave and wind data were 418 subsetted by grouping ENSO and SAMI periods as described in Table 5. For wave parameters, seasonal 419 anomalies were calculated for each group by subtracting the 40-year seasonal average from the actual average of the group. After that, anomalies were mapped. PDO was left out of this analysis because, if included, forty years of data would not be sufficient for obtaining subsets with significant sample size. 422

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Group	Condition	Sample size (months)			is)
		DJF	MAM	JJA	SON
El Niño	ONI > 0.5	41	26	24	36
La Niña	ONI < 0.5	41	23	21	35
Positive SAM	$SAMI \ge 1$	50	42	44	42
Negative SAM	$SAMI \leq -1$	29	24	28	30
El Niño \cap Positive SAM	$ONI > 0.5 \land SAMI > 1$	16	8	10	11
El Niño \cap Negative SAM	$ONI < 0.5 \land SAMI < -1$	11	4	3	12
La Niña \cap Positive SAM	$ONI > 0.5 \land SAMI > 1$	22	6	7	14
La Niña \cap Negative SAM	$ONI < 0.5 \land SAMI < -1$	10	2	7	8

Table 5 Description of the subsets used for the calculation of anomalies. Sample size is the number of months included in each427subset used for the anomaly calculation428

Our focus in this section is to discuss the conditions regarding to different combinations of ENSO430and SAM phases. To support this analysis, ENSO and SAM phases were explored individually to support431the evaluation of how these oscillations interact.432

Recent studies have highlighted the negative correlation between ENSO and SAM, especially during austral spring and summer (Cai et al., 2011; Carvalho et al., 2005; Dätwyler et al., 2020). This finding is also observed here regarding surface ocean waves, with El Niño and negative SAM mostly reinforcing each other's effects and La Niña and positive SAM mostly negating each other's impacts. 436

Figures 14 and 15 display stronger H_s and T_m anomalies in mid- to high latitudes in the *El Niño* \cap 437 *Negative SAM* group. This group also presented intensification of westerly wind anomalies, as one can 438 observe at the composites of wind speed anomalies (Fig. 16). The wave and wind anomalies at south-east 439 SAO are likely to reflect the extratropical dynamics of subtropical jet streams, as they are strengthened by 440 both El Niño and the negative phase of SAM, reinforcing each other's impact. This has the potential of 441 creating waves that propagate to lower latitudes along west African Coast, driving larger waves for south-443



Figure 14 Seasonal significant wave height (H_s) anomalies for El Niño, La Niña, Positive SAM, Negative SAM, and the combination of ENSO and SAM phases. 446

Both *La Niña* and *Negative SAM* groups display positive H_s , T_m , and WS_{10} anomalies at the south of 447 Africa, especially in austral autumn and spring. In the *La Niña* \cap *Negative SAM* group, these positive 448 anomalies are intensified. On the other hand, in the *La Niña* \cap *Positive SAM* group, these anomalies are 449 supressed or have their signal changed to negative. This is likely because positive SAM leads to negative 450 anomalies in the region, and compared to ENSO, SAM is a more significant agent of climate variability for 451 high latitudes. These patterns are also observed in wind speed anomaly composites (Figure 16). 452

During positive phases of SAM, the upper-level polar jet stream is strengthened, intensifying high 453 latitude easterlies (Thompson and Wallace, 2000). This potentially influences the positive H_s anomalies of 454 up to 0.30 m in high latitudes and in western SAO. The *La Niña* group also presented positive H_s anomalies 455 in high latitudes, especially in summer. In the group *La Niña* \cap *Positive SAM*, these positive anomalies are 456 enhanced and reach up to 0.45 m (Fig. 14). The *El Niño* group presented weak positive H_s and T_m anomalies 457 in south-east SAO from austral autumn to austral spring. In the *El Niño* \cap *Positive SAM* group, these anom-458 alies are stronger when compared to the *El Niño* group.

Regarding T_m anomalies (Fig. 15), autumn and winter strong positive anomalies in low latitudes in 460 the El Niño \cap Positive SAM group stand out. The timing of these anomalies is interesting, as the literature 461 declares late austral spring as the most active season regarding SAM modulation of trade winds and height 462 of tropopause over mid- to high latitudes (Carvalho et al., 2005; Limpasuvan and Hartmann, 2000; 463 Thompson and Wallace, 2000), and ENSO usually peaks in austral summer (Chen and Jin, 2020). However, 464 as already mentioned, these anomalies might reflect the strengthening of subtropical jet stream during both 465 El Niño and negative SAM events. During winter, the subtropical jet stream displaces northwards, and 466 when El Niño and negative SAM are coupled, these storm-related-ocean-waves are able to propagate even 467 further north. 468



El Niño n Positive SAM DJF MAM SON JJA SON JJA La Niña n Positive SAM La Niña n Negative SAM DJF MAM DJF MAM SON SON JJA JJA T_m anomalies (s) -0.25 0.00 0.25 -0.500.50

El Niño

MAM

SON

MAM

SON

DJF

JJA

DJF

JJΑ

Positive SAM

Figure 15 Seasonal mean wave period (T_m) anomalies for El Niño, La Niña, Positive SAM, Negative SAM (top) and471the combination of ENSO and SAM phases (bottom).472



Figure 16 Seasonal wind speed (WS10) anomalies for El Niño, La Niña, Positive SAM, Negative SAM (top) and the474combination of ENSO and SAM phases (bottom).475

4 Conclusions

Our findings and conclusions are summarized as follows: observed climate oscillations modify the SAO 478 wave climate systematically. La Niña events promote larger waves with a more north-westerly component 479 in the northern portion of SAO, suggesting a major entrance of the North Atlantic swell in the region. El 480 Niño events contribute to larger and more south-easterly waves in the eastern SAO. This happens due to 481 the association of this event to mid-latitudes cyclones, a synoptic type responsible for larger waves. Also, 482 El Niño favours wind seas in low latitudes. A positive SAM increases both H_s and T_m in the southernmost 483 SAO and along the Brazilian coast, whereas decreasing these parameters above parallel 50°S. A negative 484 SAM has the opposite effect. A negative PDO increases both H_s and T_m in SAO and changes directional 485 components similarly to a negative ENSO. Positive PDO exerts opposite effects. 486

Variability modes returned by the EOF analysis represented ocean conditions associated to climate 487 oscillations. Interestingly, the second variability mode of both summer H_s and $D_{m,y}$ is significantly associated to all oscillations here analysed. SAM is the oscillation that most influences wave climate, with at least 489 one among four leading modes of variability of wave parameters in each season being associated to SAMI. 490 ENSO and PDO exert their influence mostly in spring and summer. 491

It is not feasible to establish a concrete wave state pattern for each of these oscillation phases once 492 they mutually affect each other. However, we can understand what to expect regarding wave parameters in 493 each of these phases when we analyse the entire picture. The effects of ENSO-SAM coupling are a mixture 494 of SAM influence in mid- to high latitudes and ENSO influence in low to mid-latitudes. The anomalies are 495 stronger when ENSO and SAM phases are occurring simultaneously. For example, SAM H_s anomalies at 496 mid- to high latitudes may be strengthened or mitigated depending on ENSO phase. Also, depending on 497 which SAM phase is on, La Niña events prompt either positive H_s and T_p anomalies or negative ones at the 498 south of Africa. 499

These results of seasonally and spatially variable oscillation effects over wave climate can potentially provide some insight into estimating future wave scenarios in response to changing frequency and magnitude of climate oscillations. Wave driven currents are the primary mode of sediment transport within the coastal zone; thus, understanding the wave climate and acknowledging the influence of climate oscillations over it helps us implement structures for coastal protection, leaving us better prepared to future coastal changes. Moreover, this knowledge can also be used for navigation purposes and as foundation when evaluating potential areas for offshore wave and wind energy exploitation.

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