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4 1 **The epigenetic regulation of the opioid system:**
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8 2 **New individualized prompt prevention and**
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11 3 **treatment strategies.**
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18 **Abstract**

19 The most well-known physiological effect associated with opioid system is their efficacy in pain
20 reduction or analgesia, although their effect on a variety of other physiological and
21 physiopathological functions has become apparent in recent years. This review is an attempt to
22 clarify in more detail the epigenetic regulation of opioid system to understand with more
23 precision their transcriptional and posttranscriptional regulation in multiple physiological and
24 pharmacological contexts. The opioid receptors show an epigenetic regulation and opioid
25 peptide precursors by methylation, chromatin remodeling and microRNA. Although the opioid
26 receptor promoters have similarity between them, they use different epigenetic regulation
27 forms and they exhibit different pattern of expression during the cell differentiation. DNA
28 methylation is also confirmed in opioid peptide precursors, being important for gene
29 expression and tissue specificity. Understanding the epigenetic basis of those physiological and
30 physiopathological processes is essential for the development of individualized prompt
31 prevention and treatment strategies.

32 33 **Keywords**

34 Epigenetic, methylation, chromatin remodeling, miRNA, opioids, drug addiction.

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36 Introduction

37 The opioid system is a biological communication system for which activity is mediated by the
38 so-called endogenous opioid peptides (EOPs). Pharmacologically, it has been described three
39 opioid receptors (ORs): mu opioid receptor (MOR), delta opioid receptor (DOR) and kappa
40 opioid receptor (KOR), which belong to the 7-transmembrane, G protein-coupled receptors
41 super-family (Law, et al., 2004). Later it was discovered the fourth OR, the nociceptin receptor
42 (NOP). The comparison of the amino acid sequence and nucleotides has established that four
43 genes are highly conserved in their homolog codificant exons (73-100%), which are located in
44 the middle of each gene. That exon codifies the 7-transmembrane domain, suggesting that
45 four ORs come from the same ancestor gene. Also it has a single intron in the coding region.
46 However, the four ORs diverge in their amino-end which is produced out from the cellular
47 surface, and also in the carboxyl-end which is extended in the intracellular space (9-20% of
48 conservation) (Neer, 1995). Those slight differences explain specificity between the ligand
49 union pattern, pharmacological effects and transduction signaling pathway of each OR
50 (LaForge, et al., 2000). But, despite their conserved structure, it has been proved that each
51 gene has a single regulatory pathway and shows a different expression pattern (Wei and Loh,
52 2002). These genes are *Oprm1*, *Oprd1*, *Oprk1* and *Oprl1*. cDNA alignment studies with the
53 genomic DNA and mRNA processing have established the existence of several isoforms or
54 variants produced by each OR, by means of the use of alternative splicing, alternative
55 promoters (*Oprm1*, *Oprk1*), alternative polyadenylation sites (*Oprk1*) or inclusions in non-
56 coding exons (Wei and Loh, 2002).

57 The endogenous opioid peptides, endorphins, enkephalins, dynorphins and
58 orphanin/nociceptins, are derived from precursors encoded by proopiomelanocortin (POMC),
59 proenkephalin (PENK), prodynorphin (PDYN) and nociceptin/orphanin FQ (PNOC), respectively
60 (LaForge, et al., 2000, Levran, et al., 2012). However, biological approaches have demonstrated

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3 61 that in mammals, these peptides are grouped in three major types of opioid peptides:
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5 62 endorphins, enkephalins and dynorphins (Koneru A, Satyanarayana S and Rizwan S., 2009).
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7 63 Recent studies have shown that there are more endogenous opioid peptides which do not
8
9 64 belong to these three major types and which precursors are not yet known. These two
10
11 65 endogenous opioid peptides are tetrapeptides, endomorphin-1 (Tyr-Pro-Tr p-Phe-Nh₂) and
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13 66 endomorphin-2 (Tyr-Pro-Phe-Phe-NH₂) (Fichna, et al., 2007). Although their better known
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15 67 function of the endogenous opioid peptides is to suppress pain (analgesia), currently other
16
17 68 different physiological functions have been reported. The system is involved in brain
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19 69 development, regeneration and plasticity phenomena, taking part even in higher functions as
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21 70 memory and learning; sensory functions regulation; production of changes in eating behavior;
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23 71 modulation of mental illnesses such as anxiety or depression and mood; in gastrointestinal,
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25 72 renal and hepatic functions; modulation of cardiovascular response and blood pressure;
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27 73 modulation of breathing, causing deficiencies in respiratory and thermoregulatory responses;
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29 74 modulation of immunological response, particularly immune-suppression; regulating the
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31 75 movement and general activity; and finally in the regulation of reproductive function(Bodnar,
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33 76 2007, Subiran, et al., 2011). This review tries to on clarify the epigenetic mechanisms of opioid
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35 77 system, focusing more detail on methylation patterns, chromatin remodeling and microRNA
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37 78 regulation to understand with more precision their transcriptional and posttranscriptional
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39 79 regulation in multiple physiological and pharmacological contexts.
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81 **The epigenetic Regulation of Opioid**

82 **Receptors**

83 Four ORs are subjected to epigenetic regulation since they maintain several features, making
84 them susceptible to be controlled by this machinery: all of the genes are rich in CpG islands

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3 and hence, they can be highly methylated, their promoters show several types of
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5 modifications in different cellular stages or culture conditions, and chromatin remodeling
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7 occurs in their promoters producing changes in their expression patterns in the differentiation
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	OPRM1	OPRD1	OPRK1	OPRL1
Silencing				
Regulatory elements	Oct1, PU.1, PARP1, Sp3, REST	Sp3, IK	IK	-
Methylation Mechanisms	Methylation ↑ MeCp2	Methylation ↑ MeCp2	-	-
Histon modifications	-	Histone deacetylases H3, H4	H3K9 me2	-
MiroRNA	miR-23b, miR-339, Let-7	-	-	-
Activation				
Regulatory elements	Sox2, Sp1, IGA, PCBP, CREB,	STAT6, Sp1, Ets-1, USF, NF-kB, AP2	c.Myc, Sp1, AP2	
Methylation Mechanisms	Hipometilation ↓ MeCp2	Hipometilation ↓ MeCp2	-	Hipometilation
Histon modifications	Histone acetilases H3, H4	Demetilation of H3K9me3 by NGF/PI3K signalling	H3K4 me2	-
MiroRNA	-	-	-	-

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90 **Mu opioid receptor (*Oprm1*)**

91 MOR is codified by the gene *Oprm1*, which has an important role in clinical effects of opiates,
92 such as analgesia, tolerance development, and physical dependency under long drug
93 treatment. MOR is one of the four ORs receiving further action by endogenous opioids, opiates
94 and opioid analgesic drugs and also by exogenous opioid drugs as follows methadone, heroin
95 and morphine (Kreek, et al., 2002). Certain numbers of splicing variants have been named,
96 they are variants of the MOR mRNA which are modified in their 5'UTRs and are differentially
97 regulated at transduction level (Song, et al., 2009). This receptor uses two closely positioned
98 promoters, the distal promoter and the proximal promoter, which is responsible for most of
99 the activity of the gene. Both of them belong to TATA-less type promoter, which are rich in CGs

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3 100 and they have several regulatory elements. Lots of transcription factors have been examined
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5 101 as transcription regulators of the activity of *Oprm1*: Sox2 activators (Sry-like high-mobility-
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7 102 group box gene), Sp1 (specificity protein 1), iGA (Sp1 on an inverted GA motif), PCBP (poly C
8
9 103 binding protein) and CREB (cyclic adenosine monophosphate response element binding
10
11 104 protein). In contrast, the repressors are, Oct-1 (octamer-1), PU.1 (PU box binding on a 34-bp
12
13 105 silencer region), PARP1 (polyADP-ribose polymerase 1 on a double-stranded poly-C sequence),
14
15 106 Sp3 (two TFs binding to the 5' UTR specificity protein 3) and REST (repressor element-1
16
17 107 silencing transcription factor) (Hwang, et al., 2009, Wei and Loh, 2011).

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21 108 The *Oprm1* promoter is heavily methylated in the CpG islands, in the undifferentiated
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23 109 embryonic carcinoma cells, thus the *Oprm1* is silenced. The silenced *Oprm1* can be activated in
24
25 110 two ways, the first one by decreasing the expression of the methyl CpG binding protein 2
26
27 111 (MeCP2) and the second one by adding a histone acetylation inducer, that is the trichostatin A
28
29 112 (TSA) (Lin, et al., 2008). In addition, DNA methylation on the *Oprm1* can be reduced adding an
30
31 113 artificial demethylation agent such as the 5'-Aza-2'-deoxycytidine (5-Aza-C), but it is not clear
32
33 114 which type of endogenous signal triggers the DNA demethylation on the promoter of
34
35 115 undifferentiated cells.

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39 116 Other studies further provided that a higher-order chromatin conformational remodeling of
40
41 117 the *Oprm1* promoter occurs during neuronal differentiation in embryonic carcinoma cells
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43 118 (Hwang, et al., 2010). Together with the silencing of *Oprm1* in pre-differentiated cultures, the
44
45 119 promoter region is also organized into an ordered nucleosome. Whereas neuronal
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47 120 differentiation occurs by the retinoic acid (RA) induction, nucleosomes of the promoter region
48
49 121 change their positions, and they start to recruit specific chromatin remodelers, which remodel
50
51 122 the promoter and activate the gene. In that way, the activation or silencing of *Oprm1* is
52
53 123 correlated with histone modification, as in brain studies is observed, that to achieve *Oprm1*
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55 124 activation, it is necessary the hyperacetylation of H3 and H4 (Song, et al., 2009).
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3 125 Recent studies have demonstrated that in term of non coding RNA, there are some miRNA
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5 126 linked with epigenetic regulation: miR-23b, miR-339 and Let-7 miR. On the one hand, miR-23b
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7 127 interacts with the 3'UTR of *Oprm1* through the K box motif (5'-UGUGAU-3'), which is a
8
9 128 conserved sequence. That interaction blocks the attachment between *Oprm1* mRNA and the
10
11 129 polysomes, hence, the transduction is arrested and MOR expression is canceled at protein
12
13 130 level (Wu, et al., 2013). On the other hand, the binding of miR-339 with *Oprm1* in the 3'UTR,
14
15 131 suppress the receptor expression which is recovered after the addition of miR-339 inhibitor or
16
17 132 mutating the binding target (Hwang, et al., 2012). Finally, the last miRNA discovered in *Oprm1*
18
19 133 regulation is Let-7 miR, which also regulates opioid tolerance. Let-7 miR binds to its target in
20
21 134 the *Oprm1* 3'UTR and represses its expression, sequestering *Oprm1* mRNA to P-bodies and
22
23 135 finally leading to translational repression. Thus, binding between *Oprm1* transcript and
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25 136 polysomes is decreased in a Let-7 dependent way (He, et al., 2010). (Table 1)
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30 **Delta opioid receptor (*Oprd1*)**

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33 138 DOR, which is codified by the gene *Oprd1*, is involved in the modulation of addition, affective
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35 139 state, pain perception and analgesia. It has a single promoter, TATA-less and is rich in CGs and
36
37 140 although some studies have shown that *Oprd1* initiates its transcription in two adjacent sites
38
39 141 since there is no study which confirms that alternative splicing (Wang, et al., 2003). Studies of
40
41 142 transcriptional regulation of DOR have focused on activating factors, such as, STAT6, Iκ
42
43 143 (Ikars), Sp1/Sp3, Ets-1 (E-twenty six 1), USF (upstream stimulatori factor), NF-κB, and AP2
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45 144 (Wei and Loh, 2011, Wang, et al., 2003).
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49 145 In studies using embryonic carcinoma cells, the *Oprd1* is constitutively active in
50
51 146 undifferentiated cells, but it becomes inactive in the neuronal differentiation (Wang, et al.,
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53 147 2003). It has been shown a heavy DNA methylation on *Oprd1* promoter in neural crest-derived
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55 148 cells, where it is not expressed and a demethylation in neuroblastoma cells, where *Oprd1* gene
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57 149 is highly expressed, displaying an inverse correlation between both cell types. In addition, 5-
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3 150 Aza-C treatment on the culture generates an increased *Oprd1* expression in neural crest-
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5 151 derived cells (Wang, et al., 2005).
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8 152 Through different studies, scientists have been trying to establish a connection between
9
10 153 promoter's methylation state and chromatin modifications, concluding that, this connection is
11
12 154 important to regulate *Oprd1* expression. In lack of methylation, *Oprd1* promoter in
13
14 155 neuroblastoma cells maintains its accessibility if comparing with partially methylated *Oprd1*
15
16 156 promoter in neural crest-derived cells (Wang, et al., 2005). It suggests that the silencing
17
18 157 induced by the methylation generates a modification in the chromatin structure limiting the
19
20 158 accessibility to the promoter during the transcription. For example, *Oprd1* promoter
21
22 159 methylation contributes to MeCP2. In consequence, the histone deacetylase can access to the
23
24 160 chromatin, generate decreased levels of H3 and H4 acetylation in *Oprd1* promoter region and
25
26 161 change the chromatin structure. In contrast, when *Oprd1* promoter is completely methylated,
27
28 162 it is correlated with low acetylation level in H3 (Wang, et al., 2005). Furthermore, other studies
29
30 163 show the signaling role of fosfatidilinositol 3-kinase (PI3K) in the regulation of H3K9 state
31
32 164 during neuronal differentiation induced by the nerve growth factor (NGF). That is to say,
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34 165 NGF/PI3K signaling is involved in demethylase activity of H3K9me3 in rat adrenal
35
36 166 pheochromocytoma cells changing from a chromatin repressive mark to a activating mark (Chen,
37
38 167 et al., 2008). (Table 1)
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43 44 168 **Kappa opioid receptor (*Oprk1*)**

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46 169 KOR is codified by *Oprk1*, and has an important role in a wide range of physiological systems,
47
48 170 such as, pain regulation, drug abuse addiction, neuroendocrine regulation, cardiovascular
49
50 171 functions, breathing, temperature regulation, nourishment behavior and ability in stress
51
52 172 response (Bruchas, et al., 2010, Knoll and Carlezon, 2010). This OR also modulates the effect of
53
54 173 opiates, cocaine and other drugs, through the modulation of the basal level and dopaminergic
55
56 174 tone induced by drugs (Knoll and Carlezon, 2010). Several alternative splicing variants have
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3 175 been named, some of them are disturbed in the 5'UTR of *Oprk1* mRNA and they are
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5 176 differentially regulated at transduction level; others are disrupted in the 3'UTR and they are
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7 177 differentially regulated at stability level or RNA transport (Hu, et al., 2002). In different studies,
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9 178 at least six mRNA variants have been validated and they are generated from the same gene
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11 179 but through the use of two alternative promoters (P1 and P2, TATA-less and rich in GCs) and
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13 180 two alternative polyadenylation sites, besides the inclusion of one non codifying exon
14
15 181 (upstream), where the alternative splicing occurs to produce different 5'UTRs (Lu, et al., 1997).
16
17 182 The transcription factors which regulate this gene include three positives, the proto-oncogene
18
19 183 c-Myc, Sp1 and activating protein (AP2) and one negative, Ikaros (IK) (Wei and Loh, 2011).
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22
23 184 *Oprk1* is constitutively active and highly expressed in embryonic carcinoma cells in proliferative
24
25 185 state, and once the cells complete that differentiation induced by RA, *Oprk1* expression
26
27 186 decreases. Because there is no evidence on changes in methylation pattern, it has been
28
29 187 suggested that the acquisition of chromatin repressive marks is the main epigenetic regulator
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31 188 of *Oprk1* expression (Chen, et al., 1999, Bi, et al., 2001). In proliferative embryonic carcinoma
32
33 189 cells that are in differentiation, the P1 promoter of *Oprk1* has a totally accessible chromatin
34
35 190 conformation, while, the promoter P2 shows a totally inaccessible chromatin (Lu, et al., 1997).
36
37 191 The transcription factors, such as, Sp1 can bind promoter P1 and activate transcription.
38
39 192 However, after embryonic carcinoma cell differentiation, the chromatin structure gets ordered
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41 193 and organized, and in that way Sp1 loses the possibility to bind (Park, et al., 2005). Studies
42
43 194 using the embryonic carcinoma cells have revealed a biphasic pattern, which shows that *Oprk1*
44
45 195 expression is stated again later in differentiated cell populations. This reactivation is explained
46
47 196 through the action of transcription factor NGF, because differentiated cells start to express
48
49 197 NGF-binding receptors. The NGF binding transmits signals to activate AP2 transcription factor
50
51 198 to bind this latter to P2 promoter, inducing changes in the *Oprk1* promoter epigenetic marks
52
53 199 from silenced H3-K9-me2 to activated H3-K4-me2 (Park, et al., 2008). (Table 1)
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200 **Nociceptin receptor (*Oprl1*)**

201 NOP, which is encoded by opioid receptor like 1 gene (*Oprl1*), is a G-protein coupled receptor
202 with high homology to opioid receptors *Oprm1*, *Oprd1* and *Oprk1* (Mollereau, et al., 1994,
203 Wick, et al., 1995). It shares many structural traits with other OR genes, especially in terms of
204 primary structure (60% homology), yet its pharmacological profile is not opioid. Anatomic data
205 reveal that the *Oprl1* receptor is widely expressed in the brain, spinal cord, and peripheral
206 nervous system, and is found in areas involved in various processes: pain and sensory
207 perception, memory, stress, motricity and hormonal regulation (Mollereau, et al., 1994). *Oprl1*
208 mRNA is expressed as two alternatively spliced forms, which differ only in their 5'UTRs (Wick,
209 et al., 1995). It consists of five exons with a TATA-box in its 5' flanking region, and the protein
210 coding region starts in exon 2 and ends in exon 4.

211 Regarding epigenetic regulation, it is the less studied receptor. A recent study on
212 environmentally regulated gene expression shows, that *Oprl1* gene together with other
213 candidate genes is epigenetically regulated by the methylation of CpG islands (Zhang, et al.,
214 2013a). It reports a hypermethylation in the CpGs of the *Oprl1* gene, and an elevated overall
215 methylation levels in the promoter region too, in a changing environment exposure, leading to
216 changes in gene transcription and an increased risk for other disorders (Zhang, et al., 2013a).

217 Others have worked with different epigenetic modifications, as histone methylation,
218 highlighting that the reduction of mRNA levels of the *Oprl1* gene are supported by the
219 decrease of H3K4me3 and the increase of H3K27me3, it is said, a decreased level of the
220 activating marker and the increased level of the repressive mark (Caputi, et al., 2014). To the
221 date, there is no evidence of research in miRNA regulation of this gene. (Table 1)

222 **Table 1: The epigenetic mechanisms involved in the Opioid Receptors gene expression**

223

224 The epigenetic Regulation of Opioid Protein

225 Precursors

226 The endogenous opioid peptides are derived from precursors encoded by POMC, PENK, PDYN
 227 and PNOG (Tseung, 1995). The importance of the peptide processing enzymes is evident from
 228 studies examining the forms of the opioid peptides in different tissues, because each one has
 229 different bioactive properties (Tseung, 1995).

	POMC	PENK	PDYN	PNOG
Silencing				
Regulatory elements	nGRE	-	DREAM	-
Metilation Mechanisems	Metilation ↑MeCp2	Metilation ↑MeCp2	Metilation ↑MeCp2	Metilation
Histon modifications		H3K9 me2	H3K27me3	H3K27me3
MiroRNA	miR-375	miR-29c	-	-
Activation				
Regulatory elements	FoxO1, STAT3 Sp1, NF-kB	AP2, Sp1, CREB	AP1	CREB, NF-kB
Metilation Mechanisems	Hipometilation		H3K4me3, H3K9ac	H3K9ac
Histon modifications	H3K9ac	H3K4 me3	-	-
MiroRNA	-	-	-	-

230

231 Proopiomelanocortin gene (*POMC*)

232 The pro-opiomelanocortin (*Pomc*) gen, encodes a cDNA spanning 3 exons and 2 introns. The
 233 sequence covers four activating transcription factor binding sites (FoxO1, STAT3, Sp1, NF-kB)
 234 and one inhibiting transcription factor binding site (nGRE) (Plagemann, et al., 2009). *Pomc* is a
 235 precursor polypeptide, which is cleaved in a tissue specific fashion by prohormone convertases
 236 to yield a variety of bio-active peptides, including α -melanocortin stimulating hormone (α -
 237 MSH), β -lipotropin (β -LPH), β -endorphin and adrenocorticotropin (ACTH), these two latter, are

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3 238 the principal components of the hypothalamic-pituitary-adrenal axis. β -endorphin and met-
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5 239 enkephalin are created from β -LPH and they both are the most powerful opioids. These
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7 240 peptides play diverse roles in pathophysiology, including obesity, depression, skin
8
9 241 pigmentation, adrenal development and regulation of the HPA axis. In other tissues, including
10
11 242 the hypothalamus, placenta and epithelium, all potential cleavage sites may be used to
12
13 243 produce peptides responsible for energy homeostasis, pain, perception, melanocyte
14
15 244 stimulation and immune responses. *Pomc*-derived peptides actively regulate drug-related
16
17 245 behaviors (Levrán, et al., 2012, O'Malley, et al., 2002).

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20
21 246 It has been suggested that there is a variable tissue specific CpG island mehtylation, and that it
22
23 247 has important implications for gene expression. Some studies revealed 2 CpG islands within
24
25 248 the mouse *Pomc* gene locus (Gardiner-Garden and Frommer, 1994). The called CpG island 1,
26
27 249 flanking the *Pomc* transcription start site, which is highly tissue-restricted, and the CpG island
28
29 250 2, approximately 5 kb downstream, comprising the third exon of the *Pomc* gene, which is
30
31 251 weakly active in many tissues (Lavender, et al., 1991). In ACTH-secreting tumors and *Pomc*
32
33 252 expressing cell lines, *Pomc* is unmethylated at the pituitary-specific promoter region. In
34
35 253 contrast, in non-ACTH-secretion tumors, this region is heavily methylated. In addition, *Pomc* is
36
37 254 heavily methylated at the same region in a number of normal ACTH-non-expressing tissues
38
39 255 including: pancreas, spleen, lung, testes and peripheral blood leukocytes (Lavender, et al.,
40
41 256 1991). Moreover, studies in rodents have shown that *Pomc* DNA methylation can be altered by
42
43 257 environmental conditions. For example, in a neonatal model of obesity, the hypothalamus
44
45 258 revealed hypermethylation of CpG dinucleotides in the *Pomc* promoter, and stressor factors
46
47 259 elevate *Pomc* mRNA levels in the pituitary (Wu, 2012). The DNA methylation appears to be
48
49 260 responsible for controlling *Pomc* gene expression by recruiting MeCP2 to silence the gene.
50
51 261 MeCP2 is phosphorylated at serine 438 and generates dissociation from the *Pomc* promoter.
52
53 262 As a result of that, the lack of MeCP2 prevent the binding of co-repressor complex such as
54
55 263 histone deacetylase 2 (Hdac2) and DNA (cytosine-5)-methyltransferase 1(Dnmt1) at the
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3 264 promoter losing the capacity to maintain of DNA methylation pattern during cell replication
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5 265 (Wu, 2012).
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8 266 On the other hand, other epigenetic mechanism seems to increase H3K9 acetylation levels in
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10 267 late-gestation ewe fetal hypothalami, although it shows no change in the expression of *Pomc*
11
12 268 mRNA (Stevens, et al., 2010). Some studies have been carried out on beta-endorphin that
13
14 269 reduces its production in POMC neurons, by decreasing levels of activation histone marks
15
16 270 H3K4, aceH3K9 and pH3S10 and increasing the levels of the repressive histone mark H3K9
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18 271 (Bekdash RA, 2012).
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22 272 In the case of non coding RNA regulation, different studies have demonstrated that miR-375
23
24 273 dramatically inhibits *Pomc* expression both at the gene and protein levels by targeting mitogen
25
26 274 activated protein kinase 2 (MAP2K8) and mediating the Corticotropin releasing factor signaling
27
28 275 pathway in AtT-20 cells (Zhang, et al., 2013b). Finally, a recent study has highlighted, that
29
30 276 Dicer-derived miRNAs are essential for survival and maintenance of *Pomc* expressing neurons
31
32 277 during post-natal and early adulthood, suggesting that the deletion of Dicer controls *Pomc*
33
34 278 gene expression (Schneeberger, et al., 2012). (Table 2)
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36

37 38 279 **Proenkephalin gene (*PENK*)** 39

40 280 *PENK* is a large precursor, which is processed through the action of proprotein convertase 1
41
42 281 (PC1) and proprotein convertase 2 (PC2) to produce several peptide sequences: 4 met-
43
44 282 enkephalin copies and 1 leu-enkephalin, met-enkephalin-Arg⁶-Phe⁷, met-enkephalin-Arg⁶-
45
46 283 Gly²-Leu⁸, sin enkephalin copy and C- or N- terminally extended variants of these
47
48 284 peptides(Goumon, et al., 2000). The gene consists of four exons separated by three introns,
49
50 285 and there are also identified several repetitive DNA sequences within and flanking the gene.
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53 286 *PENK*-derived peptides act on MOR and DOR to produce rewarding actions of substances of
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55 287 abuse in different brain regions, including the ventral tegmental area and nucleus accumbens
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57 288 (NAc) (Levrán, et al., 2012). They are also involved in analgesia, responses to stress and pain
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3 289 and regulation of appetite and sleep (Kieffer and Gaveriaux-Ruff, 2002). The structural
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5 290 organization of the *Penk* gene exhibits similarities to the organization of the *Pomc* gene
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7 291 suggesting that two opioid peptide precursors may have arisen by duplication from a common
8
9 292 ancestral gene.

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12 293 Data shows that *Penk* expression is specific for cell-type and tissue-compartment and that this
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14 294 expression can be regulated by environmental factors, such as, natural sunlight, salt water
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16 295 bathing, ultraviolet A irradiation and certain pathologies (psoriatic) (Nissen, et al., 1999,
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18 296 Slominski, et al., 2011). Previous studies revealed that approximately 80-90% of the CpG
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20 297 dinucleotides occurring in the *Penk* gene are concentrated at the 5' and 3' ends, with a
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22 298 nonrandom distribution (McClelland and Ivarie, 1982), and some CpG sites have been shown,
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24 299 by analysis of genomic DNA, to be methylated in a tissue specific fashion suggesting a control
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26 300 of the gene expression (Comb and Goodman, 1990). DNA methylation may also control the
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28 301 level of *Penk* expression since the methylation of CpG dinucleotides within the promoter
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30 302 inhibits its expression. In addition, that methylation affects a site located within a binding site
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32 303 for the AP-2 transcription factor. Thus, the methylation inhibits *Penk* expression by inhibition
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34 304 of AP-2 binding site (Comb and Goodman, 1990).

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39 305 The studies of histone methylation have demonstrated the regulation of *Penk* expression by
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41 306 repressive marks, such as the methylation of H3K9me2 in upstream regions of the gene,
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43 307 typically enriched at peri-centromeric heterochromatin and sites of repressed chromatin; and
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45 308 by activating marks such as the methylation of H3K4me3 across the *Penk* promoter
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47 309 (Tomasiewicz, et al., 2012). This suggests that the distinct epigenetic profiles during the
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49 310 different cellular periods may allow the *Penk* to respond differentially to similar environmental
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51 311 cues.
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3 312 At the miRNA level, it has been suggested an interaction between *Penk* and miR-29c
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5 313 (Slominski, et al., 2011), but there is too little information on this topic in current studies.
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7 314 (Table 2)
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10 315 **Prodynorphin gene (*PDYN*)**

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13 316 *PDYN* is the precursor for the next opioid peptides 3 leu-enkephalin sequences, and other
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15 317 bigger peptides such as alpha- and beta-neoendorphins, dynorphin A and dynorphin B, which
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17 318 act as endogenous ligands for the Oprk1 (Levrán, et al., 2012). Dynorphin peptides reduce
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19 319 basal and drug-induced dopamine levels in different areas of the dopaminergic, nigrostriatal,
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21 320 and mesolimbic, mesocortical systems. Expression of *Pdyn* is increased by cocaine behavior in
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23 321 anxiety tests demonstrating the anxiogenic role of prodynorphin-derived peptides (Wittmann,
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25 322 et al., 2009) *Pdyn* contains four exons: exon 1 and 2 encode the 5'UTR, exon 3 encodes a signal
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27 323 peptide, and exon 4 encodes the dynorphin peptides and has multiple transcription start sites
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29 324 located in exons 1 and 4 and introns 1 and 2 (Nikoshkov, et al., 2005, Tejeda, et al., 2012). It
30
31 325 has been identified some alternatively spliced *Pdyn* transcripts, which contribute to
32
33 326 dynorphin/ Oprk1 system diversity (Kimura, et al., 2006). Several potential transcription factor
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35 327 binding sites within the *Pdyn* promoter have been reported to play a role in regulating *Pdyn*
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37 328 expression. A polymorphic 68-bp tandem repeat polymorphism (rs35286281) that contains a
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39 329 putative AP-1, a site at -156 in the proximal promoter; and -2745 microsatellite (Babbitt, et
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41 330 al., 2010), and a calcium sensitive transcription repressor DREAM (downstream regulatory
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43 331 element antagonist modulator) that binds to the regulatory element (DRE) locate in the 5' UTR
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45 332 within exon 1 (Yuferov, et al., 2011).
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51 333 Epigenetic factors, mainly DNA methylation, play an important but still unknown role in
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53 334 modulation of *Pdyn* expression. It has been analyzed the DNA methylation patterns of three
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55 335 CpG-rich regions of the *Pdyn*, a CpG island, cluster A in the proximal promoter and cluster B in
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57 336 coding exon 4 (Yuferov, et al., 2011). Those results have suggested that the CpG island is
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3 337 implicated in tissue- or cell-specific regulation of gene expression, while the CpG cluster A may
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5 338 be associated to regulation of basal activity of the *Pdyn* (Yuferov, et al., 2011). In addition, the
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7 339 decrease in association of the methyl DNA binding protein MeCP2; to the promoter of *Pdyn*
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9 340 suggests the possibility that alterations in DNA methylation may be concomitant with altered
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11 341 *Pdyn* transcription (Reed, et al., 2012).
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14 342 To study the chromatin modifications of the *Pdyn*, human neuroblastoma SH-SY5Y cells model
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16 343 is used by several groups, which is endogenously expressing the opioid system genes. It is
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18 344 shown that there is a relationship between chromatin modifications and *Pdyn* expression, thus
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20 345 epigenetic changes may precede gene transcription (D'Addario, et al., 2011). It has been
21
22 346 demonstrated that there is an increase in H3K27me3 and a decrease in H3K4me3 and H3K9Ac
23
24 347 in promoter when the gene expression is repressed (D'Addario, et al., 2011). In contrast, there
25
26 348 is an increase in H3K4me3 and H3K9Ac together with a return on unmethylated H3K27me3
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28 349 when the *Pdyn* expression back to normal levels after the original repression (D'Addario, et al.,
29
30 350 2013). This hypothesis is also supported in the same study by temporal changes in RNA
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32 351 polymerase II (RNAPII) recruitment and activation consistent with epigenetic changes
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34 352 (D'Addario, et al., 2013).
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39 353 On the other hand, a gene encoding a long non-coding RNA, AK090681, is transcribed from the
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41 354 opposite strand of *Pdyn*, and both are separate but overlapping transcription units. Some
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43 355 studies show that this gene appears to be actively transcribed in human embryonic stem cells
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45 356 while *Pdyn* does not. Interestingly, the promoter of AK090681 contains a CpG island which
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47 357 methylation status may correlate with that of H3K27, suggesting also an epigenetic
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49 358 regulation (Tejeda, et al., 2012). (Table 2)
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361 **Pronociceptin gene (*PNOC*)**

362 PNOC is a precursor for 3 peptides, 1 nociceptin/orphanin FQ copy, 1 nocistatin copy and one
363 prepronociceptin copy and it is the endogenous agonist of the NOP, another opioid receptor
364 type in terms of primary structure, but without an in vitro opioid pharmacological profile
365 (Mollereau, et al., 1996). The overall structure and organization of the *Pnoc* codifying gen is
366 highly homolog of those codifying *Pomc*, *Pdyn* and *Penk*, it suggest a common evolutionary
367 ancestor. Consists in four exons, exon 1 constitutes the majority of the 5'UTR, exon two
368 contains the translational start site and the signal peptide, exon 3 contains the coding region
369 for the multiple bioactive peptides and exon 4 encodes the 3'UTR and poliadenylation signal.
370 The promoter region upstream of exon 1 contains several regulatory sites including cAMP
371 response elements and glucocorticoid receptor binding sites (Xie, et al., 1999, Arjomand and
372 Evans, 2001), while other study shows the presence of NFκB-binding site too (Wiggins, et al.,
373 2010). Some reports show a pattern of splicing variants: in the 3'-end of exon 3, which result in
374 elongated and conserved C-terminal of the precursor protein, and other 2 spliced variants in
375 the exon 2 (Arjomand and Evans, 2001). This gene is a modulator of pain sensation and it is
376 essentially expressed in nerve tissues, brain and spinal cord, where its distribution correlates
377 with the localization of *Orl1* transcripts (Nothacker, et al., 1996).

378 There are few results about epigenetic regulation in *Pnoc* gene. A very recent study has
379 demonstrated a consistent increase in DNA methylation in the *Pnoc* promoter, suggesting that
380 epigenetic regulation might affect its expression level upon environmental changes such as
381 diet manipulation (Di Bonaventura MV, Pucci M, Maccarrone M, Cuomo V, Ciccocioppo R,
382 Gaetani S, Cifani C, d'Addario C, 2013).

383 Selective epigenetic changes in histone modifications, similar to *Pdyn*, are reported for the
384 *Pnoc* gene. It seems to be an inverse relationship between the repressive mark H3K27me3 and
385 the activating mark H3K9Ac, in the *Pnoc* promoter (D'Addario, et al., 2013). This fact has

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3 386 suggested that there is a long-term maintenance of epigenetic chromatin state, which
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5 387 determines accessibility for transcription factors, and that might be still present even when the
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7 388 histone modifications are decreasing (D'Addario, et al., 2013). It confirms that, at least for the
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9 389 *Pnoc* gene, there is a transient genomic memory triggered by histone modifications occurring
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11 390 immediately at exposure.

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14 391 There is no evidence of epigenetic control at miRNA level in this gene. Therefore this is a field
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16 392 to focus future studies. (Table2)

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20 393 **Table 2: The epigenetic mechanisms involved in the Opioid Protein Precursors gene**
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22 394 **expression**

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406 Conclusion

407 Different physiological functions have been reported for the opioid system. Discovering and
408 validating the complete biological roles of these three genes will require extensive studies of
409 each in multiple physiological and pharmacological contexts and, in particular, studies that
410 define with more precision their transcriptional and posttranscriptional regulation. In this
411 context the opioid receptors show an epigenetic regulation. DNA methylation is confirmed in
412 the case of *Oprm1*, *Oprd1* and *Oprl1*, while the chromatin remodeling is reported in four of the
413 receptors. Although four promoters have similarity between them, they use different
414 epigenetic regulation forms and they exhibit different pattern of expression during the cell
415 differentiation. Moreover, the opioid peptide precursors are also epigenetically regulated.
416 DNA methylation is confirmed in four of them, being important for gene expression and tissue
417 specificity. Histone methylation is also present in four precursors, which suggest the possible
418 genomic memory acquisition at least in *Pnoc*.

419 In spite of that, these genes interact with environment factors that are increasingly recognized
420 to be complicated by intertwined biological processes, including temporal and spatial controls
421 that must underlie the activity of any drug receptor in the whole organism. Consequently,
422 future studies of opioid system genes must go beyond the action of transcription factors to
423 include factors affecting their epigenetic states, physiological contexts, and posttranscriptional
424 control to develop individualized prompt prevention and treatment strategies

Abbreviations

- 425
- 426 Ors: Opioid receptors
- 427 MOR: mu opioid receptor protein
- 428 DOR: delta opioid receptor protein
- 429 KOR: kappa opioid receptor protein
- 430 NOP: nociceptin receptor protein
- 431 *Oprm1*: mu opioid receptor gen
- 432 *Oprd1*: delta opioid receptor gen
- 433 *Oprk1*: kappa opioid receptor gen
- 434 *Oprl1*: nociceptin receptor protein
- 435 POMC: proopiomelanocortin protein
- 436 PENK: proenkephalin protein
- 437 PDYN: prodynorphin protein
- 438 PNOC: nociceptin/orphanin FQ protein
- 439 *Pomc*: proopiomelanocortin gen
- 440 *Penk*: proenkephalin gen
- 441 *Pdyn*: prodynorphin gen
- 442 *Pnoc*: nociceptin/orphanin FQ gen
- 443 Sox2: (Sry-like high-mobility-group box gene
- 444 Sp1: specificity protein 1
- 445 iGA: Sp1 on an inverted GA motif
- 446 PCBP: poly C binding protein
- 447 CREB: cyclic adenosine monophosphate response element binding protein
- 448 Oct-1: octamer-1
- 449 PU.1: PU box binding on a 34-bp silencer region

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- 450 PARP1: polyADP-ribose polymerase 1 on a double-stranded poly-C sequence
- 451 Sp3: transcription factor binding to the 5' UTR specificity protein 3
- 452 REST: repressor element-1 silencing transcription factor
- 453 MeCP2: methyl CpG binding protein 2
- 454 RA: retinoic acid
- 455 Ets-1: E-twenty six 1
- 456 USF: upstream stimulatori factor
- 457 MBD2: methyl-CpG binding domain protein 2
- 458 PI3K: fosfatidilinositol 3-kinase
- 459 NGF: nerve growth factor
- 460 AP2: activating protein
- 461 IK: Ikaros
- 462 nGRE: one inhibiting transcription factor binding site
- 463 Hdac2: histone deacetylase 2
- 464 Dnmt1: (cytosine-5)-methyltransferase 1
- 465 MAP2K8: mitogen activated protein kinase 2
- 466 PC1: proprotein convertase 1
- 467 PC2: proprotein convertase 2
- 468 NAc: nucleus accumbens
- 469 DREAM: downstream regulatory element antagonist modulator
- 470 *Or11*: opioid receptor-like receptor
- 471 BDNF: brain-derived neurotrophic factor

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477 **Conflict of interest**

478 The authors have no conflicts of interest to declare.

479 **Author's contribution**

480 I.M, and I.U. wrote the manuscript, L.C. and J.I. provided conceptual support and N.S. wrote

481 and supervise the manuscript.

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Table 1

	OPRM1	OPRD1	OPRK1	OPRL1
Silencing				
Regulatory elements	Oct1, PU.1, PARP1, Sp3, REST	Sp3, IK	IK	-
Metilation Mechanisems	Metilation ↑ MeCp2	Metilation ↑ MeCP2	-	-
Histon modifications	-	Histone deacetilases H3, H4	H3K9 me2	-
MiroRNA	miR-23b, miR-339, Let-7	-	-	-
Activation				
Regulatory elements	Sox2, Sp1, iGA, PCBP, CREB,	STAT6, Sp1, Ets-1, USF, NF-kB, AP2	c.Myc, Sp1, AP2	
Metilation Mechanisems	Hipometilation ↓ MeCp2	Hipometilation ↓ MeCp2	-	Hipometilation
Histon modifications	Histone acetilases H3, H4	Demetilation of H3K9me3 by NGF/PI3K signalling	H3K4 me2	-
MiroRNA	-	-	-	-

Table 2

	POMC	PENK	PDYN	PNOC
Silencing				
Regulatory elements	nGRE	-	DREAM	-
Metilation Mechanisems	Metilation ↑MeCp2	Metilation ↑MeCp2	Metilation ↑MeCp2	Metilation
Histon modifications		H3K9 me2	H3K27me3	H3K27me3
MiroRNA	miR-375	miR-29c	-	-
Activation				
Regulatory elements	FoxO1, STAT3 Sp1, NF-kB	AP2, Sp1, CREB	AP1	CREB, NF-kB
Metilation Mechanisems	Hipometilation		H3K4me3, H3K9ac	H3K9ac
Histon modifications	H3K9ac	H3K4 me3	-	-
MiroRNA	-	-	-	-