

1 **Competition between Chain Extension and Crosslinking in**
2 **Polyamide 1012 during High Temperature Thermal Treatments as**
3 **Revealed by SSA Fractionation**

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1 **ABSTRACT:** Self-nucleation and annealing (SSA) is an efficient way to thermally
2 fractionate semi-crystalline polymers. The thermal fractions produced by SSA have
3 distinct melting points that correspond to different average lamellar thickness. In this
4 research, SSA was adopted to investigate the in-situ evolution of lamellar thickness of
5 polyamide 1012 (PA1012), which was affected by high temperature thermal treatments.
6 SSA successfully fractionated PA1012 into 4 thermal fractions with different average
7 lamellar thicknesses. The integrated area of the first or second SSA fraction against the
8 total endothermic integrated area was plotted as a function of thermal treatment time to
9 study the kinetics of lamellar thickness changes. Two opposing structural effects, chain
10 growth and crosslinking, occurred during the applied thermal treatment (which
11 consisted in thermally treating the material by holding it isothermally at temperatures
12 in the range of 140-250 °C) and they were detected as a function of time by SSA,
13 rheology and dissolution behavior. The structural changes increased the viscosity and
14 T_g and decreased the overall crystallization rate. Based on the construction of a master
15 curve of “time-temperature superposition” at a reference temperature (T_0) of 190 °C,
16 the mechanism for lamellar thickness evolution was divided into three stages: (a) Stage
17 I: Initially, PA1012 end groups reacted rapidly with active sites to generate chemically
18 crosslinked structures. (b) Stage II: As the number of end groups rapidly increased,
19 amidation reactions between carboxylic end groups and amine end groups resulted in
20 linear chain growth. Linear chain growth and crosslinking occurred simultaneously, and
21 there was no change in lamellar thickness or its distribution. (c) Stage III: Eventually,

1 an increasing number of end groups was formed in the system, most of which led to
2 linear chain growth via chain end-group reactions. These structural changes during the
3 applied thermal treatments enhanced the mechanical properties and the heat resistance
4 of PA1012. This work provides specific guidance for improving the toughness, strength
5 and heat resistance of polyamide materials.

6 **KEYWORDS:** Long chain polyamides; Lamellar thickness; Chemical crosslinking;
7 Linear chain growth; Successive self-nucleation and annealing (SSA)

8

9 **INTRODUCTION**

10 Long chain polyamides (LCPAs) are polyamides in which the number of methylene
11 groups between adjacent amide groups on the main chain is no less than 10. Compared
12 with traditional commercial polyamides, for example PA6 or PA66, LCPAs have a
13 lower proportion of amide groups per repeating unit, which imparts lower water
14 absorption, higher elongation at break, better balance of rigidity and toughness, more
15 desirable dimensional stability and better performance in molding.

16 LCPAs can be synthesized via condensation polymerization between dicarboxylic
17 acids and diamines, where dicarboxylic acids are converted into diamines. Dicarboxylic
18 acids can currently be obtained either by chemical synthetic methods, which are
19 dangerous and can produce hazardous byproducts, or by biological fermentation
20 methods. The latter method uses the unique oxidizing ability of microorganisms and

1 the catalysis of enzymes in cells to ferment the byproducts of petroleum containing
2 various n-alkanes (carbon chain length is 9-16); this occurs at room temperature and
3 pressure and then methyl groups at both ends of n-alkanes are oxidized into carboxyl
4 groups to form various long-carbon chain dibasic acids with corresponding chain
5 lengths. Biological fermentation methods have attracted particular interest in recent
6 years due to their environmentally friendly conversion processes with biobased
7 materials and mild conditions. Therefore, some LCPAs, such as PA1010, PA1012, and
8 PA1212, have been developed rapidly by using monomer preparation technology based
9 on bio-fermented sources.

10 The development of LCPAs mainly focuses on high-performance and multi-
11 functional modification¹⁻⁴. Recently, there have been some studies on the structure of
12 condensed states of LCPAs⁵⁻⁷, and especially on the structure-property relationship of
13 LCPAs subjected to different external fields. Zhou et al.⁸ studied the relationship
14 between amide group density and dielectric properties of PA11, PA12, PA1012 and
15 PA1212. Yang et al.⁹ investigated the melting and crystallization behavior of PA1010
16 under different pressure fields, and they found that lower pressures and higher pressures
17 led to different crystal forms and that a crosslinked structure was generated without
18 crystallization under medium pressures. Gao et al.¹⁰ dealt with the effects of a complex
19 flow field on the crystallization and orientation behavior of PA1012, which involved
20 the coupling of extensional and shear flow in a narrow channel. These finally resulted
21 in a special five-layer structure formed during this process.

1 Tashiro et al.¹¹⁻¹² used Fourier-transform infrared spectroscopy (FTIR), X-ray
2 diffraction (XRD) and molecular dynamics calculations to investigate the structural
3 changes of PA1010 that occurred during heating to temperatures near the Brill transition
4 temperature. They found that the bands of the methylene segments, corresponding to
5 the all-trans segmental parts ($-(\text{CH}_2)_n-$ ($n=10, 8$)), decreased in intensity and finally
6 disappeared in the Brill transition, while some new bands corresponding to the all-trans
7 segmental parts ($-(\text{CH}_2)_n-$ ($n=7, 5$)), appeared. This indicated that the lengths of the all-
8 trans segmental parts became shorter because the methylene segments became
9 conformationally disordered due to interruptions by some gauche segmental parts.
10 Wang et al.¹³ studied the polymorphism transition, lamellar evolution and lamellar
11 orientation of PA1012 induced by deformation at three temperatures, 30 °C (below T_g),
12 60 °C (close to T_g) and 100 °C (above T_g); this involved the use of the Synchrotron
13 Radiation Facility and correlations between tensile properties and microstructures were
14 identified. The results showed that stretching-induced crystallization occurred during
15 stretching at temperatures above the glass transition temperature (T_g), which led to
16 higher breaking strength and elongation.

17 Because of the nature of condensation polymerization, the residual end groups of
18 LCPAs are still reactive. This leads to complex structural evolution of LCPAs with
19 multiple factors operating at temperatures above T_g . Solid-state polymerization (SSP)¹⁴⁻
20 ¹⁵ occurred via reactions of the chain end groups of LCPAs at temperatures between T_g
21 and the onset temperature of melting ($T_{m,onset}$), resulting in a second increase in

1 molecular weight. Some researchers have studied the effects of SSP factors, including
2 polymerization pressure¹⁶ and the initial viscosity¹⁷⁻¹⁸, on the kinetics of molecular
3 weight changes (represented by intrinsic viscosity) in LCPAs.

4 When the materials are prepared or processed, they remain at relatively high
5 temperatures for a period of time. However, there are few studies on the structural
6 evolution of LCPAs at higher temperatures, especially at those above $T_{m,onset}$. Liu et al.
7 studied the evolution of the rheological properties of PA1012 during isothermal
8 processes occurring in the molten state¹⁹.

9 In the present work, we have studied the structural evolution of PA1012 chains,
10 and their self-assembly into crystals, occurring during post-polymerization reactions
11 caused by selected thermal treatments. The successive self-nucleation and annealing
12 (SSA) method was used to fractionate PA1012 by differential scanning calorimetry
13 (DSC), which exhibited better fractionation efficiency and resolution than other
14 previously used methods and did not involve any solvents²⁰. SSA is a well-established
15 thermal fractionation technique that was designed and implemented by Müller et al. in
16 1997²¹. It exploits the molecular segregation capacity of semi-crystalline polymer
17 systems by involving both intra- and intermolecular fractionation. DSC²² was used to
18 study self-nucleation and the effects of hydrogen bond stability on the memory effects
19 in the crystallization of LCPAs.

20 In this study, we first used SSA to investigate the evolution of lamellar thickness
21 of PA1012 in situ; this evolution was affected by various thermal processes. We found

1 that there were two structural changes, linear chain growth and chemical crosslinking,
2 that occurred during the thermal treatment, and these were distinguished by SSA
3 according to their contrasting contributions to lamellar thickness. The integrated area
4 of the first or second fraction against the total integrated area as a function of treatment
5 time was plotted to determine the kinetics of the lamellar thickness and its distribution
6 evolution. There was a “time-temperature equivalence” phenomenon observed by
7 shifting and superposing the curves for different temperatures to build the main curve
8 at a reference temperature (T_0) of 190 °C. The mechanism for evolution of the lamellar
9 thickness of PA1012 was determined for the first time. We also studied the effects of
10 structural changes on the performance of the material upon thermal treatment and this
11 work could provide guidance for studies of thermal structural evolution of all AABB
12 type PAs and subsequent design of high-performance materials.

13

14 **EXPERIMENTAL SECTION**

15 **Materials**

16 The PA1012 resins used in this research were provided by Shandong Guangyin
17 New Material Co., Ltd., and exhibited a melting temperature $T_m=188^\circ\text{C}$. The melt flow
18 index of this material was 1.0 g/10 min, as determined at 235 °C according to ASTM
19 D1238 (2.16 kg). The pellets of PA1012 were dried in a vacuum oven at 80 °C for 24 h
20 before experiments.

21 **Differential Scanning Calorimetry (DSC)**

1 TA Q2000 DSC and PerkinElmer 8500 DSC instruments were both employed for
2 the following studies. The instruments were calibrated before measurements according
3 to the experimental conditions to be used. All experiments were performed under high
4 purity nitrogen. Sample weights of 5 mg were used. Isothermal crystallization
5 experiments and T_g measurements needed rather fast cooling rates, which could be
6 realized by a PerkinElmer 8500 DSC. The PerkinElmer 8500 DSC was equipped with
7 a controlled LN₂ accessory using a liquid nitrogen cooling system (LNCS). Other DSC
8 experiments were performed in a TA Q2000 DSC.

9 ***Thermal Fractionation by Successive Self-nucleation and Annealing (SSA)***^{20, 23}.

10 SSA should be designed by employing the ideal self-nucleation temperature²³ ($T_{s,ideal}$)
11 as the first $T_{s,1}$. Before the SSA procedure, self-nucleation (SN) was performed to find
12 $T_{s,ideal}$, which is described in Figure S1 and S2. According to the results, the $T_{s,ideal}$ was
13 191 °C. We applied the same SSA procedures to all samples. The SSA procedures are
14 described in Figure 1a:

15 (a) The sample was melted for 3 min at 220 °C to erase the thermal history and
16 crystalline memory.

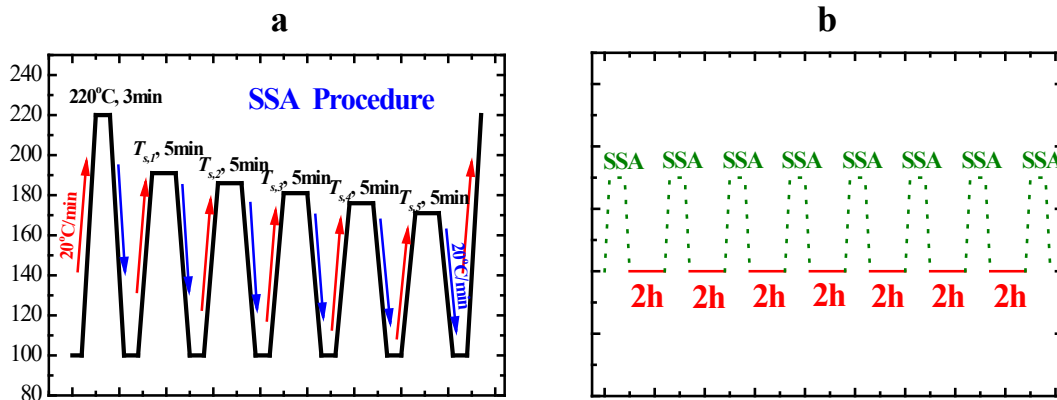
17 (b) Then, it was cooled at 20 °C/min down to 100 °C to create a standard semi-
18 crystalline state and it was held for 3 min at 100 °C for thermal equilibration.

19 (c) The sample was heated from 100 °C at 20 °C/min up to a chosen T_s and held there
20 for 5 min. Therefore, 5 minutes was the selected fractionation time.

21 (d) Then, it was again cooled at 20 °C/min down to 100 °C so that the fraction of the

1 sample that was melted at T_s crystallized during cooling.
 2 (e) Steps (c) and (d) were repeated at progressively lower T_s values. The interval
 3 between T_s temperatures, or fractionation window, was set as 5 °C. The total number of
 4 T_s temperatures (including $T_{s,1} = T_{s,ideal}$) was 5.
 5 (f) Finally, the sample was heated at 20 °C/min up to 220 °C.

6 The final heating DSC scan revealed the SSA fractionation profile of the sample.
 7 Since no annealing occurred at $T_{s,ideal}$, the number of produced fractions was equal to
 8 four. These four fractions have different average melting points and their four melting
 9 peaks can be considered to have four different average lamellar thicknesses, as proven
 10 earlier in different materials by SAXS (see references²³⁻²⁴).



11
 12 Figure 1. Experimental diagram: (a) Schematic representation of the SSA thermal
 13 protocol. (b) Combination of the SSA procedure and isothermal heat treatment for
 14 studying the lamellar thickness evolution and kinetics of PA1012.

15 ***Kinetic Studies of PA1012 chain structural evolution by SSA.*** The combination

1 of the SSA procedure and isothermal treatment for studying the lamellar thickness
2 evolution (through the changes in thermal fractions melting) and kinetics for PA1012
3 was carried out in the DSC instrument. The N₂ atmosphere in DSC provided inert
4 protection and removal of small molecular condensates. The procedure is described in
5 Figure 1b. In the schematic diagram, the green line represents a complete SSA process,
6 and the red line represents 2 h at the specific temperature. Each SSA revealed the
7 fractionation of the sample after the cumulative isothermal treatment time. The total
8 time of isothermal heat treatment was 14 h. The isothermal temperatures were set as
9 140, 170, 190, 210, 230, and 250 °C separately. The $T_{s,ideal}$ value after isothermal
10 treatment changed less than 1 °C after the isothermal thermal protocols applied, hence
11 it was considered to be always kept constant.

12 ***Isothermal Crystallization.*** The samples were first subjected to different time
13 periods at 250 °C, as in the above procedure for kinetic studies by SSA (i.e., the samples
14 after isothermal treatment and SSA procedure). Then, isothermal crystallization
15 experiments were carried out to measure the overall crystallization rates of the samples.
16 The samples were melted for 5 min at 230 °C to erase thermal history and crystalline
17 memory. Then, the samples were rapidly cooled to the chosen isothermal crystallization
18 temperature (T_c) at an apparent cooling rate of 300 °C/min (the real cooling rate was
19 234 °C/min as indicated by the slope of a plot of measured temperature vs. time). We
20 employed this fast cooling rate to try to avoid any further reactions after the heat
21 treatment, even if some small errors are inevitably introduced when the isothermal

1 crystallization experiments were performed, as some overshoot in temperature
2 inevitably occurs when the experiment is switched from non-isothermal at 300 °C/min
3 nominal rate to the isothermal measuring mode. The appropriate T_c range for each
4 sample was determined by preliminary tests to check that the samples did not
5 crystallized during the cooling to the isothermal crystallization temperature.

6 ***Glass Transition Temperature Measurements.*** The samples were first subjected
7 to different time periods at 250 °C, as in the above procedure for kinetic studies by SSA
8 (i.e., the samples after isothermal treatment and SSA procedure). Then, the samples
9 were melted for 5 min at 230 °C to erase thermal history and crystalline memory. Then,
10 the samples were quenched to -150 °C by employing ballistic cooling and subsequently
11 heated to 230 °C at 500 °C/min.

12 **Rheological Measurements**

13 Oscillatory shear experiments were performed with a Discovery Hybrid Rheometer
14 (TA DHR-2) using parallel plates with diameters of 25 mm. Cyclic oscillation
15 frequency sweep experiments without residual times between sweeps were performed
16 with sweep rates ranging from 500 rad/s to 0.1 rad/s at 250 °C, with an amplitude of 1 %
17 in the linear viscoelastic regime and with a continuous purge of nitrogen. Oscillation
18 time sweep experiments were performed at 250 °C with a frequency of 1 Hz and
19 amplitude of 3 % in the linear viscoelastic regime with a continuous nitrogen purge.

20 **Thermo Gravimetric Analysis (TGA)**

21 A PerkinElmer 8000 TGA was employed and calibrated before measurements. All

1 experiments were performed under high purity nitrogen. Sample weights of 3 mg were
2 used. The program was set to heat from 50 °C to 550 °C at a rate of 10 °C/min.

3 **Solubility Testing**

4 The samples were first treated for different time periods at 250 °C. Then, the
5 samples were dissolved in 1,1,1,3,3,3-hexafluoro-2-propanol (HFIP) at room
6 temperature. Solvent HFIP was purchased from Aladdin Co., Ltd. (H107501). The
7 concentrations of samples were 5 mg/ml.

8

9

1 RESULTS AND DISCUSSION

2 Effective Lamellar Thickness Fractionation

3 We explored a SSA protocol suitable for fractionating PA1012 before isothermal
4 treatment. As indicated in the Supporting Information (Figure S1 and Figure S2), the
5 SN results for PA1012 before isothermal treatment yielded a $T_{s,ideal}$ value of 191 °C. As
6 mentioned in the Experimental Section, Figure S3 shows 4 fractions obtained after a 5-
7 step SSA protocol, for which the $T_{s,ideal}$ produced only self-nucleation without annealing.
8 Each melting peak of the fractions corresponded to the melting of crystallites with
9 different average lamellar thicknesses that were formed and annealed at each T_s . The
10 relationship between lamellar thickness and melting point is explained by the Gibbs-
11 Thomson equation²⁵⁻²⁶. SSA has been employed to quantitatively determine the
12 distribution of lamellar thicknesses resulting from T_m values and the relative latent heats
13 of fusion for each fraction^{24, 27-30}. Figure S3 shows the expected linear relationship
14 between the T_m and T_s temperatures, demonstrating that the SSA we designed was a
15 superposition of several SN steps and indicating that it is reasonable.

16 We used the Gibbs-Thomson equation to generate a distribution of lamellar
17 thicknesses (L_c) by employing the DSC traces obtained after SSA treatment:

$$18 \quad L_c = \frac{2\sigma_e T_m^0}{\Delta H_m^0 (T_m^0 - T_m)} \quad (1)$$

19 where T_m^0 is the equilibrium melting point (479 K³¹), ΔH_m^0 is the bulk melting
20 enthalpy per unit volume for a fully crystalline polymer and σ_e is the fold surface free

1 energy. No data exists in the literature on ΔH_m^0 and σ_e values for PA1012. For
2 polyamides, a procedure to estimate the equilibrium melting enthalpy based on the
3 additivity of properties can be used as an approximation. Xenopoulos et al.³²
4 normalized the contributions of given groups and determined values of 3.8 and 5.0
5 kJ/mol for the amide and methylene groups, respectively. Therefore, we used these
6 values to calculate the approximate equilibrium melting enthalpy for PA1012 as 294
7 J/g and then converted this into the equilibrium bulk melting enthalpy by using the
8 calculated density ρ_c of perfect crystals (α form) of PA1012³³; $\Delta H_m^0 =$
9 $294 \times 1.134 = 333 \text{ J/cm}^3$.

10 The Lauritzen and Hoffman (LH) theory³⁴ can be employed to fit spherulitic
11 growth data. From the LH plots, the value of K_g^G allows the product of the lateral
12 surface free energy σ and σ_e to be calculated from the following formula³⁵.

$$13 \quad K_g^G = \frac{j b_0 \sigma \sigma_e T_m^0}{k \Delta H_m^0} \quad (2)$$

14 where j is a parameter which depends on the operating crystallization regime and
15 it is equal to 4 for regime I and III, and equal to 2 for regime II. The LH theory considers
16 that the growth rate is a function of the competition between deposition rate of
17 secondary nuclei (i) and lateral surface spreading rate (g). As a result, three different
18 crystallization regimes are produced. When $i \ll g$ the sample is under regime I and it
19 is usually found at very low degrees of supercooling. In the second regime, or regime
20 II, i has values of the order of g . Regime II can be found at intermediate supercoolings.
21 Finally, when $i > g$, the sample enters regime III and this only occurs at very high

1 degrees of supercooling. Regime behavior varies from polymer to polymer.

2 Therefore, we should first obtain the K_g^G value for PA1012 to estimate the
3 product of σ and σ_e . The LH theory can be used to fit growth rate data, which yields the
4 energy barriers associated to the secondary nucleation process, according to the
5 following equation:

$$6 \quad G = G_0 \exp \left[\frac{-U^*}{R(T_c - T_0)} \right] \left[\frac{-K_g^G}{T_c \Delta T f} \right] \quad (3)$$

7 where G_0 is a growth rate constant, U^* is the activation energy related to
8 molecular diffusion to the growth front (a value of 1500 cal/mol is frequently used),
9 and R is the gas constant. T_c is the crystallization temperature, T_0 is the temperature
10 at which chain movements freeze (calculated as $T_0 = T_g - 30 \text{ }^\circ\text{C}$) and ΔT is the
11 supercooling defined as $(T_m^0 - T_c)$. The factor f is a temperature correction term given
12 by: $\frac{2T_c}{T_m^0 + T_c}$.

13 Plotting $\ln G + \frac{U^*}{R(T_c - T_0)}$ versus $\frac{1}{T_c \Delta T f}$ should yield a straight line and its slope
14 should be equal to $-K_g^G$. Having the value of K_g^G , the product of σ and σ_e can be
15 calculated.

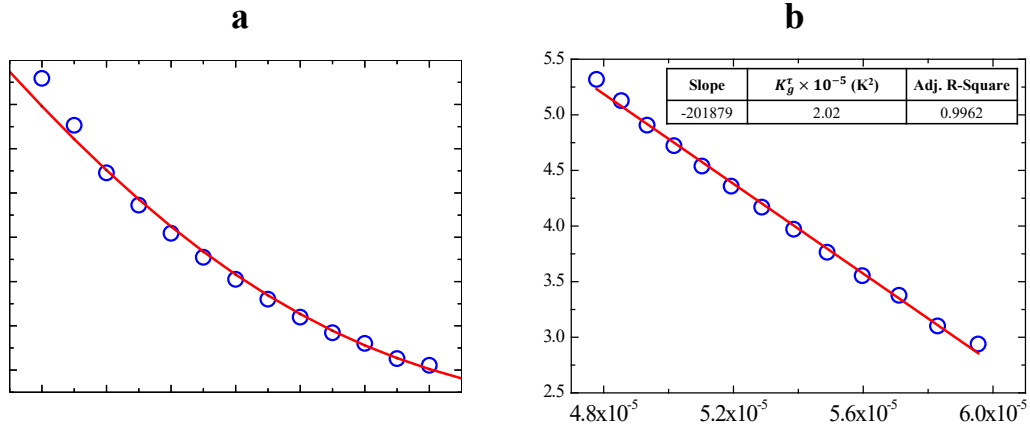
16 However, there are no reports about the K_g^G value for PA1012 because it is
17 difficult to get it, as nucleation density in PA1012 is high and spherulites are small and
18 quickly grow to impinge with one another. However, the LH theory can also be applied
19 to fit the overall isothermal crystallization data by DSC. By using the value of the
20 inverse of the half-crystallization time ($1/\tau_{50\%}$) determined by DSC instead of G as the
21 characteristic rate, Equation (3) has to be modified as follows³⁶⁻³⁷:

1
$$1/\tau_{50\%} = 1/\tau_{50\%,0} \exp\left[\frac{-U^*}{R(T_c-T_0)}\right] \left[\frac{-K_g^\tau}{T_c\Delta T f}\right]$$

2 (4)

3 where all the terms have been defined in Eq. (3), except for K_g^τ . K_g^τ is different
4 from K_g^G . K_g^τ represents a parameter proportional to the energy barrier for both
5 primary nucleation and growth (because it is derived from DSC data that takes into
6 account both nucleation and growth) and K_g^G represents a parameter proportional to
7 the energy barrier for secondary nucleation or only growth (obtained from polarized
8 optical microscopy growth only data). Besides, the K_g^τ is actually better for applying
9 the Gibbs-Thomson equation to DSC data, as it also comes out of fitting DSC data.

10 Figure 2a shows that the experimentally determined $1/\tau_{50\%}$ as a function of T_c .
11 Figure 2b shows that the way of fitting the experimental data of isothermal
12 crystallization in DSC (Figure 2a) to the LH theory by plotting $\ln(\tau_{50\%}) + \frac{U^*}{R(T_c-T_0)}$
13 versus $\frac{1}{T_c\Delta T f}$, a straight line is given and K_g^τ can be determined from the slope of the
14 plot.



1

2 Figure 2. (a) $1/\tau_{50\%}$ as a function of isothermal crystallization temperature for PA1012.

3 (b) Lauritzen and Hoffman (LH) plot. The continuous lines show the LH fit on top of
4 the experimental data.

5

6 From Figure 2b, K_g^T was determined to be $2.02 \times 10^5 \text{ K}^2$. Based on the following
7 references^{6, 38-40} for different polyamides, a Lauritzen Z-test⁴¹ was applied to investigate
8 the crystallization regime in the selected temperature region. The results indicate that
9 PA1012 is crystallizing in Regime I. Hence, j should be taken as equal to 4 in Eq. (2).
10 The detailed introduction and procedure of Lauritzen Z-test for PA1012 is given in the
11 Supporting Information (Table S1).

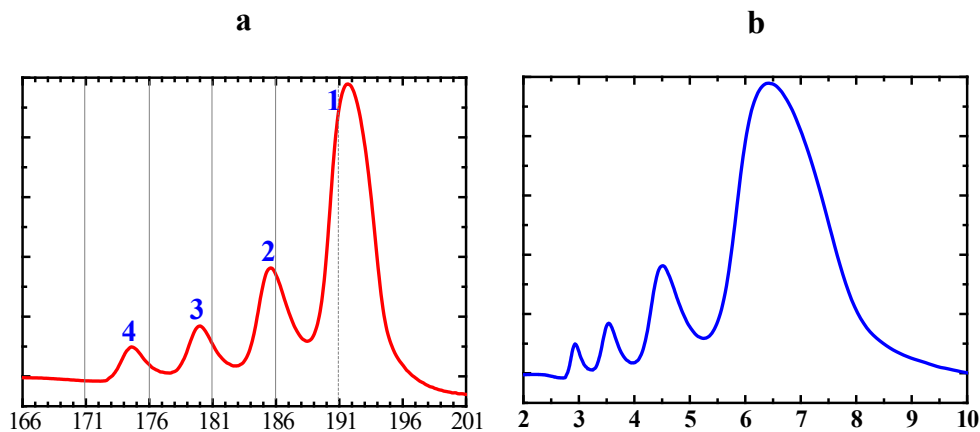
12 Next, we calculated an average of the product of $\sigma\sigma_e$ as $1310 \text{ erg}^2/\text{cm}^4$. The σ
13 value was then determined from the following empirical formula³⁴:

$$14 \quad \sigma = \alpha \Delta H_m^0 (a_0 b_0)^{1/2} \quad (5)$$

15 where a_0 is the width of the chain in the crystal, b_0 is the thickness of a

1 monomolecular layer of the crystal, ΔH_m^0 is the melting enthalpy per unit volume of a
2 100% crystalline polymer, and α is an empirical constant that usually ranges between
3 0.1 and 0.3. Typically, α is estimated as 0.1 for polyolefins, 0.24 for polyesters, and 0.3
4 for the majority of other polymers³⁹. Here, we chose $\alpha=0.3$ for PA1012. Therefore, σ
5 resulted equal to $4.03 \times 10^{-2} \text{ J/m}^2$, and σ_e was determined to be 0.032 J/m^2 .

6 Finally, we obtained the Gibbs-Thomson equation for PA1012. The experimental
7 melting points determined in the DSC scan of Figure 3a (x axis) were transformed into
8 the lamellar thickness values in Figure 3b by using the Gibbs-Thomson equation.



9

10 Figure 3. (a) SSA final heating runs and (b) lamellar thickness distribution calculated
11 by employing the Gibbs-Thomson equation with the data presented in (a).

12

13 We estimated the average lamellar thickness (L_c) (this value was listed in the third
14 column of Table 1) from the SAXS results described in Figure S4; these were
15 determined by stepwise heating at $20 \text{ }^\circ\text{C/min}$ (this heating rate was identical to that in

1 the final heating step of the thermal fractionation) to 172 °C, 177 °C, 183 °C and 189 °C.
2 The exposure time at each selected temperature was 10 s. The sample measured by
3 SAXS was the DSC sample subjected to the SSA thermal protocol without the final
4 melting step.

5 Table 1 summarizes the L_c values derived from the SAXS results and calculated
6 by using Eq. (1), and the corresponding DSC melting peak values. To calculate an
7 approximate average L_c value that could be compared to the L_c value obtained by SAXS,
8 we use the mass fraction weighted average lamellar thickness value ($L_{c,avg}$) (this value
9 was listed in the last column of Table 1) determined from the integrations of areas under
10 the DSC endotherms.

11

1 Table 1. Average lamellar thicknesses values obtained by SAXS and by the Gibbs-
 2 Thomson equation using DSC data.

T_s (°C)	$T_{m,peak}$ ^{a)} (°C)	L_c ^{b)} (nm)	Gibbs-Thomson ^{c)}	
			L_c (nm)	$L_{c,avg}$ ^{d)} (nm)
171	191.7, 185.6, 180.0, 174.6	4.8	6.4, 4.5, 3.5, 2.9	5.6
176	191.7, 185.6, 180.0	4.8	6.4, 4.5, 3.5	5.7
181	191.7, 185.6	4.9	6.4, 4.5	6.0
186	191.7	5.0	6.4	6.4

3 ^{a)} Multiple melting peaks were observed in the final heating curve after SSA. ^{b)} Average L_c obtained
 4 from SAXS profiles using the one-dimensional electron density correlation function (EDCF). ^{c)} L_c
 5 values obtained from the Gibbs-Thomson equation using the measured melting peak values. ^{d)}
 6 Weighted L_c averages determined according to the integration ratio in DSC.

7

8 The SAXS results can be used to validate the values calculated by the Gibbs-
 9 Thomson equation. The $L_{c,avg}$ calculated by the Gibbs-Thomson equation was in good
 10 agreement with the L_c measured by SAXS. The average lamellar thickness values
 11 obtained by SAXS and DSC increased slightly upon heating, which means that the
 12 interlamellar distance gradually increased due to the fact that the thinner lamellar stacks
 13 melted first followed by the thicker ones.

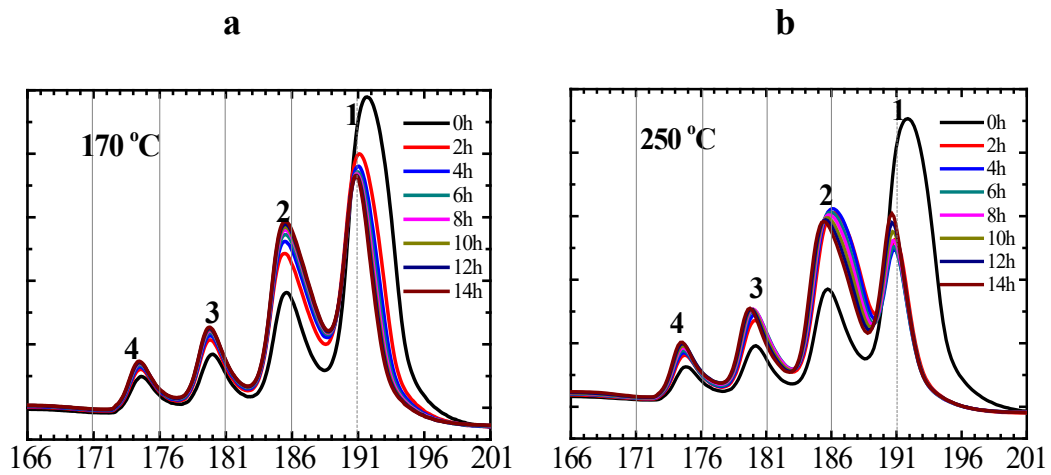
14 SSA is not very sensitive to the molecular weight distributions of some polymers.
 15 However, the structural regularity of PA1012 is relatively high, and its molecular
 16 structure exhibits no branching. When there are no defects along the chains, the

1 macromolecular end groups will be excluded from the amorphous regions, and
2 fractionation will occur due to differences in chain lengths. Therefore, the fractionation
3 results for PA1012 could reflect the distribution of molecular chain lengths, those that
4 can enter the crystal lattice. In the SSA results obtained during thermal treatment, the
5 change in the horizontal axis qualitatively could correspond to the change in the
6 molecular chain length, and the integration of each fraction could correspond to the
7 number of molecules with that chain length. However, if crosslink reactions occur
8 during thermal treatments at high temperatures, chain linearity will be interrupted
9 facilitating fractionation.

10 We attempted to correlate the evolution of lamellar thickness and its distribution
11 with changes in: molecular weight and its distribution and chain structure (e.g. changes
12 due possible crosslinking reactions) by using a combination of the SSA procedure and
13 isothermal treatments.

14 **Evolution of Lamellar Thickness and Its Distribution under Different** 15 **Temperature Fields**

16 Figure 4a and Figure 4b show the final heating runs determined after cumulative
17 isothermal treatment times at 170 °C and 250 °C. Figure S3(c) and Figure S3(d) show
18 the expected linear relationships between the T_m and T_s temperatures, demonstrating
19 that the SSA protocols we designed comprised a superposition of several SN steps,
20 thereby indicating that the protocols were reasonable.



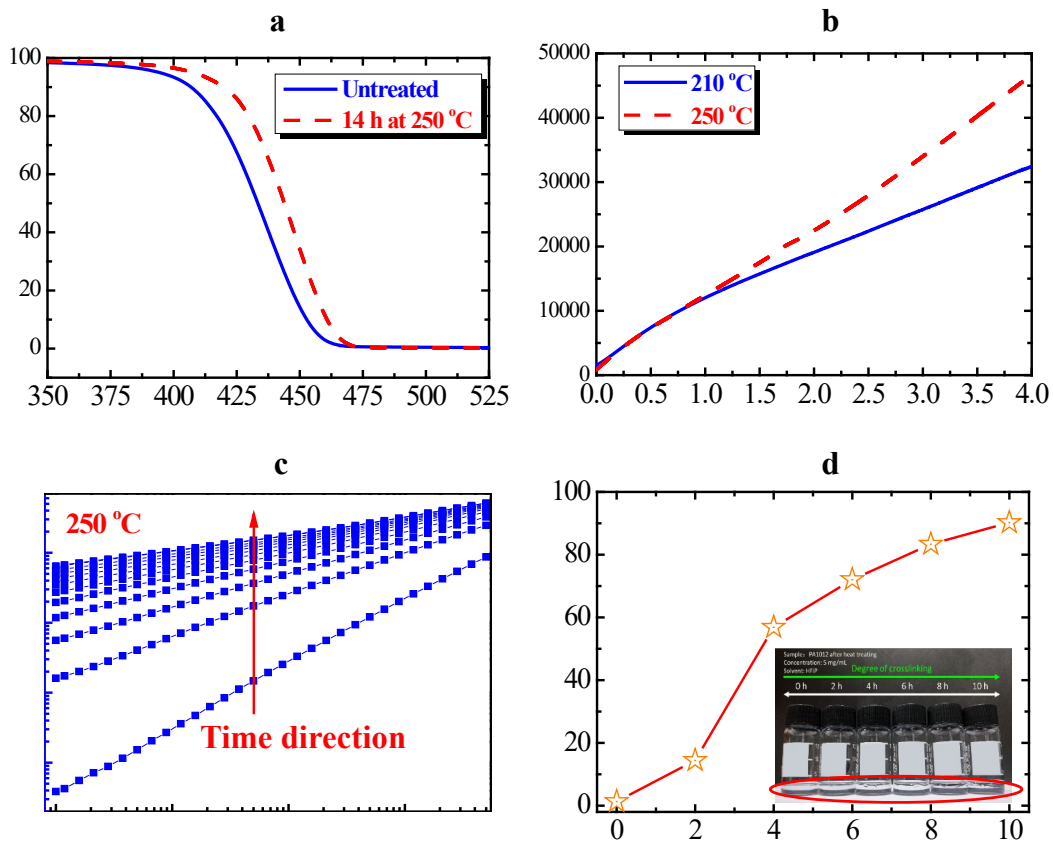
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2 Figure 4. Final heating runs after cumulative isothermal heat treatment times at (a)
 3 170 °C and (b) 250 °C. The thermal fractions are numbered from 1 to 4 on top of each
 4 melting peak.

5 We defined the highest temperature peak on the horizontal axis as the first fraction
 6 and the lowest temperature peak as the fourth fraction (see the numbers in Figure 4).
 7 Figure 4a and Figure 4b show that the melting peak of the first fraction was shifted to
 8 lower temperatures with increasing isothermal treatment time. These results were
 9 somewhat unexpected. The thermal treatment at high temperatures (170 and 250 °C)
 10 should have increased the molecular weight, which would increase the lamellar
 11 thickness corresponding to the first fraction; the actual results varied in the opposite
 12 direction. For example, as mentioned in the Introduction section, at lower temperatures,
 13 SSP would occur and would increase the molecular weight. Therefore, we should first
 14 confirm whether degradation occurred.

15 Figure 5a indicates that no degradation occurred after cumulative isothermal

1 treatment at 250 °C for 14 h, since the TGA curve was shifted to the right. Figure 5b
2 also proves that no degradation occurred. The oscillation time sweep experiment was
3 performed in the linear viscoelastic regime, which is an effective method for
4 investigating structural changes occurring within temperature fields⁴²⁻⁴⁵. With
5 increasing isothermal time, the elasticity modulus increased, which suggested that a
6 reaction enhancing viscosity must have occurred because the end groups of LCPAs
7 were still reactive, as mentioned above. However, this viscosity-increasing reaction did
8 not increase the lamellar thickness because other structural changes may have taken
9 place during the isothermal treatment.



1

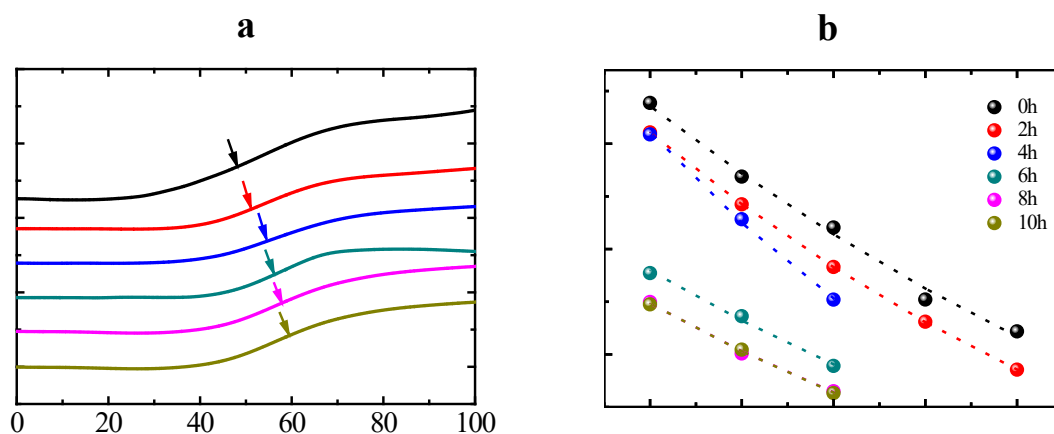
2 Figure 5. (a) TGA results obtained before and after isothermal treatment for 14 h at
 3 250 °C. (b) Oscillation time sweeps at 210 °C and 250 °C. (c) Cyclic oscillation
 4 frequency sweep at 250 °C. (d) Degree of crosslinking and results of dissolution at
 5 room temperature after treatment at 250 °C for different isothermal time periods.

6 The results in Figure 5c revealed that the elasticity modulus deviated from the
 7 scaling law in the terminal region and developed a plateau at low frequencies. This
 8 result indicated that a crosslinked structure was formed during the high temperature
 9 isothermal treatment⁴⁶⁻⁴⁹. So, was this crosslinking process physical or chemical in
 10 nature? Was it reversible or irreversible? According to Figure 5d, a chemically
 11 crosslinked structure would not be soluble, and the proportion of this structure increased

1 with increasing time.

2 Figure 6a shows that the value of T_g was increased with increasing isothermal
3 treatment time. This also proved that a chemically crosslinked structure formed
4 hindering the long range motions of the polyamide chains, resulting in an increase in
5 T_g .

6 Isothermal overall crystallization experiments were performed by DSC to
7 determine the half-crystallization times of the samples. The relative crystallinity (α)
8 could be obtained through the ratio of the crystallization peak area before time over its
9 total area. The half-crystallization time ($\tau_{50\%}$) corresponds to the crystallization time
10 for the relative crystallinity $\alpha=50\%$. The inverse of the half-crystallization time could
11 provide an experimental measure of the overall crystallization rate (i.e., the inverse of
12 the half-crystallization time, $1/\tau_{50\%}$). This overall crystallization rate includes
13 nucleation and growth. Figure 6b shows the overall crystallization rate for PA1012 as a
14 function of T_c with different isothermal times at 250 °C. Some studies⁵⁰ have
15 demonstrated that the overall crystallization rate first increased as the molecular weight
16 increased, then reached a maximum, and finally decreased. For most commercial
17 polymers, such as polyamides, molecular weights are high enough that they are in the
18 molecular weight range in which the overall crystallization rate decreases with
19 increasing molecular weight. The results presented in Figure 6b are consistent with
20 increases in molecular weight (by chain extension or crosslinking) which cause a
21 decrease in the overall crystallization rate.



1

2 Figure 6. (a) DSC heating scans after quenching treatment at 250 °C for different
 3 isothermal time periods. (b) Overall crystallization rate ($1/\tau_{50\%}$) versus isothermal
 4 crystallization temperature for PA1012 treated at 250 °C for different isothermal time
 5 periods. The dotted lines show the LH fit on top of the experimental data.

6 Figure S6 also shows that with the extension of isothermal times spent at a fixed
 7 temperature, T_c and T_m decreased, a result consistent with a change from linear to a
 8 crosslinked structure. Any interruption in the linear crystallizable sequence causes a
 9 depression in melting temperature as it limits the achieved lamellar thickness.

10 According to the gel permeation chromatography (GPC) results in Table S2, the
 11 molecular weight decreased with increasing time spent at 170 °C. However, thermal
 12 treatment at 170 °C should have increased the molecular weight. Considering that
 13 PA1012 was crosslinked during the heat treatment, the GPC test result was for the
 14 dissolved fraction, that is, the molecular weight of the crosslinked fraction could not be
 15 measured by GPC. Therefore, these results also indicated the occurrence of

1 crosslinking. The molecular weight decreased with increasing time spent at 170 °C and
2 the molecular weight and distribution (MWD) decreased, which may also correspond
3 to an increase in the degree of crosslinking.

4 Was it only the chemical structure that caused the viscosity in Figure 5b to increase
5 over time? We think that in addition to the formation of a chemical network, there
6 should have also been linear chain growth competing reactions. We also speculate that
7 following the evolution of the SSA fractionation profiles, i.e., not only the peak melting
8 points but also the areas under each fraction, we may be able to measure these two
9 competing reactions: chain growth versus crosslinking reactions.

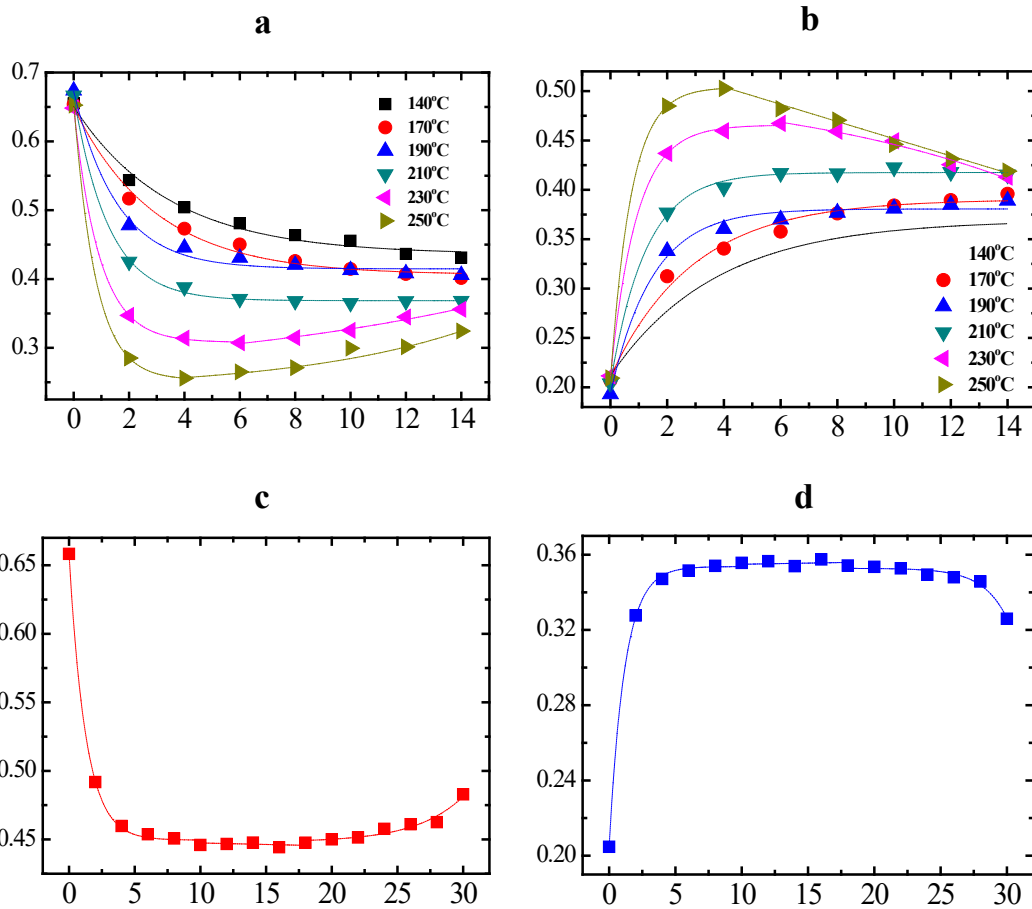
10 **Kinetics of Lamellar Thickness and Its Distribution Evolution at Different** 11 **Temperatures**

12 The isothermal temperatures employed to treat the samples at high temperatures were
13 separately set as: 140, 170, 190, 210, 230 and 250 °C in Figure S7.

14 Due to more obvious changes in the integrated area than in the melting points of
15 the fractions, we chose to compare the former values resulting after different isothermal
16 treatments at the selected temperatures. The integrated area of the first or second
17 fraction was plotted against the total integrated area as a function of the treatment time,
18 as shown in Figure 7. The specific integration method is shown in the Supporting
19 Information (Figure S5).

20 Figure 7 (a-b) shows that at lower isothermal temperatures (140 °C, 170 °C and
21 190 °C) and with increasing time, S_1/S decreased and S_2/S increased, while the lamellar

1 thickness and its distribution (i.e., the melting point distribution of each thermal fraction)
 2 narrowed. We could see that the trend for changes in the integrated area (change in the
 3 slope of the curve) became larger, which meant that the reaction rate increased as the
 4 temperature increased.



5
 6 Figure 7. Integrated area of (a) the first (S_1/S) or (b) second (S_2/S) thermal fraction
 7 against the total integrated area as a function of isothermal time at 140, 170, 190, 210,
 8 230 and 250 °C and as a function of times up to 30 h at 190 °C (c-d). The dashed lines
 9 show the fit on top of the experimental data.

10 However, at higher isothermal temperatures (210 °C, 230 °C and 250 °C), the

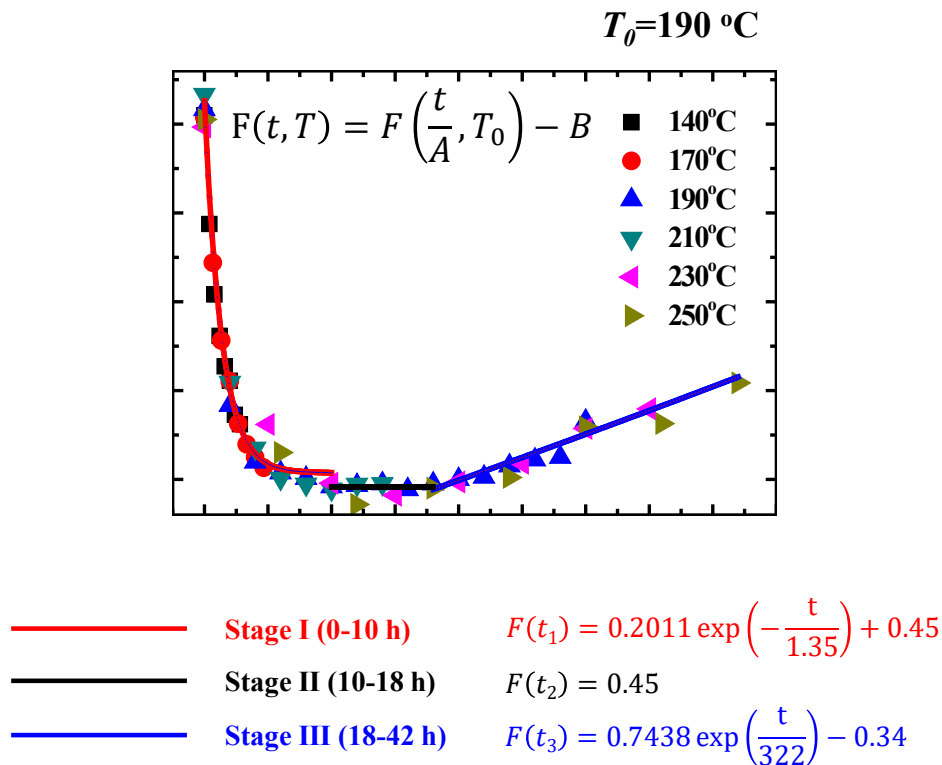
1 lamellar thickness and its distribution first turned narrower, which was the same
2 behavior seen at lower isothermal temperatures, and then turned wider, which was
3 different from the behavior seen at lower isothermal temperatures. Hence, a minimum
4 in the value of the area of the first fraction appears for the data corresponding to 230
5 and 250 °C in Figure 7a and a corresponding maximum in Figure 7b for the second
6 thermal fraction. Figure 4 clearly shows that while the size (area) of the first thermal
7 fraction decreases with the thermal treatment time, the second thermal fraction area
8 correspondingly increases.

9 As the isothermal temperature was increased to 230 °C and 250 °C,
10 maximum/minimum values (i.e., for the first/second thermal fractions) in the data
11 remained. The higher the isothermal temperature was, the shorter the time
12 corresponding to the appearance of maximum/minimum values. We decided to explore
13 if there was a “time-temperature equivalence” that could describe a general trend.

14 Therefore, we prolonged the isothermal treatment time to 30 h at 190 °C, as
15 indicated in Figure 7(c-d). Figure 7c shows that after 15 h at 190 °C, a minimum appears
16 in the plot of the normalized area of the first thermal fraction, indicating a recovery
17 behavior. At shorter times the crosslinking reaction dominates and makes the area of
18 the first thermal fraction smaller, but at longer times at 190 °C (longer than 15h) it
19 would seem that chain extension reactions are now more important leading to a
20 recovery of the area of the first thermal fraction.

21 A mirror image of Figure 7c is represented in Figure 7d. The reason for this

1 behavior is that the second thermal fraction grows at the expense of the decrease in the
 2 first fraction. For every long linear chain interrupted by a crosslinking point, two shorter
 3 ones are generated, hence the increase in the second (and third and fourth) thermal
 4 fraction areas that can be seen in Figure 4. Therefore, for the second fraction, a
 5 maximum in area is found when the contributions of crosslinking and chain extension
 6 is balanced. The fact that the maximum/minimum could be reached either by increasing
 7 the temperature or by prolonging the time confirmed a “time-temperature equivalence”.



8

9 Figure 8. Fit of the “time-temperature equivalence” main curve. The reference
 10 temperature (T_0) was 190 °C.

11 The curves at different temperatures were shifted and superposed to build a master

1 curve along the time axis for a reference temperature (T_0) of 190 °C, as shown in Figure
2 8. The superposition formula is given by Eq. (6):

$$3 \quad F(t, T) = F\left(\frac{t}{A}, T_0\right) - B \quad (6)$$

4 where A and B are the t-shift factor and the f-shift factor, respectively, relative to
5 different temperatures. T_0 is the reference temperature (190 °C here), and T is the
6 isothermal treatment temperature. The relationships between A and B and temperature
7 are shown in Table S3 and Figure S8.

8 We also fit the main curve and divided it into three different stages, as shown in
9 Figure 8. In this way, we could consider the mechanisms separately.

10 **Mechanism for the Lamellar Thickness Evolution of PA1012 Induced by Linear** 11 **Chain Growth and Chemical Crosslinking**

12 Two structural changes, linear chain growth (i.e., chain extension) and chemical
13 crosslinking, occurred during the isothermal treatments applied to PA1012 in the
14 temperature range between 140 and 250 °C.

15 The mechanism for the evolution of the lamellar thickness of PA1012 in the main
16 curve at a reference temperature (T_0) of 190 °C is discussed below and is shown in
17 Scheme 1.

18 Due to the unstable nature of the amide groups of PA1012, there will always be
19 some active sites (secondary amines) that serve as potential sites for crosslinking, which
20 could react with the end groups of the macromolecules (amine and carboxylic end
21 groups) in polyamide materials. During thermal treatment, the molecular chains of

1 polyamides were broken to generate new active sites and molecular end groups.

2 **1. Stage I (0-10 h at T_0)**

3 At the beginning of the thermal treatment, there are relatively few end groups.
4 Chemical crosslinking was easily accomplished with end groups that rapidly reacted
5 with active sites. The crosslinking points occurred at the N atoms of PA1012, which
6 was confirmed by the CPMAS ^{15}N NMR spectra shown in Figure S9 and Table S4.

7 CPMAS ^{15}N NMR measures the signal by stimulating H atoms linked to N atoms.
8 As the number of H atoms linked to N atoms is larger, the signal would be stronger
9 under the same scanning time and the same sample mass. The integrated area of the
10 signal at 250 °C for 14 h decreased, which meant the number of H atoms linked to N
11 atoms decreased due to the crosslinking reaction occurring during the heat treatment,
12 as shown in Scheme S1. This observation is similar with PA66⁵¹.

13 There were two possible crosslinking structures that separately resulted from the
14 reaction of an amine end group with a carboxylic acid end group in PA1012, as shown
15 in Scheme S1. In this stage, the distribution of lamellar thicknesses became narrow and
16 the lamellar thickness decreased, as indicated by the lowering of the melting peak and
17 narrowing of the melting peak distribution. This increased the melt viscosity, as shown
18 in Figure 5, and decreased the mobility of the chains and their ability to crystallize, as
19 shown in Figure 6. At 170 °C and during the first four-hour isothermal time at 250 °C,
20 the melting peak of the first thermal fraction shifted to the left, that of the second
21 thermal fraction shifted to the right, while the area of the first thermal fraction decreased,

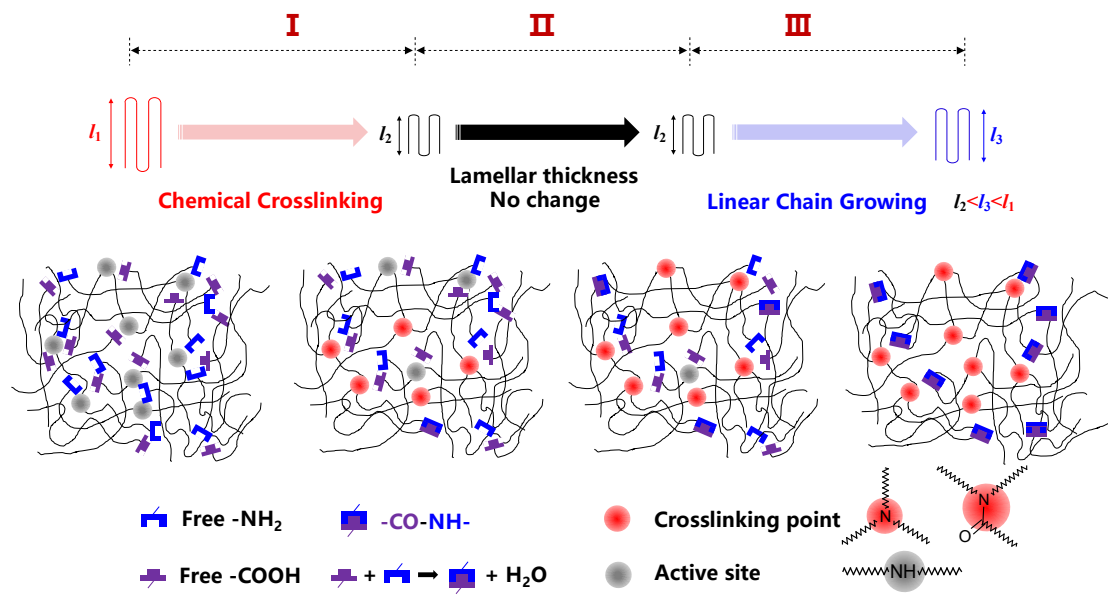
1 and the area of the second thermal fraction increased.

2 **2. Stage II (10-18 h at T_0)**

3 With increasing thermal treatment time, the number of end groups rapidly
4 increased. The amidation reaction between carboxylic end groups and amine end
5 groups resulted in linear chain growth. In this stage, linear chain growth and
6 crosslinking occurred simultaneously, and the effect of the two competing reactions on
7 the lamellar thickness is opposite. There was no change in lamellar thickness because
8 the contributions of crosslinking and chain extension are balanced.

9 **3. Stage III (18-42 h at T_0)**

10 In this later stage, linear chain growing mainly occurred via reactions of chain end
11 groups. The proportion of the first thermal fraction increased and that of the second
12 fraction decreased with increasing thermal treatment time.



1

2 Scheme 1. Schematic of the mechanism for the evolution of lamellar thickness in
 3 PA1012 induced by linear chain growth and chemical crosslinking in the main curve
 4 at a reference temperature (T_0) of 190 °C.

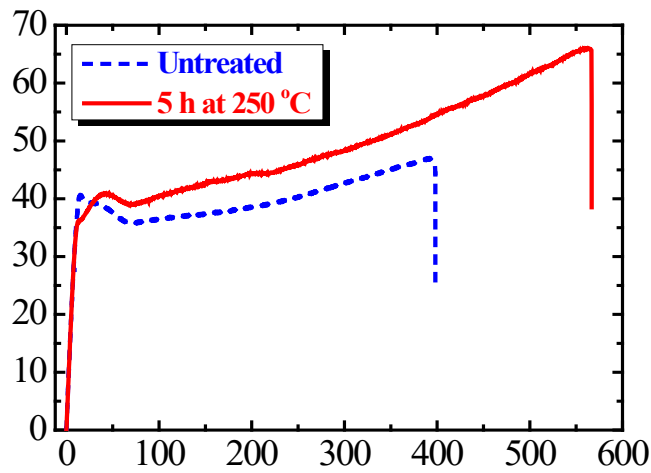
5 **Relationship between Structural Changes and Ultimate Properties**

6 We also studied the effects of the structural changes caused by the applied thermal
 7 treatment on the performance of PA1012, especially on the mechanical properties.

8 Figure 9 and Table 2 show a comparison of the mechanical properties of PA1012
 9 before and after isothermal heat treatment for 5 h at 250 °C. Both toughness and
 10 strength were obviously enhanced. The elastic modulus is almost the same value. That
 11 is because with increasing isothermal treatment time, the degree of crosslinking
 12 increased and the crystallization ability decreased in Figure 5, 6 and S6, and the nearly
 13 equal contributions of the two changes have the opposite effect to the elastic modulus.

14 In Figure 5a, the TGA curve was shifted to the right with increasing isothermal

1 time, which meant that the thermal stability and heat resistance were enhanced.



2

3 Figure 9. Stress-strain curve of PA1012 determined before and after isothermal
4 treatment for 5 h at 250 °C.

5 Table 2. Comparison of the mechanical properties of PA1012 determined before and
6 after isothermal treatment for 5 h at 250 °C.

Mechanical properties	Elastic modulus (MPa)	Yield strength (MPa)	Tensile strength (MPa)	Breaking elongation (%)	Impact strength (MJ/m ³)
Untreated	356±35	40±1	46±6	319±186	129±82
5 h at 250 °C	354±31	36±6	57±11	541±71	238±66

7

8 By controlling the temperature and time of thermal treatment, a preparation
9 method for obtaining a PA1012 material with good toughness, high strength and high
10 heat resistance was established. This method is very convenient and does not require
11 other additives.

1 In the present work, we take PA1012 as our research object, which belongs to
2 AABB type polyamides. AABB type polyamides are prepared by condensation of
3 diamines and dicarboxylic acids. Due to the nature of condensation polymerization, the
4 residual end groups of AABB type polyamides are still reactive. So, they should have
5 the similar structural changes. Therefore, the research could also provide guidance for
6 the structural evolution of all AABB type PAs during thermal treatment and suggested
7 a design for high-performance materials.

8

9 **CONCLUSIONS**

10 In this study, the evolution of the melting points and their distribution (which are
11 a reflection of lamellar thickness and its distribution) of PA1012 was investigated and
12 was found to be affected by thermal protocols performed isothermally at high
13 temperatures (140-250 °C). SSA was used to fractionate PA1012 into 4 well defined
14 thermal fractions corresponding to different melting points and therefore to different
15 average lamellar thicknesses and their distributions. DSC, rheological measurements
16 and solubility tests confirmed that both linear chain growth and chemical crosslinking
17 occurred during the applied thermal treatments and exhibited opposing contributions to
18 the lamellar thickness. Based on a “time-temperature equivalence” master curve at a
19 reference temperature (T_0) of 190 °C, the mechanism for evolution of lamellar thickness
20 was divided into three stages. In Stage I, chemical crosslinking easily occurred with
21 active sites that reacted quickly with the end groups. In Stage II, linear chain growth

1 and crosslinking occurred simultaneously, while lamellar thickness and its distribution
2 did not change. In Stage III, linear chain growth occurred primarily via reactions of
3 chain end-groups. These structural changes that occurred during the applied thermal
4 treatments enhanced the mechanical properties and heat resistance of the polymers. The
5 thermal treatments applied to PA1012 provide a simple method and guidance for
6 obtaining better toughness, higher strength and higher heat resistance in polyamide
7 materials.

8 **ASSOCIATED CONTENT**

9 **Supporting Information**

10 Protocols of SN, SAXS, nonisothermal DSC, GPC, and Solid-State NMR tests, SN
11 results, SSA results, SAXS and EDCF results, Lauritzen Z-test analysis and results,
12 Integrated method for SSA results, nonisothermal DSC results, GPC results,
13 temperature-dependent shift factors during building the master curve, Solid-State NMR
14 results, and Scheme S1 showing the crosslinking structure occurred with thermal
15 treatment.

16

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5 **Notes**

6 The authors declare no competing financial interest.

7

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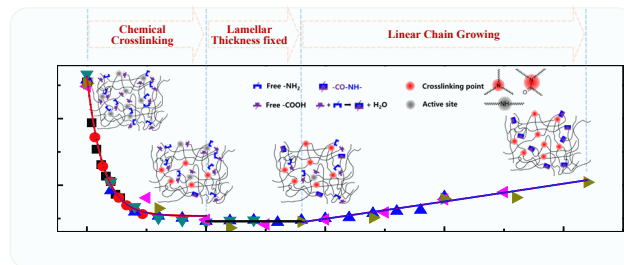
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1 **Competition between Chain Extension and Crosslinking in**
2 **Polyamide 1012 during High Temperature Thermal Treatments as**
3 **Revealed by SSA Fractionation**

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