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# Flicker Characteristics of Efficient Lighting Assessed by the IEC Flickermeter.

I. Azcarate<sup>\*,a</sup>, J.J. Gutierrez<sup>a</sup>, P. Saiz<sup>a</sup>, A. Lazkano<sup>a</sup>, L.A. Leturiondo<sup>a</sup>, K. Redondo<sup>a</sup>

## **Affiliation and addresses:**

<sup>a</sup> Communications Engineering Department.  
University of the Basque Country UPV/EHU.  
Alameda Urquijo S/N  
48013 Bilbao, Spain

## **Corresponding author:**

\* Izaskun Azcarate  
email: izaskun.azkarate@ehu.es  
Tel. : +34946018209  
Fax. : +34946014259

## **Abstract**

We present an experimental study of the behavior of modern lighting technologies under supply voltage fluctuations. Some studies have reported that flicker severity measurements could exceed the compatibility levels without leading to flicker complaints when modern lighting is in use. Such conclusions have resulted in two main proposals regarding the assessment of flicker: to relax the flicker compatibility indexes and to adapt standardized procedures to assess flicker based on a new reference lamp instead of the current reference, the incandescent lamp. Our work

presents alternative tools for analyzing the effect of efficient lighting on the assessment of flicker. Our main findings challenge the assumption that efficient modern lighting is not sensitive to voltage fluctuations, at least over a considerable frequency range. Furthermore, the results oppose the use of the standardized functional model of the incandescent lamp for assessing the flicker severity produced by modern lamps.

## Keywords

Lamps, Efficiency, Perception, Flicker, Power Quality.

## 1. Introduction

International energy efficiency regulations have prompted the fast and definitive withdrawal of incandescent lamps, a change that has particular relevance to the assessment of the light flicker produced by voltage fluctuations. In terms of electromagnetic compatibility, flicker is defined as the annoyance induced by illuminance fluctuations caused by variations in the supply voltage of the lamp. The procedure for assessing this disturbance is defined in the IEC 61000-4-15 standard [1], which establishes the functional specifications for measuring the flicker severity value,  $P_{st}$ , from the supply voltage. For this purpose, the 60 W incandescent bulb serves as the reference lamp.

The gradual replacement of incandescent lamps by more efficient lamps, such as halogen, compact fluorescent lamps (CFLs) and light emitting diode (LED) lamps [2] has promoted the research of new lighting technologies' sensitivity to voltage fluctuations. The main conclusions indicate that new lighting technologies seem to be less sensitive to voltage fluctuations than incandescent lamps [3]. Other recent studies have noted the absence of user complaints in sites where high flicker severity levels were measured, and suggest that this could be attributable to these new lighting technologies' lower sensitivity to voltage fluctuations [4, 5]. Based on these conclusions, international organizations for the standardization and improvement of electric power systems, such as the IEC and CIGRE/CIREN, are currently examining the possibility of modifying the established compatibility levels for voltage fluctuations and adapting the IEC 61000-4-15 standard

19 to reflect the different sensitivity of modern lamps. Currently, CIGRE working group C4.111 is re-  
20 viewing low voltage (LV) and medium voltage (MV) compatibility levels for voltage fluctuations,  
21 as well as analyzing possible alternatives to the concept of flicker severity for assessing voltage  
22 fluctuations [6].

23 However, other studies have warned against erroneous perceptions of modern lamps' insensi-  
24 tivity to voltage fluctuations. Some of these works have reported findings showing the sensitive  
25 behavior of CFLs and LED lamps in the presence of harmonic and interharmonic frequencies of  
26 higher values than the common frequency range established for flicker assessment [7, 8].

27 The present work provides new evidence that questions the lack of importance currently given  
28 to the light flicker produced by new lighting technologies. For this study, we selected some of the  
29 most common and efficient lamps on the commercial lighting market; models that are expected  
30 to replace the incandescent lamp. The present analysis was conducted in terms of flicker severity  
31 because the spectral characteristics of the illuminance of these lamps indicated that the frequency  
32 response of the lamp is not always the best tool for sensitivity analysis; to assist this, an illu-  
33 minance flickermeter was designed. Furthermore, although a number of studies have proposed  
34 adapting the IEC flickermeter for modern lamps based on the linear model of the standard [5, 9], a  
35 linearity analysis of the illuminance raises doubts about the validity of this approach. Finally, for  
36 comparison with the objective results, we performed several subjective tests, in which a group of  
37 people were exposed to light flicker produced by test lamps.

38 The results of this work clearly question the effectiveness of modifying the established com-  
39 patibility levels for voltage fluctuations, at least in relation to short- and medium-term trends in  
40 lighting technologies. Moreover, our results invalidate the possibility of directly adapting the IEC  
41 flickermeter for use with any modern lamp that could become the future reference lamp for flicker  
42 measurement.

## 43 **2. Experimental Setup**

44 All the experiments in this study were based on illuminance signals obtained from the various  
45 lamps tested. In this section, we describe the set of lamps under test (LUTs) and the process  
46 applied to obtain the illuminance signals.

## 47 2.1. Set of Lamps Under Test

48 We selected a set of commercially available lamps from different high- and low-end manu-  
49 facturers, in order to test samples of different lighting technologies, including the halogen lamps,  
50 fluorescent lamps using electronic or electromagnetic ballast and LED lamps. The lamps selected  
51 for testing also include a variety of the energy efficient behaviors represented by European Union  
52 energy labeling, which uses classes from A to G, where A is the most energy efficient and G the  
53 least.

54 Some studies have proved that the sensitivity behavior of some lamps changes when different  
55 illumination levels are applied, for two main reasons. First, at low illuminance levels, the eye is less  
56 saturated with light and therefore is more sensitive to light flicker. Second, the interaction between  
57 dimmer technologies and brightness-control methods applied in self-ballasted lamps generates  
58 alternative paths for the voltage fluctuations [10]. Hence, several dimmable lamps were included  
59 in the set of LUTs using the Leading Edge (LE) dimmer Busch-Jaeger 2247U.

60 The main characteristics of the LUTs are given in Table 1.

## 61 2.2. Generation and Acquisition Process

62 Fig. 1 depicts the experimental setup used to acquire and store the illuminance signals of the  
63 LUTs. First, an analytical signal is converted into an analog signal by a D/A converter (National  
64 Instruments USB-6211) at a rate of 100 kHz. The analog signal is then amplified to 230 V by  
65 a 7500 Krohn-Hite Amplifier (75 W, from DC to 1 MHz) and a 120/230 V transformer. This  
66 signal is applied to the lamp, which is enclosed in a white box, in which the light sensor is also  
67 located. This sensor is connected to a luxmeter (E4-X Hagner Digital Luxmeter, with an accuracy  
68 better than  $\pm 3\%$ ) which provides an analog output signal,  $l(t)$ , representing the illuminance, that is  
69 digitized by the A/D converter (National Instruments USB-6211) at a sampling rate of  $f_s = 10$  kHz  
70 and 16 bits per sample, and finally stored.

## 71 3. LUT Frequency Response to Voltage Fluctuations

72 Traditionally, the frequency response of the lamps, namely gain factor, is used to analyze  
73 lamps' sensitivity to voltage fluctuations [3, 7, 11]. The main conclusions drawn from previous

74 studies regarding this particular issue are summarized in this section.

75 The gain factor characterizes the relationship between the relative amplitudes of illuminance  
76 and voltage fluctuation,  $\frac{\Delta L}{L}$  and  $\frac{\Delta V}{V}$ , respectively, for a given sinusoidal fluctuation frequency,  $f_m$ :

$$G(f_m) = \frac{\Delta L/L}{\Delta V/V}. \quad (1)$$

77 Previous research has reported different results from the analysis of the gain factors obtained  
78 for a set of lamps, selected from different lighting technologies and manufacturers. Some of these  
79 results indicate that new lighting technologies clearly exhibit greater insensitivity to voltage fluctu-  
80 ations than the incandescent lamp [3]. However, the results obtained in other studies raise doubts  
81 about this insensitivity. Some of these works show that the sensitivity of some lamps with external  
82 electromagnetic ballast and halogen lamps is close to and even higher than the incandescent lamp  
83 in a wide frequency range [7, 11]. Furthermore, some CFLs and LFLs can be very sensitive to  
84 high interharmonic frequencies as it is proved in [8].

85 Moreover, the study performed in [7] also includes the gain factor of some dimmable lamps  
86 working at 100%, 75%, 50% and 15% of their nominal illuminance. The results obtained when the  
87 100% of the nominal illuminance was applied, show that dimmable lamps exhibit lower sensitivity  
88 than the reference lamp. Nevertheless, the sensitivity to voltage fluctuations of some of the CFLs  
89 and LEDs dimmable lamps increase as the illuminance decrease, reaching sensitivity values which  
90 are clearly above the sensitivity of the incandescent lamp over the entire frequency range.

91 Therefore, these works clearly question the insensitivity to voltage fluctuations of modern  
92 lamps, being the results dependent on the technology development.

#### 93 **4. Spectral Analysis of the LUTs' Illuminance**

94 The gain factor assumes that the illuminance fluctuation is concentrated around the fluctuation  
95 frequency of the supply voltage. This fact is true for the case of the incandescent lamp. However,  
96 given the electronic complexity of the brightness-control methods applied in some of the LUTs,  
97 distortion can be expected, which could have influence on the sensitivity and, hence, on the an-  
98 noyance. Therefore, a spectral analysis of the illuminance signals was conducted. To obtain the

99 spectrum for each of the LUTs, a set of sinusoidal voltage fluctuations was applied, each of them  
100 having the following form:

$$u(t) = A_0 \sqrt{2} \left[ 1 + \frac{\Delta V}{V} \cos(w_m t) \right] \cos(w_0 t), \quad (2)$$

101 where  $A_0 = 230$  V,  $w_0 = 2\pi \cdot 50$  rad/s and  $w_m = 2\pi \cdot f_m$  rad/s.

102 For this experiment, a relative voltage amplitude of  $\frac{\Delta V}{V} = 1\%$  and fluctuation frequencies from  
103 1 to 35 Hz in steps of 1 Hz were used.

104 The illuminance,  $l(t)$ , was registered according to the process described in Fig. 1 in order to  
105 calculate  $\frac{\Delta l}{L}$  for a given fluctuation frequency,  $f_m$ .

106 The results of the analysis demonstrate that the illuminance spectrum of some LUTs is not  
107 concentrated around the  $f_m$  component, but is scattered across other frequency bands. Fig. 2 shows  
108 an example of the spectrum obtained from the Fourier transform of the illuminance envelope for  
109  $f_m = 27$  Hz. The curves represent the values of  $\frac{\Delta l}{L}$  integrated in 2 Hz frequency bands, normalized  
110 to the corresponding value of the main frequency component of the fluctuation,  $f_m$ , highlighted in  
111 boldface.

112 As seen, the LFL 18 W lamp (F1) and the CFL 12 W lamp (C4) present a dispersed spectrum  
113 outside the band of the fluctuation frequency  $f_m = 27$  Hz, which is clearly different from the  
114 spectrum of the incandescent lamp. This occurs at any  $f_m$  in the range of 0–35 Hz.

115 This observation raises two issues. First, it questions the absolute validity of the gain factor  
116 as a tool for analyzing lamps' sensitivity to voltage fluctuations. It seems appropriate to study the  
117 influence of such lamp sensitivity on the flicker severity, considering all the frequency components  
118 present in the range of 0–35 Hz. Second, the existing spectral dispersion points to a nonlinear  
119 behavior, which suggest the need for a linearity analysis of the LUTs in terms of voltage fluctuation  
120 frequency.

## 121 **5. Analysis of LUT Influence on the Flicker Severity**

122 Observations in the previous section indicate a need to analyze the sensitivity of the LUTs  
123 considering all the frequency components of the illuminance,  $l(t)$ , in the range of 0–35 Hz. In fact,

124 this is the procedure established by the IEC 61000-4-15 standard [1] to measure flicker severity  
125 using a model which represents the linear characteristics of the incandescent lamp.

126 To consider the real characteristics of the LUTs, it is necessary to use a tool that operates with  
127 an illuminance input signal, i.e. an illuminance flickermeter [12]. The IEC 61000-4-15 standard  
128 defines the design specifications for a flickermeter based on the voltage supply, according to a  
129 physiological model of the lamp–eye–brain chain. Some of the blocks of the model include the  
130 performance characteristics of the 60 W incandescent lamp [1]. The illuminance flickermeter  
131 provides the flicker severity value based on the illuminance signal. Consequently, designing an  
132 illuminance flickermeter requires that the blocks of the IEC voltage flickermeter related to the  
133 lamps' response be adapted. This implies that the quadratic demodulation (Block 2 of the IEC  
134 standard) must be eliminated, and the weighting filter (the lamp–eye response in Block 3 of the  
135 IEC standard) must be modified, so that the frequency characteristics of the human eye are only  
136 reflected [13].

137 The validation of the implemented illuminance flickermeter was performed by means of the  
138 functional tests defined in the IEC 61000-4-15 standard, which are used for assessing the per-  
139 formance of a flickermeter and the accuracy of its results [13]. This validation procedure can  
140 also be used to classify the flickermeter. The maximum deviation obtained in our implementation  
141 reaches a value of 2.1% for any test-point, thus verifying its accuracy and linearity characteristics.  
142 Therefore, the new tool could be classified as highly accurate class F1 meter.

### 143 *5.1. Sensitivity Analysis using an Illuminance Flickermeter*

144 For this analysis, various sinusoidal voltage fluctuations (Eq. (2)) with  $f_m$  from 1 to 35 Hz  
145 were generated. The relative amplitude values were those producing  $P_{st} = 1$  when applied to the  
146 incandescent lamp,  $\frac{\Delta V}{V} \Big|_{P_{st,II}=1}$ .

147 Using the generation and acquisition process depicted in Fig. 1, illuminance signals of 10 min  
148 were registered for each voltage fluctuation. Finally, using the illuminance flickermeter, the  $P_{st}$   
149 values were calculated.

150 Fig. 3 shows the results of the analysis for the nondimmable and dimmable lamps (at 100%  
151 and 15% of their nominal illuminance). This figure shows the  $P_{st}$  values associated with each



152 LUT, normalized to the flicker severity of the incandescent lamp, in terms of voltage fluctuation  
153 frequency.

154 For nondimmable lamps (Fig. 3(a)), the results are quite similar to those for the gain curves [7].  
155 The only remarkable difference is the slight increase in the sensitivity of the LFL 18 W (F1) for  
156 frequencies approximately below 10 Hz. This is consistent with the additional frequency compo-  
157 nents observed in the spectral analysis, which are expected to produce flicker severity values that  
158 are different from the case of a single  $f_m$  component.

159 Noticeable differences can also be seen in the results for dimmable lamps at 100% of the nom-  
160 inal illuminance value, shown in Fig. 3(b). In particular, while the CFL 12 W lamp (C4) provides  
161 high sensitivity values at low fluctuation frequencies, as also observed in its gain curve [7], here it  
162 provides, in terms of  $P_{st}$ , high sensitivity values at high fluctuation frequencies also ( $f_m > 23$  Hz  
163 approximately). This effect is also appreciable at a low illuminance level (15% of the nominal il-  
164 luminance), shown in Fig. 3(c). Similarly, at 15% of the nominal illuminance, the CFL 11 W lamp  
165 (C5) exhibits higher sensitivity compared with that represented by its gain curve [7] over the entire  
166 frequency range, reaching the same sensitivity values as the incandescent lamp. In contrast, the  
167 LED 8 W lamp (L2) exhibits lower sensitivity at 15% of the illuminance compared with that rep-  
168 resented by its gain curve [7]; nevertheless, this is clearly above the sensitivity of the incandescent  
169 lamp.

## 170 5.2. Subjective Tests

171 To complete the evaluation of the LUTs' sensitivity to voltage fluctuations, we assessed the  
172 LUTs in terms of annoyance by conducting a set of subjective tests with a group of 10 people.  
173 These tests, which applied the same philosophy as the objective tests, involved a comparison of  
174 the extent of disturbance produced by some LUTs and the incandescent lamp, at the same  $P_{st}$   
175 level. Because of difficulties in perceiving a value of  $P_{st} = 1$  with the incandescent lamp at some  
176 frequencies,  $P_{st} = 2$  was selected as the reference value for the comparison.

177 The test procedure consisted of simultaneously applying to the LUTs and the incandescent  
178 lamp the  $\frac{\Delta V}{V}$  values that, according to the experimental results from the illuminance flickermeter,  
179 generated a  $P_{st} = 2$  value for each lamp. The lamps were located in optically isolated rooms. Each

180 subject was instructed to compare the perceived light flicker produced by the LUTs with that from  
181 the incandescent lamp, using the rating scale detailed in Table 2.

182 The experiment was repeated for the voltage fluctuation frequencies of  $f_m = 1, 10, 15, 20,$   
183  $30, 35$  Hz and for H1, C1, C3, F1, L1, C4 and L2 lamps. In the case of dimmable lamps, the  
184 experiment was performed at 100% of their nominal illuminance. Table 3 presents the results, for  
185 each  $f_m$ , based on the rating awarded by at least 50% of the subjects.

186 Most of the test points demonstrate that the sensitivity values obtained in the objective exper-  
187 iments correspond to the subjective perceptions ( $P_{LUT} = P_{II}$ ). Positive deviations of one step of  
188 the rating scale can be observed for some test points. The vast majority of these deviations point  
189 to a level of discomfort that is slightly higher than the expected  $P_{st} = 2$  value. This indicates that,  
190 at those frequencies, the LUTs show higher sensitivity than the objective values derived from the  
191 illuminance flickermeter. However, the LFL 18 W lamp (F1) presents a negative deviation, which  
192 could indicate a sensitivity slightly lower than the expected value.

193 The results of the subjective tests point to the objectives results obtained from the illuminance  
194 flickermeter in the previous experiments.

## 195 **6. Study of the IEC Lamp Model for the LUT**

196 The lamp model defined in the IEC standard assumes a linear behavior of the illuminance  
197 and, hence, of flicker severity in the presence of voltage fluctuations. This linearity is modeled  
198 by means of the quadratic demodulator defined in Block 2, combined with the weighting filter  
199 in Block 3 [1]. Previous studies have recommended modifying the IEC flickermeter by simply  
200 adapting the weighting filter to a new reference lamp [5, 9]. However, this proposed approach  
201 assumes a linear real behavior of all the lamps.

202 The results we obtained for some of the LUTs from the spectral analysis of the illuminance  
203 envelope showed a relevant dispersion outside the expected fluctuation frequency  $f_m$ , which could  
204 indicate a nonlinear response to voltage fluctuations. This finding suggests the need for a linearity  
205 analysis of the LUTs.

206 *6.1. Linearity Analysis of the LUT Frequency Response*

207 To date, it has been universally accepted that the illuminance response of incandescent lamps  
 208 is linear with regard to voltage fluctuations, and hence that flicker severity is too. In fact, for this  
 209 type of lamp, linearity means proportionality, i.e., a linear increase in  $\frac{\Delta V}{V}$  means a proportional  
 210 increase in  $\frac{\Delta L}{L}$  and consequently in the flicker severity [1].

211 We analyzed the linearity of the LUTs for different levels of  $\frac{\Delta V}{V}$ . For this purpose, the LUTs  
 212 were supplied with sinusoidal voltage fluctuations of  $f_m$  from 1 to 35 Hz and  $\frac{\Delta V}{V}$  values corre-  
 213 sponding to a  $P_{st} = 1$  for the incandescent lamp,  $\frac{\Delta V}{V} \Big|_{P_{st,11}=1}$ . Then, the  $P_{st}$  values of the illuminance  
 214 signals for each lamp were obtained using the illuminance flickermeter. These  $P_{st}$  values were  
 215 used as the reference values,  $P_{st,init}(f_m)$ . This experiment was repeated for a proportional set of  
 216 values of the relative amplitude:

$$\left(\frac{\Delta V}{V}\right)_{exp} = k \cdot \frac{\Delta V}{V} \Big|_{P_{st,11}=1} \quad \text{with } k = 1 : 0.5 : 5. \quad (3)$$

217 According to the IEC standard specifications, for a given  $f_m$ , a linear relationship should  
 218 exist between the obtained  $P_{st}$  values and the relative amplitudes,  $\left(\frac{\Delta V}{V}\right)_{exp}$ , presenting a slope  
 219  $m_{ref}(f_m) = P_{st, init}(f_m)$ .

220 The regression line that best fits the experimental flicker severity values obtained for the rela-  
 221 tive amplitude values  $\left(\frac{\Delta V}{V}\right)_{exp}$  was calculated. After obtaining the slope of each of these regression  
 222 lines,  $m_{exp}(f_m)$ , the percentage deviation regarding the ideal slope,  $m_{ref}(f_m)$ , was also calculated:

$$\frac{\Delta m}{m}(f_m) = \frac{m_{exp}(f_m) - m_{ref}(f_m)}{m_{ref}(f_m)} \cdot 100 \quad (4)$$

223 The deviations were clearly below 5% for the entire frequency range for almost all the LUTs.  
 224 However, some of the LUTs presented higher deviations, which merited detailed analysis. The  
 225 deviation values of these lamps as a function of  $f_m$  are presented in Fig. 4.

226 Among the nondimmable lamps, the LFL 18 W (F1) presented large deviations at all  $f_m$ , reach-  
 227 ing values between 15% and 30% at low frequencies (1–10 Hz) and around 15% and 20% for  
 228 frequencies close to 35 Hz. Among the dimmable lamps, the LED 8 W lamp (L2) presented de-  
 229 viations around 30% in the frequency range of 20–25 Hz. The CFL 12 W lamp (C4) presented

230 deviation values greater than 5% at low frequencies ( $f_m < 5$  Hz) and in the range of 20–25 Hz.

231 These results confirm that some LUTs have a nonlinear frequency response under voltage  
232 fluctuations, a finding that indicates a possible mismatch between the real behavior of these LUTs  
233 and the functional lamp model specified by the standard.

## 234 6.2. LUT-adapted IEC Flickermeter

235 To identify the origin of the nonlinearity of certain LUTs, we compared the results generated  
236 by two different tools: (1) the illuminance flickermeter, which used the real characteristics of each  
237 LUT; and (2) a flickermeter adapted to each LUT, but using the quadratic demodulation specified  
238 in the IEC standard, considering the hypothesis of a linear behavior of the LUTs.

239 The main characteristics of this second tool, called the LUT-adapted IEC flickermeter, are as  
240 follows:

- 241 1. The input is an analytical voltage fluctuation according to Eq. (2).
- 242 2. It must be implemented in the frequency domain, taking advantage of the band-limited com-  
243 ponents of the sinusoidal voltage fluctuations. Because of the complexity involved in ob-  
244 taining a weighting transfer function adapted to every nonlinear LUT, the adapted IEC flick-  
245 ermeter used the experimental frequency responses obtained from the gain curves of the  
246 LUT [7],  $|H_{\text{lamp}}(f)|$ , combined with the eye response [13],  $|H_{\text{eye}}(f)|$ .

$$|H(f)_{\text{lamp-eye}}| = |H(f)_{\text{eye}}| \cdot |H(f)_{\text{lamp}}| \quad (5)$$

- 247 3. The functional model of the lamp is represented by a squaring multiplier (as it is specified  
248 in Block 2 of the IEC standard) combined with the LUT frequency response, in contrast to  
249 the illuminance flickermeter, which works with the lamps' real characteristics.

250 The working principle of this LUT-adapted flickermeter is as follows. When the input voltage  
251 signal (Eq. (2)) is modulated by a sinusoidal fluctuation,  $f_m$ , the quadratic demodulation estab-  
252 lished by the IEC standard (Block 2) generates only a single relevant component, of frequency  $f_m$   
253 and amplitude  $2 \cdot A_0^2 \cdot \frac{\Delta V}{V}$ , at the output of the demodulation filters (Block 3 of the IEC standard).  
254 Consequently, the amplitude of this component can be weighted by the corresponding known value

255 of the module of the frequency response (Eq. (5)). Hence, for every LUT, it is possible to obtain  
256 the instantaneous flicker sensation (output of Block 4 of the IEC standard),  $P_{\text{inst}}$ , and therefore its  
257 corresponding  $P_{\text{st}}$  for any weighted sinusoidal voltage fluctuation of a given  $f_m$  and  $\frac{\Delta V}{V}$ .

258 The LUT-adapted flickermeter was validated using the values provided for Test 1 of the IEC  
259 standard, defined for sinusoidal voltage fluctuations, with the 230 V/50 Hz incandescent lamp [1].  
260 All the test points reported deviations below 1%.

261 Following this procedure, we obtained the  $P_{\text{st}}$  values for the same voltage fluctuations used for  
262 the linearity analysis described in Subsection 6.1, i.e.,  $f_m$  from 1 to 35 Hz and  $\frac{\Delta V}{V} = k \cdot \frac{\Delta V}{V} \Big|_{P_{\text{st},11}=1}$ ,  
263 where  $k = 1$  and 5.

264 Fig. 5(a) and 5(b) show the percentage deviations of the LUT-adapted IEC flickermeter results  
265 in relation to the values obtained using the illuminance flickermeter for  $k = 1$  and 5, respectively,  
266 in terms of the fluctuation frequency. Results are shown only for lamps that presented high non-  
267 linearity values in the previous analysis of Subsection 6.1.

268 The LFL 18 W (F1) and CFL 12 W lamp (C4) exhibited substantial deviations over the entire  
269 frequency range for both ranges of  $P_{\text{st}}$ , i.e., flicker severity values corresponding to  $k = 1$  and 5.  
270 The F1 lamp presented deviations between 15% and 20% for frequencies  $f_m > 15$  Hz in the case  
271 of  $k = 1$  and between 10% and 30% over the entire frequency range for  $k = 5$ . The C4 lamp  
272 presented deviations around 15%–20% for frequencies  $f_m < 20$  Hz and very high deviations for  
273 frequencies above 25 Hz, being almost independent of the  $P_{\text{st}}$  range. However, the LED 8 W  
274 (L2) lamp presented small deviations (always below 8%) for the low range of  $P_{\text{st}}$  ( $k = 1$ ), but the  
275 deviation became relevant (around 15%–20%) in the frequency range of 20–25 Hz and around  
276 10% at 5–10 Hz and 25–30 Hz as the flicker severity range increased ( $k = 5$ ).

277 The results confirm that the nonlinear behavior of some of the LUTs in the presence of voltage  
278 fluctuations implies a completely different behavior from that of the incandescent lamp. Given this  
279 observation, the IEC lamp functional model is not appropriate for measuring light flicker produced  
280 by lamps other than the incandescent lamp.

## 281 **7. Conclusions**

282 We presented a rigorous and extensive experimental work analyzing the effect of modern and  
283 energy-efficient lighting technologies on perceptions of the light flicker caused by supply voltage  
284 fluctuations.

285 Current approaches tend to be focused on the widespread use of modern lamps as the expla-  
286 nation of the lack of correlation between high measured values of flicker severity and the absence  
287 of complaints. Consequently, proposals for modifying the assessment of flicker severity tend to  
288 be oriented along two main lines: to increase the flicker compatibility threshold; to carry out a ba-  
289 sic adaptation of the standardized procedure for flicker assessment to a new reference lamp. The  
290 results of our work contradict these assumptions.

291 Our experimental work involved several lamps, chosen from among the various lighting tech-  
292 nologies currently in use. All of the lamps tested are more efficient than the incandescent lamp,  
293 which is still used in the IEC standard as the reference for flicker assessment.

294 The first set of results warns against using the gain factor to characterize the behavior of mod-  
295 ern lighting technologies when subjected to supply voltage fluctuations: some of these lamps have  
296 complex and dispersed illuminance frequency distributions.

297 Based on this initial finding, we analyzed sensitivity to voltage fluctuations in terms of flicker  
298 severity. The results of this analysis demonstrate that the sensitivity of some modern lamps, which  
299 have a high level of current and medium-term market penetration, is heavily frequency dependent.  
300 Some of the lamps, namely the halogen lamp, the linear fluorescent lamp (LFL) and some types of  
301 CFL, were clearly more sensitive than the incandescent lamp in a wide frequency range, depending  
302 on the test conditions. In addition, under low illuminance conditions, the flicker severity level of  
303 some of the lamps, such as the CFL and LED types, increased, greatly exceeding the reference  
304 value of the incandescent lamp. Furthermore, subjective tests performed with a group of 10 people,  
305 confirmed the sensitivity inferred from the experimental objective tests. Interpreted conservatively,  
306 these results should encourage not so much the relaxation of the quality standards, but rather the  
307 control of lamps' immunity to voltage fluctuations.

308 The work also examined the convenience of applying the IEC functional model to assess the

309 flicker severity produced by modern lamps. This analysis revealed that some of the lamps exhibited  
310 significant nonlinear behavior, in particular the LFL and CFL types controlled by external electro-  
311 magnetic ballasts and one type of dimmable LED lamp that is controlled by internal electronics.  
312 Given this finding, the standardized lamp model based on a quadratic demodulation should not be  
313 used for flicker assessment if a new reference lamp were introduced. Adapting the standardized  
314 procedure would be more complex than simply replacing the eye–lamp weighting characteris-  
315 tic; that is, defining a new functional model for a new reference lamp will require more in-depth  
316 work [14–16].

317 In sum, the association reported by some studies [4, 5] between the absence of complaints  
318 in sites with high measured values of flicker severity and the widespread use of modern lighting  
319 technologies should be challenged. The measurements in these studies were performed using  
320 the current IEC flickermeter, which uses the functional model of the incandescent lamp. However,  
321 when consumers are exposed to other types of lamp, the flicker measurement will not be correlated  
322 with consumer perception, because the correct assessment of flicker severity will require a different  
323 strategy and model.

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330 of these lamps.

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## 363 Figure Legends

- 364 Figure 1 Scheme of the generation and acquisition process.
- 365 Figure 2 Example of the spectrum (in logarithmic scale) of the LUTs' illu-  
366 minance of a sinusoidal fluctuation of  $f_m = 27$  Hz. Values of  $\frac{\Delta L}{L}$  are  
367 integrated in 2 Hz frequency bands, normalized to the correspond-  
368 ing value of the main frequency component of the fluctuation,  $f_m$ .  
369 (a) nondimmable lamps and (b) dimmable lamps.
- 370 Figure 3 Flicker severity values provided by the illuminance flickermeter for  
371 nondimmable and dimmable lamps, normalized to the flicker sever-  
372 ity of the incandescent lamp, in terms of fluctuation frequency. (a)  
373 nondimmable lamps, (b) dimmable lamps at 100% of the nominal  
374 illuminance value and (c) dimmable lamps at 15% of the nominal  
375 illuminance value.
- 376 Figure 4 Linearity deviation between the experimental and ideal flicker sever-  
377 ity values for the LUTs as a function of  $f_m$ .
- 378 Figure 5 Percentage deviation between the flicker severity values provided  
379 by the illuminance and the LUT-adapted flickermeters for  $k = 1$   
380 and 5, respectively, in terms of the fluctuation frequency. (a)  $P_{st}$   
381 range corresponding to  $k = 1$ , i.e.,  $\frac{\Delta V}{V} = \frac{\Delta V}{V} \Big|_{P_{st,11}=1}$  and (b)  $P_{st}$  range  
382 corresponding to  $k = 5$ , i.e.,  $\frac{\Delta V}{V} = 5 \cdot \frac{\Delta V}{V} \Big|_{P_{st,11}=1}$ .

383 **Table Legends**

384	Table 1	Set of lamps under test.
385	Table 2	Rating scale for the subjective tests.
386	Table 3	Results of the subjective tests for each $f_m$ , based on the rating awarded
387		by at least 50% of the subjects.

Figure 1 and 2

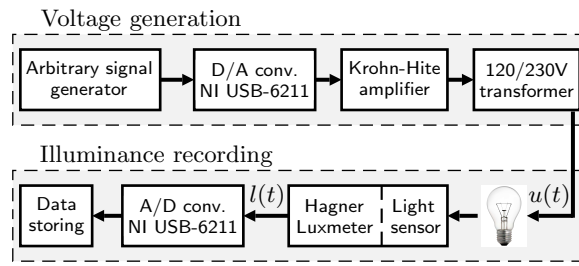
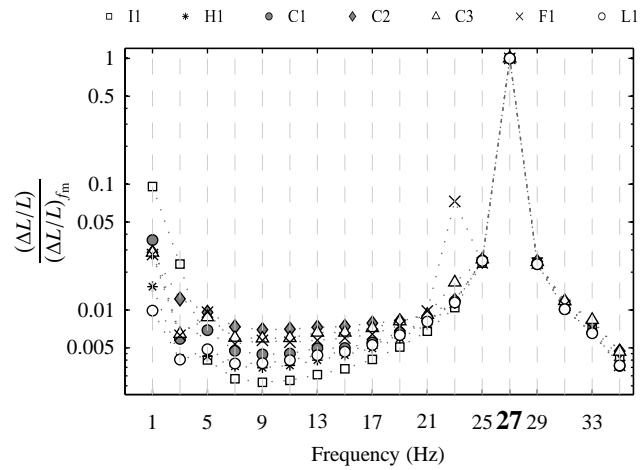
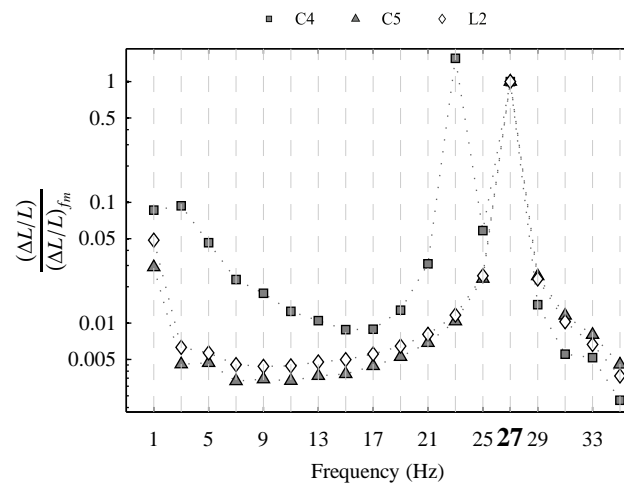


Figure 1

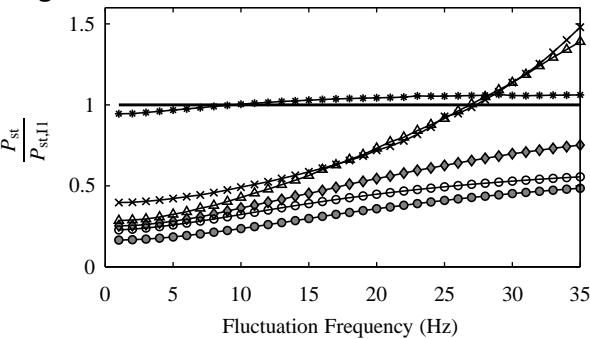


(a)

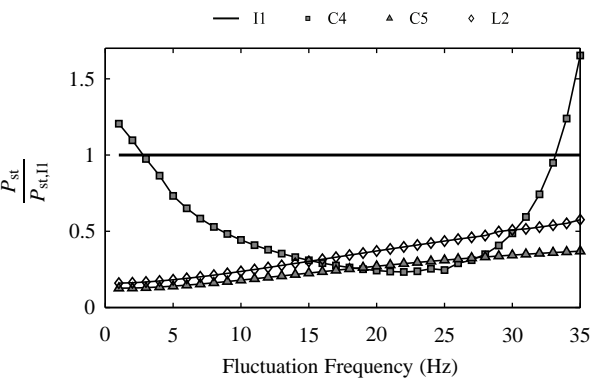


(b)

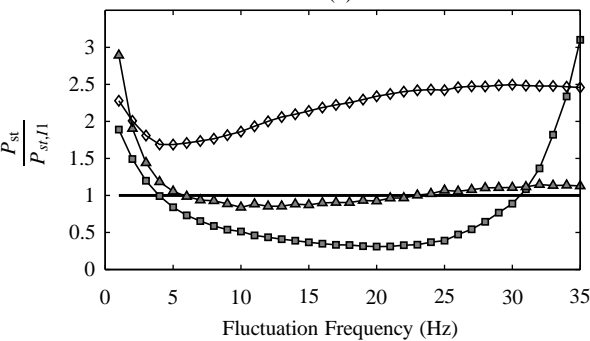
Figure 2

**Figure 3** — II • H1 • C1 ♦ C2 ▲ C3 × F1 ○ L1

(a)



(b)



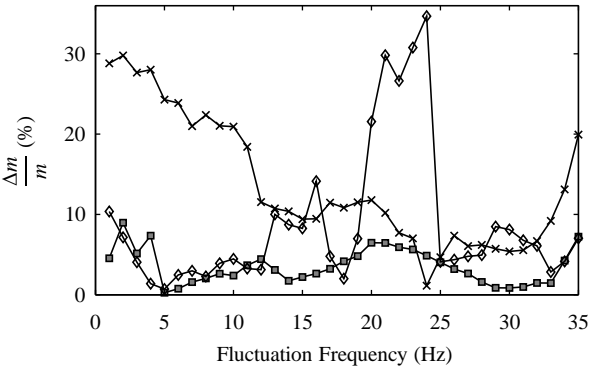
(c)

**Figure 4**

× F1

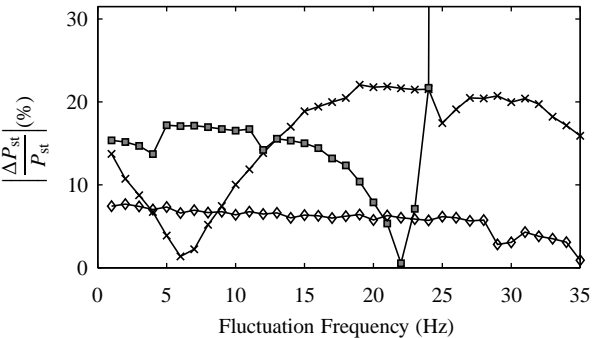
■ C4

◇ L2

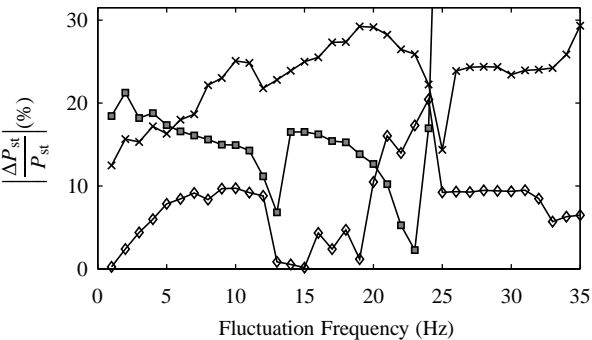


**Figure 5**

× F1    ■ C4    ◇ L2



(a)



(b)

TABLE I

Id.	Lamp Technology	Power <sup>1</sup> (W)	Lum Flux (Lumen)	Class <sup>2</sup>	Remarks <sup>3</sup>
I1	Incandescent	60	850	E	ND
H1	Halogen	42	630	C	ND
C1	CFL	11	570	A	ND, EB
C2	CFL	23	1380	A	ND, EB
C3	CFL	18	1050	B	ND, EMB
F1	LFL <sup>4</sup>	18	1050	B	ND, EMB
L1	LED	12	650	A	ND
C4	CFL	12	600	A	D, EB
C5	CFL	11	570	A	D, EB
L2	LED	8	470	A	D

<sup>1</sup> 230 V / 50 Hz<sup>2</sup> Energy efficiency classes defined by the European Union<sup>3</sup> Nondimmable (ND), dimmable (D), electronic ballast (EB), electromagnetic ballast (EMB)<sup>4</sup> Linear fluorescent lamp

TABLE II

Perception Scale	Code
$P_{LUT}^1 \gg P_{inc}^2$	++
$P_{LUT} > P_{inc}$	+
$P_{LUT} = P_{inc}$	=
$P_{LUT} < P_{inc}$	-
$P_{LUT} \ll P_{inc}$	--

<sup>1</sup> Perceptions from the LUTs.<sup>2</sup> Perceptions from I1.

TABLE III

Lamp	$f_m$ (Hz)					
	1	10	15	20	30	35
H1	=	=	=	=	=	=
C1	+	=	+	+	+	=
C3	=	=	=	+	+	+
F1	=	-	=	=	-	=
L1	=	=	=	=	=	=
C4	=	=	+	+	+	=
L2	+	+	=	=	=	+