

ADIMEN ARTIFIZIALEKO TRESNAK  
PULTSURIK GABEKO AKTIBITATE  
ELEKTRIKOA EZAUGARRITZEKO  
BIHOTZ-BIRIKETAKO GELDIALDIAN

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*Nire gurasoei,  
hau guztia ez litazteke posible  
izango beraien esfortzurik gabe*



## ESKER ONAK

Lehenik eta behin, eskerrak eman nahi dizkiet nire zuzendariei, Eli, Unai eta Andoniri, baldintza gabeko laguntza, orientazio eta ahalegin nekaezinagatik. Eli, graduan zehar ikerketa-bidaian murgiltzeko aukera emateagatik, urte hauetan emandako orientazio guztiagatik eta egindako lan etengabeagatik. Unai<sup>†</sup>, beti laguntzeko prest egoteagatik eta ikerketan izan duzun ikuspegi zorrotzagatik; espero dut harro egotea tesiaren emaitzaz. Andoni, tesi honetan eman dituzun ordu zenbakaitzengatik, zuren ideia bikainengatik eta, bereziki, eman didazun laguntza pertsonalagatik. Tesi hau ez zatekeen posible izango zuen etengabeko sostengu eta konfiantza gabe.

Eskerrak ematen dizkiet taldeko kideei ere. Erik, eskua emateko borondatea eta zure energia kutsakorra benetan motibatzaileak izan dira. Ezinbestekoa izan zara Iraia, une zailtan eman didazun babes hauskaitz eta adoreaagatik. Xabier, zure ezagutzak partekatzeaagatik eta beti laguntzeko prest egoteagatik. Diego eta Amaia, laborategian elkarrekin eman dugun denboragatik; zuen broma eta elkarrizketei esker, lan-ordu luzeak askoz jasangarriagoak izan dira.

Eskerrik beroenak ematen dizkiet lan hau egiteko funtsezkoak izan diren pertsona eta erakundeei. Ahamed Idris eta Lars Wiken ikerketa-taldeei, datu klinikoak eta esperientzia partekatzeaagatik. Bereziki, Eirik Skogvoll-en NTNUn taldeari, Trondheim-en hartzeaagatik ikerketa-egonaldian, eta aparteko abegi bat emateagatik. Bestalde, Euskal Herriko Unibertsitateari, Eusko Jaurlaritzari eta Espainiako ministerio eskudunei egiturazko eta finantzazko babes irmoagatik.

Azkenik, baina ez horregatik gutxiago, nire familia eta lagunei eskerrak eman nahi dizkiet beren baldintzarik gabeko laguntzagatik eta horrenbeste ordu jasateagatik. Bereziki nire gurasoei, Juan eta Arritxuri, maitasun eta babes amaigabeagatik, beren esfortzuagatik ez balitz, gaur ez nintzateke nagoen lekuan egongo. Amonarentzat, Maritxu, indar- eta erosotasun-iturri izan zara bidaian. Leire arrebari,

une zailtan nire haitza izateagatik. Danieli, etengabe hor egoteagatik eta behar nuen bakoitzean arretaz entzuteagatik.

## LABURPENA

Bat-bateko bihotz-biriketako geldialdia (BBG) ezusteko bihotz jardueren etenaldi modura definitzen da eta bat-bateko bihotz-biriketako heriotza (BBH) eragin dezake. Bi kategoriatan sailkatu ohi da: ospitale-barruko BBG (OBBBG) eta ospitalez-kanpoko BBG (OKBBG). OBBBGaren izidentzia ospitaletarutako 1000 pazientetik 0.78 eta 4.6 bitartekoa da, biziraupen-tasarekin Amerikako Estatu Batuetan %25-eko eta Europako herrialdeetan %35-eko da. OKBBGren kasuan, urtero Europan 100000 pertsonako gutxi gora-behera 55ek jasaten dute eta AEBetan urtero 100000 pertsonako 50-100 bitartek, %8 inguruko biziraupen tasarekin.

Pultusrik gabeko aktibitate elektrikoa (PGAE) BBGn aurkitzen den bihotz-erritmo bat da, non EKGan jarduera elektriko erregular bat dagoen, baina pultsu haztagarria sortzen duen uzkurdua mekaniko eraginkorrik gabe. OKBBGtan %20-30 bitarteko PGAE prebalentzia erregistratu da, OBBBGren kasuan berriz %40-60 bitartekoa. Azken hamarkadetan, handitu egin da PGAEn prebalentzia OBBBG kasuetan, 2000n %36 igurukoa izatetik 2009an %46 izatera.

Ikertzaile eta klinikoak PGAE aktiboki ikertzen ari dira, bihotz-maiztasuna eta QRS konplexuaren zabalera bezalako parametroetan jartzen dute arreta, berpizte terapiaren arrakastaren inguruko informazioa aurreikusteko. Ahaleginak egin arren, emaitzak ez dira batere sendoak. Gainera, ez dago metodo automatizaturik, seinale biomedikoetatik abiatuta BBGren pronostikoa auresateko. Horrek ikerketa berrien beharra azpimarratzen du, OBBBG eta OKBBG kasuetan pazienteen emaitzak hobetzeko.

Tesi honek ikuspegi berritzaileak aurkezten ditu PGAE duten gaixoen pronostikoa monitorizatzeko. Iragarpen-ereduak seinaleen prozesaketa aurreratuko eta ikaskuntza automatikorako teknikak erabiliz garatu dira. Aldeko eta kontrako pronostikoa duten PGAE erritmoak bereizteko algoritmoak elektrokardiograma, bulbar inpedantzia eta arteria-presio inbaditzailea bezalako seinaleak

erabiliz garatu dira. Algoritmo horiek BBGren emaitza ezberdian diskriminatzeko duten gaitasuna atzera begirako azterketetan balioztatu da.

BBG duten pazienteek zarata-maila handiagoak eta fluktuazio okerragoak dituzten seinaleak izan ditzakete, paziente egonkorrekin alderatuta. Beraz, tesi horretarako testuinguru horietara egokitutako delineazio metodo espezifikoak garatu dira. Alde batetik, QRS konplexuen delineatzaile bat diseinatu da, ikaskuntza sakoneko arkitekturetan oinarritua. Bestalde, arteria-presio inbaditzaile seinalearen delineatzaile bat garatu da, atalase egokituen eta seinale prozesaketa aurreratuko teknikak erabiliz. Horri esker, automatikoki kalkulatu daitezke seinale horien ezaugarriak BBG egoeran.

Tesi proiektu honetan benetako BBG datubaseak beharrezkoak izan dira. Ikaskuntza sakon eta automatikoko algoritmoak erabiliz irtenbideak formulatzeko, nahitaezkoa da erritmo, emaitza eta QRS konplexuen markak izatea, entrenamenduaren eraginkortasuna bermatzeko. Ikerketa zentro eta klinikoekin kolaborazioak izateak posible egiten du proposatutako algoritmoak OBBBG eta OKBBG agertokietarako egokitzea.



# AURKIBIDEA

1	SARRERA	1
1.1	Bat-bateko bihotz geldialdia . . . . .	1
1.2	Berpizte-terapia . . . . .	2
1.3	Monitore desfibriladoreak . . . . .	4
1.4	Seinale biomedikuak . . . . .	7
1.5	Pultsurik Gabeko Aktibitate Elektrikoa . . . . .	8
1.6	Pronostikoaren Iragarpena . . . . .	9
1.7	Tesi Lanaren Motibazioa . . . . .	11
2	ARTEAREN EGOERA	13
2.1	PGAren pronostikoaren iragarpena . . . . .	13
2.2	EKG, BI eta API seinaleen ezaugarritzea . . . . .	15
2.2.1	Uhin formaren ezaugarriak . . . . .	16
2.2.2	QRS konplexuko ezaugarriak . . . . .	18
2.2.3	API ezaugarriak . . . . .	19
2.3	Adimen artifizialaren ereduak berpizte terapian . . . . .	20
2.3.1	IA ereduak . . . . .	21
2.3.2	IS Ereduak . . . . .	23
2.3.3	Ebaluazioa . . . . .	26
2.4	EKG delineatzailea . . . . .	27
2.4.1	Hamilton-Topkins EKGaren delineatzailea . . . . .	27
2.4.2	Li et al. EKGaren delineatzailea . . . . .	29
2.4.3	Martinez et al. EKGaren delineatzailea . . . . .	31
2.4.4	Peimankar et al. EKGaren delineatzailea . . . . .	31
2.5	API seinalearen delineazioa . . . . .	32
2.5.1	Zong et al. API seinalearen delineatzailea . . . . .	32
2.5.2	Li et al. API seinalearen delineatzailea . . . . .	33
3	HIPOTESIAK ETA HELBURUAK	35
4	EMAITZAK	37
4.1	1. helburuari lotutako emaitzak . . . . .	37

4.1.1	J1.1: A Machine Learning Model for the Prognosis of Pulseless Electrical Activity during Out-of-Hospital Cardiac Arrest	38
4.1.2	J1.2: Machine learning model to predict evolution of pulseless electrical activity during in-hospital cardiac arrest	41
4.1.3	C1.1: A Random Forest Model for Pulseless Electrical Activity Prognosis Prediction During Out-of-Hospital Cardiac Arrest Using Invasive Blood Pressure	43
4.2	2. helburuari lotutako emaitzak	45
4.2.1	J2.1: A Deep Learning Model for QRS Delineation in Organized Rhythms during In-Hospital Cardiac Arrest	45
4.2.2	J2.2: Invasive Arterial Blood Pressure Delineator for Cardiopulmonary Resuscitation Patients during Pauses of Chest Compressions	49
5	ONDORIOAK	53
5.1	Tesiaren ekarpen nagusiak	53
5.2	Argitalpenak	54
5.2.1	Artikuluak	55
5.2.2	Konferentziak	55
5.3	Finantziazioa	56
5.4	Etorkizuneko Ikerketa Lerroak	57
	BIBLIOGRAFIA	59
A	ARGITARATUTAKO ETA BIDALITAKO LANAK	79
A.1	Lehenengo Helburuari lotutakoak	81
A.1.1	Aurreneko argitalpena nazioarteko aldizkarian	81
A.1.2	Aurreneko argitalpena nazioarteko konferentzian	99
A.1.3	Bigarren argitalpena nazioarteko aldizkarian	103
A.1.4	Bigarren argitalpena nazioarteko konferentzian	123
A.2	Bigarren Helburuari lotutakoak	131
A.2.1	Aurreneko argitalpena nazioarteko konferentzian	131
A.2.2	Aurreneko argitalpena nazioarteko aldizkarian	137
A.2.3	Bigarren argitalpena nazioarteko konferentzian	149
A.2.4	Bigarren argitalpena nazioarteko aldizkarian	155

## IRUDIEN ZERRENDA

1.1 irudia	BBG erritmoak . . . . .	2
1.2 irudia	Biziraupen katea . . . . .	3
1.3 irudia	ZOLL Medical KDA . . . . .	5
1.4 irudia	Physio-Control Lifepak-15 monitore desfibri- ladorea . . . . .	6
1.5 irudia	EKG, BI eta API seinaleen adibidea . . . . .	8
1.6 irudia	PGAE segmentuak . . . . .	9
2.1 irudia	QRS konplexuen ezaugarriak . . . . .	19
2.2 irudia	IBP features . . . . .	20
2.3 irudia	Pooling geruza . . . . .	24
2.4 irudia	Konboluzio geruza . . . . .	24
2.5 irudia	Fully Connected Geruza . . . . .	25
4.1 irudia	EKG, BI eta BIZO faPGAE eta unPGAE seg- mentuentzat . . . . .	39
4.2 irudia	ModAMSA espektroa . . . . .	42
4.3 irudia	U-Net arkitektura . . . . .	47
4.4 irudia	Proposatutako QRS delineatzailearen errendi- mendua PGAE eta PE segmentuentzat . . . . .	48
4.5 irudia	API delineazio adibidea . . . . .	50



## TAULEN ZERRENDA

2.1 taula	PGAEn pronostikoaren iragarpeneko erreferentzia nagusien laburpena . . . . .	15
4.1 taula	J1.1 eta AEko algoritmoen errendimendua faP-GAE/unPGAEn diskriminazioan . . . . .	40
4.2 taula	J1.2 ereduaren errendimendua faP-GAE/unPGAEn segmentuen diskriminazioan .	43
4.3 taula	C1.1 ereduaren errendimendua faP-GAE/unPGAEn segmentuen diskriminazioan seinale ezberdinak erabiliz . . . . .	45
4.4 taula	QRS delineatzaileen errendimendua OBBBG datubasean . . . . .	48
4.5 taula	QRS delineatzaileen errendimendua paziente egonkorren QT datubasean . . . . .	49
4.6 taula	API delineatzaileen errendimendua bihotztaupada detekzioan . . . . .	52
4.7 taula	API delineatzaileen errendimendua pultsudun segmentuen diskriminazioan . . . . .	52



## LABURPENEN ZERRENDA

AA	Adimen Artifiziala
Adam	Adaptive Moment Estimation
AE	Artearen Egoera
AEB	Amerikako Estatu Batuak
AHA	American Heart Association
AMSA	Amplitude spectrum area
API	Arteria-Presio Inbaditzailea
AUC	Area under the curve
BAC	Balanced Accuracy
BBB	Bihotz-Biriketako Berpiztea
BBG	Bat-bateko Bihotz-Biriketako Geldialdia
BBH	Bat-bateko Bihotz-Biriketako Heriotza
BEA	Bizi-Euskarri Aurreratua
BI	Bular Inpedantzia
BM	Bihotz-Maiztasuna
BPGAE	Benetako Pultsurik Gabeko Aktibitate Elektrikoa
BS	Bular-sakada
BZI	Berezko Zirkulazioaren Itzulera
CNN	Convolutional Neural Network
CSL	Complex Systems Laboratory
CV	Cross-validation
EKG	Elektrokardiograma
ERC	European Resuscitation Council

## LABURPENEN ZERRENDA

FB	Fibrilazio Bentrikularra
FN	False Negative
FP	False Positive
IA	Ikasketa Automatikoko
IS	Ikasketa Sakona
KDA	Kanpoko Desfibriladore Automatizatu
LOS	Larrialdi Osasun Sistema
LR	Logistic regression
LSTM	Long short-term memory
MAP	Mean Arterial Pressure
NSA	Neurona-Sare Artifiziala
NPV	Negative Predictive Value
OBBBG	Ospitale-barruko Bat-bateko Bihotz-Biriketako Geldialdia
OKBBG	Ospitalez-kanpoko Bat-bateko Bihotz-Biriketako Geldialdia
PE	Pultsudun Erritmoa
PGAE	Pultsurik Gabeko Aktibitate Elektrikoa
RF	Random Forest
Se	Sensitivity
SPGAE	Sasi Pultsurik Gabeko Aktibitate Elektrikoa
SNEO	Smoothed Nonlinear Energy Operator
Sp	Specificity
SSF	Slope sum function
SVM	Support Vector Machines



SWT	Stationary Wavelet Transform
TB	Takikardia Bentrrikularra
TN	True Negative
TP	True Positive
WT	Wavelet Transforms



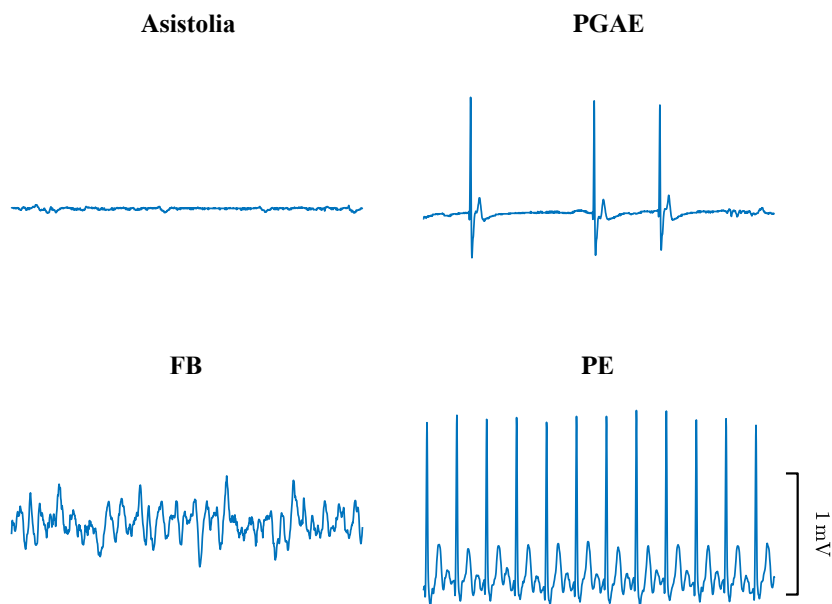
# 1 | SARRERA

## 1.1 BAT-BATEKO BIHOTZ GELDIALDIA

Bat-bateko bihotz-biriketako geldialdia (BBG) ezusteko bihotz jardueren etenaldi modura definitzen da eta bat-bateko bihotz-biriketako heriotza (BBH) eragin dezake [1]. Bi kategoriatan sailkatu ohi da: ospitale-barruko BBG (OBBBG) eta ospitalez-kanpoko BBG (OKBBG) [2]. OBBBG izidentzia ospitaletarutako 1000 pazienteko 0.78 eta 4.6 bitartekoa da. Pazientearen biziraupen-tasak ospitaleko altaren edo OBBBGaren 30 egun ondoren %25 ingurukoa da Amerikako Estatu Batuetan (AEB) eta %35 artekoa Europako herrialdeetan [3–6]. OKBBGaren kasuan, urtero Europan 100000 pertsonatik gutxi gora-behera 55ek jasaten dute eta AEBetan urtero 100000 pertsonako 50-100 bitartek. OKBBGan protokolo eta eskuhartze ugari ikertu arren, biziraupen-tasek gutxieneko aldaketa izan dute eta %8 baino baxuago mantentzen da [7–9].

Utstein 2014 kategorietan oinarrituta, BBGen etiologia arrazoi mediku eta ez-medikuetan sailkatu daiteke [10]. Gehienak (%90 baino gehiago) arrazoi mediku kategoriakoak dira, horietatik %50 eta %80 bitarte, bihotz-arrazoiei lotuta daude; gainerakoak, berriz, arnasketa-arrazoiei, arrazoi neurologikoei eta minbiziari lotuta daude. Bestalde, BBGen %10 baino zerbait gutxiago arrazoi ez-medikuak dira, nabarmenenak gertaera traumatikoak, itotzea, asfixia, elektrokuzioa eta drogen gaindosia izanik [11,12].

BBG baten aurrean, berpizte-terapiaren ondorioz, pazienteak bihotz-erritmo desberdinak izan ditzakete, bakoitzak ondorio desberdinak izanik pazientearen pronostiko eta tratamendurako.



1.1. irudia. 10 s-ko elektrokardiograma (EKG) segmentu adibideak, asistolia, PGAE, FB eta PE kasuekin.

BBG bihotz-erritmoen artean fibrilazio bentrikularra (FB), geldialdi kasuen % 20-25 inguru; pultsurik gabeko aktibitate elektrikoa (PGAE), kasuen % 30-35 bitarte; eta asistolia, kasuen % 30-35 artean, daude [13–15]. Erritmo-mota bakoitzeko kasu bat ikusgai dago 1.1 irudian. Erritmo horiek azkar detektatzea eta behar bezala tratatzea funtsezkoak da berpizte-ahaleginak gidatzeko eta BBGaren ondorioa arrakastatsuak izateko. Berpizte-prozesuen helburua berezko zirkulazioaren itzulera (BZI) lortzea da, pultsudun erritmoa (PE) izateak ezaugarritzen duena.

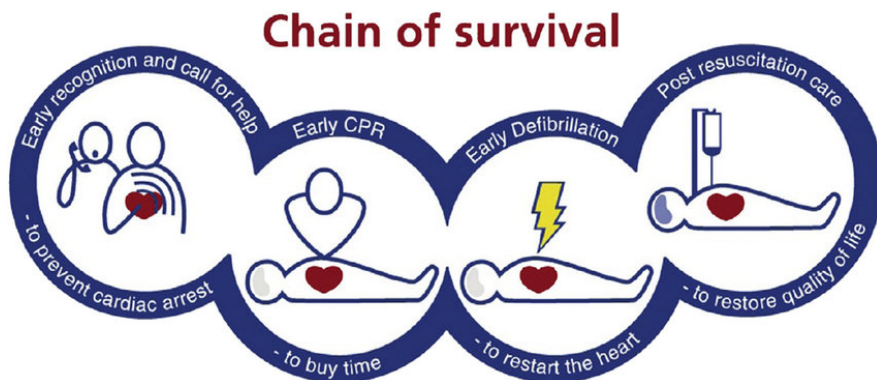
## 1.2 BERPIZTE-TERAPIA

Berpizteko nazioarteko gidalerroak BBG larrialdi baten aurrean izan beharreko esku-hartze medikuak sistematizatze eta hobetzeko esparrua definitzen du. Jarraibide horiek, bost urtean behin gutxi gorabehera bildu eta berrikusita, ebidentzian oinarritutako protokoloak eskaintzen dituzte, berpizte-ahaleginetan eraginkor-

tasuna bermatzeko. European Resuscitation Council (ERC) eta American Heart Association (AHA) dira, besteak beste, jarraibide horiek argitaratzeaz eta mantentzeaz arduratzen diren erakundeak, indarrean dauden 2021 eta 2020 jarraibideekin [16,17].

Berpizte-terapiaren helburua odol-fluxua eta oxigeno-hornidura berpiztea, eta horrela pazienteren BZI lortzea da. Horrek bihotz-biriketako berpiztea (BBB), desfibrilazioa eta bizi-euskarri aurreratua (BEA) barne hartzen ditu, funtsezko gorputz-funtzioei eutsi ahal izateko [18],

AHAK 1991n proposatuta [19], biziraupen kateak (1.2 irudia) OKBBG biziraupena maximizatzeko denborarekiko garrantzitsuak diren esku-hartze kritikoak azaltzen ditu. Lotura gehigarriak sartuz eboluzionatu duten arren, jatorrizko laurak funtsezkoak izaten jarraitzen dute, ospitaleratu aurreko oinarrizko esku-hartzeak azalduz:



1.2. irudia. ERCren biziraupen-kateak lau urrats nagusi ditu: sarbide goiztiarra, BBB goiztiarra, desfibrilazio goiztiarra eta BEA.

- **Sarbide goiztiarra:** Biziraupen-katearen lehen gakoa sarbide goiztiarra da. Horrek esan nahi du BBGaren zeinuak berehala detektatu eta larrialdiko zenbaki lokalera deitu behar dela larrialdi osasun sistema (LOS) aktibatzeko. Kolapsoaren aurretik BBG sintomak identifikatzea funtsezkoa da, gertaera baino lehen LOS aktibatzeak biziraupen-tasa handiagoak eragiten baititu [20].

- **BBB goiztiarra:** BBB azkar hastea, batez ere bular-sakada (BS) eraginkorrekin, funtsezkoa da pazienteak bizirik mantentzeko. Ikerketek erakusten dutenez, lekukoek BBB egiten dutenean, bizirauteko aukera nabarmen handitzen dira [21]. Horrek BBBn trebatzeko programa zabalen garrantzia nabarmentzen du, jendea oro har hezteko. AHAREN kalkuluen arabera, biztanleriaren % 20 BBB inguruan hezteak nabarmen handituko lituzke biziraupen-tasak [22]. Azterketek adierazten dutenez, biziraupen-tasak bikoiztu egiten dira lekukoek BBB 4 min-en barruan hasten dutenean, beharrezkoa balitz desfibrilazioak lehenengo 8 min-en barruan burutuz [23].
- **Desfibrilazio goiztiarra:** Desfibrilazioa funtsezkoa da BBGAN, batez ere arritmia bentrikularrekin, hala nola FB edo takikardia bentrikularrekin (TB) kasuetan [24]. Erritmo horiek zuzentzeko, talka elektrikoa aplikatu daiteke, desfibrilazioa izenekoa [25]. Ekintza azkarra funtsezkoa da, tratatu ezean erritmo horiek azkar egiten baitute okerrera eta BBH eragin dezakete. Talka elektrikoa kolapsoaren ondorengo 3 – 5 min artean burutzen denean %50-70 bitarteko biziraupen-tasak lortzen dira [26, 27]. Desfibriladoreak eskuragarri izateko programei esker, lekukoek kanpoko desfibriladore automatizatuak (KDA) erabili ditzakete LOS iritsi aurretik, erantzun-denborak hobetuz [28].
- **BEA goiztiarra:** BBB eta desfibrilazioa bakarka erabiltzea ez da beti nahiko PE eta BZI denbora luzerako berreskuratzeko. BEAk beste esku-hartze batzuk ere izaten ditu bere baitan, hala nola intubazioa, tratamendu farmakologikoa eta desfibrilazioa [29].

### 1.3 MONITORE DESFIBRILADOREAK

KDAak berpizte-terapian oinarrizko tresnak dira. Horien helburu nagusia desfibrilazio azkarra erraztea da, prestakuntza gutxi edo bat ere ez duten lekukoek erabilera posible eginez [28, 30]. Gaur egungo KDAak sorosleari terapian zehar orientazioa eskaintzen diote audio-jarraibide, desfibrilazio txaplatak jartzeko azalpen eta BBB burutu/gelditzeko aginduen bidez. Laguntza profesionala

iritsi aurretik oinarrizko tratamendu azkarra emateko gaitasunari esker erabakigarriak dira bizitzak salbatzeko [31]. Pazientearen bihotz-erritmoa modu autonomoan ebaluatzeko eta desfibrilazioa beharrezkoa den erabakitzeko algoritmoak dituzte [32].

Ohiko KDAek desfibrilazio txaplaten bidez bi seinale biomediku jasotzen dituzte: elektrokardiograma (EKG) eta bular inpedantzia (BI). KDA modelo aurreratuek (sorosle profesional, polizia edo suhiltzaileentzat diseinatuak) BBBn laguntzeko txaplata gehigarri edo integratuak izan ditzakete (1.3 irudian ageri den bezala). Gailu horiek azelerometroak eta/edo indar-sentsoreak erabiltzen dituzte BBBren parametroak neurtzeko, hala nola BSen maiztasuna eta sakonera, berpizte-ahaleginen eraginkortasuna hobetuz [33].



1.3. irudia. ZOLL Medical AED 3 BLS desfibriladorea, zeinek azelerometro integratuak erabiltzen dituen, Chelmsford, MA, AEBn ekoiztua.

Ospitale aurreko eta ospitaleko osasun arreta hornitzailek erabilitako monitore desfibriladore aurreratuagoek (1.4 irudian erakusten da) funtzionalitate gehiago eskaintzen dituzte. Gailu horiek, desfibrilazioaren eskuzko kontrola ez ezik, denbora errealean parametro fisiologiko eta seinale biomedikuen uhin formak ere erakusten dituzte. EKG eta BI estandarren monitorizazioaz gain, monitore desfibriladore horiek modulu osagarriak dituzte arteria-presio inbaditzailea (API), pulsioximetria edo kapnografia monitorizatzeko. Gehienetan API arteria periferikoen kanulazioaren

bidez neurtzen da eta odolak arteria-paretetan eragindako presioa kuantifikatzen du [34]. Pulsioximetria, atzamarrean, belarrian edo sudurrean kokatutako sentsoreak erabiliz lortzen da, odolaren oxigeno saturazioa ebaluatuz [35]. Kapnografia sudurrean edo ahoan jarritako sentsoreen bidez neurtzen da, eta kanporatutako gasetan karbono dioxidoak duen presio partzialaren adierazle da [36].



1.4. irudia. Physio-Control-en Lifepak-15 monitore desfibriladorea Redmond, WA, AEBn ekoitzia.

Desfibriladoreei lotutako software komertzialek, oro har, fitxategi elektroniko batean (jabe-formatuan) erregistratutako informazio eta seinale biomedikuak ikusi eta aztertze aukera ematen dute. Philips Healthcare (Andover, MA, AEB), Stryker/Physio -Control (Redmond, WA, AEB) edo ZOLL Medical (Chelmsford, MA, USA) bezalako desfibriladore-konpainia nagusiak azterketa tresna horiek eskaintzeko orduan ezinbesteko dira. Hala ere, azterketa gehigarriak egin ahal izateko fitxategi horiek bihurtzeko tresna osagarriak behar dira. Seinale biomedikuak eskuratzearekin batera, BBGko gertaeren informazio guztia biltzen da fitxategietan. Utstein estilo-txantiloiek OBBBG eta OKBBG dokumentazio argia bermatzen dute pazientearen demografia, geldialdiaren etiologia, hasierako erritmoa, BBB eta emaniko tratamendua bezalako informazioa formatu koherente batean gordez [10,37].



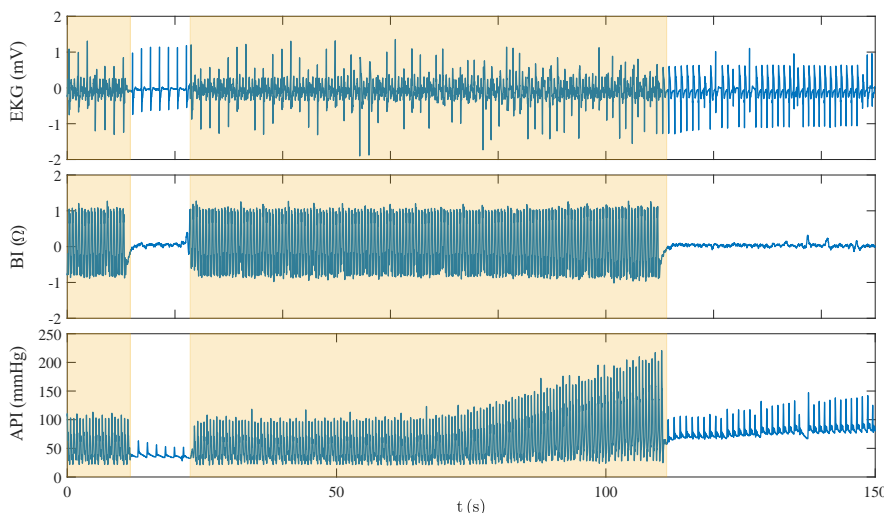
## 1.4 SEINALE BIOMEDIKUAK

Berpizte testuinguruan, EKGk bihotzaren jarduera elektrikoaren adierazpen bisual ez-inbaditzailea eskaintzen du. Pazientearen bularrean kokatutako desfibrilazio txaplaten bidez neurtzen da. EKG seinalean, bereziki interesgarria da QRS konplexua, bentrikuluen despolarizazioa eta uzkurdura adierazten baititu [38], eta bertatik bihotz-erritmoa eta bihotz-maiztasuna (BM) bezalako oinarritzko datuak atera dira. EKGren bihotz-sistemari buruzko informazio erabakigarria bere uhinen gailur eta muga bereizgarrietan dagoenez, ezinbestekoa da EKG automatikoki delineatzeko metodo zehatzak garatzea [39,40]. Delineazio automatikoko zenbait metodok lan bikaina egin dute paziente egonkorretan [40–48], baina bat ere ez da proposatu BBG pazienteentzat.

Kubicek et al. aitzindariak izan ziren 1970ean BI neurtzen, gorputzak korrante elektrikoarekiko duen erresistentzia. Txaplaten artean pasatutako goi-maiztasuneko korrante baten tentsio-erorketan oinarrituta, eta Ohm-en legea aplikatuz, posible da gorputzaren erresistentzia kalkulatzeko. Eskuarki, 20–100 kHz arteko maiztasuna eta 1 – 5 mA arteko intentsitatean lan eginez, bost hamarkada baino gehiagoren ondoren, aireztapena, arnasketa eta bihotzaren erantzuna neurtzeko ohiko tresna bihurtu da [49–52].

API egoera hemodinamikoaren oinarritzko adierazlea da, funtsezkoa BBB terapian tratamenduaren eraginkortasunaren jarraipena egiteko [34]. Oro har, arteria periferikoetako kanulazioaren bidez lortzen da, eta APIren uhin-formaren analisiak garrantzia du praktika klinikoan, uzkurdura eta erlaxazio kardiakoak isladatzeko duen gaitasunagatik, bihotz-erritmoei eta presio-balioei buruzko nahitaezko informazioa ematen baitu [53,54]. API uhin-formak patroi/puntu bereizgarriak ditu, parametro fisiologikoak kalkulatzeko ezinbestekoak. Hala ere, BBGan, API seinaleek uhin-forma distortsionatuak izan ditzakete, pazientearen mugimenduen, kateterra jartzeko arazoan, ezegonkortasun hemodinamikoaren eta maiztasun altuko artefaktuen ondorioz. Horrek fidagarritasun baxuko puntu bereizgarriak hautematea eragiten du [54–56].

1.5 irudian, OKBBG batean erregistratutako hiru seinale biomedikuak erakusten dira.



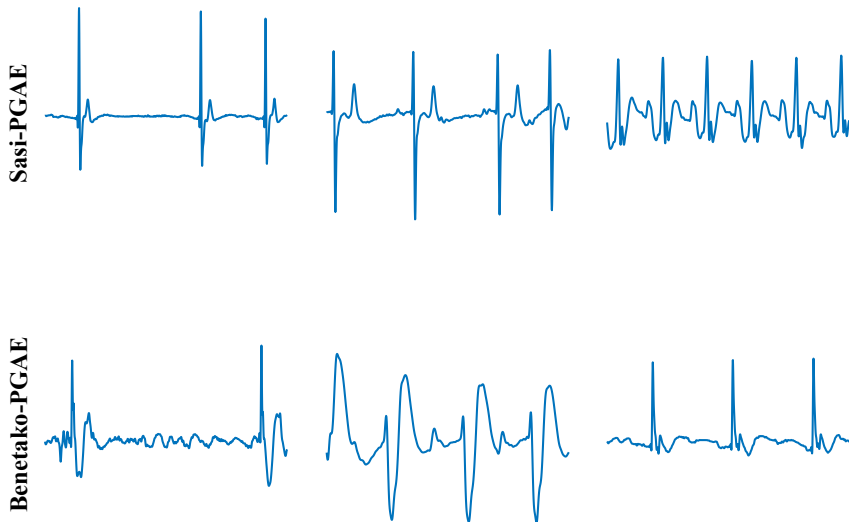
1.5. irudia. Hiru seinaleak erakusten diren segmentu baten adibidea: EKG goiko panelean, BI erdiko panelean eta API beheko panelean. Laranja ilunean adierazten da BS ematen diren tartekak.

## 1.5 PULTSURIK GABEKO AKTIBITATE ELEKTRIKOA

PGAE BBGan aurkitzen den bihotz-erritmo bat da, non EKGn jardura elektriko erregular bat dagoen, baina pulsu haztagarria sortzen duen uzkurdura mekaniko eraginkorrik gabe. OKBBGetan %20-30 bitarteko PGAE prebalentzia erregistratu da, OBBBGaren kasuan berriz, %40-60 bitartekoa [13,57,58]. Azken hamarkadetan, handitu egin da PGAeren prebalentzia OBBBG kasuetan, 2000n %36 igurukoa izatetik, 2009an %46 artekoa izatera [59]. OKBBG kasuetan ere antzeko goranzko joerak hauteman dira [60–62].

Tayal eta Knilenen behaketa prospektiboan [63], benetako pulsurik gabeko aktibitate elektrikoaren (BPGAE) eta sasi-pulsurik gabeko aktibitate elektrikoaren (SPGAE) desberdintasunak ikertu ziren. BPGAEk ez du bihotz-mugimendu detektagaririk, nahiz eta erritmo elektriko erregularra izan, SPGAEk bihotzaren mugimenduaren bat du, nahiz eta ez den nahikoa zirkulazio egokia izateko [64,65]. PGAeren adibideak 1.6 irudian erakusten dira, bai BPGAE, baita SPGAE.

Oso garrantzitsua da berpiztean etiologia itzulgarri horiek garaiz identifikatzea. Osasun-profesionalei tratamendu-estrategiak



1.6. irudia. 5s-ko PGAE adibideak. Goiko lerroan, hiru benetako pultsurik gabeko aktibitate elektroaren (BPGAE); beheko lerroan, hiru sasi-pultsurik gabeko aktibitate elektroa (SPGAE).

eraginkortasunez egokitzeko aukera ematen die, horrela, biziraupen aukerak optimizatuz [65]. SPGAEk aurrera egin ahala, uzkurdua miokardikoak erabat eten daitezke, eta horren ondorioz, BPGAE eragin [64,66].

Azken aurrerapen teknologikoei esker, hazi egin dira BBG aztertzeko tresnak, jatorria azkar identifikatuz eta erabaki kliniko informatuagoak bultzatuz. Tresna horiek pronostikoari buruzko funtsezko informazioa eskaintzen dute, tratamendu-estrategiak hobetuz eta BBGan erabilitako protokoloak arrazionalizatuz [64, 67,68]. Hainbat ikerketak EKGen QRS konplexuaren ezaugarriek BBGaren mekanismo kausalak bereizteko duten erabilgarritasuna ikertu dute [69,70]. Gainera, kapnografiak trakzio nabarmena irabazi du, OKBBG monitorizatzeko erabili ohi den tresna gisa [65].

## 1.6 PRONOSTIKOAREN IRAGARPENA

Medikuntzan, pronostikoa auresateak epe jakin batean emaitza espezifikoa izateko aukera auresatea esan nahi du, alderdi klinikoak eta ez-klinikoak kontuan izanda. Emaitza horietan heriotza edo konplikazioak bezalako gertaerak sar daitezke,

baita gaixotasunaren progresioa edo min-maila bezalako aldaketa neurgarriak ere [71].

BBGaren testuinguruan, pazientearen bihotz-erritmoa aldatu egiten da berpizte-terapiaren arabera (desfibrilazioa, BBB, botikak, etab.), arrakasta kasuetan PEn amaituz. Desfibrilazio-talkeen bidezko tratamendu elektrikoak ez du bermatzen PE berreskuratzea. Egiaztatu ahal izan denez, arrakastarik gabeko talkek bihotzeko lesioak eragin ditzake eta BZI probabilitatea murriztu. Horri aurre egiteko, desfibrilazio iragarleak proposatu dira [72–77], talkeen momentua optimizatzeko, huts egindako talkeen kopurua murrizteko eta miokardio-kaltea minimizatzeko.

Ber-BBG auresatea, edo pultsua berreskuratu ondoren beste BBG bat jasateko probabilitatea iragartzea, funtsezkoa da pazientearen tratamendua hobetzeko. Ber-BBG jasatea biziraupen-tasa baxuagoekin dago lotuta, horregatik garrantzitsua da gertatu aurretik auresatea. Zenbait ikerketak ber-BBGari lotutako faktoreak ikertu dituzte [78–80], eta metodo automatikoak proposatu dira iragarpen horretan laguntzeko [81].

BBB burutu bitartean BZI probabilitatea iragartzea lagungarria da tratamendua egokitzeko. Pazienteek berpizte-terapiari nola erantzuten dioten jakitea beharrezkoa da terapia gidatzeko. Zenbait ikerketak pronostiko eredu beharra azpimarratu dute, klinikoei erabakiak hartzen laguntzeko. Iragarpen positibo batek egungo esfortzuak aldaketarik gabe jarraitzea iradokitzen du; iragarpen negatibo batek, berriz, tratamendua birkontsideratzea esan nahi du, BBBren kalitatea eta arrazoi itzulgarriak kontuan izanda [82–85].

Zenbait iragarpen eredu ospitaleratu aurreko datu demografikoak erabiltzen dituzte biziraupen probabilitatea eta BZI auresateko. Batzuek ikasketa automatikoko (IA) algoritmoak erabiltzen dituzte, adibidez *random forest* eta *support vector machines* [86–90]; beste batzuek, berriz, ikasketa sakonean (IS) oinarritutako algoritmoak erabiltzen dituzte, esate baterako neurona-sare artifizialak (NSA) [91–93]. Eredu horiek zenbait faktore aztertzen dituzte, hala nola, adina, sexua edo lekukoaren BBB, BBGaren probabilitatea iragartzeko, horrela erabaki klinikoak hartzea erraztuz. Nahiz eta metodo hauek berpizte-terapian txertatzeak etorkizun handikoa izan

emaitzak hobetzeko, beharrezkoa da ikerketa gehiago burutzea eredu horiek hobetzeko eta mundu errealeko datuekin eraginkortasuna ebaluatzeko [94].

PGAE BBGan erritmo nagusietako bat da, eta ikertzaile eta klinikoan arreta erakarri du. PGAE aztertzeke orduan, klinikoek aztertu ohi dituzten ezaugarrien artean BM eta QRS konplexuaren iraupena/zabalera ( $QRS_w$ ) daude, biak ala biak BBG pronostiko adierazle gisa proposatuak. Ikerketa klinikoek funsgabetasuna eta anbiguotasuna adierazten dute. Zenbait azterlanek hasierako  $QRS_w$ , BM eta berpizteko probabilitatearen arteko korrelazioa aurkitu dute [82,95], beste batzuek, aldiz, kontrako ebidentziak aurkeztu dituzte. Adibidez, zenbait ikerketek korrelazioa BM bakarrik aurkitu dute [96], edo  $QRS_w$  proposatu dute bakarkako parametro iragartzaile gisa [97]. Nabarmendu behar da, halaber, zenbait ikerketek ez dutela lortu biziraupen-tasen eta BMaren edo  $QRS_w$ -aren arteko lotura garbirik [70,98].

PGAE pronostiko-faktoreak ulertzeko lan asko egin den arren, ez da alde zurreratik seinale biomedikuek baliatzen den metodo automatikorik garatu. Horrek hutsune handia adierazten du egungo ezagutzan, ikuspegi berritzaileen beharra nabarmenduz, PGAEn pronostikoaren inguruko konplexutasunak argitzeko eta emaitza klinikoak hobetzeko.

## 1.7 TESI LANAREN MOTIBAZIOA

Azken urteotan, PGAEn presentzia izugarri handitu da BBGko hasierako erritmo gisa [99]. Erritmo horren pronostikoa eta tratamendua aztertzeke aurrerapen handiak egin diren arren, oraindik berpiztearekin korrelatuta dauden parametroak eskuz kalkulatu dira [82–85]. Ez da proposatu desfibriladore monitoreek jasotako seinale biomedikuetan oinarritutako eredu automatikurik.

Eredu automatiko batek sorosleei erabaki terapeutikoak hobetzen laguntzeko gaitasuna du, eta biziraupen-tasak handitzen lagundu dezake. Bereizi egin behar dira pronostiko positiboa duten PGAEk (BZI probabilitate handia dutenak) negatiboa dutenetatik.

Ikuspegi multimodal batek EKG, BI edo APItik eratorritako ezaugarriak izan ditzake, PGAEn pronostikoa auresateko aplikatuak izan daitezkeenak [77, 81, 100]. Gaur egun eskuz kalkulatuak ezaugarriak mekanizatzea posible izango litzateke, eredu automatizatuetan errazago integratzeko [40, 42, 54, 55]. Ezaugarri horiek kalkulatzeko, EKGren uhinen posizioak eta API seinalearen puntu bereizgarriak beharrezkoak dira. Hala ere, EKG eta API seinaleen delineazio algoritmoak hemodinamikoki egonkorak diren pazienteentzat garatu eta egiaztatu dira; ez dira egiaztatu gaixo ezegonkorretan, eta, beraz, ez dira fidagarriak.

# 2 | ARTEAREN EGOERA

## 2.1 PGAEAREN PRONOSTIKOAREN IRAGARPENA

90eko hamarkadaren amaieran, Aufderheide et al.-ek (1989) [95] lehen aldiz aztertu zuten PGAE BBGan zeuden pazienteetan. Azterketa horretan, EKGren zenbait patroi alderatu zituzten 503 OKBBG pazienteetan. Ikusi zuten berpizte arrakastatsua hasierako BM azkarrarekin, QRS<sub>w</sub> laburrarekin, QT tarte laburrekin eta P uhinen ugarirekin lortzen zela.

2015. urtean, Hauck al.-ek [101] 262 OKBBG pazienteetan BM eta QRS<sub>w</sub> pazientearen biziraupenarekin (ospitaletik irtetzearekin) nola zeuden erlazionatuta aztertu zuten. Horretarako, hasierako erritmo gisa PGAE zuten pazienteetan lehenengo 20 s-ak hartu zituzten. Ez zuten desberdintasunik aurkitu BM "azkarra" (< 60 beats per minute (bpm)) eta "arrunta" (60-100 bpm) zuten pazienteen artean ( $p = .16$ ), ez eta QRS<sub>w</sub> "estua" (< 120 ms) edo "zabala" (> 120 ms) zuten pazienteen biziraupen-tasan ere ( $p = .79$ ).

Bergum et al.-ek (2016) [70] atzera begirako azterketa bat egin zuten, PGAEko EKG patroiek biziraupenarekin zuten erlazioa aztertzeko. OBBBG pairatu zuten 51 pazienteren BM, QRS<sub>w</sub>, QT zabalera eta P uhinen kopurua bezalako balioak neurtuz. Neurketa horiek paziente bakoitzean erregistratutako lehen PGAEko lehenengo hiru QRS konplexuetan burutu ziren. BM "motela", "normala" edo "azkarra" eta QRS konplexuak "normala" edo "estua" bezala definitu ziren. Ez zuten erlazorik aurkitu neurketa hoién eta BZI, ordubete bizirautearen eta ospitaletik bizirik irtetzearen artean.

Weiser et al.-en azterketan (2018) [96] 504 OKBBG episodiotan BSrik gabeko lehenengo 60 s-ak aztertu ziren. Pazienteak bai BMren arabera (10–24 bpm, 25–39 bpm, 40–59 bpm, > 60 bpm), bai QRS<sub>w</sub>-ren arabera ( $\geq 120$  ms, < 120 ms) sailkatu ziren. Berpiztearen ondorioa 30 egun bizirautea eta egoera neurologiko ona (cerebral performance category (CPC) 1 edo 2, 30 egun beranduago) ziren irteera parametroak. Korrelazio aurkitu zen ondorio onen eta BM altuen artean,  $p < .0001$  30 eguneko biziraupenarekin eta  $p = .001$  egoera neurologiko onarekin. Ez zen korrelaziorik aurkitu QRS<sub>w</sub>-kin.

Ho et al.-en azterketak (2018) BM, QRS<sub>w</sub> eta P uhin kopuruak BZI iragarle modura ikertu zituzten. Atzera begirako analisia burutu zuten 332 OKBBG pazientetan. Bizirik atera zirenek antzeko BM (56.8 vs. 52.0 bpm,  $p = 0,53$ ) eta QRS<sub>w</sub> (128.7 vs. 129.6 ms,  $p = 0,95$ ) erakutsi zituzten, bizirik atera ez zirenekin alderatuta.

Skjeflo et al.-ek (2018) [102] BMren eta QRS<sub>w</sub>-ren eragina neurtu zuten OBBBG jasan zuten 74 pazientetan. BMa handitzea eta QRS konplexua estutzea ohikoagoak zirela ikusi zuten BZIRA iritsi ziren pazienteek. BM nabarmen handitu zen BZIREn aurreko azken 3–6 min-tan.

2021ean, Kim et al.-ek [97] aldagai anitzeko erregresio logistikoaren azterketa egin zuten, BM eta QRS<sub>w</sub> ospitaleko altarekin nola erlazionatzen diren aztertzeko. BBGaren hasierako erritmoan PGAE zuten 3659 pazienteren datuak aztertu zituzten. Ez zuten erlazio esanguratsurik aurkitu BMaren eta biziraupenaren emaitzen artean; hala ere, QRS<sub>w</sub> < 120 ms kasuetan bizirauteko probabilitate handiagoa zela ikusi zuten (3.37ko ratio doitua).

Norvik et al. gure kolaboratzaileek (2023) [82], 298 pazienteko 559 segmentu ikertu zituzten. Azterketa horren helburua izan zen zehaztea faktore horiek nola lotzen diren OBBBGko BZIREkin. BM handiagoa eta handitzeak BZI probabilitate handiagoarekin lotuta zeudela ikusi zen ( $p < .0001$ ); BM, berriz, ez zen lotu BZI ez izatearekin ( $p = .349$ ). QRS<sub>w</sub> estua izatea eta estutzea BZI aukera handiagoarekin ( $p < .023$ ) eta BZI ez izateko aukera txikiagoarekin ( $p = .0002$ ) lotu ziren.

PGAERen pronostikoaren iragarpeneko erreferentzien laburpena 2.1 taulan ageri da. Iragarleen artean, BM eta QRS<sub>w</sub> nabarmentzen



dira. Ikerketa horien ondorioetan kontraesan batzuk ageri dira. Batzuek BM,  $QRS_w$  eta emaitzaren arteko korrelazioak aurkitu zituzten; beste batzuek, berriz, BM edo  $QRS_w$ -rekin soilik identifikatu zituzten korrelazioak. Aufderheide et al.-en kasuan, ez zen korrelaziorik aurkitu emaitzaren eta iragarleen artean.

Ikerketen laburpena						
Ikerlana	Paziente mota	Paziente kopurua	Sartzeko irizpideak	Ezaugarriak	Berpizte irizpidea	Ondorioak
Aufderheide et al. [95]	OKBBG	503	PGAE lehen erritmoa duten pazienteak	BM, $QRS_w$ , QT zabalera eta P uhin kopurua	Berpizte arrakastatsua	Berpizte arrakastatsua hasierako BM azkarrarekin, $QRS_w$ labur-rarekin, QT tarte laburrekin eta P uhinen ugari izatearekin lotuta.
Hauck et al. [101]	OKBBG	262	PGAE lehen erritmoa duten pazienteen lehen 20 s-ak	BM eta $QRS_w$	Bizirautea ospitaletik alta jaso arte	Desberdintasunik ez BM "azkarra" eta "arrunta"-en artean ( $p = .16$ ), ez eta $QRS_w$ "estua" edo "zabala"-en artean ere ( $p = .79$ ).
Bergum et al. [70]	OBBBG	51	BS pausako lehen hiru QRS konplexuak	BM, $QRS_w$ , QT zabalera eta P uhin kopurua	BZI, ordubete bizirautearen eta bizirautea ospitaletik alta jaso arte	Erlaziorik ez neurteta eta BZI, ordubete bizirautearen eta ospitaletik bizirik iritzearen artean.
Weiser et al. [96]	OKBBG	504	BS gabeko lehen 60 s-ak	BM eta $QRS_w$	Berpiztearen ondorioa 30 egun bizirautea eta egotera neurologiko onak	Korrelazioa ondorio onen eta BM altuen artean ( $p < .0001$ 30 eguneko bizirauteparekin) eta ( $p = .001$ egotera neurologiko onarekin). Korrelaziorik ez $QRS_w$ -kin.
Ho et al. [98]	OKBBG	332	PGAE segmentuak	BM, $QRS_w$ , eta P uhin kopurua	BZI	Antzeko BM eta $QRS_w$ bizirik ateratzen ziren pazienteetan.
Skjeflo et al. [102]	OBBBG	74	Lehen BS pausako QRS konplexuak	BM eta $QRS_w$	BZI	BMA handitzea eta QRS konplexua estutzea ohikoagoa BZira iritsi ziren pazienteetan. Bihotz-maiztasuna nabarmen handitu zen BZiren aurreko azken 3-6 min-tan.
Kim et al. [97]	OKBBG	3659	PGAE segmentuak	BM eta $QRS_w$	Bizirautea ospitaletiko alta jaso arte	Erlazio esanguratsurik ez BM eta bizirautearen artean. $QRS_w < 120$ ms kasuetan bizirauteko probabilitate handiagoa.
Norvik et al. [82]	OBBBG	298	Lehen BS pausako QRS konplexuak	BM eta $QRS_w$	BZI	BM handiagoa eta handitzeak BZI probabilitate handiagoarekin lotuta ( $p < .0001$ ); BM eta BZI ez izatearen artean loturarik ez ( $p = .349$ ). $QRS_w$ estua eta estutzea BZI aukera handiagoarekin lotuta ( $p < .023$ ) eta BZI ez izateko aukera txikiagoarekin ( $p = .0002$ ) lotuta.

2.1. taula. PGAEren pronostikoaren iragarpeneko erreferentzia nagusien laburpena

## 2.2 EKG, BI ETA API SEINALEEN EZAUGARRITZEA

Atal honetan, EKG, BI eta APIren ezaugarri nagusiak aurkezten dira. Batzuk ezaugarri orokorrak dira, hala nola EKGren QRS metrikak edo APIren presio-metrikak. Beste batzuk BBGaren ezaugarri espezifikoagoak dira *amplitude spectrum area* (AMSA) edo fuzzy entropy bezala. Askotan, eredu multimodaletan erabiltzen dira, erritmoa sailkatzeko, gertaerak atzemateko edo BZI auresateko. Ezaugarriak hiru kategoriatan sailkatzen dira, jatorriaren arabera.

## 2.2.1 UHIN FORMAREN EZAUGARRIAK

Hurrengo ezaugarriak algoritmo automatikoak erabiliz kalkulatu dira, eta kalkulatzeko erabiltzen den seinalearen uhin-forma islatzen dute.

- **AMSAk** seinalearen espektror-ampplitudeen,  $A_i(f_i)$ , batura adierazten du, eta ampplitude bakoitzari ( $f_i$ ) dagokion maiztasunaren arabera haztatuta dago [103, 104]. Definizio zabalaren arabera honela kalkulatu da:

$$\text{AMSA} = \sum_i A_i \cdot f_i \quad (1)$$

Talka elektrikoaren arrakasta iragarle gisa nabarmendu da, eta perfusio koronarioaren presioaren eta miokardio-energiaren egoeraren adierazle da [105, 106].

- **Smoothed Nonlinear Energy Operator (SNEO)** seinalearen tokiko energia-edukia kuantifikatzen du.  $x(n)$  seinalea Kaiser leiho baten,  $w_L(n)$ , eta Teager-Kaiser Energy Operator baten,  $\psi_k[x(n)]$ , arteko konboluzioa da [107]:

$$\psi_{S,L}[x(n)] = \psi_k[x(n)] \otimes w_L(n) \quad (2)$$

$\psi_k[x(n)]$  ondorengo ekuazioa erabilita kalkulatu da:

$$\psi_k[x(n)] = x^2(n) - x[n-k]x[n+k] \quad (3)$$

non  $k$  leihoaren luzerarekin ( $L$  lagin) lotzen den latentzia-parametroa den,  $L = 4k + 1$ .

Oro har, QRS konplexuak detektatzeko [108], zirkulazio-egoera identifikatzeko [100] eta talka elektrikoaren arrakasta iragartzeko erabili izan da [107].

- **ARB** seinalearen espektror-potentzia zenbatesteko akatsa da, 4 ordenako Burg eredu autoerregresibo bat erabiltzean. Ereduak akats txikiagoa egiten du espektror-potentziaren zenbatespean, oinarrizko maiztasun batean eta haren harmonikoetan

zentratzen diren espeketroak dituzten seinaleentzat [109,110].  $x(n)$  honela eredu ahala da:

$$x(n) = - \sum_{k=1}^4 a_k s(n-k) + v(n) \quad (4)$$

non  $v(n)$  batez bestekoa zero eta bariantza  $\sigma_v^2$  dituen zarata zuri independentearen sekuentzia bat den, eta  $a_k$  koefizienteak ereduko koefiziente autorregresiboak diren.

Parametro horrek aplikazioak aurkitu ditu zirkulazio-egoeraren identifikazioan [100] eta bihotz-erritmoaren sailkapenean [110].

- **cross-powerek** ( $\text{ECGvsTI}_{\text{CrossPower}}$ ) ezaugarriak EKG eta BI seinaleen arteko potentzia gurutzatua neurtzen du, eta honela definitzen da [111]:

$$\text{ECGvsTI}_{\text{CrossPower}} = \min(P_{c1}, P_{c2}) \quad (5)$$

non  $P_{c_k}$   $k$  garren sekuentzia erdiaren potentzia den, honela kalkulatua:

$$P_{c_k} = \frac{1}{N/2} \sum_{n=1}^{N/2} |ecg_k[n]| \cdot |TI_k[n]| \quad (6)$$

$ecg_k[n]$  eta  $TI_k[n]$   $k$  garren EKG eta BI seinaleen laginak izanik.

$\text{ECGvsTI}_{\text{CrossPower}}$  balio altua pultsudun erritmo adierazle da, eta zirkulazioa automatikoki detektatzeko iradoki izan da [111].

- **Fuzzy entropy** Chen et al.-ek [112] proposatu zuten bektoreen arteko korrespondentzia modu leun eta mailakatuan neurtzeko, multzo lausoen teoriatik abiatuta. Seinaleen laginak,  $x(n)$  bezala adieraziak, bektore-multzotan banatzen dira, eta horietako bakoitzak, berriz,  $m$  lagin ditu. Guztira sortutako bektoreen kopurua  $N - m + 1$  da.  $N$  tarteko lagin-kopuru totala da. Ondoriozko egitura bektorialean, hau da,  $x_i^m = \{x(i), x(i+1), \dots, x(i+m-1)\}$ , honela kentzen da oinarri-lerroa:

$$x_i^m = \{x(i), x(i+1), \dots, x(i+m-1)\} - \frac{1}{m} \sum_{l=0}^{m-1} x(i+l) \quad (7)$$

Norma maximoa ( $L_\infty$ -norm) bi bektoreen arteko Chebyshev-en distantzia neurtzeko erabiltzen da,  $\mathbf{x}_i^m$  eta  $\mathbf{x}_j^m$ , eta honela adierazten da:

$$d_{ij} = \max_{k=0, \dots, m-1} (|x(i+k) - x(j+k)|) \quad (8)$$

Gero eta distantzia handiagoarekin esponentzialki txikitzen diren funtzioen multzoa erabiliz kalkulatu dira bat etortzeak. Funtzio hauek,  $D_{ij}^m(n, r) = \exp\left(-\left(\frac{d_{ij}}{r}\right)^n\right)$  bezala definituak,  $n = 2$  balioarekin eta  $D_{ij}^m(2, r) = \exp\left(-\left(\frac{d_{ij}}{r}\right)^2\right)$  distantzia Gaussiarrarekin kalkulatu dira [113, 114]. Bat etortzeak ondorengo funtzioen bidez kalkulatu dira:

$$C_i^m(r) = \frac{1}{N-m-1} \sum_{j=1, j \neq i}^{N-m} D_{ij}^m(2, r) \quad (9)$$

Ondorengo adierazpenaren bidez lortzen da  $m$  luzera-bektore bi bat etortzeko probabilitatea,  $r$  tolerantzia tarte baten barruan:

$$\phi_m(r) = \frac{1}{N-m} \sum_{i=1}^{N-m} C_i^m(r) \quad (10)$$

Prozedura bera erabiltzen da  $m+1$  lagineko bektorearentzat  $\phi_{m+1}(r)$  lortzeko eta fuzzy entropy honela kalkulatu da:

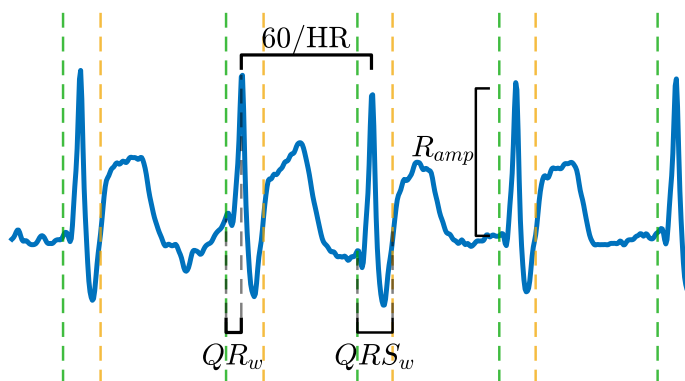
$$\text{Fuzzy entropy}(m, r, N) = \ln \phi_m(r) - \ln \phi_{m+1}(r) \quad (11)$$

## 2.2.2 QRS KONPLEXUKO EZAUGARRIAK

Ondorengo ezaugarriak QRS konplexuaren puntu bereizgarrietan oinarrituta kalkulatu dira. Horrek esan nahi du beharrezkoa dela

QRS hasiera ( $QRS_{on}$ ), QRS amaiera ( $QRS_{off}$ ) eta R uhin-gailurra aurretiaz definitzea. Ezaugarrien ilustrazio grafikoa 2.1 irudian ageri da.

- $HR$  eta  $HR_{var}$  BM balioaren batez besteko eta bariantzia dira, elkarren segidako RR tarteen alderantzizko gisa kalkulatu.
- $QRS_w$  eta  $QR_w$   $QRS_{on}-QRS_{off}$  eta  $QRS_{on}-R$  gailur bitartean zabalerak dira.
- $QRS_{slope}$  eta  $QR_{slope}$  kalkulatzeko, QRS eta QR konplexuen anplitude-balioen batura kalkulatu da diferentzia-seinalean, ondoren hurrenez hurren,  $QRS_w$  eta  $QR_{width}$  balioengatik zatikatzen dira.
- $R_{amp}$  segmentuko R uhin-gailurren anplitudeen batez besteko balioa da.



2.1. irudia. 5 s-ko EKG adibide bat,  $HR$ ,  $QR_{width}$ ,  $QRS_w$  eta  $R_{amp}$  irudikatuta.  $QRS_{on}$  eta  $QRS_{off}$  lerro eten berde eta laranja bidez adierazten dira, hurrenez hurren.

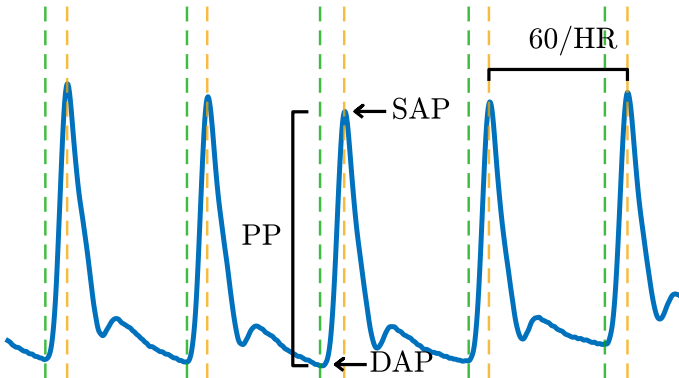
### 2.2.3 API EZAUGARRIAK

Ondorengo ezaugarriak API seinalearen puntu bereizgarrietan oinarrituta kalkulatu dira. Horretarako beharrezkoa da hasiera diastolikoa ( $Dia_{onset}$ ) eta gailur sistolikoa ( $Sys_{peak}$ ) momentuak izatea. Ezaugarrien ilustrazio grafikoa 2.2 irudian ageri da.

- Arteria-presio sistolikoa (**SAP**):  $Sys_{peak}$  unean API seinaleak duen balioa da, bihotz-uzkurduan arterietako presio maximoa adierazten du.
- Arteria-presio diastolikoa (**DAP**):  $Dia_{onset}$  unean API seinaleak duen balioa da, bihotza erlaxatzean neurtutako presiorik txikiena.
- Pulstu presioa (**PP**): SAP eta DAP irakurketen arteko diferentzia da, eta bihotz-uzkurduan arteria-paretetan eragindako indarra islatzen du.
- Batez besteko arteria-presioa (**MAP**): Bihotz-ziklo bateko batez besteko arteria-presioa da, eta formula hau erabiliz kalkulatzen da [115]:

$$MAP = DAP + \frac{1}{3}PP \quad (12)$$

- **HR**:  $Sys_{peak}$  momentuen arteko bataz besteko distantziaren alderantzizko balioa bezala kalkulatzen da.



2.2. irudia. 5 s-ko API adibide bat, SAP, DAP, PP eta HR irudikatuta.  $Dia_{onset}$  eta  $Sys_{peak}$  lerro ten berde eta laranja bidez adierazten dira, hurrenez hurren.

### 2.3 ADIMEN ARTIFIZIALAREN EREDUAK BERPIZTE TERAPIAN

Gaur egun, adimen artifiziala (AA) leku guztietan dago gizartea guztiz birmoldatuz: auto autonomoetan, finantzen iruzurra atze-

maten, laguntzaile birtualetan, bezeroari ematen zaion zerbitzua hobetzen eta fabrikazio-prozesuak optimizatzen. Berpizte terapian, AA erabiliz hainbat proposamen egin dira ondorengo gaitan: talka elektrikoen arrakasta iragarpenean [107, 116], erritmoen klasifikazioan [110, 117, 118], berpizte arrakastaren iragarpenean [119–121], BB-Baren monitorizazioan [122, 123], epe laburreko bihotz-erritmoen auresatean [124–126], zirkulazioa monitorizatzen [100, 111, 127], etab. Tesi honetan AAren potentziala PGAEn bilakaera auresateko erabiltzen da. IAaren bidez, ereduak errendimendua hobetu dezakete, programazio espliziturik gabeko datuetatik ikasiz, eta informazio berriei erantzuteko egokitzapena eta bilakaera erraztuz. IAren ikuspegi klasikoa hiru urratsetan datza: seinaleetatik ezaugarriak ateratzea, IAren ereduaren diseinua eta entrenamendua, eta ereduak ebaluatzea.

### 2.3.1 IA EREDUAK

IA, ( $\mathbf{X}$ ) sarrera-parametroak zenbaki- edo kategoria-ezaugarrien bektoreari dagokio, eta ereduko patroiak bereizteko aukera ematen du. ( $Y$ ) irteera-parametroa ereduak auresan nahi dituen balioen bektorea bezala definitzen da. Jarraian deskribatzen diren hiru ereduak dira tesi lan honetan erabilitako eredu nagusiak.

**Logistic regression (LR)**, sailkapen bitarrerako IAren teknikak, eskuarki 0 edo 1 etiketa duten emaitzak iragartzen ditu. Eredu horrek funtzio logistiko bat aplikatzen du balio errealeko sarrerak probabilitate bihurtzeko, 0-1 tartean.

$$P(Y = 1|X) = \frac{1}{1 + e^{-(\beta_0 + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_n X_n)}} \quad (13)$$

Non:

- $P(Y = 1|X)$ -k  $Y$  irteerak iragarpena 1 izateko probabilitatea adierazten duen sarrera ezaugarriak  $\mathbf{X}$  direnean.
- $e$  logaritmo naturalaren oinarria adierazten du.
- $\beta_0, \beta_1, \dots, \beta_n$  erregresio logistikoaren koefizienteak dira,  $n$  ordenakoak.

- $X_1, X_2, \dots, X_n$   $\mathbf{X}$  ezaugarri bektoreko iragarle indibidualei erreferentzia egiten die.

Entrenamenduan, koefizienteak iteratiboki doitzen dira, aurreikusitako emaitzen eta benetako emaitzen arteko aldea minimizatzeko. Doitze-prozesu horrek optimizazio-teknikak erabiltzen ditu, hala nola gradientearen jaitsiera.

LR bereziki eraginkorra da ezaugarrien eta emaitzen arteko erlazioak eredu lineal bat jarraitzen duenean, eredu hori egokia da sailkapen bitarreko zereginetarako. Sinpletasunak eta interpretagarritasunak balio handia ematen diote banakako iragarleek emaitzan duten eragina ulertzeko.

BBGaren arloan, LR ereduak hainbat helbururekin proposatu dira, besteak beste, bizirauteko probabilitatea auresateko [128], talka elektrikoen arrakasta iragartzeko [77], edo ber-BBGaren probabilitatea kalkulatzeko [80].

**Random Forest-ek (RF)** hainbat erabaki-zuhaitz eraikitzen ditu entrenamenduan, ondoren zuhaitz horien emaitzen moda (sailkapenerako) edo batez bestekoa (erregresiorako) kalkulaten ditu. Zuhaitz bakoitza entrenamendu-datuen azpimultzo bat erabiliz eraikitzen da, ordezeko laginketa bidez [129, 130]. RF optimizatzeko, hiperparametroak doitu behar dira, hala nola zuhaitzen kopurua eta sakonera. Ezaugarrien garrantzia neurtzen du, eta doitasunerako eragina duten auresaleak identifikatzen ditu. Aldagai horiek guztiak optimizatuz gero [131].

RF ondo dabil ezaugarri-mota mistoak dituzten datu-multzo handiak eta konplexuak lantzeko. Sendoa da gaindoitzearen eta zarataren kontra, eta sailkapen eta erregresio arazoetarako egokia da [132, 133]. RF ondo dabil problema lineal eta ez-linealetan.

BBGaren esparruan, IA ereduak hainbat aspektutan erabili dira, berpizte arrakaste auresateko [91, 119], bihotz erritmoak sailkatzeko [134, 135], eta pultsua detektatzeko [104].

**Support Vector Machines (SVM)** sailkapen problemetarako erabiltzen den IA eredu tipiko bat da. Haren helburua ahalik eta hiperplanorik onena,  $\mathbf{w} \cdot \mathbf{X} + b = 0$ , aurkitzea da. Hiperplano horrek bereizi egiten ditu klaseak ezaugarrien espazioan, non  $\mathbf{w}$  pixu-



bektorea,  $\mathbf{X}$  sarrera-bektorea, eta  $b$  alborapen-terminoa diren. Klaseen arteko tartea maximizatu egiten da optimizazio-problema ebatziz:

$$\min_{\mathbf{w}, b} \frac{1}{2} \|\mathbf{w}\|^2 \quad (14)$$

non:

$$y_i(\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1, i = 1, \dots, N \text{ baiointzat} \quad (15)$$

non  $(\mathbf{X}_i, Y_i)$  entrenamendu laginak eta etiketak diren eta  $N$  lagin kopurua.

SVM excels with high-dimensional data and small or moderate datasets. Moreover, erraz heda daiteke problema ez-linealetarako. Kardiologian, zenbait ezaugarriekin entrenatutako SVM ereduak proposatu dira berpizte terapiaren arrakasta [91], talka elektrikoen arrakasta [77, 136] eta ber-BBGa iragartzeko [137].

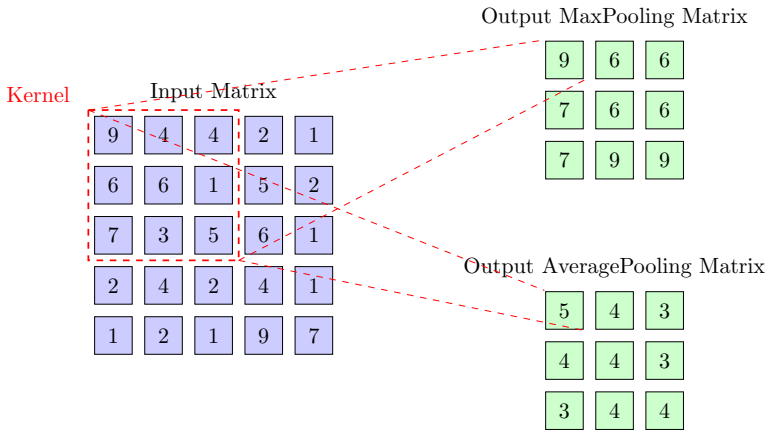
### 2.3.2 IS EREDUAK

IS geruza anitzeko NSAk (hortik dator "sakon" terminoa) erabiltzen dituen IA azpierreku bat da, datuetatik abiatuta eredu konplexuak ikasteko [138, 139].

Warren McCulloch eta Walter Pittsek [140] NSA kontzeptua plazaratu zuten 1943an, garunaren egituraren oinarritutako eredu konputazionalak direlarik. Elkarren artean konektatutako nodoak dira, geruzen bidez sarrera-datuak prozesatzen dituzte, eta entrenamenduan konexio-pisuak doitzen dituzte, akatsak minimizatzen.

Bost geruza mota nagusi daude:

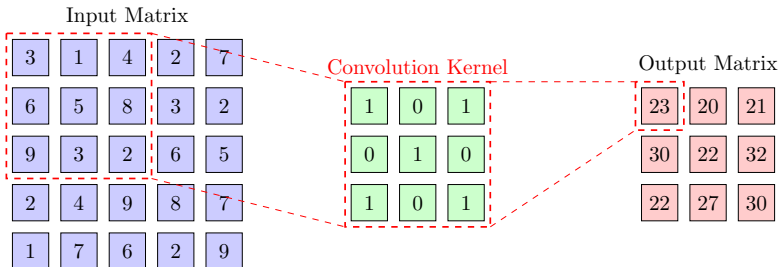
1. **Pooling geruza:** Ezaugarrien mapen tamaina txikitzen du, informazio garrantzitsua mantenduz eta ezaugarriak laburtzen lagunduz. Bi multzokatze-metodo nagusi daude: Max Pooling, nukleoko baliorik altuena jasotzen duena, eta Media Pooling, nukleoko balio guztien batez bestekoa kalkulatuena duena [141]. 2.3 irudiak bi taldekatze-tekniken adibideak erakusten ditu.
2. **Dropout geruza:** Neurona batzuk ausaz desaktibatzen ditu, eta gehiegizko egokitzapena saihesten du, haien arteko indepen-



2.3. **irudia.** Pooling geruzaren emaitza erakusten da, 3x3 nukleo bat aplikatuz, sarrera-datuena bidez mugituz (purpura), eta maximoa (goian, berdea) edo batez bestekoa (behean, berdea) balioak emanez.

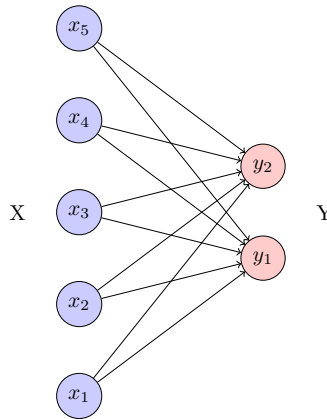
dentzia errazten baitu [142]. Horrek handitu egiten du ereduak datu berrietara orokortzeko duen gaitasuna.

3. **Konboluzio geruza:** Konboluzio geruzak zenbait ezaugarri hautematen ditu, hala nola, sarrerako ertzak edo ehundurak, iragazkiak aplikatuz eta datuetako espazio-erlazioak zainduz. Nukleoak datuak lerratzen ditu, eta eragiketa matematikoak egiten ditu posizio bakoitzeko ezaugarriak kalkulatzeko [143, 144]. 2.4 irudian ageri da kalkulu hori. Convolutional Neural Network (CNN) hainbat konboluzio geruzaz osatuta dago ezaugarriak detektatzeko eta patroiak antzemateko.



2.4. **irudia.** Konboluzio-prozesuaren emaitza erakusten da, 3x3 (berdea) nukleo bat aplikatuz, sarrerako datuena bidez mugituz (purpura) eta irteera kalkulatzeko (gorria).

4. **Long short-term memory (LSTM) geruza:** LSTM geruza neurona-sare errepikari mota bat dena, gai da epe luzeko mendekotasunak atzemateko datu sekuentzialetan, hala nola, denborazko serieetan edo testuetan. Memoria-zelulen eta gating-mekanismoen bidez, sekuentzia zabalduer buruzko informazio garrantzitsua gordetzen eta prozesatzen du selektiboki, harilkatzeko gradientearen arazoa arinduz eta aldi baterako mendekotasunen eredutzea eskatzen duten zereginetarako eraginkor eginez [43].
5. **Fully Connected geruza:** Aurreko geruzen ezaugarriak konbinatzen ditu azken sailkapenerako. Bere konexio eta ezaugarri zabalak direla eta, geruza honek baliabide konputazional handiak behar ditu [145]. 2.5 irudian Fully Connected geruza bat irudikatzen da.



2.5. irudia. Fully connected geruzak sarrera (x1-x5) irteerarekin (y1,y2) lotzen du.

Geruza horiek elkarrekin lan egiten dute datuetan eredu konplexuak ikasteko, NSA eraginkorra izan dadin irudiak ezagutzeko eta objektuak detektatzeko, adibidez.

Medikuntza arloan, ISeko ereduak azterketa medikuak hobetzen dituzte, batez ere irudien interpretazioan eta pazientearen datuen azterketan oinarritzen diren auresate ereduetan. CNN eta *Recurrent Neural Networks* bezalako tekniken bidez, gaixotasunak goiz detektatzen laguntzen dute irudi medikuetatik abiatuta [146–148], tratamendu pertsonalizatuak burutzen ditu pazientearen erregistroak

erabiliz [149–151]. BBGaren esparruan berriz, ISaren ereduak bihotz geldialdia aurrerateko, geldialdiaren amaiera aurrerateko, bihotz-erritmoak sailkatzeko [152], berpizte terapiaren arrakasta aurrerateko [152, 153] edota arritmiak sailkatzeko erabili izan dira [154, 155].

### 2.3.3 EBALUAZIOA

Ebaluazio-metrikak funtsezkoak dira sailkapen-ereduen eraginkortasuna ebaluatzeko. Aplikazio bitar batean, kasu positiboekin eta negatiboekin, funtsezko metriketan *True Positive* (LP) eta *True Negative* (TN) sartzen dira, zuzenki positibotzat eta negatibotzat jotzen diren kasuak adierazten dituzte, hurrenez hurren; *False Positive* (FP) eta *False Negative* (FN), berriz, gaizki sailkatutako kasuak adierazten dituzte, positibotzat eta negatibotzat jota, hurrenez hurren.

Metrika horietatik abiatuta, zenbait metrika osagarri kalkulatu dira:

- Sentikortasuna (Se) zuzen identifikatutako benetako positiboaren proportzioa neurtzen du:

$$Se = \frac{TP}{TP + FN} \quad (16)$$

- Espezifikotasunak (Sp) zuzen identifikatutako benetako negatiboaren proportzioa neurtzen du:

$$Sp = \frac{TN}{TN + FP} \quad (17)$$

- Iragarpen positiboaren balioak (Positive predictive value, PPV) benetako iragarpen positiboaren proportzioa adierazten du:

$$PPV = \frac{TP}{TP + FP} \quad (18)$$

- Iragarpen negatiboaren balioak (Negative predictive value, NPV) benetako iragarpen negatiboaren proportzioa adierazten du:

$$NPV = \frac{TN}{TN + FN} \quad (19)$$

- Doitasun orekatuak (Balance accuracy, BAC) sentikortasunaren eta espezifikotasunaren batez bestekoa adierazten du:

$$BAC = \frac{Se + Sp}{2} \quad (20)$$

- Zehaztasunak (Accuracy) iragarpenen zehaztasun orokorra neurtzen du:

$$Accuracy = \frac{TP + TN}{TP + FP + FN + TN} \quad (21)$$

- ROC Kurbaren azpiko azalerak (Area Under the ROC Curve, AUC) zenbatesten du ereduak zer gaitasun duen emaitza positiboak eta negatiboak atalase guztien bidez bereizteko.

K-azpimultzoko balioztatze gurutzatua (Cross validation, CV) eredu prediktiboak garatu eta ebaluatzeko ohiko teknika bat da, bereziki erabiltzen dena datu-multzo mugatuak daudenean. Datuak  $k$  azpimultzotan banatzen ditu. Ondoren, entrenamendu-ebaluazio prozesua  $k$  aldiz errepikatzen da, iterazio bakoitzean azpimultzo desberdina erabiliz, eta gainerakoak entrenamendu-multzo gisa erabiliz. Horri esker, ereduaren ebaluazio sendoagoa egin daiteke, eta gehiegizko egokitzapena hautematen laguntzen du [156,157].

## 2.4 EKG DELINEATZAILEA

EKGaren analisi klasikoa QRS uhinaren karakterizazioan oinarritzen da. Pauso nagusiak QRS konplexua detektatzea eta delineatzea dira, 2.2.2 azpiatalean adierazi den moduan. Algoritmo klasiko asko proposatu dira QRS konplexuak detektatzeko [158–162] eta beste hainbat QRSak delineatzeko [40–48]. Algoritmo gehienak bihotz-erritmo egonkorrekin garatu eta egiaztatu dira. Hona hemen algoritmo nagusiak.

### 2.4.1 HAMILTON-TOPKINS EKGAREN DELINEATZAILEA

QRS konplexuak atzemateko algoritmo erabilienetako bat Hamilton-Tompkinsek 1986an proposatutakoa da [159]. Algoritmo

hura hiru etapatan banatzen da: EKGaren aurreprozesatzea, QRSaren gailurra atzematea eta marka-bereizgarriak identifikatzea.

#### AURREPROZESATZEA

Seinaleari banda-paseko iragazki bat aplikatzen zaie, behe-paseko eta goi-paseko iragazki baten bidez osatua. Urrats honek zarata ezabatzeko eta bihotzaren jarduera nabarmentzeko balio du, 5–11 Hz-ko maiztasun-bandaren barruan. Behe-paseko eta goi-paseko iragazkien transferentzia-funtzioak,  $H(z)_l$  and  $H(z)_h$ , honela adieraz daitezke:

$$H(z)_l = \frac{(1 - z^{-6})^2}{(1 - z^{-1})^2} \quad (22)$$

$$H(z)_h = \frac{(-1 + 32z^{-16} + z^{-32})}{(1 - z^{-1})} \quad (23)$$

Ondoren, deribazio iragazki bat aplikatzen da QRS konplexuaren malda-ezaugarriak handitzeko. Irabazia 1/8 duen eta bi lagineko atzerapena duen 5 puntuko iragazki baten kasuan, hau da  $H(z)_d$  transferentzia-funtzioa:

$$H(z)_d = \frac{1}{8}(-z^{-2} - 2z^{-1} + 2z^1 + z^2) \quad (24)$$

Gero, seinalea ber bi egiten da gailur handienak anplifikatzeko, normalean QRS konplexuenak direla. Azkenik, batez besteko iragazki mugikor bat aplikatzen da QRS konplexuaren iraupena kalkulatzeko, 150 ms-ko leihoaren batez besteko tamaina erabiliz.

#### GAILURREN DETEKZIOA

Gailur-detektagailuak gailurrak identifikatzen ditu batez besteko denbora seinalean. Anplitude altuenen jarraipena egiten du eta gailur berri bat detektatzen du seinalea maila maximoaren erditik behera erortzen denean. Gaiur horiei dagokien QRS uneak definitzeko, aurreprozesatutako seinalean une horren aurreko 225-125 ms bitartean balio maximoa bilatzen da.

## GAILUR MAILA ZENBATESTEA

Gailur lokalaren kokapena kalkulatzeko erabiltzen den metodoak eragin nabarmena du QRS detektagailuan. Metodo gehienak batez bestekoan, erdibitzailean edo iterazio-zenbatespenean oinarritzen dira.

- Batez besteko zenbatesleak tokiko gailur maila kalkulatzeko du, aurreko gailurren batez bestekoa eginez.
- Erdibideko zenbatesleak tokiko gailur maila kalkulatzeko du, aurreko gailurren erdibidekoa kalkulatuaz.
- Lehen ordenako zenbatesle iteratiboak ondorengo formula erabiltzen du tokiko gailur maila kalkulatzeko:

$$\text{Zenbatesle}(n) = (1 - A) \times \text{Zenbatesle}(n - 1) + A \times \text{Gailurra}(n) \quad (25)$$

non  $A;1$  koefiziente positiboa da.

## DETEKZIO ATALASEA

Detekzio-atalasea gainditzen duen edozein gailur (26 ekuazioaren bidez kalkulatu) QRS konplexutzat hartzen da, eta detekzio atalasea egokitzeko erabiltzen da, hala nola:

$$\text{Detekzio atalasea} = B \times \text{Gailur maila} \quad (26)$$

non  $B \in [0, 1]$ .

### 2.4.2 LI ET AL. EKGAREN DELINEATZAILEA

Li et al.-en delineatzaileak [41] Wavelet Transform (WT) aplikatzen du EKGaren seinaleetan puntu bereizgarriak detektatzeko. WT formatua denbora-maiztasuna aztertzeke teknika bat da, seinaleak bloketan/eskaletan banatzen dituen, eta intereseko banda bakoitza seinalearen hurbilketa-xehetasun koefizienteei dagokie.

## R GAILURREN DETEKZIOA

Algoritmo honek xehetasun koefizienteak aztertzen ditu,  $d_1$ ,  $d_2$ ,  $d_3$  eta  $d_4$  (250 Hz laginketa maiztasun batekin 62.5 – 125 Hz, 18 – 58.5 Hz, 8 – 27 Hz eta 4 – 13.5 Hz bandei dagozkienak) atalaseak gainditzen dituzten "modulu maximoko lerroak" detektatzeko ( $\epsilon_1$ ,  $\epsilon_2$ ,  $\epsilon_3$  eta  $\epsilon_4$ ).

Moduluaren gehieneko atalaseak hautatzeko, neurri hauek hartzen dira:

1.  $d_4$  xehetasunean  $\epsilon_4$  gainditzen duten puntu guztiak identifikatu.
2. Identifikatutako puntu horien inguruan maximoak aurkitu  $d_3$ ,  $d_2$  eta  $d_1$  xehetasunetan.
3. Hainbat gailur badaude  $\epsilon_1$ ,  $\epsilon_2$ , eta  $\epsilon_3$  atalaseak gainditzen dituztenak, bakoitza bere xehetasun koefizientean, gailur altuena hautatzen da. Atalaseak gainditzen dituen gailurrik ez badago, jatorrizko puntutik hurbilen dagoen gailurra aukeratzen da. Hala ere, gailurrik ez badago, zeroan jarriko da.

Modulu maximoko linea isolatu eta erredundante guztiak kendu ondoren, QRS konplexu gisa identifikatzen dira WTko zero gurutzatze-puntuak, gutxieneko momentu positibo eta negatibo bat duen xehetasun koefizientearen barruan. Babes gehigarriak aplikatzen dira, hala nola, aldi errefraktario bat edo atalase doitua dituen atzera begirako bilaketa bat, denbora-tarte jakin batean QRS detektatzen ez bada.

## $QRS_{on}$ ETA $QRS_{off}$ UNEEN DETEKZIOA

2.1 irudian (2.2.2 azpiatala) ageri den moduan,  $QRS_{on}$  eta  $QRS_{off}$  uneak Q uhinaren hasierako aldiunean eta S uhinaren amaieran zehazten dira, hurrenez hurren. Q eta S uhinek maiztasun handiko eta anplitude txikiko ezaugarriak izaten dituzte, eta beren energia koncentratuak, batez ere, koefiziente txikiagoetan koncentratzen dira.

Algoritmoak  $QRS_{on}$  eta  $QRS_{off}$  kalkulatuak ditu, R uhinaren momentu maximoaren inguruan hasierako eta bukaerako modulu max-



imoko lerroak identifikatuz denbora jakin batean. Detekzio hori  $d_1$  xehetasunean egiten da, jatorrizko seinalearen ordeztu, oinarri-lerroaren inpaktua arintzeko.

#### 2.4.3 MARTINEZ ET AL. EKGAREN DELINEATZAILEA

Martinez et al.-en [40] autoreek Li et al.-en [41] algoritmo detektatzailea orokortu zuen, QRS uhinen delineazioa morfologia desberdina zuten konplexuetara egokituz. Hurrengo urratsak jarraitzen ditu.

##### R GAILURREN DETEKZIOA

QRS konplexuak R unean,  $n_{qrs}$ , detektatzen dira eskala anitzeko Li et al.-en metodoa jarraituta [41].

##### $QRS_{on}$ ETA $QRS_{off}$ UNEEN DETEKZIOA

Detektagailuak identifikatutako posiziotik abiatuta, QRS konplexuaren bigarren mailako uhinak EKGaren seinalearen  $d_1$  eta  $d_2$  xehetasunetan dauden onarri-lerroa igarotze puntuak eta maximo/minimoak erabilia identifikatzen dira, hurrenez hurren. Iraupen eta anplitude atalase batzuk aplikatzen dira, eta hiru uhin edo gutxiagoko QRSaren edozein morfologia hartzen da kontuan. Ondoren, bigarren mailako uhinen mugetan  $QRS_{on}$  eta  $QRS_{off}$  puntuak aukeratzen dira, anplitude txikiko atalase edo maximoaren/minimoaren balio baten bidez emanak  $d_2$  xehetasunean.

#### 2.4.4 PEIMANKAR ET AL. EKGAREN DELINEATZAILEA

Peimankar et al.-ek [43] DENS-ECG izeneko delineazio metodo bat garatu zuten CNN eta LSTM geruzak erabilia QRS konplexuen hasiera, gailurra eta amaiera identifikatzeko QRS konplexu bakoitzean.

##### AURREPROZESATZEA

Lehenengo, EKG seinaleari 3. ordenako Butterworth banda-paseko (0.5 – 40 Hz) iragazki bat aplikatzen zaio zarata ezabatzen. Ondoren

seinaleak 1000 lagineko segmentutan (6 s-ko segmentuak, laginketa maiztasuna 250 Hz da) zatikatzen dira, bost bihotz-taupada inguru sartzeko. Helburua hainbat taupada barne hartzea da eredia hobeto entrenatzeko.

#### DELINEAZIO EREDUA

Eredua zortzi geruzaz osatuta dago: sarrela geruza, hiru dimentsio bakarreko CNN geruza, bi LSTM geruza, dropout geruza eta irteerako NSA geruza.

Sarrerako segmentuak elkarren segidako hiru CNN geruzetatik igarotzen dira EKGren denbora-patroiak ateratzeko. CNN bakoitzak 3, 32, 64 eta 128 ezaugarriko iragazkiak dituzte, hurrenez hurren. Sarrera-dimentsioari eusteko zero balioz betetzen du segmentua.

Azken CNNaren irteerak bi noranzkoko lehen LSTM geruzan elikatzen du, 250 unitate ditu, eta atzetik bi noranzkoko bigarren LSTM geruzan bat dago, 125 unitatekoa. Jarraian 0.2 probabilitate duen dropout geruza bat dago, gehiegizko egokitzapena saihesteko.

## 2.5 API SEINALEAREN DELINEAZIOA

API seinalearen delineazioa bihotz-uzkurdura hasiera eta gailurrak,  $Di_{onset}$  and  $Sys_{peak}$ , identifikatzean datza. Hainbat algoritmo proposatu dira eginkizun horretarako [53–55, 163, 164], helburu nagusia 2.2 irudiko (2.2.3 azpiatala) puntu bereizgarriak detektatzea da. Algoritmo erabilienak Zong et al.-ek [55] eta Li et al.-ek [54] proposatutakoak dira. Algoritmo horiek asko erabili dira hemodinamikoki egonkorak diren pazienteetan, baina ez dira probatu BBG pazienteetan.

### 2.5.1 ZONG ET AL. API SEINALEAREN DELINEATZAILEA

Zong et al.-ek [55] API seinalean bihotz-taupadaren hasiera identifikatzeko algoritmo automatiko bat proposatu zuten. Hiru atal nagusi ditu: behe-paseko iragazki bat, *slope sum function* (SSF) eta erabakitze zatia.

**Behe-paseko iragazkia:**  $Di_{onset}$  detektatzean maiztasun altuko artefaktuek izan dezaketen interferentziak arintzeko, 16 Hz-eko

ebaketa-maiztasuneko 2. ordenako iragazki errekurtsiboa bat erabiltzen da. Transferentzia-funtzioa honela adieraz daitezke:

$$H(z) = \frac{(1 - z^{-6})^2}{(1 - z^{-1})^2} \quad (27)$$

**SSF:** APIaren pultsuaren malda nabarmentzen du eta seinalearen beste osagai batzuk murrizten ditu. Honela kalkulaten da:

$$SSF(i) = \sum_{i=2}^N \Delta x_i \quad \begin{cases} \Delta x_i, & \text{baldin } \Delta x_i > 0 \\ 0, & \text{baldin } \Delta x_i \leq 0 \end{cases} \quad (28)$$

non  $x_i$  iragazitako API seinalea den,  $N$  laginekoa, eta  $\Delta x_i = x_i - x_{i-1}$ .

Normalean, SSF seinalean pultsuaren hasiera bat dator APIren pultsuaren hasierarekin.

**Erabakitzea:** Etapa honetan bi irizpide aplikatzen dira. Lehenik, hautemandako pulsu bakoitzerako SSFaren balio maximoan oinarritutako egokitzapen-atalase bat ezartzen da. Ondoren, tokiko bilaketa-estrategia bidez  $Dia_{onset}$  unea identifikatzen du, atalasea gainditzen duten puntuen maximo eta minimoak SSF seinalean aztertuz 300 ms-ko (150 ms aurrera eta atzera) denbora leiho batean.  $Dia_{onset}$  SSF seinaleak bere maximoaren % 1-a gainditzen duenean ezartzen da, iragazkiak eragindako atzerapena zuzentzeko 20 ms-ko doikuntza baten ondoren. Gainera, 300 ms-ko tartea jartzen da, pultsua bi aldiz ez detektatzeko.

### 2.5.2 LI ET AL. API SEINALEAREN DELINEATZAILEA

Li et al.-ek [54] algoritmo osoagoa proposatu zuten, Zong et al.-en [55] algoritmoak bezala  $Dia_{onset}$  detektatzeaz gain,  $Sys_{peak}$  ere detektatzen duen.

Jatorrizko API seinaleko zarata eta artifaktuak ezabatzeko 3. ordenako behe-paseko 25 Hz Bessel iragazki bat erabiltzen da.

Delineatzaileak 1. ordenako anplitude diferentziala aplikatzen du API seinalearen deribazio seinalea kalkulatzeko. Seinale horretan inflexio puntu bikoteak eta oinarri-lerroa igarotzen den uneak bilatzen

ditu.  $Di_{onset}$  eta  $Sy_{speak}$  uneentzat inflexio puntu maximoaren aurretik eta ondoren dauden oinarri-lerroa igarotze-uneak identifikatzen ditu, hurrenez hurren.

Azkenik, delineatzaileak API uhin-formetan, anplitude eta denbora atalaseetan oinarrituta  $Di_{onset}$  eta  $Sy_{speak}$  uneak baieztatzen ditu.

# 3 | HIPOTESIAK ETA HELBURUAK

Tesi lan honen helburua berpizte-terapian agertzen den PGAE bihotz-erritmoaren karakterizazioan eta analisi automatikoetan metodo berriak proposatzea da.

Tesiaren hipotesi nagusia zera da, PGAErentzako espezifikoki diseinatutako seinaleen prozesaketa aurreratuko eta AAKo teknikak lagungarriak izan daitezkeela gaixoaren berpizte-esfortzuetan eta monitorizazioan. Azken helburua BBGaren tratamentua hobetzea eta pazientearen berpizte arratasta aukerak igotzea da. Ondorengo helburu partzialak definitzen dira:

- **1. helburua: Aldeko eta kontrako pronostikoa duten PGAEren arteko bereizketa ereduak garatzea.** Literaturan, zenbait eredu automatiko diseinatu dira BBG bihotz-erritmo desberdinen pronostikoa aurreratzeko [74, 77, 80, 81, 100]. Algoritmo horiek desfibriladoreak erregistratutako seinaleak erabiltzen dituzte, IA ereduarekin batera, eredu prediktiboak garatzeko. Hala ere, horietako bakar bat ere ez da berriaz diseinatu PGAErentzat. Desfibriladorearen bioseinaleetatik ateratako ezaugarrietan oinarritutako aldekoa/kontrakoa PGAE diskriminatzaile bat posible izango litzaiteke KDA batean txertatzea, berpizte-terapian laguntzeko. Helburu hori hiru azpichelburutan bana daiteke:
  - PGAE prognosia aurreratzeko eredu automatiko baten garapena, automatikoki kalkulaturako EKG eta BI ezaugarriak erabiltzen dituen IA ereduarekin oinarrituta.
  - PGAEren pronostikoa aurreratzeko ereduak garatzea, QRSaren ezaugarri espezifikoak dituzten IA ereduarekin. Zenbait ikerketak

QRSen ezaugarrien potentziala aztertu dituzte, hala nola BM edo  $QRS_w$  parametroak [82–85]. Eskuz kalkulaturako QRSaren ezaugarriak erabili zituzten, EKG/BI-ren beste ezaugarriekin konbinaziorik egin gabe. Hipotesia da IA eredu batetan modu multimodalean aztertuz gero eredu prediktiboen zehaztasuna hobetuko litzatekeela da.

- PGAEn pronostikoa aurretiko ereduak garatzea, EKG, BI eta API seinaleetatik abiatuta, ezaugarri automatikoak erabiltzen dituzten IA eredu bidez. API seinalearen ezaugarriak aurretiko ereduaren EKG eta BI ezaugarriak dituen ereduaren sartzeak informazio gehigarria emango luke, haren zehaztasuna hobetuz.
- **2. helburua: BBG pazienteen EKG eta API delineazio algoritmoak garatzea.** EKG/API delineatzeko algoritmo klasiko gehienak paziente egonkorrentzat diseinatu ziren, eta oraindik ez dira probatu BBGan dauden paziente ezegonkorrekin. Testuinguru horretan, bi helburu partzial definitzen dira:
  - EKG-delineazio algoritmoa. BBGan, QRS konplexuak paziente osasuntsu eta egonkorretan behatutakoen oso desberdinak izaten dira, bai anplitudean, bai QRSaren uhin itxuran. Gainera, BBGan, EKGaren seinaleak analisia arriskuan jartzen duten hainbat zarata izan ditzake. Literaturan proposaturako delineatzaileak paziente egonkorrentzat diseinatu ziren [40, 42–48], eta errendimendu txarra izan dezaketa BBGan dauden pazienteetan. Horiek ondo dabilen delineatzaile batek EKGaren seinalea hobeto karakterizatzen eta QRS konplexuen iraupenaren/anplitudearen arabera ezaugarriak automatikoki ateratzen lagun dezake.
  - API-delineazio algoritmoa. BBGan dauden pazienteen API seinaleek zirkulazio ezegonkor eta zirkulazio-egoera desberdinen efektua erakusten dituzte. BZiren ondoren ere, API seinalearen aldakortasuna eta konplexutasuna ez datoz bat hemodinamikoki egonkorren diren pazienteekin. Beraz, API delineatzaile tradizionalak [53–55, 163, 164] paziente ezegonkorrekin huts egin dezakete. BBGaren testuinguruan lan egiten duen API delineatzaile bat beharrezkoa da SAP, DAD edo PP bezalako parametro fisiologikoak kalkulatzeko.

# 4 | EMAITZAK

Atal honetan, tesian lortutako emaitzen ikuspegi orokorra ematen da, 3 atalean azaldutako helburuen arabera. Ikerketa-azterlan askok aurretiazko lan bat izan dute, konferentzia ezberdinetan aurkeztuak izan zirenak [165–170]. Ondoren, ikuspegi sofistikuagoa garatu zen, metodologia konplexu eta aurreratuagoak edota datu gehiagorekin garatutakoak; gero, Journal Citation Reports (JCR) aldizkarietan aurkeztu eta argitaratu dira [171–174]. 1. helburuari lotuta bi aldizkari argitalpen eta konferentzia bat azaltzen dira (J1.1, J1.2 eta Cl.1) eta 2. helburuari lotuta bi aldizkarietako argitalpen (J2.1 eta J2.2). Jatorrizko dokumentuak A Eranskinean aurkitzen dira.

## 4.1 1. HELBURUARI LOTUTAKO EMAITZAK

Tesi hau garatu bitartean, hiru ikuspegi erabili dira PGAeren aldeko/kontrako prognosia duten erritmoak bereizten dituzten algoritmoak diseinatzeko. Hasiera batean, EKGaren eta BIaren seinaleetatik eratorritako alde aurretik ezagutzen ziren ezaugarri automatikoak eta IAKo sailkatzaile bat erabili ziren. Ondoren, ModAMSA eta QRS konplexuen eskuzko marketatik eratorritako ezaugarriak aurreko ereduaren integratu ziren. Azkenik, EKG, BI eta API seinaleen uhin-formatik ateratako ezaugarriak dituen eredu berri bat proposatu zen. Lehenengo eta bigarren metodoak JCRko [171, 172], J1.1 eta J1.2 aldizkarietan argitaratu ziren, hurrenez hurren. Tarteko emaitzak eta azken metodoa, Cl.1, konferentzia nazional batetan [165] eta nazioarteko bi konferentzietan aurkeztu ziren [168, 170].

#### 4.1.1 J1.1: A MACHINE LEARNING MODEL FOR THE PROGNOSIS OF PULSELESS ELECTRICAL ACTIVITY DURING OUT-OF-HOSPITAL CARDIAC ARREST

J1.1 lanean, Dallas-Fortworth Center for Resuscitation Research ikerketa zentroko datubase bat erabiltzen zen. Erregistro bakoitzean, HeartStart MRx desfibriladorez erregistratutako EKG eta BI seinaleak aurkitzen ziren. 260 PGAE kasu aztertu ziren, eta horietatik 107k BZI lortu zutelarik. Gertaerako lehenengo 10 minute-etatik BS zarata gebeko 5 s-ko segmentuak atera ziren.

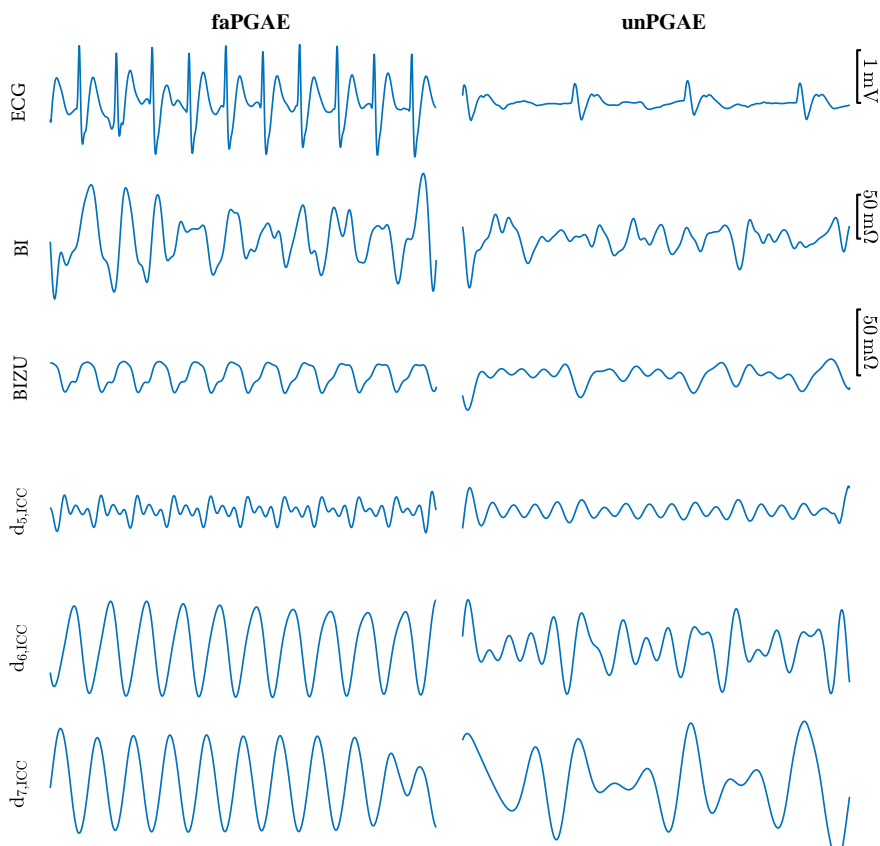
Guztira 1921 PGAE segmentu aztertu ziren, eta horietatik 703 faPGAE etiketa jarri zitzairen BZI lortu zutelako. Gainerako 1218ak, aldiz, unPGAE etiketa jaso zuten. faPGAE segmentuek EKG erregularragoa erakutsi zuten, QRS konplexu estuagoekin, anplitude handiagoarekin eta BM azkarragoarekin. Horrez gain, EKGarekin korrelatutako BI eta Biren zirkulazio-osagaia (BIZO) erakutsi zuten.

EKGaren seinalea iragazteko Stationary Wavelet Transform (SWT) erabili zen, 8 mailako deskonposizioarekin eta Daubech-4 mother wavelet batekin, 0.5 – 31.25 Hz bandatik kanpoko osagaiak iragaziz. BI seinaleari 0.8 – 10 Hz arteko banda-paseko iragazki bat aplikatu zitzaion, arnas artefaktuak eta maiztasun handiko zarata kentzeko. Ondoren, Elola et al.-ek [100] proposatutako BIZO atera zen. Seinale hori ere 8 mailako eta Daubecheei-4 mother wavelet-a duen SWT erabilia iragazi zen.  $d_5-d_7$  xehetasun koefizienteak erabilia berreraikitzen da, 1–8 Hz banda-paseko iragazketa lortuz. 4.1 irudian ageri ziren EKG, BI, BIZO eta  $d_5-d_7$  koefizienteak faPGAE eta unPGAE segmentuentzat.

Kontuan izanda faPGAEek BZIRA eboluzionatzen dutela eta, aldiz, unPGAE-ek ez, hipotesia ondorengoa zen: faPGAEek unPGAEek baino PERen antz handiagoa izango zuten. Beraz, PGAE eta PE artean bereizteko proposatutako ezaugarriak erabilgarriak izan zitezkeen. Lan honetan 17 ezaugarri erabili ziren segmentuak ezaugarritzeko:

- EKGtik:
  - AMSA
  - SNEO<sub>ECC</sub>





4.1. irudia. 5 s-ko faPGAE (ezkerrean) eta unPGAE (eskuinean) adibideak. Goitik behera, EKG, BI, BIZO eta  $d_{5,ICC}$ ,  $d_{6,ICC}$  eta  $d_{7,ICC}$  xehetasun koefizienteak.

- $ARB_{ECG}$
- Fuzzy entropy
- $d_5$ ,  $d_6$  eta  $d_7$  xehetasun koefizienteen IQR balioak.
- $High_{power}$ , EKGren potentzia 17.5 – 40 Hz maiztasun-bandan.
- BIZOtik:
  - $SNEO_{ICC}$
  - $ARB_{ICC}$
  - $d_5$ ,  $d_6$  eta  $d_7$  xehetasun koefizienteen IQR balioak.

- ECGvsICC<sub>CrossPower</sub>, EKG eta BIZO seinaleen arteko korrelazio gurutzatua adieraziz. 2.2.1 azpiatalean azaldutako ECGvsTI<sub>CrossPower</sub>-ren antzekoa da, baina kasu honetan BI beharrez BIZO seinalea erabiliz.
- BIZO seinalearen potentzia eskala logaritmikoan (LogPower<sub>ICC</sub>), zein ondorengo formula erabiliz kalkulatu den:

$$\text{LogPower}_{\text{ICC}} = \sum_{n=1}^N \log(\text{BIZO}[n]^2) \quad (29)$$

non  $N$  BIZO seinalearen lagin kopurua den.

RF sailkatzaile bat erabili zen, bai ezaugarriak hautatzeko, bai 5-sko segmentuen faPGAE/unPGAE sailkapen bitarrerako. Ezaugarrien aukeraketa 10-azpimultzoko CV arkitektura erabiliz burutu zen.

Zazpi ezaugarriko eredu-murriztuak erakutsi zuen funtzionamendu onena, AUC/BAC 85.7/78.8 % balioak lortuz. Aurreko eredu batzuekin konparatu zen, besteak beste, eredu honen aurretiazko eredu batekin (zeinek RF eredu bat erabiltzen zuen AMSA eta LogPower<sub>ICC</sub> ezaugarriekin), EKG ezaugarriak bakarrik erabiltzen zituen Alonso et al.-ek proposatutako LR eredu bat eta EKGtik ateratako BM edo QRS<sub>w</sub> ezaugarria erabiltzen zituzten bi eredu. Konparaketaren emaitzak 4.1 taulan erakusten ziren.

	Ezaugarri kop.	AUC (%)	BAC (%)	Se (%)	Sp (%)
J1.1 (EKG+BI)	17	85.7 (8.6)	77.8 (8.9)	79.8 (11.3)	77.3 (12.1)
J1.1 (EKG)	9	82.1 (9.7)	73.5 (11.2)	79.7 (14.1)	69. (15.9)
J1.1 murriztua (EKG+BI)	7	85.7 (9.8)	78.8 (9.8)	80.1 (12.6)	76.7 (13.6)
J1.1 murriztua (EKG)	4	83.2 (8.5)	75.7 (10.7)	78.9 (15.9)	75.7 (11.4)
Urteaga et al. [165]	2	82.0 (10.5)	74.8 (11.3)	77.0 (13.9)	73.5 (14.6)
Alonso et al. [175]	6	81.4 (10.3)	74.4 (8.9)	73.2 (15.1)	77.8 (15.3)
BM [96]	1	67.2 (12.9)	62.1 (11.8)	80.2 (14.5)	45.1 (21.1)
QRS <sub>w</sub> [102]	1	69.2 (12.9)	67.8 (13.3)	74.8 (20.2)	61.5 (26.6)

4.1. taula. Proposatutako eta artearen egoerako (AE) algoritmoen konparaketa. AUC, BAC, Se eta Sp batez besteko (IQR) balioen arabera.

Lan honetan IAko algoritmo berri bat aurkeztu zen, zein gai dena faPGAE/unPGAE diskriminazioa modu eraginkorren egiteko, artearen egoerako (AE) algoritmoak gaindituz. Horretarako EKG eta BI seinaleetatik modu automatikoan kalkulaturako ezaugarriak erabili ditu.

#### 4.1.2 J1.2: MACHINE LEARNING MODEL TO PREDICT EVOLUTION OF PULSELESS ELECTRICAL ACTIVITY DURING IN-HOSPITAL CARDIAC ARREST

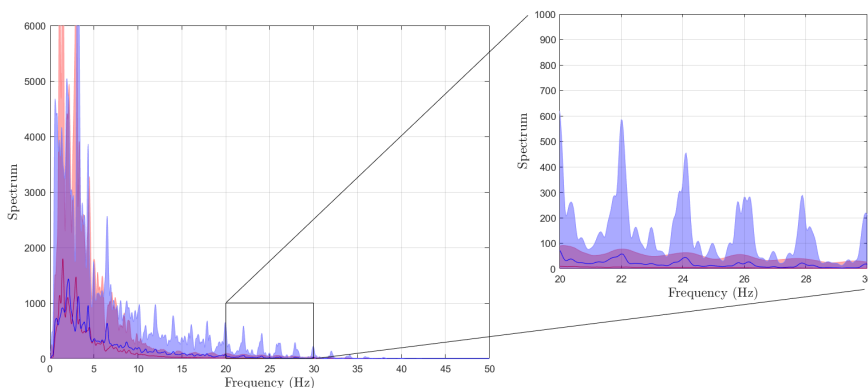
J1.2 lanean 192 OBBBG kasuko datubase bat lantzen zen, horietatik 83 St. Olav University Hospitalean (Trondheim, Norvegia) erregistratu ziren, 90 Hospital of the University of Pennsylvania (AEB) eta gainerako 24ak Penn Presbyterian Medical Centeren (AEB). Norvegiako kasuak Lifepak-20 desfibriladoreak erabilia grabatu ziren (2018-2021), aldiz, kasu amerikarrentzat (2008-2010) MRx desfibriladoreak erabili ziren. BZI egonkorra 120 kasutan lortu zen, egonkorra izateko 20 min zehar BS gabe eta PErakin egotea eskatzen zaie. Klinikoko adituek eskuz markatu zituzten bihotz erritmoa, QRS konplexuak eta BS tartek. BS pausetatik 5 s-ko PGAE segmentuak atera ziren, 1 s-ko tartea utziz jarraian dauden segmentuen artean. EKG eta BI seinaleak J1.1 [171] laneko metodo bera erabilia iragazi ziren, EKG banda-paseko iragazki batez (0.5–31.25 Hz) eta BItik ateratako BIZO beste banda-paseko iragazki batez (1–8 Hz).

Lan honetan ezaugarri berriak sartu ziren, QRS konplexuen uneetan oinarrituak, eta AMSA ezaugarriaren aldaera bat den ModAMSA. QRS konplexuetatik kalkulaturako ezaugarriak ondorengoak dira:

- $HR$  eta  $HR_{var}$
- $QR_w$  eta  $QRS_w$
- $QR_{slope}$  eta  $QRS_{slope}$
- $R_{amp}$

ModAMSA parametroak 20 – 30 Hz maiztasun-banda barruko espektro-edukia neurtzen du. Ikusi zen maiztasun-banda horretan faPGAE segmentuen ModAMSA balioa unPGAE-na baino altuagoa zela. 4.2 irudian ikus daiteke nola bi motatako segmentuen espektroa

antzekoa den 0 – 15 Hz bandan, baina oso desberdina 20 – 30 Hz bandan.



**4.2. irudia.** EKG seinalearen espektra faPGAE eta unPGAE segmentuentzat. Lerro eta azalera urdinek faPGAE segmentuen batez bestekoa eta desbiderapen estandarra (SD) erakusten dute, hurrenez hurren. Gorriz berdina erakusten da, unPGAE segmentuentzat. Ezkerrean espektruak 0 – 50 Hz bandan irudikatzen dira, eskuinean berriz espektru horien hurbilketa bat 20 – 30 Hz bandan.

LR eredu bat entrenatu eta probatu zen, 10-azpimultzoko CV arkitektura erabiliz eta ezaugarri kopurua aldatuz. Lehenengo iterazioan, ezaugarri bakoitza bere aldetik probatu zen eta onena aukeratu. Bigarrenengoan banakako ezaugarri hori, gainerako ezaugarriekin batera probatu zen, eta bikote onena hartu. Horrela iterazio bakoitzean ezaugarri bat gehitzen zen. LR eredu erabiltzea aukeratu zen ezaugarrien interpretazioa errazten zuelako.

4.2 taulan lehenengo sei iterazioen emaitzak erakusten dira. Azterketak erakutsi zuen errendimendu hoberena hiru ezaugarriko ereduarekin lortu zela: ModAMSA,  $\text{LogPower}_{\text{ICC}}$  eta  $\text{QRS}_w$  ezaugarriak erabilita AUC/BAC 80.3%/75.6% balioekin. Ez zen hobekuntzarik ikusi ezaugarri kopurua igotzean. azpimarratu beharrekoa da ezaugarri bakoitza familia ezberdinekoa dela (EKG uhinforma familia, BIZU uhinforma familia eta QRS konplexu familia), horrek iradokitzen du familia bakoitzak informazio esanguratsua duela iragarpenerako.

J1.2 PGAEren prognosia iragartzen duen IAren lehen kasua da, EKG eta BI ezaugarriak QRSren ezaugarriekin batera integratuz. QRSaren ezaugarriak klinikoen eskuzko marketatik abiatuta

	Ezaugarri Kop.	AUC (%)	BAC (%)	Se (%)	Sp (%)
ModAMSA	1	79.1 (11.3)	71.4 (10.4)	62.9 (13.4)	79.2 (18.5)
Aurreko ezaugarria + LogPower <sub>iCC</sub>	2	79.7 (9.1)	71.5 (13.2)	64.2 (17.1)	78.5 (15.2)
Aurreko ezaugarriak + QRS <sub>w</sub>	3	80.3 (9.9)	75.6 (8.0)	77.4 (15.2)	72.3 (16.4)
Aurreko ezaugarriak + ARB <sub>ECC</sub>	4	80.2 (10.0)	75.5 (8.2)	77.5 (15.2)	69.5 (18.3)
Aurreko ezaugarriak + QR <sub>w</sub>	5	79.8 (10.7)	73.1 (9.2)	77.8 (11.1)	66.4 (18.9)
Aurreko ezaugarriak + ECGvsICC <sub>CrossPower</sub>	6	79.6 (11.2)	72.6 (9.1)	76.4 (9.9)	64.4 (21.2)

4.2. taula. J1.2 ereduaren errendimendua batez besteko (SD) AUC, BAC, Se eta Sp balioen arabera. Iterazio bakoitzerako ezaugarri konbinazio onenaren emaitza erakusten da.

kalkulatu ziren. QRSaren delineatzaile automatiko bat beharrezkoa litzateke metodo hau erabat automatikoa izateko eta monitore batean integragarria izan dadin.

#### 4.1.3 C1.1: A RANDOM FOREST MODEL FOR PULSELESS ELECTRICAL ACTIVITY PROGNOSIS PREDICTION DURING OUT-OF-HOSPITAL CARDIAC ARREST USING INVASIVE BLOOD PRESSURE

Ikerketa lan honetako datubasea BBG saiakuntza kliniko baten (clinical trial NCT02479152) parte izan zen, 2015-2017 bitartean erregistratua Lifepak-15 desfibriladoreak erabiliz Air Ambulance Department of the Oslo Medical Emergency Systemen eskutik. Erregistro bakoitzean EKG, BI eta API seinaleak grabatu ziren, 250 Hz-ko laginketa-maiztasunarekin.

BZIaren ondoren gutxienez 5 min-eko PE zuten erregistroetako segmentuak faPGAE modura etiketatu ziren, BZIa ez dutenak, berriz, unPGAE. Gutxienez 5 s-ko iraupena duten EKG, BI eta API segmentuak BS pausen tarteetatik atera ziren. 49 pazienteetatik 238 segmentu atera ziren (guztira 116 min), non 172 unPGAE motakoak diren. Segmentu horietatik 1026 (846 unPGAE) gainjartzerik gabeko 5 s-ko leiho atera ziren.

EKG eta BI seinaleak J1.1 eta J1.2 [171] lanetan azaldu modura iragazi ziren. EKG banda-paseko iragazki batez (0.5–31.25 Hz) eta BItik ateratako BIZO beste banda-paseko iragazki batez (1–8 Hz). API seinalearentzat antzeko iragazketa erabili zen, 8 mailako SWT deskonposizioa Daubech-4 mother waveletarekin, 1–4 Hz banda-paseko iragazketa lortuz.

J1.1-en proposatutako 17 ezaugarriez gain, beste 8 sartu ziren J2.2 delineatzailea erabiliz egindako marketan oinarrituta. Honakoak dira:

- SAP, DAP, PP, HR, eta MAP (2.2.3 azpiatalean zehaztua) API seinaleetik kalkulatuak J2.2 delineatzaileak egindako marken bidez (ikusi 2.2 irudia).
- $IBP_{power}$ , API seinalearen potentzia islatzen du, eta honela kalkulatzen da:

$$API_{power} = \sum_{n=1}^N IBP[n]^2 \quad (30)$$

non  $N$  API seinalearen lagin kopurua den,  $250 \cdot 5$  lagin leihoko.

- Korrelazio gurutzatuko neurketak,  $ECGvsIBP_{CrossPower}$  eta  $TIVsIBP_{CrossPower}$ ,  $ECGvsICC_{CrossPower}$ -en antzekoak dira, baina  $ECG-API$  eta  $BI-API$  korrelazio gurutzatuak neurtzen dituzte.

RF sailkatzaile bat erabili zen  $faPGAE$  eta  $unPGAE$  motako leihotako ezaugarriak hautatzeko eta sailkapen bitarra egiteko. Errendimendua aztertzeko 10-azpimultzoko CV arkitektura aplikatu zen. RF sailkatzaileak ezaugarriak garrantziaren arabera ere ordenatu ziren.

4.3 taulak ereduaren errendimendua erakusten du AUC, BAC, Se, Sp, PPV, eta NPV arabera. Eredu ezberdinak probatu ziren: EKG eta BI ezaugarriak erabilia, API ezaugarriak erabilia, hiru seinaleetako ezaugarriak erabilia eta bi murriztu (4 eta 6 ezaugarri onenekin). Emaitzek errendimendu onena ezaugarri guztiak erabiltzen dituenarena zela erakusten zuten, EKG & BI eredia [168, 171] baino 23 puntu AUC altuagoarekin. Eredu murriztuek ezaugarri guztiak erabiltzen zituen eredia baino 1.5 eta 2 AUC puntu altuagoa erakutsi zuten.

Ikerketa honetan API seinalearen ezaugarriak IAko eredu batean sartu ziren lehenengo aldiz  $faPGAE/unPGAE$  segmentuen diskriminaziorako, ereduaren errendimendua nabarmenki hobetuz. Emaitz horiek API seinalearen erabilgarritasuna azpimarratzen

	Ezaugarri Kop.	AUC (%)	BAC (%)	Se (%)	Sp (%)	PPV (%)	NPV (%)
EKG & BI	17	62.2 (17.3)	69.8 (22.9)	61.1 (47.9)	65.3 (27.4)	49.4 (34.2)	73.2 (35.0)
API	6	83.5 (19.8)	73.2 (17.3)	67.1 (38.2)	80.7 (29.7)	69.7 (29.9)	84.4 (23.1)
EKG & BI & API	25	86.7 (19.4)	74.7 (14.6)	78.9 (33.3)	69.8 (25.5)	63.5 (30.7)	87.4 (22.7)
C1.1 murriztua #1	4	88.9 (14.2)	76.1 (14.4)	94.1 (21.7)	68.1 (30.6)	66.4 (29.5)	95.0 (19.4)
C1.1 murriztua #2	6	88.2 (18.0)	78.0 (17.5)	84.9 (22.8)	73.4 (28.7)	66.5 (27.1)	87.5 (21.6)

4.3. taula. Ezaugarri eta seinale ezberdinak erabiliz ereduak lortzen duen errendimendua batez besteko (IQR) AUC, Se, Sp, PPV eta NPV arabera.

dute zirkulazio egoerak OKBBGan deskribatzeko eta iragarle automatikoak garatzeko.

## 4.2 2. HELBURUARI LOTUTAKO EMAITZAK

Gaur egungo bioseinale delineatzaileak paziente egongorrekin garatu eta probatu dira, ez dira probatu BBGan dauden paziente ezegonkorrekin. EKG eta API seinaleen delineazioa ezinbestekoa da OBBBG eta OKBBG pazienteen karakterizazio automatikorako, eskuzko anotazioak denbora asko eskatzen dutelako, ezin delako denbora errealean egin eta datubase handietan aplikaezina delako. Tesi lan honetan bi delineatzaile garatu dira paziente ezegonkorrentzat: IS eruedetan oinarritutako EKG delineatzaile bat eta seinaleen prozesaketa aurreratuan oinarritutako API delineatzaile bat. Eredu biek JCR amaitu dute (bat onartuta eta bestea bidalita) [173,174], J2.1 eta J2.2, eta hasierako emaitz batzuek konferentzia internazionaletan aurkeztu ziren [166,167].

### 4.2.1 J2.1: A DEEP LEARNING MODEL FOR QRS DELINEATION IN ORGANIZED RHYTHMS DURING IN-HOSPITAL CARDIAC ARREST

QRS delineatzailea 332 OBBBG kasu zituen datubase (EKG eta BI seinaleekin) batean oinarrituta garatu zen. Kasu hauetatik 124 Norvegiako St. Olav's University Hospitalekoak dira, 163 AEBetako Hospital of the University of Pennsylvaniakoak eta beste 45 kasuak Pennsylvania Presbyterian Medical Centerekoak, AEB. Norvegiako kasuak Lifepak-20 desfibriladoreak erabilita grabatu ziren (2018-2021), aldiz, kasu amerikarrentzat (2008-2010) MRx desfibriladoreak erabili ziren. Bihotz-erritmo, QRS konplexu eta BS tartek kliniko adituek eskuz markatuak izan ziren. BS pausetatik gutxienez 6 s-ko bihotz-erritmo antolatua (PE edo PGAE) zuten segmentuak atera

ziren. Guztira 2485 segmentu, 30 orduko iraupenarekin eta 151815 QRS konplexurekin.

Horrez gain, eredia QT datubase publikoan probatu zen, eskuragarri dagoena PhysioNet [176] web-orrian. Datubase hori 105 pazientez osatuta dago, 1575 min-ko iraupenarekin eta 112497 QRS konplexurekin.

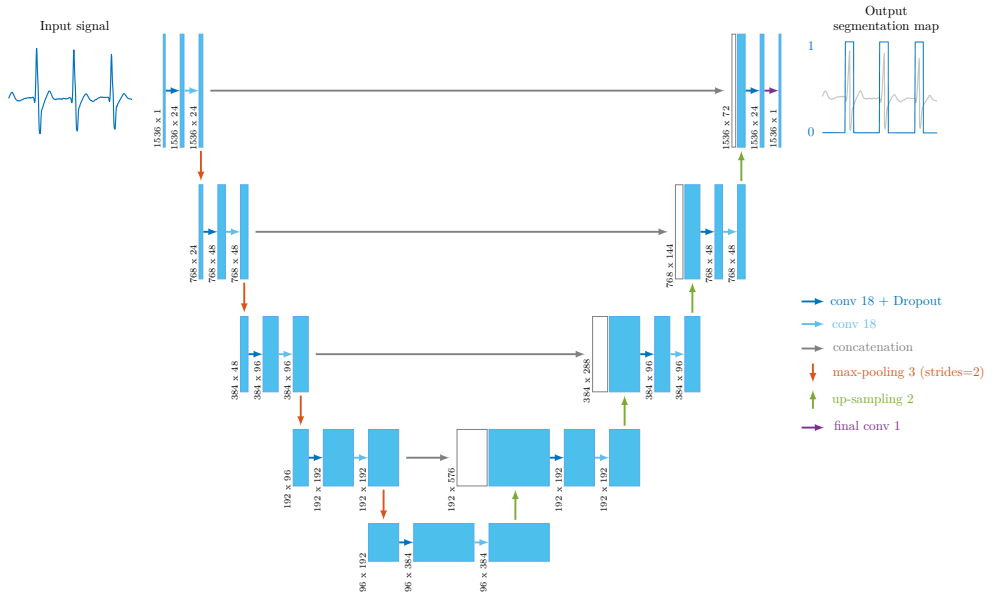
Jatorrizko EKG seinalea, zeinek mugimendu edo onarri-lerro zarata izan ditzakeen, 8 mailako Daubech-4 mother waveleta zuen SWT erabilita deskonposatu zen. Ondoren  $d_3$ -tik  $d_7$ -rako xehetasun koefizienteak (0.98 – 31.25 Hz) bakarrik erabilita berreraiki zen, horrela banda-paseko iragazketa bat eginez eta zarata ezabatuz. Seinalea 50%-ko gainjartzea zuten 6 s-ko leihotan zatitu zen. Lan honetan erabilitako ISko ereduak 16gatik zatigarria den sarrera-luzerak behar duenez, 6 s-ko leihoa (1500 lagin) zero balioko laginez bete zen 1536ko luzerara iritsi arte.

Erabilitako eredia U-Net arkitekturaren egokitzapen bat zen, dimentsio bakarreko datuekin lan eginteko. Kodetze-atala lau blokez osatuta zegoen, horietako bakoitzak konboluzioa (ReLU aktibazio funtzioarekin), *batch* normalizazioa eta *dropout* geruzak zituen, gaindoiketa saihesteko. Multzokatze maximoak karga konputazionala murrizten du, funtsezko ezaugarriak nabarmentzen dituen bitartean. Laugarren kodetze-blokeen ondoren, beste bi konboluzio-geruza gehitzen ziren.

Deskodifikazio-atalak, kodifikazio-ataleko konboluzio-geruzekin konektatuta dauden berreraikitze (ReLU aktibatibazio funtzioarekin) eta *dropout* geruzaz osatuta zegoen. Azkenik, Sigmoidea aktibazio funtzio modura zuen konboluzio geruza bat aurkitzen zen, maskara bitar bat sortuz, lagin bakoitza QRS konplexu barruan (1) ala kanpoan (0) zegoen adieraziz. Arkitektura 4.3 irudian ikus daiteke, xehetasun gehiagorekin.

Prozesatutako leihoak erabiliz jatorrizko segmentuen maskara (1/0) berreraikitzen zen. Horretarako, jarraian dauden leihoaren erdiko 3 s-ak (leihoaren 50%) kateatzen ziren, muga efektua saihestuz hasierako eta amaierako laginak arbuiatzean.





4.3. irudia. EKG delinearatzeko ISko arkitektura.

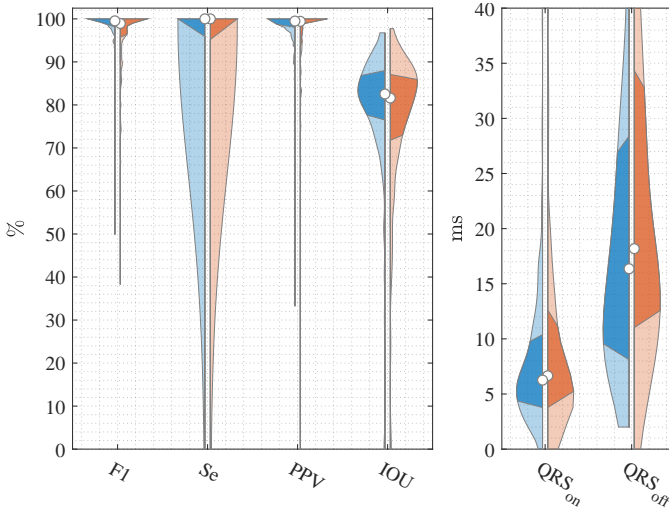
Aukeratutako ISko optimizatzailea *Adaptive Moment Estimation* (Adam) izan zen, ikaskuntza tasak dinamikoki doitzeko IS tekniketari asko erabiltzen dena. Galera-funtzioa, 1-Dice, ereduaren entrenatzeko erabili zen, galeraren balioa murrizteko. Eredua QRSen detekzioaren eta  $QRS_{on}/QRS_{off}$  errorearen arabera ebaluatzen (ikus 2.1 irudia), 10-azpimultzoko CV arkitektura aukeratu zen.

Proposatutako ereduaren AEko lau algoritmorekin konparatu zen. Horietako bik, Martinez et al. [40] eta Pilia et al. [42] proposatutakoek, seinaleen prozesaketa aurreratuko teknikak erabiltzen dituzte; beste biek, Peimankar et al. [43] and Camps et al. [44], berriz, ISko ereduak.

4.4 taulak OBBBG pazienteen QRS konplexuak delinearatzeko emaitzak erakusten ditu. Nabarmena da proposatutako metodoak gainerakoak gainditzen dituela, F1 eta IOU, 1.0-7.5 eta 0.9-28.8 bitarte handiagoa izanik, hurrenez hurren.  $QRS_{on}/QRS_{off}$  erroreari dagokionez, 0.3 – 14.3/0.3 – 13.9 ms bitarte dago.

Eredua	TP	FP	FN	F1(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	165302	2305	1250	97.0 (8.3)	79.1 (15.8)	8.6 (11.6)/25.1 (25.9)
Martinez et al. [40]	106754	556	59798	93.8 (14.3)	50.3 (38.1)	8.9 (15.4)/32.7 (34.9)
Pilia et al. [42]	162835	2678	3717	93.3 (13.5)	61.9 (18.7)	22.9 (19.3)/39.0 (35.1)
Peimankar et al. [43]	163906	2883	2646	96.0 (9.4)	78.2 (16.6)	9.3 (14.4)/25.4 (26.1)
Camps et al. [44]	156945	10330	9607	89.6 (18.0)	59.3 (28.5)	16.8 (22.3)/35.8 (35.7)

4.4. taula. QRS delineatzaileen errendimendua OBBBBG datubasean batez besteko F1, IOU eta  $QRS_{on}/QRS_{off}$  erroreen arabera.



4.4. irudia. Proposatutako QRS delineatzailearen errendimendua PGAE (laranja) eta PE (urdina) segmentuentzat F1, Se, PPV, IOU,  $QRS_{on}$  eta  $QRS_{off}$  erroreen arabera. Erdiko puntu zuriak banaketaren mediana ematen du.

Proposatutako delineatzailearen errendimendua erritmo antolatu bakoitarentzat 4.4 irudian erakusten da, non ikusi ahal den apur bat hobe dela PE segmentuentzat.

Ereduaren errendimendua QT datubaseko paziente egonkorrekin 4.5 taulan ematen da. Martinez et al.-en metodoak errendimendu hobe erakusten du, proposatutako metodoa F1 eta IOU metriketan 2.2 puntutan gaituz,  $QRS_{on}/QRS_{off}$  erroreak antzekoak diren bitartean. Horren arrazoia QT datubasea metodo hori entrenatzeko erabili zela izan daiteke.

Proposatutako eredua gai da zehaztasunez QRS konplexuak delineatzeko BBGAN dauden pazienteetan. Hau lagungarria izan daiteke 2.2.2 azpiatalean aipatutako ezaugarriak kalkulatzeko.

Eredua	TP	FP	FN	F1(%)	IOU(%)	$QRS_{on}/QRS_{off}(ms)$
U-Net	107094	883	3783	97.4 (12.7)	77.1 (16.9)	16.8 (11.8)/11.3 (10.5)
Martinez et al. [40]	110323	172	554	99.6 (1.1)	79.3 (7.0)	16.5 (8.7)/11.3 (9.3)
Pilia et al. [42]	110509	850	368	99.4 (3.4)	72.1 (8.8)	16.9 (9.3)/23.3 (17.1)
Peimankar et al. [43]	90568	1198	20309	92.6 (22.5)	63.0 (32.2)	19.1 (13.2)/14.6 (14.6)
Camps et al. [44]	97912	8608	12965	87.0 (25.6)	61.3 (25.6)	25.3 (18.7)/18.4 (19.7)

4.5. taula. QRS delineatzailen errendimendua paziente egonkorren QT datubasean batez besteko F1, IOU eta  $QRS_{on}/QRS_{off}$  erroreen arabera.

Horrez gain, posible izango litzateke ezaugarri horiek denbora errealean kalkulatzeko eta J1.2 lanean aurkeztutako erudian integratzea.

#### 4.2.2 J2.2: INVASIVE ARTERIAL BLOOD PRESSURE DELINEATOR FOR CARDIOPULMONARY RESUSCITATION PATIENTS DURING PAUSES OF CHEST COMPRESSIONS

BBG pazienteen API seinalea delineatzeko algoritmo bat garatu zen. Entrenamendu eta probarako erabili zen datubasea LOSeK OKBBGan erregistratu zuten, probarako bakarrik erabili ziren beste bi datubaseetako erregistroak biltegi publiko batean daude eskuragarri eta hemodinamikoki egonkorak ziren pazienteez osatuta daude. OKBBG datubasea Oslon erregistratu zen, 2015-2017 urteen artean, Lifepak-15 desfibriladorea erabiliz, eta EKG eta API seinaleak zituen. Guztira 377 segmentu (1127 min) atera ziren 81 pazienteen BS pausetatik. API seinalearen puntu bereizgarriak,  $Sys_{peak}$  eta  $Dia_{onset}$ , eskuz markatu ziren (75682 taupada) erreferentzia gisa erabiltzeko. Datubase publikoak, Polysomnographic<sup>1</sup> eta Complex Systems Laboratory (CSL)<sup>2</sup>, hurrenez hurren, lo dauden 18 pazienteez eta 2 paziente egonkorrez osatuta zueden. Guztira 20 segmentu (5257 min) atera dira,  $Sys_{peak}$  eta  $Dia_{onset}$  markak eskuragarri daude CSL datubasean, Polysomnographic datubasean aldiz,  $Dia_{onset}$  bakarrik dago eskuragarri.

Jatorrizko API seinaleak,  $IBP_{raw}$ , kuantizatze zarata edo oinarri-lerroaren interferentzia moduko zaratak zituen. Hori konpontzeko,

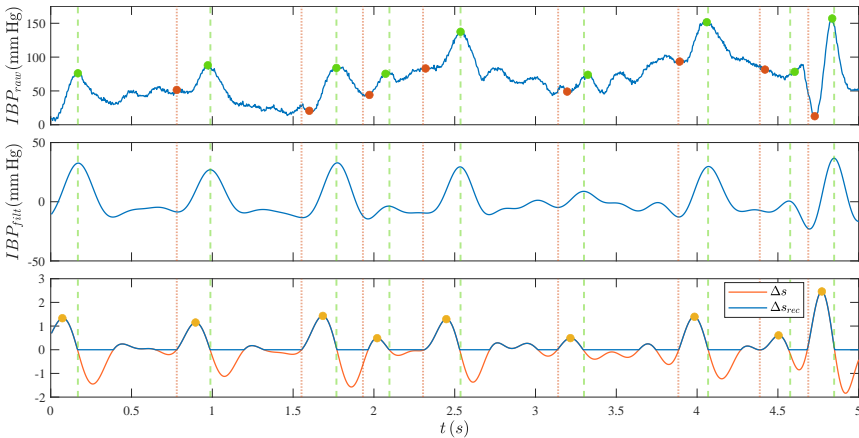
1 <https://physionet.org/content/slpdb/1.0.0/>

2 <https://www.pdx.edu/electrical-computer-engineering/biomedical-signal-processing-lab>

seinalea 8 mailako Daubech-4 mother waveleta duen SWT erabilia deskonposatu zen. Ondoren, seinale iragazia,  $IBP_{filt}$ ,  $d_6$  eta  $d_7$  xehetasun koefizienteak (1 – 4 Hz) bakarrik erabilia berreraiki zen, seinaleak 4.5 irudian erakusten dira.

Taupada detekziorako,  $IBP_{filt}$  seinalearen lehen diferentzia,  $\Delta_s$ , kalkulatu zen, gero 3. ordenako Butterworth iragazki bat (5 Hz-ko ebaki maiztasuna) aplikatu zitzaion. Seinale zuzendua,  $\Delta_{s_{rec}}$ ,  $\Delta_s$  seinalearen balio negatiboak zero bilakatuz lortu zen.  $Sys_{peak}$  eta  $Dia_{onset}$ ,  $\Delta_{rec}$  seinalea balio positibotik zerora eta zerotik balio positibora igarotzen den uneak bezala kalkulatu ziren, hurrenez hurren. Detekzio horiek denboran zuzendu ziren  $IBP_{raw}$  seinalean uneen inguruan (100 ms tartean) maximo eta minimoak aurkituz.

Azkenik, SAP eta DAP, 2.2 irudian definitu modura,  $IBP_{raw}$  seinalean  $Sys_{peak}$  eta  $Dia_{onset}$  uneei duten balio gisa kalkulatu ziren. Detektatutako taupada guztiak egokitze-atalaseen irizpidea aplikatuz baieztatu ziren.



4.5. irudia. Goitik behera:  $IBP_{raw}$ ,  $IBP_{filt}$ ; eta lehen diferentzia seinalea,  $\Delta_s$  (laranja), eta lehen diferentzia seinale zuzendua,  $\Delta_{s_{rec}}$  (urdina). Beheko grafikako lerro eten horiek  $\Delta_{s_{rec}}$  seinalearen maximo lokalak adierazten dituzte. Puntu berde eta gorriak  $Sys_{peak}$  eta  $Dia_{onset}$  uneei dira, hurrenez hurren.

Zong et al.-en [55] eta Li et al.-en [54] algoritmoak publikoki eskuragarri daude PhysioNet<sup>3</sup> [177] eta Matlab File Exchange<sup>4</sup> web-orrietan. Bi algoritmo horiek konparatu ziren proposatutako delineazio metodoarekin.

Bihotz-taupada detekzioari lotutako metrikak  $Se_{hb}$ ,  $PPV_{hb}$  eta  $F1_{hb}$  ziren. Detekzio bat zuzentzat eman da erreferentziatik 50 ms baino gutxiago aldentzen zenean. Horrez gain, OKBBG datubasean pultsudun segmentuen diskriminazioa (gutxienez taupada bat duten segmentuetan)  $Se_s$ ,  $Sp_s$ ,  $PPV_s$  eta  $NPV_s$  metriken bidez neurtu zen, segmentu guztiek ez baitute pultsua.

Algoritmoaren azterketarako 10-azpimultzoko CV arkitektura erabili zen. Atalaseen optimizazioa  $F1_{hb}$  metrikaren arabera egin zen entrenamendu azpimultzoetan.

4.6 Taulak J2.2 lanean proposatutako delineatzailearen errendimendua bihotz-taupadak detektatzen AEko metodoekin konparatzen du. Nabarmena da proposatutako metodoak errendimendu hobeagoa duela OKBBG datubasean, datubase publikoetan guztiek errendimendu antzekoa duten bitartean.

4.7 taulak J2.2 delineatzailearen errendimendua pultsudun segmentuak diskriminatzen AEko algoritmoekin konparatzen du OKBBG datubasean (377 segmentu, horietatik 252k pultsua dute).

BBGaren testuinguruan eta paziente egonkorretan lan egiten duen API delineatzailea aurkeztu da, zeinek AEko algoritmoak gainditzen dituen. Horrelako metodo batek 2.2.3 azpiatalean azaldutako ezaugarriak forma automatikoa kalkulatzeko lagundu dezake, eta C1.1 bezalako lanetako iragarpen ereduetan integratu.

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3 <https://physionet.org/content/pcst/1.0.0/>

4 [https://es.mathworks.com/matlabcentral/fileexchange/29484-pulse-waveform-delineator?s\\_tid=FX\\_rc3\\_behav](https://es.mathworks.com/matlabcentral/fileexchange/29484-pulse-waveform-delineator?s_tid=FX_rc3_behav)

		Bihotz-taupada detekzioa		
		$Se_{hb}$ (%)	$PPV_{hb}$ (%)	$F1_{hb}$ (%)
OKBBG datubasea	J2.2	96.1 (8.3)	96.1 (7.6)	95.7 (6.4)
	Zong [55]	62.8 (36.3)	93.8 (10.5)	71.9 (29.8)
	Li [54]	94.1 (9.6)	85.4 (23.7)	86.7 (19.6)
Datubase publikoak	J2.2	98.4 (1.5)	98.8 (1.3)	98.6 (1.3)
	Zong [55]	97.9 (3.8)	98.4 (3.2)	98.1 (3.4)
	Li [54]	98.6 (1.3)	99.1 (1.0)	98.6 (1.0)

4.6. taula. J2.2 delineatzailearen errendimendua bihotz-taupadak detektatzen AEko algoritmoekin konparatuz OKBBG eta datubase publikoentan. Errendimendua batez besteko (SD)  $Se_{hb}$ ,  $PPV_{hb}$  eta  $F1_{hb}$ -ren arabera ematen da.

	Pultsudun segmentuen diskriminazioa			
	$Se_s$ (%)	$Sp_s$ (%)	$PPV_s$ (%)	$NPV_s$ (%)
J2.2	98.8 (6.9)	91.6 (20.2)	97.4 (9.7)	98.7 (6.1)
Zong [55]	81.2 (31.0)	75.6 (31.5)	92.4 (20.5)	87.7 (21.8)
Li [54]	99.7 (2.6)	8.9 (23.3)	82.4 (24.4)	88.9 (33.3)

4.7. taula. J2.2 delineatzailearen errendimendua pultsudun segmentuak diskriminatzen AEko algoritmoekin konparatuz OKBBG datubasean. Errendimendua batez besteko (SD)  $Se_s$ ,  $Sp_s$ ,  $PPV_s$  eta  $NPV_s$ -ren arabera ematen da.

# 5 | ONDORIOAK

Atal honetan tesiaren funtsezko ekarpenen ikuspegi orokorra eskaintzen da. Aurkikuntza esanguratsuenak azpimarratuz hasten da, eta, ondoren, ikerketarekin lotutako aldizkari eta konferentzi argitalpen guztiak laburbiltzen dira. Gainera, tesiaren garapena ahalbidetu duten ikerketa-proiektuak eta finantziazio-iturriak ezagutzera ematen dira. Azkenik, tesiaren emaitzetan oinarritutako etorkizuneko ikerketa lerro posibleak aurkezten dira.

## 5.1 TESIAREN EKARPEN NAGUSIAK

Tesi honen helburu nagusia da PGAEren eboluzio iragarle automatikoak eta beronentzat beharrezkoak diren parametro fisiologikoak automatikoki kalkulatzeko metodoak garatzea, eta EKG eta API seinaleak delineatzeko metodoak proposatzea. Tesiaren ekarpen nagusiak honela laburbildu daitezke:

- *PGAEen eboluzioaren iragarle automatikoa garatzea EKG eta BI seinaleetan oinarritutakoa:* IAKo eredu automatikoak BBGko PGAE segmentuen eboluzioa aurreratzeko entrenatu eta balioztatu dira, EKG eta BI seinaleetatik automatikoki kalkulaturako ezaugarriak erabiltz.
- *QRS ezaugarriak barne hartzen dituen PGAEen eboluzioaren iragarle automatikoa garatzea:* QRS konplexuetan oinarritutako ezaugarriak aurreko ereduak gehitu PGAE segmentuen eboluzioa aurreratzeko, eta AMSA ezaugarri ezagunaren bertsio berri bat proposatu ere.

- *PGAEn eboluzioaren iragarle automatikoa EKG, BI eta API seinaleetan oinarritutakoa garatu:* API seinaletik abiatuta kalkulaturako parametro fisiologikoak PGAEn bilakaera iragartzeko erduei gehitu. Ezaugarri berri horiek informazio esanguratsua eman diote ereduari, errendimendua hobetuz.
- *BBGaren testuinguruan funtzionatzen duen EKG delineatzailea proposatu:* ISean oinarritutako EKGko delineatzaile bat diseinatu da, bai hemodinamikoki egonkorrak diren pazienteentzat, bai BBGAN dauden pazienteentzat. Delineatzaile horrek AEko algoritmoek baino funtzionamendu hobea erakutsi du, eta QRS konplexuak delineatzen lagun dezake, erreskatatzaileei informazio gehigarria emanaz, horrela erabaki hobeak hartzeko. Horrez gain, QRS ezaugarriak automatikoki kalkulatzeko ahalbidetzen du, bihotz-erritmoaren bilakaera iragartzeko erduetan sartzeko aukera emanaz.
- *BBGaren testuinguruan funtzionatzen duen API delineatzailea garatu:* API delineatzaile zehatz bat garatu da, bai paziente egonkorretan bai BBG pazienteetan funtzionatzen duena. Horri esker, parametro fisiologikoen zenbatespen automatikoa egin daiteke, eta informazio baliotsua ematen die BBGAN dauden pazienteak tratatzean eredu kliniko eta automatikoei.

## 5.2 ARGITALPENAK

Tesiaren ondorioz, ekarpen esanguratsuak egin zaizkio komunitate zientifikoari. Ekarpen horiek, 5.2.1 eta 5.2.2 ataletan zehaztu dira. Kontribuzio ondorengoak dira:

- 1. helburuari dagokionez, bi artikulua luze argitaratu dira JCR Science Edition-en indexatutako aldizkarietan (A1 eta A2), baita estatuko eta nazioarteko konferentzietako aurkezpenak ere (C1 eta C4), eta bat onartu berria (C6).
- 2. helburuari dagokionez, artikulua luze bat argitaratu da (A3), eta beste bat (A4) bidali da JCR Science Edition indexatutako aldizkarietan. Bestalde, estatuko eta nazioarteko hiru konferentzietan egin dira hainbat ekarpen (C2, C3 eta C5).



## 5.2.1 ARTIKULUAK

- A1 *A machine learning model for the prognosis of pulseless electrical activity during out-of-hospital cardiac arrest*  
Jon Urteaga, Elisabete Aramendi, Andoni Elola, Unai Irusta, Ahamed H. Idris  
MDPI Entropy 2021 (IF: 2.7, 43/110) [171]
- A2 *Machine learning model to predict evolution of pulseless electrical activity during in-hospital cardiac arrest*  
Jon Urteaga, Andoni Elola, Anders Norvik, Eirik Unneland, Trygve C Eftestøl, Abhishek Bhardwaj, David Buckler, Benjamin S Abella, Eirik Skogvoll, Elisabete Aramendi  
Resuscitation Plus 2024 (IF: 2.4, 28/54) [172]
- A3 *Invasive Arterial Blood Pressure Delineator for Cardiopulmonary Resuscitation Patients during Pauses of Chest Compressions*  
Jon Urteaga, Andoni Elola, Elisabete Aramendi, Per Olav Berve, Lars Wik  
Biomedical Signal Processing and Control 2024 (IF: 5.1, 26/96) [173]
- A4 *A Deep Learning Model for QRS Delineation in Organized Rhythms during In-Hospital Cardiac Arrest (Submitted)*  
Jon Urteaga, Andoni Elola, Daniel Herráez, Anders Norvik, Eirik Unneland, Abhishek Bhardwaj, David Buckler, Benjamin S. Abella, Eirik Skogvoll, Elisabete Aramend  
IEEE Transactions on Biomedical Engineering 2024 (IF: 4.6, 34/96) [174]

## 5.2.2 KONFERENTZIAK

- C1 *Modelo predictivo del retorno de circulación espontánea en la parada cardiorrespiratoria utilizando el ECG y la impedancia torácica*  
Jon Urteaga, Elisabete Aramendi, Andoni Elola, Unai Irusta, Ahamed H. Idris  
XXXVIII Congreso Anual de la Sociedad Española de Ingeniería Biomédica (CASEIB) 2020 [165]
- C2 *Automated detection of pulse using continuous invasive arterial blood pressure in patients during cardiopulmonary resuscitation*  
Jon Urteaga, Andoni Elola, Elisabete Aramendi, Per Olav Berve, Lars

- Wik  
Computing in Cardiology Conference (CinC) 2021 [166]
- C3 *Automated Algorithm for QRS Detection in Cardiac Arrest Patients with PEA*  
Jon Urteaga, Andoni Elola, Elisabete Aramendi, Anders Norvik, Eirik Unneland, Eirik Skogvoll  
Computing in Cardiology (CinC) 2022 [167]
- C4 *The Prediction Of Pulseless Electrical Activity Evolution During In-hospital Cardiac Arrest Using Machine Learning*  
Jon Urteaga, Elisabete Aramendi, Andoni Elola, Anders Norvik, Eirik Unneland, Abhishek Bhardwaj, David Buckler, Benjamin S Abella, Eirik Skogvoll  
Resuscitation Science Symposium (AHA-ReSS) 2022 [168]
- C5 *Detección automática de complejos QRS en pacientes con actividad eléctrica sin pulso durante la parada cardiorrespiratoria*  
Jon Urteaga, Andoni Elola, Elisabete Aramend, Daniel Herráez, Anders Norvik, Eirik Unneland, Eirik Skogvoll  
XL Congreso Anual de la Sociedad Española de Ingeniería Biomédica (CASEIB) 2022 [169]
- C6 *A Random Forest Model for Pulseless Electrical Activity Prognosis Prediction During Out-of-Hospital Cardiac Arrest Using Invasive Blood Pressure (Accepted)*  
Jon Urteaga, Andoni Elola, Per Olav Berve, Lars Wik, Elisabete Aramendi  
EMBC (Annual International Conference of the IEEE Engineering in Medicine and Biology Society) 2024 [170]

### 5.3 FINANTZIAZIOA

Tesi honen finantziazio iturri nagusia Eusko Jaurlaritzaren doktoreak ez diren ikertzaileak prestatzeko Doktoratu Aurreko Programaren eskutik izan da (P1), horrez gain, beste proiektu nazional eta nazioartekoren laguntza ere jaso da. Tesiaren garapena diruz lagundu duten proiektu eta finantzabide guztiek ondorengoak dira:

- P1 *Doktoreak ez diren ikertzaileak prestatzeko Doktoratu Aurreko Programa* (PRE\_2020\_1\_0177, PRE2021\_2\_0173, PRE\_2022\_2\_0245 eta

- PRE.2023\_2.0101). Eusko Jaurlaritzaren Hezkuntza Saila. 2020-2023
- P2 *BioRes (Biomedical Engineering and Resuscitation)* (IT1229-19). Eusko Jaurlaritzaren Hezkuntza Saila. 2019 otsaila – 2022 abendua.
- P3 *BioRes (Biomedical Engineering and Resuscitation)* (IT1717-22). Eusko Jaurlaritzaren Hezkuntza Saila. 2022 urtarrila – 2025 abendua.
- P4 *Procesado multimodal de señal y aprendizaje automático para la mejora del tratamiento de la parada cardiorrespiratoria extrahospitalaria* (RTI2018-101475-BI00). Gobierno de España, Ministerio de Economía, Comercio y Empresa. 2019 otsaila– 2022 iraila.
- P5 *Inteligencia artificial y nuevas tecnologías para el guiado de la terapia de resucitación en la parada cardiorrespiratoria extrahospitalaria* (PID2021-122727OB-I00). Gobierno de España, Ministerio de Ciencia, Innovación y Universidades. 2022 iraila – 2025 abuztua.

#### 5.4 ETORKIZUNEN IKERKETA LERROAK

Tesiak aurrera egin du PGAEen pronostikoa auresateko AAKo ereduak eta BBGrako EKG eta API seinaleen delineaizaleen garapean. Lan horrek ikerketa-erakunde berriak sortu ditu dagoeneko, eta dauden irtenbideak hobetzeko aukerak zabaldu ditu, hala nola:

- Gaur egungo PGAE auresaleak garatzeko IA metodoak ISean oinarritutako metodoekin ordezkari litezke, potentzial esanguratsua erakutsi baitute. Metodo horiek gaixoen datubase zabalagoak eskatuko lituzke, baina ereduaren errendimendua nabarmen hobetu lezakete.
- EKG, BI eta API seinaleak erabiliz PGAEen bilakaera auresateko ereduak hobetzea posible izango litzateke seinale edo informazio gehigarriekin. Pulsioximetria eta kapnografia seinaleek informazio garrantzitsua eman dezakete. Horrez gain, demografiari buruzko informazioak, hala nola pazientearen hasierako bihotz-erritmoa, BBGaren kokapena edo lekukoaren erantzuna, eredu hori hobetzeko erabil daitezke.

- ISean oinarritutako delineazio-eredu batek, J2.1-en proposatutakoa bezalakoa, BBGan dauden pazienteen API seinalea delineatzeko probatu ahal da. Eredu horiek dagoeneko seinale biomedikuak delineatzeko gaitasuna erakutsi dute. API seinalearentzat erabiliz gero, egungoaren errendimendua hobetu daiteke, eta parametro fisiologikoak zehaztasun handiagoz kalkulatuko lirateke.
- Tesi lan honetan nazioarteko datubase handiak erabili diren arren, datubaseen tamaina eta aniztasuna handitzeko metodoak erabiltzeak eredu zehatzagoak lortzen lagunduko luke. Datubasearen handitzea eta datu sintetikoen sorkuntza teknikak entrenamendu prozesuak hobetzen lagunduko lukete, gainjartze arriskuak murriztuz eta orokortze hobe ahalbidetuz. Alderdi horiek bereziki onuragarriak dira IS ereduan, non eredu konplexuek datubase handiak behar dituzten patrioiak eraginkortasunez atzemateko.

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**A**

ARGITARATUTAKO ETA BIDALI-  
TAKO LANAK



## A.1 LEHENENGO HELBURUARI LOTUTAKOAK

### A.1.1 AURRENEKO ARGITALPENA NAZIOARTEKO ALDIZKARIAN

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#### Argitalpena nazioarteko aldizkarian

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Erreferentzia

Jon Urteaga, Elisabete Aramendi, Andoni Elola, Unai Irusta, Ahamed H. Idris, "A machine learning model for the prognosis of pulseless electrical activity during out-of-hospital cardiac arrest", *Entropy*, 2021, vol. 23, no 7, p. 847.

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Kalitate Adierazleak

- **Argitalpen mota:** JCRen indexatutako aldizkari artikulua
  - **Kuartila:** Q2 (42/86) Web of Science Rank-en oinarrituta 2021
  - **Impaktu Faktorea:** 2.738
-



## Article

# A Machine Learning Model for the Prognosis of Pulseless Electrical Activity during Out-of-Hospital Cardiac Arrest

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**Abstract:** Pulseless electrical activity (PEA) is characterized by the disassociation of the mechanical and electrical activity of the heart and appears as the initial rhythm in 20–30% of out-of-hospital cardiac arrest (OHCA) cases. Predicting whether a patient in PEA will convert to return of spontaneous circulation (ROSC) is important because different therapeutic strategies are needed depending on the type of PEA. The aim of this study was to develop a machine learning model to differentiate PEA with unfavorable (unPEA) and favorable (faPEA) evolution to ROSC. An OHCA dataset of 1921 5 s PEA signal segments from defibrillator files was used, 703 faPEA segments from 107 patients with ROSC and 1218 unPEA segments from 153 patients with no ROSC. The solution consisted of a signal-processing stage of the ECG and the thoracic impedance (TI) and the extraction of the TI circulation component (ICC), which is associated with ventricular wall movement. Then, a set of 17 features was obtained from the ECG and ICC signals, and a random forest classifier was used to differentiate faPEA from unPEA. All models were trained and tested using patientwise and stratified 10-fold cross-validation partitions. The best model showed a median (interquartile range) area under the curve (AUC) of 85.7 (9.8)% and a balance accuracy of 78.8 (9.8)%, improving the previously available solutions at more than four points in the AUC and three points in balanced accuracy. It was demonstrated that the evolution of PEA can be predicted using the ECG and TI signals, opening the possibility of targeted PEA treatment in OHCA.

**Keywords:** out-of-hospital cardiac arrest (OHCA); electrocardiogram (ECG); thoracic impedance (TI); pulseless electrical activity (PEA); return of spontaneous circulation (ROSC)



**Citation:** Urteaga, J.; Aramendi, E.; Elola, A.; Irusta, U.; Idris, A. A Machine Learning Model for the Prognosis of Pulseless Electrical Activity during Out-of-Hospital Cardiac Arrest. *Entropy* **2021**, *23*, 847. <https://doi.org/10.3390/e23070847>

Academic Editor: Carlos M. Travieso-González

Received: 31 May 2021

Accepted: 28 June 2021

Published: 30 June 2021

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## 1. Introduction

Out-of-hospital cardiac arrest (OHCA) is a major public health problem, with an estimated incidence between 350,000 and 700,000 cases per year in Europe and survival rates below 10% [1,2]. A patient in cardiac arrest abruptly loses respiratory and cardiovascular functions and, if untreated, dies within minutes. An early recognition of OHCA and prompt treatment are therefore key for survival. In the prehospital setting, bystander cardiopulmonary resuscitation (CPR) contributes to maintaining artificial blood flow through ventilation and chest compressions until more advanced therapy is available. For instance, when the presenting heart rhythm is ventricular fibrillation (VF), an electrical defibrillation shock within the first five minutes from OHCA onset raises survival rates by 50–70% [2,3].

The best course of treatment for OHCA depends on the heart rhythm of the patient, which can be determined using an electrocardiogram (ECG) [4]. In the prehospital setting, the heart function is monitored by the emergency medical system (EMS) personnel using

monitor defibrillators. Unfortunately, by the time the EMS personnel arrives on scene, VF is the presenting rhythm in only 11–37% of OHCA cases [5,6]. A frequently presenting rhythm is pulseless electrical activity (PEA), with recorded incidences of 20–30% out of hospital [7–9] and up to 40–60% in hospital [10,11], as well as much lower survival rates [7,12–15]. PEA is characterized by the dissociation of the electrical and mechanical activities of the heart. Therefore, a patient in PEA presents apparent heartbeats in the ECG with discernible QRS complexes, but without effective ventricular wall movement. Thus, there is no palpable pulse and an insufficient blood flow [7]. EMS personnel provide CPR and pharmacological treatment to revert PEA and achieve return of spontaneous circulation (ROSC), but treatment depends on the characteristics of PEA. Consequently, directions for understanding the mechanism and stratification of PEA have been addressed by clinical consortia and efforts to predict, prevent, and manage PEA encouraged [7,13,15,16].

PEA states can grossly be classified into pseudo-PEA or true-PEA [17]. In pseudo-PEA, the electrical activity of the heart produces a small mechanical activity, albeit insufficient for a palpable pulse. In true PEA, there is no mechanical cardiac activity [16,18]. The two stages of PEA have different prognoses and treatments [7,18–20], and their distinction is of great clinical interest to predict the hemodynamic evolution of PEA, as well as whether the patient will recover ROSC.

Several contributions have proposed the use of ECG features to differentiate PEA with favorable evolution to ROSC (faPEA) from PEA with unfavorable evolution to ROSC (unPEA). The heart rate (HR) and the width of the QRS complex during PEA have been extensively investigated in both in- and out-of-hospital cardiac arrest, but with contradictory conclusions [12–15]. In these studies, ECG data were manually annotated, and no automatic method has been proposed yet to discriminate faPEA from unPEA. Additionally, the thoracic impedance (TI) measured through the defibrillation pads reflects changes in tissue density and fluid content in the thoracic region and thus presents a small, but discernible component associated with blood flow [21]. TI has been successfully used to discriminate PEA from rhythms associated with ROSC, by extracting the impedance circulation component (ICC), which reflects blood flow during ROSC [22,23]. In fact, models combining ECG and TI have been proposed to predict immediate rhythm transitions during OHCA [24] and to discriminate rhythms in OHCA [25], and in a preliminary study, a model combining an ECG and a TI feature showed promising results for the discrimination of faPEA and unPEA on a limited dataset [26].

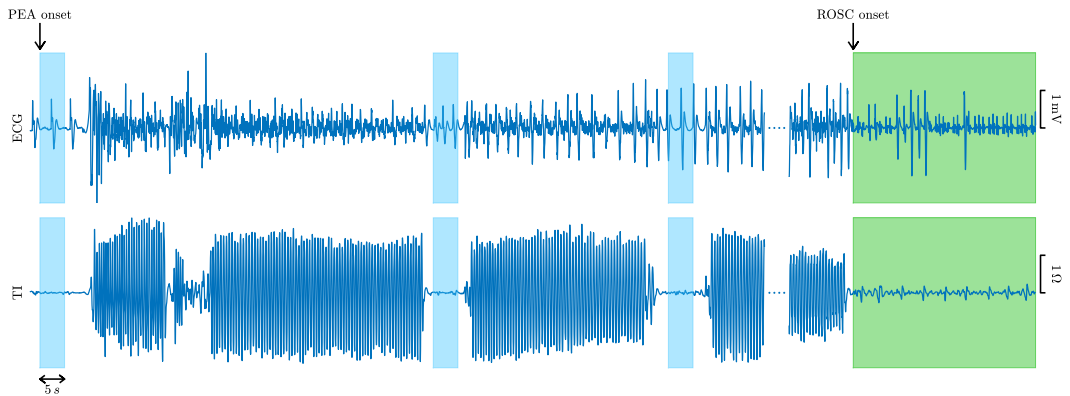
This study introduced a new model to discriminate faPEA from unPEA based on comprehensive automatic feature extraction from the ECG and TI signals using various signal analysis domains. An advanced random forest (RF) classifier was then used to efficiently combine those features and improve the accuracy of the diagnosis. A comprehensive dataset of OHCA episodes was used for the analysis. The results showed that a combination of ECG and TI features substantially improved the accuracy of the models, which could be used to assist EMS personnel in evaluating the hemodynamic state of the patient and deciding the optimum resuscitation treatment.

## 2. Data Collection

The dataset used in this study was a subset of a larger dataset of OHCA episodes recorded by the Dallas-Fortworth Center for Resuscitation Research (Dallas, TX, USA). Every episode had concurrent ECG (250 Hz, resolution = 1.03 mV) and TI signals (200 Hz, resolution = 0.74 m $\Omega$ ) recorded by the defibrillation pads of a HeartStart MRx defibrillator (Philips Healthcare, Andover, MA, USA).

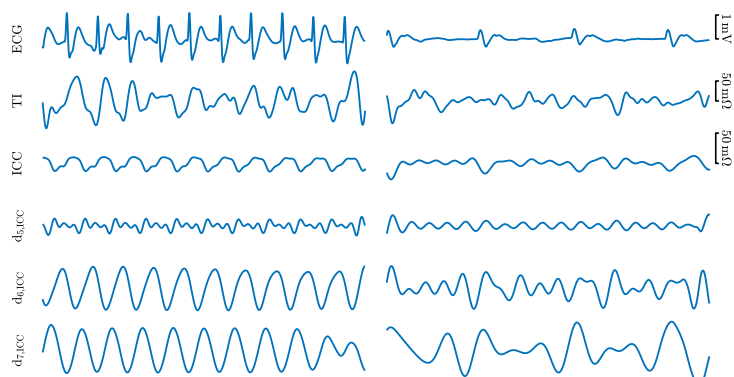
The dataset consisted of 260 episodes of patients in PEA, of which 107 recovered ROSC and 153 did not. ROSC recovery was certified by clinicians on site and further revised by visual inspection of the episodes. Cases ending in ROSC had confirmed long periods without CPR after recovery of pulse, while cases without ROSC had CPR until the end of the episode. PEA onset was identified in the episodes as the first occurrence of an organized rhythm (QRS complexes) during CPR. PEA segments of 5 s in duration, separated by at

least 1 s, and including the ECG and the TI were identified during the first 10 min after PEA onset. Segments were extracted in the pauses of chest compressions, identified in the TI [27,28], with no artifacts due to compressions in the signals. Figure 1 shows an example of an episode in which PEA evolved to ROSC (in green). Chest compression activity is visible in the TI signal, and PEA segments (in blue) were only selected during the intervals without chest compressions to avoid artifacts in the ECG.



**Figure 1.** ECG and TI signals of an episode with favorable evolution to ROSC (in green). The 5 s PEA segments extracted from the ECG and the TI are colored in blue.

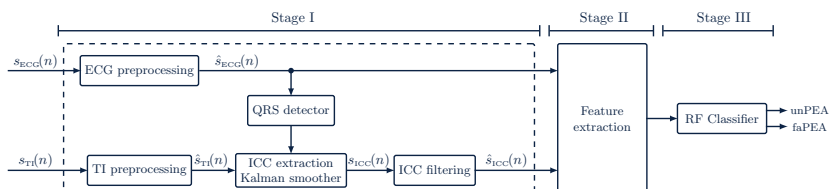
A total of 1921 PEAs were extracted, a median (interquartile range, IQR) of 4 (6.5) segments per episode. The segments in the ROSC episodes were labeled as faPEA and those in the non-ROSC episodes as unPEA. There were a total of 703 faPEA segments, 4 (5.8) per episode; and 1218 unPEA segments, 5 (7) per episode. Figure 2 shows examples of the faPEA and unPEA segments. As shown in the figure, the faPEA segment presents a more regular ECG with narrower QRS complexes of larger amplitude and a higher heart rate. Moreover, it also presents TI components and an ICC waveform correlated with the heartbeats.



**Figure 2.** Examples of the signals and components for a 5 s faPEA segment (left) and unPEA segment (right). From top to bottom: ECG, TI, ICC, and three detail components from the stationary wavelet decomposition of the ICC,  $d_{5,ICC}$ ,  $d_{6,ICC}$ , and  $d_{7,ICC}$

### 3. Methods

The algorithm to discriminate faPEA from unPEA consisted of the three stages shown in Figure 3. The first stage was an ECG and TI signal-processing stage, where the ECG and TI signals were resampled to a common sampling rate of  $f_s = 250$  Hz and then denoised to obtain  $\hat{s}_{ECG}(n)$  and  $\hat{s}_{TI}(n)$ . The impedance ICC component,  $s_{ICC}(n)$ , was then extracted from  $\hat{s}_{TI}(n)$  by applying adaptive filtering and denoised to obtain  $\hat{s}_{ICC}(n)$ . In the second stage, a set of waveform features was computed from the denoised ECG and ICC signals. Finally, in the third stage, these features were fed to an RF classifier to discriminate faPEA from unPEA segments.



**Figure 3.** Overview of the faPEA/unPEA classification algorithm. The algorithm consists of three stages: a signal-processing stage, a feature-extraction stage, and a classification stage. The RF classifier uses features from the denoised ECG,  $\hat{s}_{ECG}(n)$ , and impedance circulation component,  $\hat{s}_{ICC}(n)$ .

#### 3.1. Processing of ECG and TI Signals

##### 3.1.1. ECG Processing

The ECG signal was denoised using the stationary wavelet transform (SWT) as proposed by Isasi et al. for OHCA rhythms [29,30]. An 8-level SWT decomposition was used with a Daubechies-4 mother wavelet and soft thresholding. Detail coefficients  $d_3$  to  $d_8$  were used to reconstruct the denoised ECG, which corresponds to an analysis band of 0.5–31.25 Hz, a typical band for ECG analysis in OHCA [23,29].

##### 3.1.2. TI Processing and ICC Extraction

The TI measured through the defibrillation pads may show different components due to: baseline wandering, chest compressions and ventilation during CPR, the circulation component in the pulsed rhythm, additional noise/artifacts due to movement, electrode–skin contact, etc. [31]. The segments of the database were extracted during pauses of chest compressions, so the TI signal was bandpass filtered (0.8–10 Hz) to remove baseline fluctuations, respiration artifacts, and other high-frequency noise [22,32]. Then, the ICC component was extracted, that is the TI component correlated with the ECG heartbeats. Heartbeats were detected in the denoised ECG using the Hamilton–Tompkins algorithm [33], and the instantaneous HR was computed as:

$$f(n) = \frac{1}{f_s(r_{i+1} - r_i)} \quad \forall n \in [r_i, r_{i+1}) \quad (1)$$

where  $r_i$  is the time instant of the  $i$ -th QRS complex (R-peak). Using this information, the ICC can be modeled as a Fourier series of  $K$  harmonics [22,31]:

$$s_{ICC}(n) = \sum_{k=1}^K a_k(n) \cos(k2\pi f(n)n) + b_k(n) \sin(k2\pi f(n)n) \quad (2)$$



The time-varying Fourier coefficients,  $a_k(n)$  and  $b_k(n)$ , were estimated using a Kalman smoother [23]. The Kalman observation and state vectors are then [23,34]:

$$\mathbf{x}_n = [a_1(n), \dots, a_k(n), b_1(n), \dots, b_k(n)]^T \quad (3)$$

$$\mathbf{H}_n = [\cos(2\pi f(n)n), \dots, \cos(K2\pi f(n)n), \sin(2\pi f(n)n), \dots, \sin(K2\pi f(n)n)] \quad (4)$$

The time-varying Fourier coefficients were assumed to be Gaussian processes with update equations [23,34]:

$$a_k(n) = \psi_n a_k(n-1) + \omega_n \quad (5)$$

$$b_k(n) = \psi_n b_k(n-1) + \omega_n \quad (6)$$

where  $\psi_n = \exp(-\frac{\lambda}{f_s})$  and  $\omega_n$  is a zero-mean Gaussian process with  $\sigma$  the standard deviation. The update equations are thus:

$$\mathbf{x}_n = \mathbf{\Psi}_n \mathbf{x}_{n-1} + \mathbf{\Omega}_n \quad (7)$$

where  $\mathbf{\Psi}_n = \psi_n \cdot \mathbf{I}_{2K}$ ,  $\mathbf{\Omega}_n = \sigma \cdot \mathbf{I}_{2K}$  and  $\mathbf{I}_{2K}$  is the identity matrix of dimension  $2K$ .

The Fourier coefficients (state vector),  $a_k$  and  $b_k$ , were computed applying the Rauch-Tung-Striebel smoother, with  $K = 5$  harmonics,  $\lambda = 0.05$  and  $\sigma = 0.01$ , as suggested by Elola et al. [23].

Finally,  $s_{\text{ICC}}(n)$  was denoised using an 8-level SWT (Daubechies-4) with soft thresholding. The  $d_5$ – $d_7$  detail coefficients were used to reconstruct the denoised  $\hat{s}_{\text{ICC}}(n)$ , which corresponds to the bandwidth 1–8 Hz. Figure 2 shows the TI, ICC, and  $d_5$ – $d_7$  detail coefficients for faPEA and unPEA.

### 3.2. Feature Extraction

Since faPEA evolves to ROSC, while unPEA does not, the hypothesis was that faPEA would be more similar to cardiac rhythms with pulse than unPEA. Therefore, faPEA should present more regular interbeat intervals and heart rates, larger ECG amplitudes, wider spectra (narrower QRS complexes), and an ICC with a greater correlation to the heartbeats than unPEA. Therefore, the features used to detect pulse during cardiac arrest were added [23,35,36], as well as the features to quantify signal regularity and spectral dispersion [37,38]. A total of 17 features were computed, 9 from the denoised ECG ( $\hat{s}_{\text{ECG}}$ ) and 8 from the denoised ICC ( $\hat{s}_{\text{ICC}}$ ).

#### 3.2.1. ECG Features

The ECG features were (for the detailed calculations, consult [4,29,35,37,38]):

- The AMSA, the amplitude spectrum area, which is the weighted sum of the amplitudes of the ECG in the spectral domain, and it quantifies the variability and spectral dispersion of the signal. The AMSA was computed as described in [35];
- $\text{High}_{\text{power}}$ , the power of the ECG in the higher frequency bands; a 17.5–40 Hz bandwidth was used [35,38];
- FuzzEn, fuzzy entropy, which measures the regularity of the signal, computed as described in [35];
- The SNEO, the smoothed nonlinear energy operator, as described in [37], which measures the local energy content of the ECG;
- The IQR values of the denoised ECG and its SWT detail coefficients  $d_5$ – $d_7$ , which are denoted by  $d_{k,\text{ECG}}$  for  $k = 5, 6, 7$  [29];
- $\text{Burg}_{\text{ECG}}$ , the variance of the white noise term of an order-four autoregressive (AR) model estimation of the ECG power spectral density. It measures the goodness-of-fit of the power spectral density to that of spectra concentrated around the fundamental component (HR) and its harmonics [4,39].

### 3.2.2. ICC Features

The ICC features were (for the detailed calculations, consult [4,22,29,36,37]):

- $\text{Log}_{\text{Power}}$ , the logarithmic energy (time domain) of the denoised ICC, which has been shown to correlate with ventricular wall movement [22];
- The SNEO, the smoothed nonlinear energy operator, as described in [37], which measures the local energy content of the ICC;
- The IQR values of the denoised ICC and its SWT detail coefficients  $d_5$ – $d_7$ , which are denoted by  $d_{k,\text{ICC}}$  for  $k = 5, 6, 7$  [29];
- $\text{Burg}_{\text{ICC}}$ , the variance of the white noise term of the AR(4) estimation of the power spectral density of the denoised ICC [4,39];
- $\text{Cross}_{\text{Power}}$ , the cross-power between the denoised ECG and ICC signals, as described in [36].

### 3.3. Building the Classifier

An RF classifier was used, both for feature selection and binary classification of the 5 s segments into faPEA/unPEA. RF classifiers have demonstrated good performance and robustness with unbalanced datasets and have the advantage of having an embedded feature ranking/selection through feature importance [40,41].

An RF is an ensemble of  $B$  decision trees (weak learners), trained using a different bootstrap replica of the original training dataset. The trees are grown using recursive binary splitting, and at each node,  $D'$  features are randomly selected from the available  $D$  features for the split. The splitting process is carried out until the tree's terminal nodes are fed with less than  $l_{\text{size}}$  observations [40,42]. The final decision of the RF classifier is obtained through a majority vote of those  $B$  trees.

For this study, an RF classifier with  $B = 500$  trees was trained and forced the growth of uncorrelated trees by using a 10% bootstrap replica (with replacement) of the training set for each tree. The number of predictors per node was set to the default  $D' = \sqrt{D}$ , and the minimum number of observations per terminal node was fixed to  $l_{\text{size}} = 5$ , as recommended in [23]. To avoid class imbalance, uniform priors were assigned.

For baseline comparisons, other machine learning classifiers were also trained and evaluated. The RF was compared to a logistic regression (LR) classifier and to two support vector machine classifiers with polynomial kernels of second (SVM2) and third order (SVM3). In these models, class imbalance was addressed by weighting the least prevalent class (faPEA) by a factor of 1.5.

### 3.4. Evaluation of the Models

All classifiers were trained and tested using 10-fold cross-validation (CV) with patient-wise and stratified data partitions. In this way, training/test data leakage was avoided, and the class imbalance in each fold reflected that of the whole dataset. The CV evaluation of the models was repeated 10 times to statistically characterize the performance of the classifiers.

The classifiers were evaluated using the typical performance metrics for binary classifiers, taking faPEA as the positive class. The following performance metrics were considered: sensitivity (Se), specificity (Sp), balanced accuracy (BAC, the average of Se and Sp), and the area under the receiver operating characteristic curve (AUC).

## 4. Results

Table 1 shows a summary of the statistical distribution of the 17 features for the faPEA and unPEA segments of the complete dataset. The features are ranked by the AUC obtained by using a single-feature LR classifier (evaluated in the 10-fold CV partitions). All features except FuzzyEn showed significant differences for the distributions of the faPEA and unPEA segments ( $p < 0.001$ , Wilcoxon test), and moderate to good AUC values in the range of 52.9 to 81.6%.

**Table 1.** Median (IQR) values of the features for faPEA and unPEA segments grouped by ECG (left) and ICC (right) features. Features are ranked within each group by the AUC (median, IQR) of a single-feature LR classifier.

ECG Features				ICC Features			
Feature	faPEA	unPEA	AUC (%)	Feature	faPEA	unPEA	AUC (%)
Burg	$2.4 \times 10^{-6}$ ( $3.8 \times 10^{-6}$ )	$5.6 \times 10^{-7}$ ( $1.1 \times 10^{-6}$ )	81.6 (5.6)	CrossPower	1310 (2151)	425 (1083)	71.6 (3.4)
AMSA	31.2 (22.3)	13.1 (14.1)	81.3 (4.9)	IQR(d <sub>5</sub> )	22.1 (36.3)	10.5 (30.2)	66.5 (1.6)
HighPower	74.3 (166.0)	8.3 (24.8)	80.3 (8.1)	SNEO	2930 (10,001)	445 (4427)	65.7 (7.8)
IQR(d <sub>6</sub> )	1.1 (1.2)	0.5 (0.6)	72.6 (15.0)	LogPower	5131 (2783)	2822 (5259)	64.4 (7.5)
IQR(d <sub>5</sub> )	0.31 (0.65)	0.17 (0.29)	71.0 (11.1)	IQR(d <sub>6</sub> )	84.2 (136.1)	32.5 (88.9)	64.3 (5.2)
SNEO	0.21 (0.82)	0.06 (0.20)	71.0 (14.4)	IQR	18.6 (26.9)	7.2 (30.5)	61.5 (10.3)
IQR	0.17 (0.17)	0.10 (0.10)	68.8 (14.4)	IQR(d <sub>7</sub> )	150.9 (253.8)	66.3 (247.5)	54.9 (13.0)
IQR(d <sub>7</sub> )	1.3 (1.5)	1.0 (1.0)	65.2 (12.6)	Burg	0.21 (1.9)	0.05 (0.8)	54.6 (14.4)
FuzzEn	0.22 (0.13)	0.23 (0.14)	52.9 (20.4)				

#### 4.1. Performance of the RF Classifier

The overall performance of the method is reported in Table 2 in terms of AUC, BAC, and Se/Sp. Two model types were evaluated, those using ECG-only features and those combining ECG and ICC features. For each model, the complete feature set and a reduced optimal feature set based on RF feature importance (see Section 4.2) were used. The models with reduced feature sets showed the best performance, with median (IQR) values of 85.7 (9.8)/78.8 (9.8)% for AUC/BAC for the ECG+ICC model and 83.2 (8.5)/75.7 (10.7)% for the ECG-only model. Adding information derived from the impedance (ICC signal) improved the AUC and BAC of the ECG-only models at 2.5 and three points, respectively.

Table 2 also shows the performance of all previous proposals in the literature for the prognosis of the evolution of PEA. All the methods were implemented in MATLAB and then evaluated using this study's dataset and data partitions. The previous proposals included: (1) a preliminary version of the proposed method based on an RF classifier, but using only one ECG feature (AMSA) and one ICC feature (LogPower) [26]; (2) an LR model using ECG-only features proposed by Alonso et al. [24] for the immediate prediction of the evolution of cardiac arrest rhythms, including PEA; (3) single-ECG feature models based on the heart rate [12] and the width of the QRS complexes [14]. In the original studies [12,14], the HR and QRS widths were manually measured, but in an automatic system, these values have to be automatically computed from the ECG. The *wavedec* wavelet-based algorithm was applied both for QRS detection and HR calculations, and for ECG delineation and QRS width calculations [43], and then, we used these features in a single-feature LR classifier. The best solution outperformed all previous proposals by 4–19 points in the AUC and by 3–16 points in BAC. Moreover, the ECG-only solution also outperformed all previous ECG-only solutions by 2–16 points in the AUC and 1.5–14 points in BAC and used a reduced feature set compared to the second-best ECG only model by Alonso et al. [24] (four vs. six).

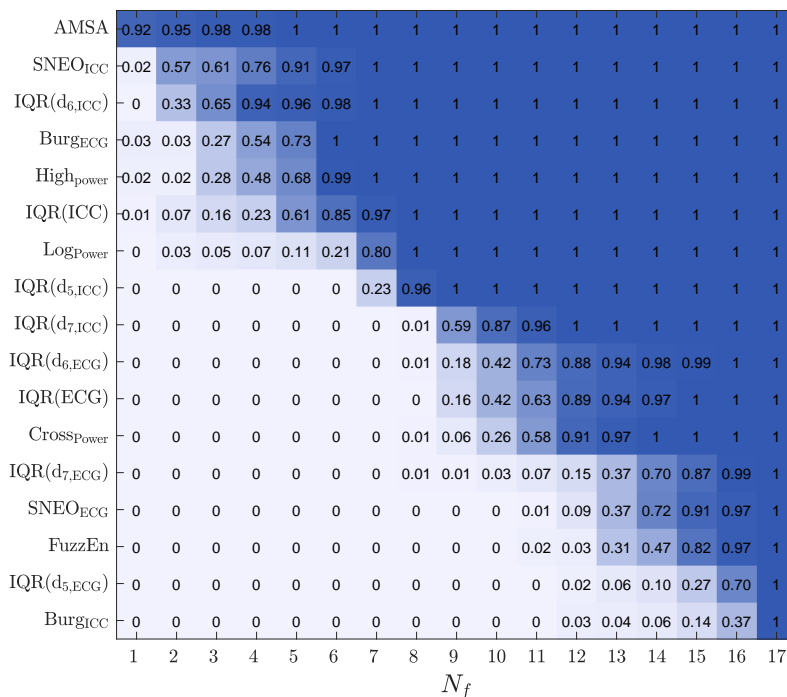
**Table 2.** Performance of the methods introduced in this study compared to all previous proposals for faPEA/unPEA discrimination. The table shows the median (IQR) values for AUC, BAC, Se, and Sp.

	No. Features	AUC (%)	BAC (%)	Se (%)	Sp (%)
This study (ECG+TI)	17	85.7 (8.6)	77.8 (8.9)	79.8 (11.3)	77.3 (12.1)
This study (ECG)	9	82.1 (9.7)	73.5 (11.2)	79.7 (14.1)	69.0 (15.9)
This study, reduced (ECG+TI)	7	85.7 (9.8)	78.8 (9.8)	80.1 (12.6)	76.7 (13.6)
This study, reduced (ECG)	4	83.2 (8.5)	75.7 (10.7)	78.9 (15.9)	75.7 (11.4)
Urteaga et al. [26]	2	82.0 (10.5)	74.8 (11.3)	77.0 (13.9)	73.5 (14.6)
Alonso et al. [24]	6	81.4 (10.3)	74.4 (8.9)	73.2 (15.1)	77.8 (15.3)
HR [12]	1	67.2 (12.9)	62.1 (11.8)	80.2 (14.5)	45.1 (21.1)
QRS width [14]	1	69.2 (12.9)	67.8 (13.3)	74.8 (20.2)	61.5 (26.6)

### 4.2. Feature Selection and Feature Analysis

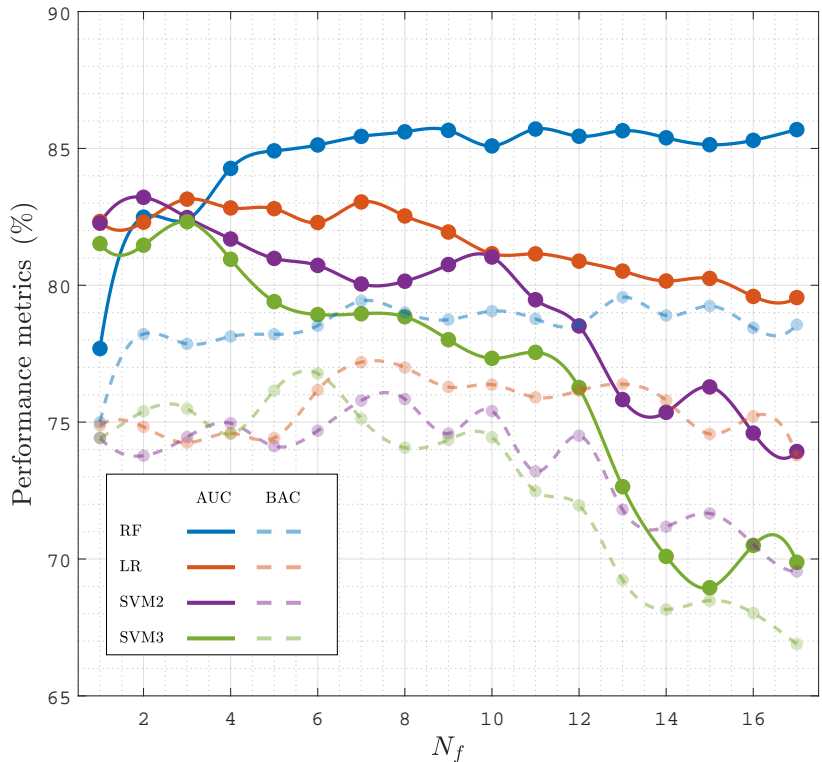
To analyze how features were ranked, the RF feature importance was used, and the feature selection probability was estimated by adjusting the models of a decreasing number of features ( $N_f$ ), from  $N_f = 17, \dots, 1$ . The selection probability for each feature was measured as the percentage of times it was selected. For each 10-fold CV partition, features were iteratively discarded (in steps of one) by removing the feature with the lowest importance, and the RF models were retrained to rerank the features for the remaining  $N_f$  features. The process was carried out until a single feature was left. The proportion of times a feature was included for each value of  $N_f$  is shown in Figure 4.

The most frequently selected features included both ECG and ICC features. The features in the top seven positions were ECG spectral features such as AMSA, Burg, and HighPower and the ICC amplitude/power features such as SNEO<sub>ICC</sub>, IQR(<sub>d<sub>6</sub>,<sub>ICC</sub></sub>), IQR(ICC), and LogPower.



**Figure 4.** The selection probability for the 17 features, as a function of  $N_f$ , the number of features included in the RF classifier.

Another important aspect is the performance of the model as a function of  $N_f$ , both to obtain more accurate models by selecting an optimal feature subset, but also to lower the complexity, improve the interpretability, and lower the computational cost of the model. Figure 5 shows the performance of all the classification models (baseline models and the RF classifier) as a function of  $N_f$ , the number of features used in the model. The features included for each  $N_f$  were those with a higher selection probability (see Figure 4). The best results were obtained for the RF classifier, both in the AUC and BAC, and the RF models showed a stable performance for  $N_f \geq 6$ . As shown in Table 2, the RF classifier with  $N_f = 7$  had the same performance as the RF classifier with the complete set of features.

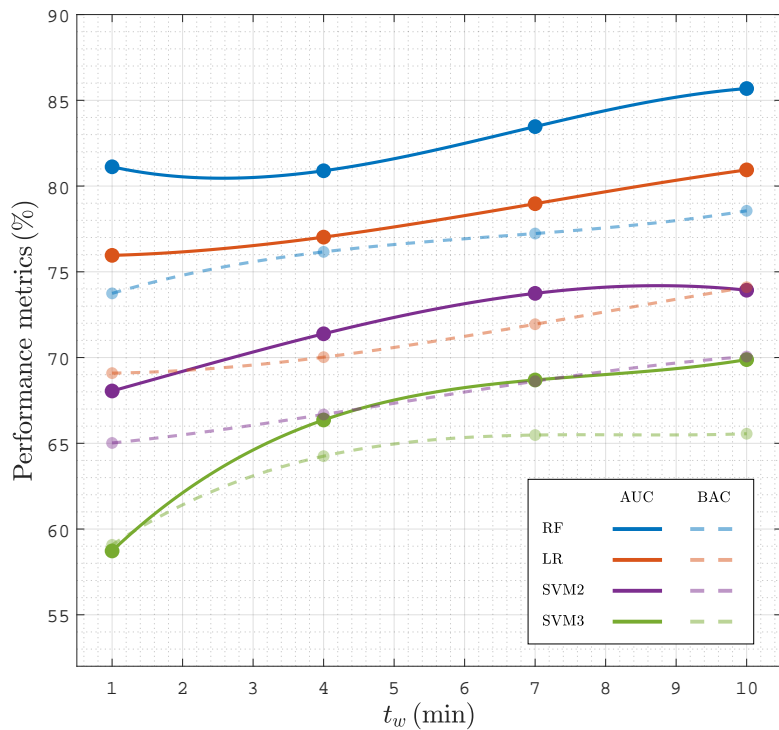


**Figure 5.** Performance of the classifiers, AUC and BAC, in terms of the number of features,  $N_f$ , considered in the model.

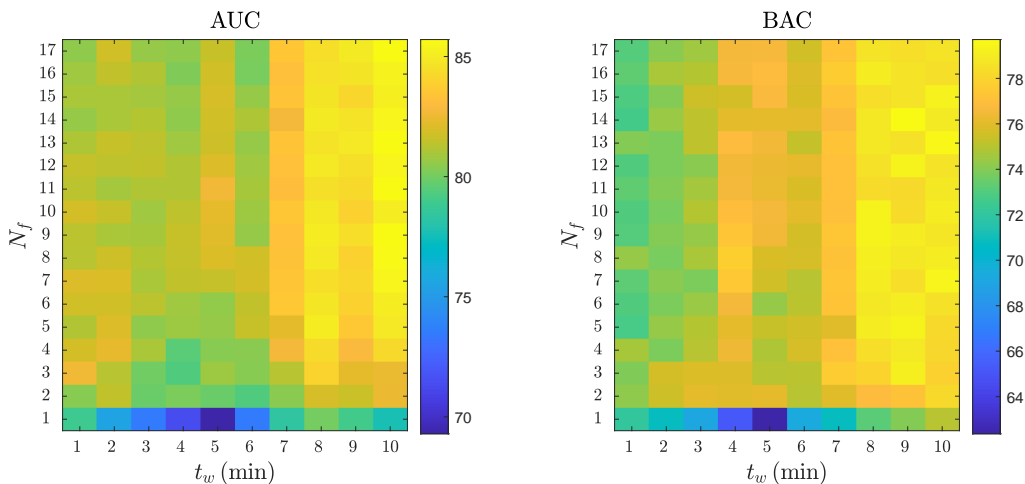
### 4.3. Time Interval for a Prediction

The time needed from PEA onset to a reliable prognosis is key for a prompt initiation of specific therapies. To analyze the time needed for a prognosis, the faPEA/unPEA classification was performed using only the ECG and TI segments in an interval of  $t_w$  (min) from PEA onset, then changing  $t_w$  from 1 min to 10 min in 1 min steps. Figure 6 shows the AUC and BAC for the different classifiers as a function of  $t_w$ . The RF classifier had the best performance for all time intervals, with AUC and BAC values above 80% and 75%, even for the first minute after PEA onset. As expected, as  $t_w$  grew as the accuracy of the classifiers improved, since PEA with favorable evolution is closer to conversion to ROSC; however, the improvement in the AUC and BAC was only five points and four points when the interval was extended from 1 min to 10 min; that is, a prompt reliable diagnosis can be obtained, and a specific therapy can be initiated even in the first minute after PEA onset.

Figure 7 shows a combined analysis of the RF performance as a function of  $N_f$  and  $t_w$ . As shown in the figure, the AUC and BAC increased as the number of features in the model and the analysis interval increased, with AUC values above 85% and BAC values above 78% for  $t_w \geq 7$  min and  $N_f \geq 4$ .



**Figure 6.** Performance of classifiers in terms of the AUC and BAC as a function of for analysis intervals of  $t_w$  duration after the onset of PEA.

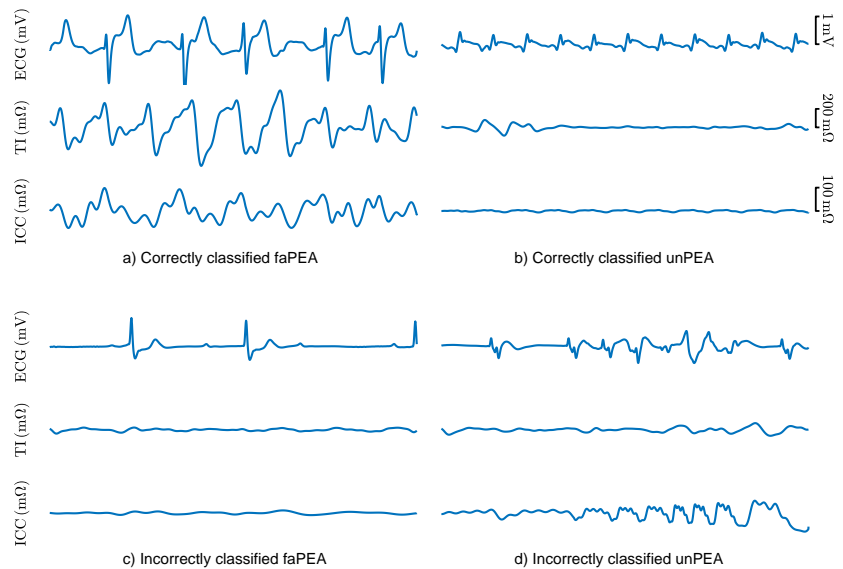


**Figure 7.** The AUC and BAC of the RF classifier for different analysis intervals,  $t_w$ , and number of features,  $N_f$ .

#### 4.4. Analysis of the Classification Errors

The classification errors of the best RF model were analyzed to better understand the limitations and potential future improvements of faPEA/unPEA classification. Figure 8 shows the ECG, TI, and ICC signals for segments with correct classifications and segments with typical patterns leading to classification errors. The top panels show correctly classified

segments, despite faPEA having a much lower heart rate than unPEA. In the examples, the TI/ICC signals showed no evidence of mechanical activity for unPEA and activity correlated with the heartbeats in faPEA. The bottom panels show examples of misclassified segments. In the case of faPEA, both the heart rate and the TI/ICC activity were very low, and they corresponded to an episode in which ROSC occurred 38 min after PEA onset. In this episode, at the initial stage of PEA, the mechanical activity of the heart was closer to that of unPEA than faPEA. In the case of unPEA, the ECG had a low amplitude and heart rate, as expected for unPEA, but there was noise in the ECG and TI signals in the last part of the segment, which produced a pulse-like ICC signal estimation by the Kalman smoother.



**Figure 8.** ECG, TI, and ICC signals for 5 s segments of correctly (**top**) and incorrectly (**bottom**) classified faPEA and unPEA segments.

## 5. Discussion

To the best of our knowledge, the proposed method is the first automated method to discriminate PEA rhythms with favorable evolution to ROSC in OHCA data. The algorithm consisted of the extraction of the ICC component of the TI (associated with mechanical wall movement), an ECG and ICC feature extraction phase, and an RF classifier. The solution outperformed previous solutions both in the AUC (four to nineteen points) and BAC (three to sixteen points) [12,14,23,24,26]. Several aspects of the solution explained the better performance. First, the ECG and TI feature set was larger than in previous studies, and the features were carefully selected to reflect or be associated with ventricular wall movement or ROSC. Second, the features obtained from QRS complex segmentation were not used. In nonarrest patients, QRS detection and segmentation are very accurate [43], but their accuracy substantially decreases for cardiac arrest rhythms [35]. For instance, it was observed that the methods based on HR and QRS width presented the lowest performance in part because of the inaccuracies of the automatic algorithms for cardiac arrest data. Third, features obtained from the ICC were added, and these features revealed information on the incipient mechanical activity of the heart in PEA rhythms that converted to ROSC.

The models with reduced the feature sets (seven features for ECG and ICC and four features for ECG-only) had better or comparable performance to those with the complete feature set. Moreover, adding ICC features improved the ECG-only methods by 2.5 points in AUC and 3.1 points in BAC, demonstrating the utility of the TI signal as a surrogate measure of ventricular wall movement [23,26]. A high correlation between the features from

the detail components of the ECG and ICC (mean  $\rho = 0.9$ ) and between the spectral features of the ECG (mean  $\rho = 0.7$ ) was observed. An effective feature selection process improves the models, particularly when an exhaustive feature extraction process is carried out [23,29]. More importantly, models with fewer features are computationally less expensive and more explainable. For the RF classifier, using an embedded feature selection based on RF feature importance is an efficient way to obtain close-to-optimal feature subsets.

The time from PEA onset to an accurate prognosis of its evolution is key for the prompt implementation of efficient therapies. In the dataset used in this study, the mean time from PEA onset to outcome (ROSC or no ROSC) was 22 min, and the proposed solution had an AUC and BAC of 81% and 74% within the first minute from PEA onset. Evidently, as time evolved, the accuracy of the prognosis improved, and the AUC and BAC rose to 86% and 79% for an analysis interval of 10 min. In cases in which PEA onset was far from ROSC, errors were more frequent, as shown in Figure 8c for a patient that recovered ROSC 38 min after PEA onset. In any case, there is a clinical tradeoff between the accuracy of the prognosis and the prompt implementation of specific therapies. An alternative approach may be to report the probability of conversion to ROSC as a clinical support tool. Such a probability can be obtained from most machine learning models and in particular in the RF model by computing the proportion of trees with positive faPEA classification [35,41].

The solutions proposed in this study were based on the ECG only and on combined features from ECG and TI (the ICC was derived from TI). In both cases, reasonable tradeoffs between time-to-prognosis and accuracy can be reached. The reason for using these signals is that they are universally available in defibrillators and monitor defibrillators, the equipment used by EMS crews to monitor OHCA patients. All these devices have an ECG channel through the defibrillation pads [35], but not all include a TI signal with sufficient resolution to implement these algorithms [22,23]. Since the proposed algorithms are fully automatic, this means they could be integrated into this equipment as decision support tools for the management of OHCA patients in PEA; that is, they would contribute to a personalized resuscitation treatment, as proposed in the latest resuscitation guidelines [44].

The availability of signals during resuscitation is key to improve the accuracy of automatic algorithms. In particular, the prognosis of ROSC during resuscitation (for all rhythms, not only PEA) is a very active field of research. New and established technologies such as capnography [23,45], cerebral oximetry [46,47], echocardiography [18,48], or point-of-care testing (blood gas analysis) [49] have been explored. A complete up-to-date review is available in [17]. These are, in general, emerging technologies to monitor and guide treatment during OHCA, and only echocardiography and, more recently, capnography have been specifically used to stratify PEA during OHCA [18,23]. In the future, combined algorithms integrating information from all these sources should be explored to improve the prognosis of the evolution of PEA. However, acquiring multimodal OHCA datasets with all these sources of information is complex because OHCA is a critical chronodependent clinical situation treated in a prehospital setting. Therefore, these types of datasets are very scarce and have a limited amount of patients [23].

This study had some limitations. First, the data came from a single type of device, the HeartStart MRx defibrillator. Although the ECGs acquired by different commercial devices have slight differences in bandwidth and resolution, no substantial differences would be expected in the ECG-based model for other devices. Conversely, the TI is acquired by proprietary circuitry, with very different amplitude resolutions and sampling rates. The ICC has a very small amplitude rarely exceeding 100 mV, so how well the ICC can be estimated from the TI recorded in other devices needs to be tested. Second, the number of cases included in the study was substantial, but augmenting the dataset's size would allow the development of more accurate models. In particular, advanced solutions based on deep learning algorithms could also be developed based on features extracted by neural network architectures [50–52].



## 6. Conclusions

This study introduced the first machine learning algorithm that discriminates PEA rhythms with favorable evolution to ROSC from those with unfavorable evolution. The proposed algorithm was based on features automatically extracted from the ECG and the TI signal after PEA onset. The RF model proposed outperformed previous solutions, and it demonstrated that both ECG and TI signals contain relevant information for the prognosis of PEA evolution. The results also encourage the development of improved solutions tested on larger datasets. This may lead to decision support tools that assist rescuers in the definition of the best resuscitation treatment during PEA in OHCA, increasing the chances of survival and good neurological outcome. Current commercial defibrillators could benefit from advances in signal processing and machine learning techniques, improving their impact in the course of cardiac arrest resuscitation.

**Author Contributions:** Conceptualization, J.U., E.A., A.E., U.I. and A.I.; data curation, J.U.; formal analysis, J.U., E.A., A.E. and U.I.; funding acquisition, E.A. and U.I.; investigation, J.U., E.A., A.E., U.I. and A.I.; methodology, J.U., E.A. and A.E.; project administration, E.A. and U.I.; resources, A.I.; software, J.U. and A.E.; supervision, E.A. and U.I.; visualization, J.U.; writing—original draft, J.U. and E.A.; writing—review and editing, J.U., E.A., A.E., U.I. and A.I. All authors read and agreed to the published version of the manuscript.

**Funding:** This work was supported by the Spanish Ministerio de Ciencia, Innovacion y Universidades through Grant RTI2018-101475-BI00, jointly with the Fondo Europeo de Desarrollo Regional (FEDER), by the Basque Government through Grant IT1229-19 and Grant PRE2020\_1\_0177, and by the university of the Basque Country (UPV/EHU) under Grant COLAB20/01.

**Institutional Review Board Statement:** Not applicable.

**Informed Consent Statement:** Not applicable.

**Data Availability Statement:** Restrictions apply to the availability of these data. Data was obtained from Dallas-Fortworth Center for Resuscitation Research and are available on request from the corresponding author with the permission of Dr. Idris (Dallas-Fortworth Center for Resuscitation Research).

**Conflicts of Interest:** The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript; nor in the decision to publish the results.

## Abbreviations

The following abbreviations are used in this manuscript.

OHCA	out-of-hospital cardiac arrest
ROSC	return of spontaneous circulation
CPR	cardiopulmonary resuscitation
EMS	emergency medical services
PEA	pulseless electrical activity
faPEA	pulseless electrical activity with favorable evolution
unPEA	pulseless electrical activity with unfavorable evolution
VF	ventricular fibrillation
ECG	electrocardiogram
TI	thoracic impedance
ICC	impedance circulation component
RF	random forest
LR	logistic regression
SVM	support vector machine
AUC	area under the curve
BAC	balanced accuracy
Se	sensitivity
Sp	specificity

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## A.1.2 AURRENEKO ARGITALPENA NAZIOARTEKO KONFERENTZIAN

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**Argitalpena Nazioarteko Konferentzian**

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**Erreferentzia**

Jon Urteaga, Elisabete Aramendi, Andoni Elola, Anders Norvik, Eirik Unneland, Abhishek Bhardwaj, David Buckler, Benjamin S Abella, Eirik Skogvoll, "The Prediction Of Pulseless Electrical Activity Evolution During In-hospital Cardiac Arrest Using Machine Learning", *Resuscitation Science Symposium (AHA-ReSS)*, 2022

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**Kalitate Adierazleak**

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# Circulation

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**RESUSCITATION SCIENCE SYMPOSIUM 2022**

**SESSION TITLE: POSTER ABSTRACT SESSIONS**

## Abstract 220: The Prediction Of Pulseless Electrical Activity Evolution During In-hospital Cardiac Arrest Using Machine Learning

Jon Urteaga, Elisabete Aramendi, Andoni Elola, Anders Norvik, Eirik Unneland, Abhishek Bhardwaj, David Buckler, Benjamin Abella and Eirik Skogvoll

Originally published 30 Oct 2022 | [https://doi.org/10.1161/circ.146.suppl\\_1.220](https://doi.org/10.1161/circ.146.suppl_1.220) | Circulation. 2022;146:A220

### Abstract

**Background:** Pulseless electrical activity (PEA) is the most common rhythm during in-hospital cardiac arrest (IHCA) with a prevalence around 50%. Knowing the prognosis of PEA evolution towards return of spontaneous circulation (ROSC) could help optimizing both resuscitation maneuvers and pharmacological therapy. The aim of this study was to develop an automatic method to predict the evolution of PEA during resuscitation based on the ECG-waveform.

**Materials and Methods:** The dataset consists of 164 IHCA cases recorded by St. Olav University Hospital (Norway), Hospital of the University of Pennsylvania (USA) and Penn Presbyterian Medical Center (USA). ROSC was verified in 108 cases of the patients by physicians and bioengineers based on episode waveforms and clinical data. PEA segments of 5 sec were extracted from the last 10 min before ROSC or the end of resuscitation therapy. Three machine learning models were designed for segment binary classification based on an SVM (Gaussian) model using: 1) ECG-waveform features (9); 2) QRS-features (8); and 3) both ECG-waveform and QRS-features (17). Ten-fold cross validation was applied to train and test the models, and the performance was given in terms of area under the curve (AUC), sensitivity (Se) to correctly detect cases evolving to ROSC, specificity (Sp) and balanced accuracy (BAC).

**Results:** A total of 780 segments were extracted (472 with ROSC). The median (IQR) for the models with the best feature combination are shown in the Table. The most important ECG-waveform features are associated to the ECG spectral distribution. QRS features that showed relevant information about the evolution of PEA were heart rate median and standard deviation, and QRS width, slope and amplitude.

**Conclusions:** ROSC/no ROSC prediction of PEA segments is feasible using ECG signal information. The combination of ECG-waveform and QRS features enhances performance of predictive model.

Model	AUC (%)	Se (%)	Sp (%)	BAC (%)
ECG-waveform	80.85 (10.91)	63.68 (20.62)	91.45 (29.34)	72.27(19.11)
QRS	80.17 (11.34)	67.78 (20.08)	74.61 (25.71)	69.87 (9.26)
ECG-waveform & QRS	84.07 (8.73)	63.68 (23.24)	79.61 (27.05)	72.19 (7.95)

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## Footnotes

Author Disclosures: For author disclosure information, please visit the AHA Resuscitation Science Symposium 2022 [Online Program Planner](#) and search for the abstract title.



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# Circulation

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## A.1.3 BIGARREN ARGITALPENAZ NAZIOARTEKO ALDIZKARIAN

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**Argitalpena nazioarteko aldizkarian**

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**Erreferentzia**

Jon Urteaga, Andoni Elola, Anders Norvik, Eirik Unneland, Trygve C Eftestøl, Abhishek Bhardwaj, David Buckler, Benjamin S Abella, Eirik Skogvoll, Elisabete Aramendi "Machine learning model to predict evolution of pulseless electrical activity during in-hospital cardiac arrest". *Resuscitation Plus*, 2024, vol. 17, p. 100598.

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**Kalitate Adierazleak**

- **Argitalpen mota:** JCRen indexatutako aldizkari artikulua
  - **Kuartila:** Q2 (23/53) Web of Science Rank-en oinarrituta 2022
  - **Impaktu Faktorea:** 2.4
-



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## Clinical paper

# Machine learning model to predict evolution of pulseless electrical activity during in-hospital cardiac arrest



Jon Urteaga<sup>a</sup>, Andoni Elola<sup>b</sup>, Anders Norvik<sup>c</sup>, Eirik Unneland<sup>c</sup>, Trygve C. Eftestøl<sup>d</sup>, Abhishek Bhardwaj<sup>e</sup>, David Buckler<sup>f</sup>, Benjamin S. Abella<sup>g</sup>, Eirik Skogvoll<sup>c</sup>, Elisabete Aramendi<sup>a,h,\*</sup>

### Abstract

**Background:** During pulseless electrical activity (PEA) the cardiac mechanical and electrical functions are dissociated, a phenomenon occurring in 25–42% of in-hospital cardiac arrest (IHCA) cases. Accurate evaluation of the likelihood of a PEA patient transitioning to return of spontaneous circulation (ROSC) may be vital for the successful resuscitation.

**The aim:** We sought to develop a model to automatically discriminate between PEA rhythms with favorable and unfavorable evolution to ROSC.

**Methods:** A dataset of 190 patients, 120 with ROSC, were acquired with defibrillators from different vendors in three hospitals. The ECG and the transthoracic impedance (TTI) signal were processed to compute 16 waveform features. Logistic regression models were designed integrating both automated features and characteristics annotated in the QRS to identify PEAs with better prognosis leading to ROSC. Cross validation techniques were applied, both patient-specific and stratified, to evaluate the performance of the algorithm.

**Results:** The best model consisted in a three feature algorithm that exhibited median (interquartile range) Area Under the Curve/Balanced accuracy/Sensitivity/Specificity of 80.3(9.9)/75.6(8.0)/ 77.4(15.2)/72.3(16.4) %, respectively.

**Conclusions:** Information hidden in the waveforms of the ECG and TTI signals, along with QRS complex features, can predict the progression of PEA. Automated methods as the one proposed in this study, could contribute to assist in the targeted treatment of PEA in IHCA.

**Keywords:** Pulseless electrical activity (PEA), Machine Learning models, Cardiopulmonary resuscitation (CPR), Evolution prediction

## Introduction

The cardiac electrical activity with no effective mechanical contractions (PEA) is a rhythm frequently present in cardiac arrest, with recorded prevalence of 20–30% in out-of-hospital (OHCA) and up to 40–60% in in-hospital cardiac arrest (IHCA).<sup>1–3</sup> In recent decades, PEA prevalence in IHCA increased from 36% in 2000 to 46% in 2009,<sup>4</sup> and similar increasing trends were observed in out-of-hospital studies.<sup>5–7</sup>

In the context of cardiopulmonary resuscitation (CPR), biosignals as electrocardiogram (ECG) and thoracic impedance (TTI) provide valuable information that can assist identifying the prognosis of PEA and guide the appropriate treatment towards the return of spontaneous circulation (ROSC).<sup>8,9</sup> Knowledge of the prognosis of PEA can help clinicians make informed decisions about the appropriate treatment and management of patients,<sup>10,11</sup> discriminating favorable from unfavorable PEA. Pseudo-PEA rhythms show small mechanical activity, albeit insufficient for a palpable pulse, in contrast to

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<https://doi.org/10.1016/j.resplu.2024.100598>

Received 28 November 2023; Received in revised form 21 February 2024; Accepted 22 February 2024

true-PEA with no mechanical cardiac activity.<sup>8,12,13</sup> The two types of PEA have different prognosis and treatment,<sup>13–16</sup> and their distinction is of great clinical interest to predict the hemodynamical evolution of PEA and their outcome.

Both heart rate (HR) and QRS complex duration are biomarkers that are readily accessible during both the initial and subsequent rhythm assessments. Recent studies have indicated their relation with the outcome and suggest that HR increase and QRS duration decrease indicate a higher probability of ROSC.<sup>10,17–19</sup> More sophisticated features of the ECG and the TTI computed in the frequency domain, as AMSA and the cross-power between ECG and TTI signals, have also shown the potential to predict ROSC.<sup>9</sup> Their combinations in machine learning (ML) models have been proposed to predict the immediate rhythm transition during cardiac arrest,<sup>20</sup> to classify different types of rhythm,<sup>21</sup> and to distinguish between favorable (faPEA) and unfavorable (unPEA) PEA, the former denoting instances of PEA evolving into sustained ROSC (minimum 20 min), while the latter pertaining to PEA cases wherein pulse is not regained.<sup>9,22</sup>

In this study multivariable machine learning models have been proposed to discriminate PEAs with favorable prognosis in IHCA. Features based on different signals and QRS complexes have been included in an automated model, in addition to a new version of the Amplitude Spectrum Area. The potential of the ECG and TTI features, hidden in the biosignal waveforms, were analyzed and combined in a sophisticated regression based classifier. Retrospective analysis of IHCA episodes permitted the evaluation of the accuracy of the models.

## Materials and methods

### Data materials

The data used in this study was a subset of a larger database containing IHCA episodes from different hospitals. The subset comprised of 197 episodes recorded by emergency services: 83 episodes from St. Olav University Hospital (Trondheim, Norway), 90 episodes from the Hospital of the University of Pennsylvania (USA) and 24 episodes from Penn Presbyterian Medical Center (USA). The episodes from Norway, captured between 2018 and 2021, were recorded using Lifepak- 20 defibrillators (Stryker, Redmond, USA), whereas the episodes from Pennsylvania, captured between 2008 and 2010, were recorded using HeartStart MRx-defibrillators (Philips Medical Systems, Andover, Massachusetts, USA). Out of 197 episodes, 190 came from different patients, and a summary of the patient cohort's characteristics is presented in Table 1.

The median (Interquartile range, IQR) duration of the episodes was 17.1 (9.1–32.2) min from the start of the episode to the ROSC/end-of-CPR, and in 120 episodes sustained ROSC was achieved, 8.2(5.3–19.9) min after switching on the defibrillator. Sustained ROSC was defined as a pulsed rhythm with no chest compressions at least during 20 min.<sup>7</sup>

Expert clinicians reviewed and manually annotated all episodes. They annotated rhythm type and QRS complexes in the ECG signal, and identified chest compression series in the TTI. For the analysis, PEA segments of 5 s duration, separated by at least 1 s, were extracted during chest compression pauses. As rates below 12 bpm during longer than 5 s are considered asystole, a minimum duration of 5 s and 12 bpm were demanded to guarantee that all segments were PEA rhythms.<sup>23–25</sup> Fig. 1 shows two examples of the

**Table 1 – Summary of patient and episode statistics. Data are presented as percentage or median (Interquartile range, IQR).**

### Patient Summary (n = 190)

Metric	Value
Age (years)	69.5 (57.8–77.3)
Male gender	54.2%
Survived to discharge	17%

### Episode Summary (n = 197)

Metric	Value
Monitored CA	78%
Assumed cardiac cause	55%
Received adrenaline	84%

dataset, where both the ECG and the TTI signals are represented with some meaningful features.

## Methods

This section described the procedure to define the PEA analysis based on ML models. The method is divided in three stages consisting of: 1) Preprocessing of ECG and TTI signals, 2) Feature characterization of the signals, and 3) Design of feature-based ML models for binary classification. This last stage includes the training/validation of the models as well as their statistical characterization.

### ECG and TTI preprocessing

ECG and TTI signals were preprocessed following the scheme proposed in a previous study.<sup>9,22</sup> The ECG signal was denoised using a stationary wavelet transform (SWT) technique. This involved applying a band-pass filter in the band of 0.5–31.25, Hz to remove baseline noise, high-frequency noise, motion artifacts, and ventilation artifacts. The impedance circulation component (ICC), which reflects the ventricular contractions in the TTI signal correlated with ECG heartbeats,<sup>22,26</sup> was extracted from the TTI signal and filtered 1–8 Hz.<sup>9,22</sup> In Fig. 1, it can be observed how the ICC component of TTI signal correlated with the QRS complexes in the ECG.

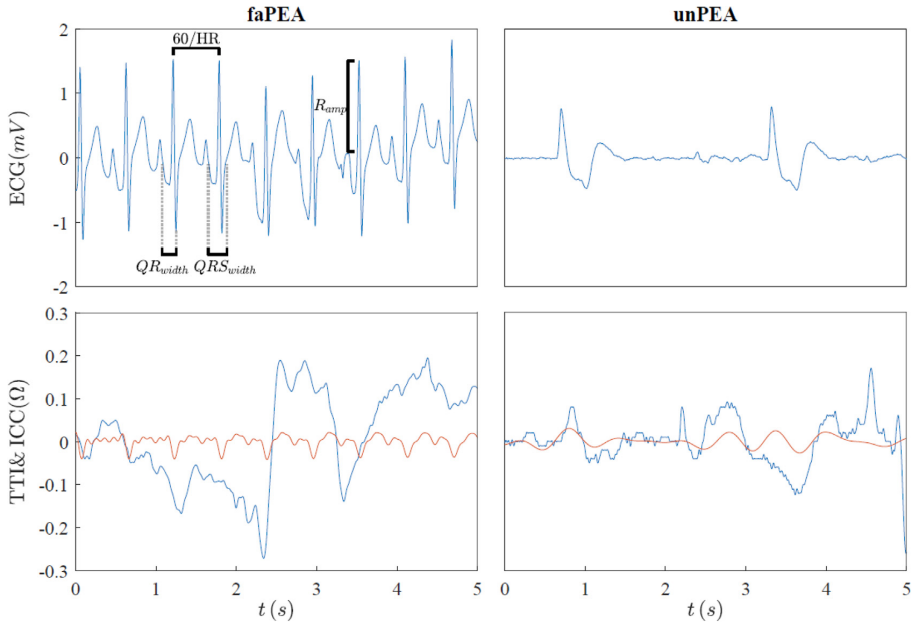
### Feature extraction

The sets of features considered in this study were gathered in three groups: The ECG and ICC waveform features, previously proposed in cardiac arrest studies, and new additional QRS related features.

### ECG waveform features

The main ECG waveform computed, non QRS specific features, were the following:

- The Amplitude Spectrum Area (AMSA) was calculated by summing the product of the spectral amplitudes and corresponding frequencies in the band of 2–48 Hz of the ECG signal as proposed in.<sup>27</sup> It has been widely reported as a reliable predictor for successful defibrillation, and it is indicative of both coronary perfusion pressure and myocardial energy state.<sup>9,28,29</sup>
- ModAMSA is a modified version of AMSA that calculates the spectral content in the frequency range of 20–30 Hz. The ModAMSA associated to faPEA rhythms was observed to be higher than the value for unPEA. The Figure in Appendix A shows



**Fig. 1 – Two PEA cases corresponding to faPEA (left) and unPEA (right) are shown. On the top the ECG signals, with  $HR_{mean}$ ,  $QR_{width}$ ,  $QRS_{width}$ , and  $R_{amp}$  represented. On the bottom the TTI and the computed impedance circulation component, ICC, in red.**

how the spectral content of both types of rhythms overlaps considerably between 0 and 15 Hz but becomes more distinct between 20 and 30 Hz.

- The Smoothed Nonlinear Energy Operator (SNEO) computed in the ECG,  $SNEO_{ECG}$ , measures the local energy content of the ECG signal as described in.<sup>30</sup> It has been classically applied for QRS complex detection,<sup>31</sup> shock outcome prediction<sup>30</sup> and identification of circulatory status.<sup>22</sup>
- The Autoregressive Burg's value (ARB) computed in the ECG signal,  $ARB_{ECG}$ , evaluates the similarity between the power spectral density of the signal with an autoregressive model of the spectrum.<sup>32</sup> It has been used for identification of circulatory status<sup>22</sup>, cardiac rhythm classification<sup>33</sup> and prediction of prognosis of PEA during OHCA.<sup>9</sup>
- Entropy is a complexity measure that quantifies the regularity of the ECG.<sup>34</sup>

#### ICC waveform features

The ICC of the TTI was computed as described in<sup>9,22</sup> and features computed as follows:

- $Cross_{Power}$  is the cross power between the ECG and ICC signals. High  $Cross_{Power}$  indicates pulsatile rhythms, and it has been proposed for automatic circulation detection in OHCA.<sup>35</sup>
- $LogPower_{ICC}$  is the logarithmic energy of the ICC signal, which is related to the ventricular wall movement.<sup>36</sup>
- $SNEO_{ICC}$  is the SNEO value of the ICC signal, computed as described in section 3.2.1.

- $ARB_{ICC}$  is the ARB of the ICC signal, computed as described in section 3.2.1.

#### QRS waveform features

Additionally to ECG waveform features, several metrics related to the QRS complex were computed using the manual annotations of the Q, R, and S waves made by clinicians.

- $HR_{mean}$  and  $HR_{var}$  are the mean and variance values of HR, respectively, computed as the inverse of consecutive R-R intervals.
- $QRS_{width}$  and  $QR_{width}$  correspond to the durations of Q-S and Q-R complex, respectively.
- $QRS_{slope}$  and  $QR_{slope}$  are computed as the sum of the amplitude values of QRS and QR complexes in the first difference signal divided by  $QRS_{width}$  and  $QR_{width}$ , respectively.
- $R_{amp}$  is the mean value of the amplitude of the R wave peaks in the segment.

A detailed description of the algorithms applied to compute the features can be found in [Appendix B](#).

#### LR classifier

A logistic regression model (LR) was used to classify PEA segments into faPEA or unPEA. The models were trained and tested combining multiple variables. LR was the best option to make understandable binary classifications. The probability of a segment to be a faPEA was computed following the next equation<sup>36</sup>:

$$p = \frac{1}{1 + e^{-z}}$$

where  $z$  is the linear combination of the independent features weighted by their coefficients:

$$z = \beta_0 + \beta_1 x_1 + \beta_2 x_2 + \dots + \beta_n x_n$$

In this equation,  $\beta_0, \beta_1, \beta_2, \dots, \beta_n$  are the regression coefficients estimated during the model fitting process, and  $x_1, x_2, \dots, x_n$  are the features of the PEA segment.

The maximum Area Under the ROC Curve (AUC) was applied as the optimization criteria in the design process.

Feature selection has been performed using forward feature selection. This means that we started with the feature with the highest AUC, and at each step, we added the feature that, when combined, provided the best AUC.

### Validation

A 10-fold cross-validation (CV) technique was used for model validation, using different sets of patients for training and testing. This implies that all segments extracted from a patient were used either for training or for testing within each fold; segments from the same patient were never split for training and testing the model. To improve reliability, the partition was done assigning the same weight to all patients, which avoided data leakage between folds.

The performance of the classifiers was evaluated using standard performance metrics for binary classifiers, with faPEA as the positive class. The AUC, Sensitivity (Se), Specificity (Sp) and Balanced Accuracy (BAC, the average of Se and Sp) were considered as the performance metrics.

The performance metric that was primarily focused on optimizing in this study was the AUC.

### Time analysis

In previous studies on rhythm evolution or pulse detection in cardiac arrest, predictability was shown to vary over time.<sup>7,9,37,38</sup> In this second analysis segments were separated in four groups (quartiles) depending on their time distance to the ROSC/end-of-CPR, and models analyzed in terms of proximity to the end. While maintaining the 10-fold CV architecture, the models were trained using segments from all quartiles and performance was evaluated in each specific quartile.

Additionally, the evolution of the top three features was analyzed over the last 15 minutes of the episode, and an exponential function adjusted to characterize their evolution.

## Results

A total of 1468 PEA segments of 5 s duration were extracted from 197 episodes, with a median (IQR) of 4(1–8) segments per episode. The segments observed during episodes with ROSC were categorized as faPEA, while those without ROSC were categorized as unPEA. There was a total of 767 faPEA segments, median (IQR) 2 (1–9) per episode, and 701 unPEA segments, 5 (3–9) per episode. The 25th, 50th, and 75th percentiles of their time to ROSC/end-of-CPR were 180, 383, and 772 seconds, respectively.

In Table 2, the independent analysis of each feature can be observed for faPEA/unPEA groups. The median (IQR) value of each

**Table 2 – Median (IQR) values of each feature for faPEA and unPEA segments are shown, the AUC of the LR classifier. Mann-Whitney U-test was performed considering one value (median among segments) per feature and per patient.**

ECG Features				
Feature	faPEA	unPEA	AUC(%)	p-value
AMSA	24.50 (16.56–39.32)	14.00 (9.47–20.50)	75.23 (69.42–81.82)	$3.5 \cdot 10^{-4}$
ModAMSA	4.14 (2.15–6.93)	1.52 (0.94–2.51)	79.13 (74.59–85.72)	$8.3 \cdot 10^{-6}$
SNEO <sub>ECG</sub>	0.16 (0.04–0.65)	0.07 (0.01–0.23)	63.78 (55.81–71.68)	$6.8 \cdot 10^{-5}$
ARB <sub>ECG</sub>	$2.18 \cdot 10^{-6}$ (0.71·10 <sup>-6</sup> –4.57·10 <sup>-6</sup> )	$0.48 \cdot 10^{-6}$ (0.26·10 <sup>-6</sup> –1.15·10 <sup>-6</sup> )	77.89 (73.47–84.47)	$1.4 \cdot 10^{-9}$
Entropy	0.29 (0.21–0.35)	0.22 (0.16–0.31)	63.06 (57.69–70.45)	$9.6 \cdot 10^{-3}$
TTI Features				
Feature	faPEA	unPEA	AUC(%)	p-value
Cross <sub>Power</sub>	0.51 (0.14–1.24)	0.30 (0.07–0.80)	58.93 (54.31–64.19)	$4.8 \cdot 10^{-3}$
LogPower <sub>ICC</sub>	4083 (2518–5412)	3308 (1673–4412)	59.54 (55.37–64.83)	$2.1 \cdot 10^{-3}$
SNEO <sub>ICC</sub>	$1.1 \cdot 10^{-3}$ (0.17·10 <sup>-3</sup> –4.65·10 <sup>-3</sup> )	$0.48 \cdot 10^{-3}$ (0.11·10 <sup>-3</sup> –1.80·10 <sup>-3</sup> )	59.99 (55.56–64.34)	$4.3 \cdot 10^{-2}$
ARB <sub>ICC</sub>	$43.36 \cdot 10^{-9}$ (4.81·10 <sup>-9</sup> –340.91·10 <sup>-9</sup> )	$27.51 \cdot 10^{-9}$ (5.76·10 <sup>-9</sup> –113.21·10 <sup>-9</sup> )	57.53 (54.16–64.93)	$2.4 \cdot 10^{-2}$
QRS Features				
Feature	faPEA	unPEA	AUC(%)	p-value
HR <sub>mean</sub>	74.26 (46.57–113.40)	70.83 (38.11–90.40)	56.48 (52.51–62.31)	$2.2 \cdot 10^{-2}$
HR <sub>var</sub>	5.14 (0.41–157.79)	6.77 (0.06–101.21)	57.75 (55.42–62.98)	$1.2 \cdot 10^{-2}$
QRS <sub>width</sub>	155 (113–195)	205 (160–262)	68.74 (60.92–77.76)	$6.6 \cdot 10^{-5}$
QR <sub>width</sub>	52 (40–77)	67 (50–97)	65.31 (56.35–73.33)	$2.3 \cdot 10^{-3}$
Ramp	0.36 (0.04–0.70)	0.19 (0.23–0.40)	63.29 (57.29–68.74)	$1.0 \cdot 10^{-2}$
QRS <sub>slope</sub>	0.013 (0.009–0.019)	0.007 (0.005–0.011)	77.63 (68.41–82.08)	$4.3 \cdot 10^{-7}$
QR <sub>slope</sub>	0.011 (0.008–0.017)	0.007 (0.005–0.096)	72.43 (65.91–78.91)	$2.0 \cdot 10^{-6}$

type of segments was computed using the whole dataset, while AUC was computed following the 10-fold CV model explained in methods section. The results in terms of discrimination power are quite aligned with previous OHCA analysis for ECG and TTI signals,<sup>9</sup> showing AUC values above 75% in several single features.

All the features showed different medians for unPEA and faPEA groups according to Mann-Whitney U-test ( $p < 0.05$ ). However, the features that showed lowest p values, with  $p < 0.005$ , were ModAMSA, AMSA, SNEO<sub>ECG</sub>, ARB<sub>ECG</sub>, LogPower<sub>ICC</sub>, CrossPower, QRS<sub>width</sub>, QR<sub>width</sub>, QRS<sub>slope</sub> and QR<sub>slope</sub>.

The performance of the LR classifiers in terms of the number of features, following the criteria of forward feature selection explained in the methods section, is shown in Table 3. The analysis revealed that the best performance was achieved with a three-feature model based on: ModAMSA, LogPower<sub>ICC</sub>, QRS<sub>width</sub>, with AUC/BAC values of 80.3% and 75.6%, respectively. No improvement was observed increasing the number of features.

In the time analysis, the performance of the three-feature LR model was analyzed in terms of the distance to the ROSC/end-of-CPR and results are shown in Fig. 2. It can be observed that the classifier performs better for segments closer to the end (Q4 compared to Q1). Specifically, the Q4 group with a time-distance of 0–180 s from the end showed an AUC/BAC of 87.3%/BAC of 79.1%, while the Q1 at > 772 s from the end presented AUC/BAC of 69.6%/59.9%.

To better understand the results, we analyzed the evolution of the top three features in the last 15 minutes before ROSC. Fig. 3 shows that the values of ModAMSA, QRS<sub>width</sub> and ICC log Power separate for faPEA (blue) compared to unPEA (red) further as ROSC approaches. These results confirm the potential of these features to evaluate the proximity to ROSC and discriminate between PEA with favorable and unfavorable prognosis.

The study also confirms previous findings<sup>9,10,17–19</sup> that as PEA episodes progress, the predictability of PEA prognosis increases. This is supported by the results in Fig. 2, as well as the observation that features of each type become increasingly distinct over time, as seen in Fig. 3.

## Discussion

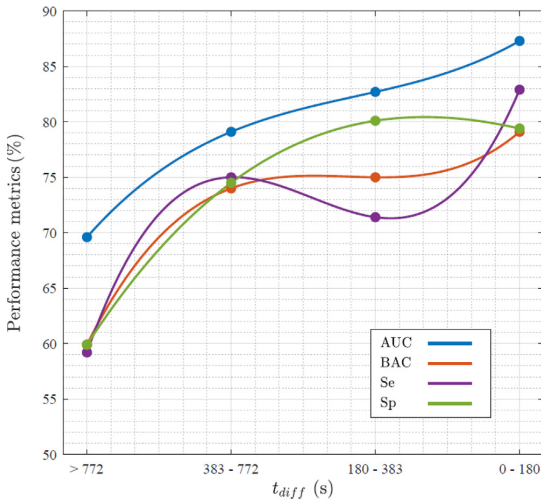
This work presents a novel predictive model that integrates ECG and TTI features to discriminate PEAs with positive prognosis. This is the first time such a model is designed for IHCA patients and integrates QRS specific features.

The application of ML models to design predictive models for IHCA reinforce previous conclusions of Urteaga et al. with OHCA.<sup>9</sup> Both studies highlight the importance of feature selection and integration of different sources of information to develop accurate tools for PEA state evolution prediction in cardiac arrest patients.

In contrast to previous automated methods, this study integrates QRS complex features that have demonstrated great potential to predict the outcome of PEA.<sup>10,17–19,39,40</sup> The AUC obtained for the QRS complex duration is aligned with previous results, and combining QRS complex features with other ECG/ICC features has improved the overall performance of the model 0.6 points of AUC and 4.1 points of BAC. Fig. 3 showed that QRS complexes are narrower in faPEA segments compared to unPEA, and that they evolve to narrower values as episode progresses towards ROSC. However, the discriminative power of HR does not support its use in this application (see Table 2).

**Table 3 – The LR model's performance in terms of median (IQR) AUC, BAC, Se, and Sp. All possible combinations using one to six features have been tested, only the best for each number of features is shown.**

No. Features	AUC (%)	BAC (%)	Se (%)	Sp (%)
1	79.1 (74.6–85.7)	71.4 (63.9–75.7)	62.9 (56.1–71.9)	79.2 (70.2–89.9)
2	79.7 (73.7–85.3)	71.5 (65.2–79.0)	64.2 (57.7–73.9)	78.5 (71.1–88.0)
3	80.3 (73.3–85.7)	75.6 (69.6–80.1)	77.4 (70.3–84.2)	72.3 (61.9–79.6)
4	80.2 (73.4–85.7)	75.5 (69.9–80.3)	77.5 (69.9–83.5)	69.5 (60.2–80.0)
5	79.8 (73.4–85.8)	73.1 (69.0–79.2)	77.8 (69.8–83.8)	66.4 (59.1–78.9)
6	79.6 (73.3–85.7)	72.6 (68.9–72.3)	76.4 (68.7–82.9)	64.4 (58.6–77.7)



**Fig. 2 – Performance of the LR model with three features for segments according to their distance from the ROSC/end-of-episode. The figure shows the median (IQR) values for AUC, BAC, Se and Sp.**

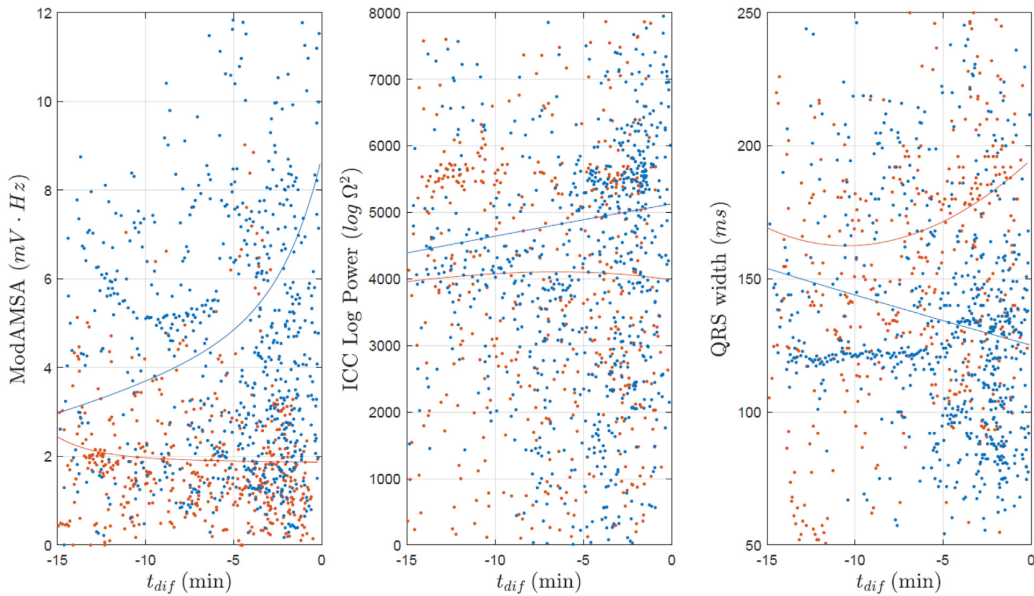
The  $QRS_{width}$  and the  $HR$  are the characteristics that have been studied as indicators of PEA prognosis. Norvik et al. and Aufderheide et al. have found a correlation between  $QRS_{width}$  and  $HR$  with survival.<sup>17,19,41</sup> Both studies demonstrated that smaller  $QRS_{width}$  and higher  $HR$  are associated with more favorable outcomes in PEA.

On the other hand, Weisser et al. only found a correlation between  $HR$  and prognosis, not with  $QRS_{width}$ .<sup>42</sup> This is in contrast to Kim et al., who found a correlation with the duration of the QRS complex but not with  $HR$ .<sup>40</sup> In this study, the correlation of  $QRS_{width}$  with the prognosis of pulseless electrical activity (PEA) has been demonstrated. In Fig. 2, it can be observed how the mean value of  $QRS_{width}$  differs more as it approaches the ROSC/end-of-CPR. This is consistent with the results of Norvik et al.<sup>19</sup>

It is worth highlighting that ModAMSA, which is the independent feature that shows the best performance in Table 2, also exhibits the most significant difference over time in Fig. 2. High values of ModAMSA are associated with more content in the high-frequency spectrum, which is caused by high  $HR$  and narrow QRS complexes with high amplitude.

The results also demonstrate that ModAMSA, a modified version of the AMSA, has better predictive capabilities than the original feature to differentiate an unPEA from a faPEA. This finding highlights the potential of the frequency range of 20–30 Hz to classify PEA segments according to their prognosis. This frequency band has already shown potential for the detection and classification of cardiac rhythms in previous studies.<sup>9,34,43</sup> Other applications of AMSA<sup>44–47</sup> might benefit from this new definition and provide more accurate predictive models.

Regarding the number of features included in the predictive models, it can be concluded that more features do not necessarily improve the accuracy of the algorithm (see Table 3) because their contribution may result redundant or irrelevant to the model. In our case the best model was reached with three features, one from each group: ECG signal waveform, ICC signal waveform, and QRS complex shape. Each of these features also happens to have the highest AUC value among all the features that showed  $p < 0.005$  within their



**Fig. 3 – The evolution of the top three features (ModAMSA, ICCLogPower, and QRSwidth) is shown in the figure, representing the last 15 minutes before the ROSC/end-of-episode. Blue and red dots represent the feature values for faPEA and unPEA cases, respectively. The fitted exponential line is also shown for each group.**



respective group. This can explain the reason behind the selection of those features. Adding new features does not include relevant information in the model, probably because they are correlated with features already present in the model, as seen for  $ARB_{ECG}$  (Pearson correlation coefficient of 0.78 with  $ModAMSA$ ) and for  $QRS_{width}$  (Pearson correlation coefficient of 0.76 with  $QR_{width}$ ). The correlation matrix can be found in [Appendix C](#).

Another critical consideration lies in the database's heterogeneity. In this context, it is noteworthy that 83 entries originate from Norway, while 114 emanate from Pennsylvania. Beyond mere geographical disparities, distinctions exist in the types of defibrillator equipment utilized and the dates of data collection, potentially engendering divergent treatment modalities. To ascertain the consequential influence of these factors, [Appendix D](#) delineates the model's performance across each country. The analysis demonstrated a good performance of the model for both cases ( $AUC \approx 80\%$  and  $BAC \approx 75\%$ ) and did not reveal a significant difference between them ( $p > 0.05$  for both  $AUC$  and  $BAC$ ). Nevertheless, to comprehensively validate the model's robustness, further experimentation incorporating additional countries and diverse defibrillator apparatuses is warranted.

When comparing the results with similar studies with OHCA,<sup>9</sup> the proposed algorithm performed 5.4-points below the  $AUC$  of the best OHCA models. We believe that in-hospital patients' condition might have contributed to this difference, as they are probably affected by other illness or injuries that jeopardize the design of PEA evolution models based exclusively in biosignals. Extra information as clinical/demographic data might contribute to build more complex and accurate predictive models.

Assessment of the patient's response to therapy is crucial in cardiac arrest resuscitation. Many contributions highlight the need of short-time prognosis tools that may assist clinicians in decision making.<sup>10,19</sup> With a favorable prognosis, it is reasonable to continue the ongoing efforts quite unaltered. However, with unfavorable prognosis, one may re-assess the situation from a broad perspective including CPR quality, and/or identification of reversible causes. Further research and prospective studies are needed to address the implications of integrating these tools into clinical practice.

### Limitations of the study

The annotation of the QRS complexes to obtain the QRS-features included in the automated model was performed manually by medical experts reviewing the signals with an ad-hoc tool.

Although a completely automated method is desired, existing algorithms for QRS complex delineation were developed for stable patients and are not accurate for patients in cardiac arrest.<sup>39,48–51</sup> Further research is needed to overcome this limitation and develop QRS delineation algorithms robust enough in emergency scenarios.

## Conclusions

A machine learning model was characterized to predict the evolution of PEA rhythms in cardiac arrest patient. The innovative LR model included features from the ECG and the TTI, with QRS-specific metrics that boosted the accuracy of the model. This new approach, evaluated with patients in IHCA, contributes to improve our knowledge on biosignal based predictive models in the field of resuscitation.

## Ethical Considerations

The study is purely observational and involved no experimental intervention. Data were recorded by defibrillators used by the emergency teams responding to in-hospital emergencies and supplemented by clinical information after each event. Ethical approval was granted by the University of Pennsylvania IRB #7 for the US sites (Sept. 6th, 2017, PROTOCOL # 828086) and the regional committee for medical ethics in Central ("Midt") Norway (ref. 2019/785).

## CRediT authorship contribution statement

**Jon Urteaga:** Writing – review & editing, Writing – original draft, Supervision, Software, Investigation, Data curation, Conceptualization. **Andoni Elola:** Writing – review & editing, Writing – original draft, Supervision, Software, Investigation, Data curation, Conceptualization. **Anders Norvik:** Writing – review & editing, Data curation, Conceptualization. **Eirik Unneland:** Writing – review & editing, Investigation, Conceptualization. **Trygve Christian Eftestøl:** Writing – review & editing, Investigation, Conceptualization. **Abhishek Bhardwaj:** Writing – review & editing, Investigation, Conceptualization. **David Buckler:** Writing – review & editing, Investigation, Conceptualization. **Benjamin S. Abella:** Writing – review & editing, Investigation, Data curation, Conceptualization. **Eirik Skogvoll:** Writing – review & editing, Investigation, Conceptualization. **Elisabete Aramendi:** Writing – review & editing, Supervision, Investigation, Data curation, Conceptualization.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgments

This research has been partially supported by the MCIN/AEI/10.13039/501100011033/ and by "ERDF A way of making Europe" through grant PID2021-122727OB-I00. Additional support has been provided by the Basque Government through grants IT1717-22 and PRE2021\_2\_0173, as well as by the University of the Basque Country (UPV/EHU) through COLAB20/01.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.resplu.2024.100598>.

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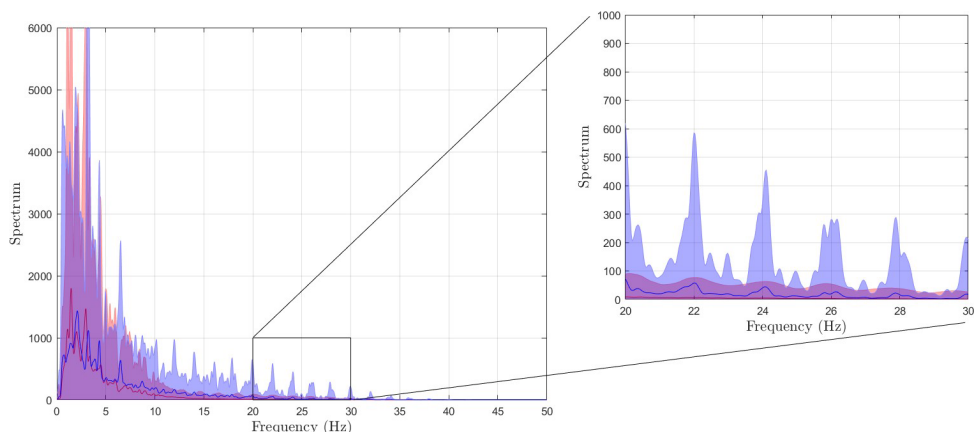
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## 1 Appendix A. Spectrum of the ECG signal for faPEA and unPEA segments

2 In Figure A.1 the ECG signal spectrum is depicted for faPEA and unPEA segments. It illus-  
3 trates that the spectral characteristics of both rhythm types exhibit significant overlap in the 0 to  
4 15 Hz range, but they become more distinguishable in the 20 to 30 Hz range.



5 Figure A.1: The spectrum of the ECG signal for faPEA and unPEA segments is shown. The blue line and shaded  
6 blue area indicate the mean and standard deviation, respectively, of faPEA segments, while the red line represents  
7 the same for unPEA segments. On the left, the spectrum between 0 and 50 Hz is shown, while on the right, it is between  
8 20 and 30 Hz, which is the range used in ModAMSA.

## 9 Appendix B. Feature extraction

10 This section describes the algorithms used to compute the fifteen features of this work: Five  
11 were extracted from the ECG signal, four from the IT signal, and six based on the QRS complexes.

12 The **amplitude spectrum area (AMSA)** represents the sum of the spectral amplitudes  
13 of the ECG signal, with each amplitude weighted by its corresponding frequency. To compute  
14 AMSA, the spectral amplitudes  $A_i(f_i)$  at frequency  $f_i$  are needed, which were computed using a  
15 4096-point Fast Fourier Transform (FFT) on the Tuckey windowed ECG segment, following the  
16 next equation:<sup>1,2</sup>

$$17 \quad \text{AMSA} = \sum_i A_i \cdot f_i, \quad 2 < f_i(\text{Hz}) < 48 \quad (\text{B.1})$$

18 The **modified AMSA (ModAMSA)** represents the weighted sum of the spectral amplitudes  
19 of the ECG signal, similar to AMSA, but in this case, only within the frequency range of 20 – 30Hz.

$$20 \quad \text{ModAMSA} = \sum_i A_i \cdot f_i, \quad 20 < f_i(\text{Hz}) < 30 \quad (\text{B.2})$$

21  
22 The **Smoothed Nonlinear Energy Operator (SNEO)** has been calculated for both the  
23 ECG signal (**SNEO<sub>ECG</sub>**) and the ICC signal (**SNEO<sub>ICC</sub>**). The SNEO of the signal  $x(n)$  is the  
24 convolution between a Kaiser window and a non-linear Teager-Kaiser Energy Operator (TKEO):<sup>3</sup>

$$25 \quad \psi_{S,L}[x(n)] = \psi_k[x(n)] \otimes w_L(n) \quad (\text{B.3})$$

26 TKEO ( $\psi_k[x(n)]$ ) is computed using the following equation:

$$27 \quad \psi_k[x(n)] = x^2(n) - x[n-k]x[n+k] \quad (\text{B.4})$$

28 where  $k$  is the lag parameter which is associated to the window length ( $L$ ) by  $L = 4k + 1$ .

29 The **autoregressive Burg's values (ARB)** are the autoregressive parameters of order 4  
30 estimated using Burg's method.<sup>4,5</sup> The signal  $x(n)$  can be modelled as:

$$31 \quad x(n) = -\sum_{k=1}^4 a_k s(n-k) + v(n), \quad (\text{B.5})$$

32 where  $v(n)$  represents an independent identically distributed stochastic sequence with zero mean  
33 and variance  $\sigma^2$ , and the  $a_k$  coefficients are referred to autoregressive coefficients of the model.  
34 **ARB<sub>ECG</sub>** and **ARB<sub>ICC</sub>** are the  $a_4$  coefficients of ECG and ICC signals, respectively.

35 The overall **cross-power (Cross<sub>Power</sub>)** was previously defined as:<sup>6</sup>

36 
$$\text{CrossPower} = \min(P_{c1}, P_{c2}), \quad (\text{B.6})$$

37 where  $P_{c\ k}$  is the  $k$ -th half,  $k = \{1, 2\}$ , cross power of the 2.5 s segment, which is calculated as  
 38 follows:

39 
$$P_{ck} = \frac{1}{N/2} \sum_{n=1}^{N/2} |ecg_k[n]| \cdot |icc_k[n]|, \quad (\text{B.7})$$

40  
 41 considering  $ecg_k[n]$  and  $icc_k[n]$  the ECG and the ICC samples of the  $k$ -th half, respectively.

42 The **logarithmic power** of the ICC signal (**LogPower<sub>ICC</sub>**) refers to the energy of the ICC  
 43 in the logarithmic scale.<sup>7,8</sup> It is computed as follows:

44 
$$\text{LogPower}_{\text{ICC}} = \sum_{n=1}^N \log(icc[n]^2), \quad (\text{B.8})$$

45 where  $N$  is the total sample number.

46 The **heart rate** (**HR<sub>mean</sub>**) was computed as the mean value of the inverse of the periods,  
 47 where the period is defined as the distance between successive R-wave peak instants ( $R_l$  vector of  
 48 length  $L$ ) within segment.  $\text{HR}_{\text{mean}}$  is calculated using the next equation:

49 
$$\text{HR}_{\text{mean}} = \frac{60}{\frac{1}{L-1} \sum_{l=2}^K R_l - R_{l-1}} \quad (\text{B.9})$$

50  
 51

52 The **heart rate variability** ( $\mathbf{HR}_{\text{var}}$ ), computed the variance associated to the  $R_l$  vector as  
 53 follows:

$$54 \quad \mathbf{HR}_{\text{var}} = \frac{60}{\frac{1}{L-1} \sum_{l=2}^K ((R_l - R_{l-1}) - \mathbf{HR}_{\text{mean}})^2} \quad (\text{B.10})$$

55 The **R-wave amplitudes** ( $\mathbf{R}_{\text{amp}}$ ) calculates the mean value of the ECG signal at the time  
 56 instances  $R_l$  as follows:

$$57 \quad \mathbf{R}_{\text{amp}} = \frac{1}{L} \sum_{l=1}^L \text{ecg}(R_l) \quad (\text{B.11})$$

58  
 59 The **width QRS and QR complexes** ( $\mathbf{QRS}_{\text{width}}$  and  $\mathbf{QR}_{\text{width}}$ ) are defined as the average of  
 60 the QR and QS time intervals respectively ( $Q_l$  and  $S_l$  vectors of length  $L$ ):

$$61 \quad \mathbf{QRS}_{\text{width}} = \frac{1}{L} \sum_{l=1}^L Q_l - S_l \quad (\text{B.12})$$

$$62 \quad \mathbf{QR}_{\text{width}} = \frac{1}{L} \sum_{l=1}^L Q_l - R_l \quad (\text{B.13})$$

63 To compute a modified **slopes of QRS and QR complexes** ( $\mathbf{QRS}_{\text{slope}}$  and  $\mathbf{QR}_{\text{slope}}$ ), the  
 64 first difference signal ( $\Delta \text{ecg}$ ) of the ECG was calculated as follows:

$$65 \quad \Delta \text{ecg}[n] = \sum_{n=2}^N | \text{ecg}[n] - \text{ecg}[n-1] | \quad (\text{B.14})$$

66 Then the slope of the  $l$ -th QRS and QR complex are defined as:

$$67 \quad \mathbf{QRS}_{\text{slope}}[l] = \sum_n \Delta \text{ecg}[n], Q_l < n < S_l \quad (\text{B.15})$$

$$68 \quad \mathbf{QR}_{\text{slope}}[l] = \sum_n \Delta \text{ecg}[n], Q_l < n < R_l \quad (\text{B.16})$$

69 Finally, the mean values of the slopes are computed:

$$70 \quad \mathbf{QRS}_{\text{slope}} = \frac{1}{L} \sum_{l=1}^L \mathbf{QRS}_{\text{slope}}[l] \quad (\text{B.17})$$

$$71 \quad \mathbf{QR}_{\text{slope}} = \frac{1}{L} \sum_{l=1}^L \mathbf{QR}_{\text{slope}}[l] \quad (\text{B.18})$$

72 **Entropy** was proposed by Chen et al.<sup>9</sup> as a method to determine vector matching in a smooth and  
 73 gradual way, introducing concepts from fuzzy set theory. The signal samples within the analysis  
 74 interval, denoted as  $x(n)$ , were divided into sets of vectors, each containing  $m$  samples. The total  
 75 number of vectors created was  $N - m + 1$ , where  $N$  represents the total number of samples in the  
 76 interval. In the resulting vector structure  $x_i^m = \{x(i), x(i+1), \dots, x(i+m-1)\}$ , the baseline is  
 77 subtracted as follows:

78 
$$x_i^m = \{x(i), x(i+1), \dots, x(i+m-1)\} - \frac{1}{m} \sum_{l=0}^{m-1} x(i+l) \quad (\text{B.19})$$

79 The maximum norm ( $L_\infty$ -norm) was employed to measure the Chebyshev distance between  
 80 two vectors, denoted as  $x_i^m$  and  $x_j^m$ :

81 
$$d_{ij} = \max_{k=0, \dots, m-1} (|x(i+k) - x(j+k)|) \quad (\text{B.20})$$

82 Matches were computed using a set of functions that decay exponentially with increasing  
 83 distance. These functions, denoted as  $D_{ij}^m(n, r) = \exp(-(\frac{d_{ij}}{r})^n)$  were used in this study  
 84 with a specific value of  $n = 2$  and a Gaussian distance formula  $D_{ij}^m(2, r) = \exp(-(\frac{d_{ij}}{r})^2)$ , as  
 85 proposed in a previous work.<sup>10,11</sup> The match counts were calculated based on these functions as  
 86 follows:

87 
$$C_i^m(r) = \frac{1}{N-m-1} \sum_{j=1, j \neq i}^{N-m} D_{ij}^m(2, r) \quad (\text{B.21})$$

88 The probability that two vectors of length  $m$  will match within a tolerance of  $r$  is given by the  
 89 expression:

90 
$$\phi_m(r) = \frac{1}{N-m} \sum_{i=1}^{N-m} C_i^m(r) \quad (\text{B.22})$$

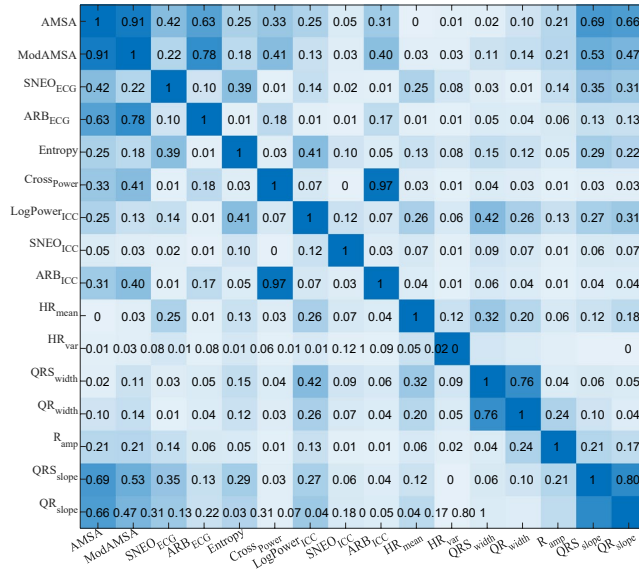
91 The same procedure was repeated for the vector of  $m+1$  samples to obtain  $\phi_{m+1}(r)$  and  
 92 Entropy was computed as.  
 93

94 
$$\text{Entropy}(m, r, N) = \ln \phi_m(r) - \ln \phi_{m+1}(r) \quad (\text{B.23})$$



95 **Appendix C. Correlation matrix**

96 Figure C.1 provides a visual representation of the Pearson correlation coefficients that were  
 97 calculated to examine the relationships between the different features utilized in this study.



98 Figure C.1: Correlation matrix for the study features. Each cell indicates the Pearson correlation coefficient for each  
 99 pair of features.

100 **Appendix D. Model’s performance across each country**

101 Tables D.1 and D.2 display the model performance when separately tested on patients from  
 102 Norway and the USA. Results are reported in terms of AUC, BAC, Se, and Sp.

	No. Features	AUC (%)	BAC (%)	Se (%)	Sp (%)
ModAMSA	1	78.4 (67.0- 90.6)	74.7 (64.7- 85.0)	68.8 (52.7- 78.5)	83.7 (68.1- 95.1)
ModAMSA + LogPowerICC	2	79.9 (68.9- 91.1)	73.6 (64.8- 83.4)	64.0 (50.1- 78.7)	87.5 (67.3- 97.1)
ModAMSA + LogPowerICC + QRSwidth	3	79.3 (71.1- 90.7)	76.0 (67.0- 86.2)	72.0 (60.4- 85.0)	79.1 (62.9- 94.4)
ModAMSA + LogPowerICC + QRSwidth + ARBECG	4	78.6 (71.2- 90.2)	75.8 (67.8- 83.7)	72.2 (61.1- 85.8)	77.3 (62.3- 95.0)
ModAMSA + LogPowerICC + QRSwidth + ARBECG + QRwidth	5	78.5 (73.5- 87.9)	73.6 (64.3- 86.5)	72.6 (60.1- 83.0)	75.8 (58.5- 95.0)
ModAMSA + LogPowerICC + QRSwidth + ARBECG + QRwidth + CrossPower	6	79.1 (73.3- 86.8)	74.4 (64.6- 84.3)	72.7 (59.5- 83.4)	76.2 (58.5- 94.4)

103 Table 4: he LR model’s performance in terms of median (IQR) AUC, BAC, Se, and Sp for patients from Norway. All  
 104 possible combinations using one to six features have been tested, only the best for each number of features is shown.

	No. Features	AUC (%)	BAC (%)	Se (%)	Sp (%)
ModAMSA	1	79.7 (71.8- 90.2)	69.3 (61.6- 79.5)	60.7 (51.6- 71.7)	80.3 (66.1- 93.6)
ModAMSA + LogPowerICC	2	79.4 (73.4- 88.2)	68.9 (63.4- 79.9)	65.7 (57.6- 76.8)	79.6 (66.1- 92.5)
ModAMSA + LogPowerICC + QRSwidth	3	81.2 (76.5- 91.0)	75.3 (65.3- 81.4)	82.5 (74.7- 90.0)	66.4 (55.2- 78.6)
ModAMSA + LogPowerICC + QRSwidth + ARBECG	4	80.9 (74.2- 88.5)	75.4 (65.1- 81.4)	84.2 (73.4- 90.0)	68.2 (55.2- 79.0)
ModAMSA + LogPowerICC + QRSwidth + ARBECG + QRwidth	5	80.7 (72.4- 89.3)	73.2 (64.1- 78.8)	83.3 (74.8- 91.0)	63.1 (50.7- 76.3)
ModAMSA + LogPowerICC + QRSwidth + ARBECG + QRwidth + CrossPower	6	80.5 (70.1- 89.1)	71.0 (61.0- 77.7)	80.7 (71.3- 90.3)	65.5 (48.6- 82.3)

105 Table 5: he LR model’s performance in terms of median (IQR) AUC, BAC, Se, and Sp for patients from USA. All  
 106 possible combinations using one to six features have been tested, only the best for each number of features is shown.

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## A.1.4 BIGARREN ARGITALPENAZ NAZIOARTEKO KONFERENTZIAN

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**Argitalpena Nazioarteko Konferentzian**

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**Erreferentzia**

Jon Urteaga, Andoni Elola, Per Olav Berve, Lars Wik, Elisabete Aramendi, "A Random Forest Model for Pulseless Electrical Activity Prognosis Prediction During Out-of-Hospital Cardiac Arrest Using Invasive Blood Pressure", *EMBC (Annual International Conference of the IEEE Engineering in Medicine and Biology Society), 2024*

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**Kalitate Adierazleak**

- **Argitalpen mota:** Nazioarteko Konferentzian SJRen
  - **Impaktu Faktorea:** 0.198 (2023)
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# A Random Forest Model for Pulseless Electrical Activity Prognosis Prediction During Out-of-Hospital Cardiac Arrest Using Invasive Blood Pressure

Jon Urteaga<sup>1</sup>, Andoni Elola<sup>2</sup>, Per O. Berve<sup>3</sup>, Lars Wik<sup>3</sup> and Elisabete Aramendi<sup>1,4</sup>

**Abstract**— Out-of-hospital cardiac arrest (OHCA) is a major health concern, with an incidence of approximately 55 per 100,000 person-years in the United States. Pulseless electrical activity (PEA) is a cardiac rhythm observed in 20-30% of OHCA cases and it consists on a regular electrical activity presenting disassociation with cardiac mechanical contractions. Discriminating those PEA with favorable prognosis is crucial to decide pre/post resuscitation therapy. A machine learning model is proposed to assist rescuers to predict evolution of PEA. The ECG and the transthoracic impedance recorded using defibrillation pads were integrated in the model, together with the invasive blood pressure. A total of 238 PEA segments were extracted from 49 patients. A Random Forest model was trained with 25 features extracted from the three signals to discriminate between the PEA with favorable prognosis (return of spontaneous circulation). The optimal model showed median (interquartile range) values of 88.9(14.2)% for Area Under the Curve, 94.1(21.7)% for Sensitivity, 68.1(30.6)% for Specificity, 66.4(29.5)% for Positive Predictive Value, and 87.5(21.5)% for Negative Predictive Value.

**Clinical relevance**— The study concludes that adding IBP based features to models traditionally based on ECG and TTI enhances PEA prognosis prediction during OHCA.

## I. INTRODUCTION

Out-of-hospital cardiac arrest (OHCA) persists as a notable public health concern, resulting in 270,000 annual fatalities in Europe and 420,000 in the United States [1], [2]. Providing effective cardiopulmonary resuscitation (CPR), which involves chest compressions, ventilation, and defibrillation for shockable heart rhythms, is crucial for improving the chances of survival in cases of OHCA [2].

Pulseless electrical activity (PEA), characterized by organized cardiac electrical activity without a discernible pulse, is

\*The authors would like to extend our sincere gratitude to Fred W. Champan and Fredrick Arnwald of Stryker Ltd. for their invaluable support and contributions to this study.

\*This work was partially supported by the MCIN/AEI/10.13039/501100011033/ and by FEDER Una manera de hacer Europa through grant PID2021-122727OB-I00. Additional support has been provided by the Basque Government through grants IT1717-22 and PRE2021\_2.0173.

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a frequently observed rhythm during cardiac arrest (CA) [3]. It occurs in approximately 20-30% of OHCA cases and in up to 40-60% of cases within hospital settings [3], [4]. Recognizing the potential outcome of PEA assists healthcare professionals in making well-informed decisions about how to treat and manage patients [5], [6]. Depending to the prognosis of PEA, it may suffice to persist with chest compressions and ventilations, or a specific pharmacological intervention may be deemed necessary [3], [7], [8]. PEA cases with favorable prognosis (faPEA) are those where a return of spontaneous circulation (ROSC) is likely, conversely those with low likelihood are considered unfavorable (unPEA). Recent studies focus on the characterization and classification of PEA rhythms based on the ECG and/or the transthoracic impedance (TTI) recorded through the defibrillation pads, and even integrating additional information as capnography, several proposals have been published for manual and automated classification and prognosis prediction [9], [10], [11], [12].

Invasive arterial blood pressure (IBP) serves as a fundamental hemodynamic variable, holding promise for monitoring treatment and assessing responses during OHCA. The IBP waveform finds extensive application in clinical practice, providing insights into cardiac function. The latest resuscitation guidelines endorse the utilization of IBP in the management of OHCA patients [13], [14]. The established correlation between blood pressure and the survival of patients experiencing cardiac arrest (CA) reinforces the utility of IBP in guiding resuscitation therapy.

In this study, a model grounded in machine learning (ML) is proposed for binary classification of PEA with favorable/unfavorable prognosis. This model leverages features based on the available literature including ECG, TTI, and IBP. Fig. 1 depicts the flowchart of the proposed approach.

## II. STUDY DATASET

The data for this study comes from a randomized clinical trial (NCT02479152) investigating the hemodynamics of cardiac arrest patients treated with both manual and mechanical chest compressions. Collected between 2015 and 2017 using the Lifepak 15 (Stryker Ltd.) monitor-defibrillator, the data was obtained by the doctor-manned vehicle associated with the Oslo Emergency Medical System's Air Ambulance Department. The ECG and TTI signals were recorded through the defibrillation pads, while the IBP signal was acquired

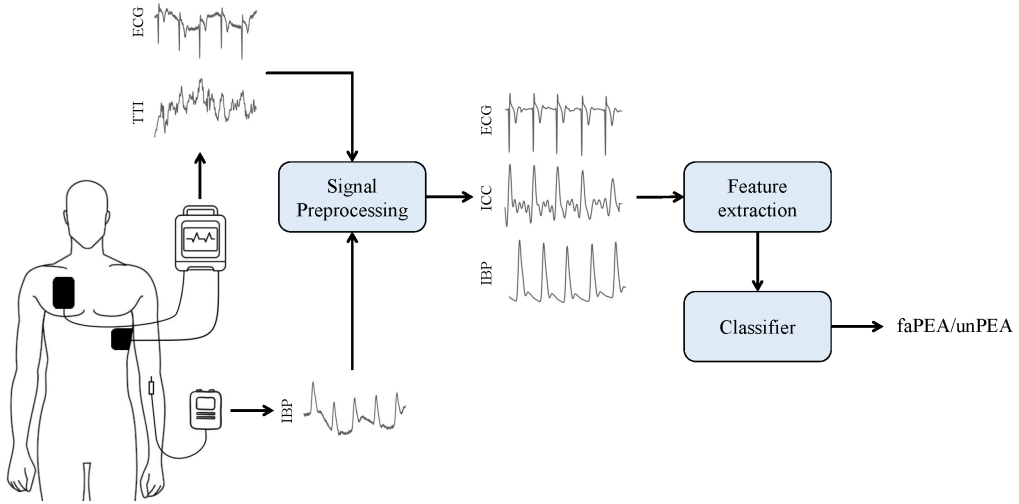


Fig. 1. Comprehensive diagram illustrating the PEA classification procedure, including stages such as measurement, preprocessing, feature extraction, and binary classification of PEA rhythms in both faPEA and unPEA.

through on-site radial/femoral cannulation, all signals with a sampling frequency of 250 Hz.

Segments of PEA lasting a minimum of 5 s, encompassing concurrent ECG, TTI, and IBP signals were extracted during chest compression pauses to avoid compression artifacts. PEA cases leading to significant periods of ROSC lasting a minimum of 5 min without CPR after the pulse was restored were categorized as faPEA; conversely, cases without ROSC were designated as unPEA.

A sum of 238 segments (with a total duration of 116 min) were extracted from 49 patients, with a median (Interquartile range - IQR) of 4 (4) segments per patient and a duration of 13.0 (24.7) s each. 172 segments, amounting to a total duration of 89.9 min, were classified as unPEA, whereas the remaining 66 segments, with a cumulative duration of 26.1 min, were categorized as faPEA. PEA segments were divided into 1026 (846 from unPEA) non-overlapping windows of 5 s for processing and classification. Fig. 2 shows two examples of 5 s segments along with their signals: to the left a unPEA segment and the right a faPEA segment.

### III. METHODS

The method for PEA prognosis prediction employing ML models can be segmented into three stages: 1) Preprocessing of ECG, TTI and IBP signals, 2) Feature characterization of the signals, and 3) Formulation of feature-based ML models for the binary classification of the PEA rhythms in faPEA and unPEA. The third stage encompasses training/validation of the models, along with their subsequent statistical characterization.

#### A. Signal preprocessing

ECG signal was denoised using a stationary wavelet transform (SWT) technique. This included reconstructing

the signal with detail components that are in the range of 0.5 – 31.25 Hz to eliminate baseline noise, high-frequency noise, motion artifacts, and ventilation artifacts [15], [12].

The impedance circulation component (ICC), reflecting ventricular contractions in the TTI signal correlated with ECG heartbeats [16], was isolated from the TTI signal filtered within the 1 – 8 Hz range [15], [12].

The raw IBP signal exhibited artifacts, high frequency noise and baseline wandering. Using the SWT with 8-level decomposition, noise reduction was achieved by applying soft thresholding in all levels. The threshold was computed from the second detail as follows:

$$\gamma = \sigma \sqrt{2 \cdot \ln(N)} \quad (1)$$

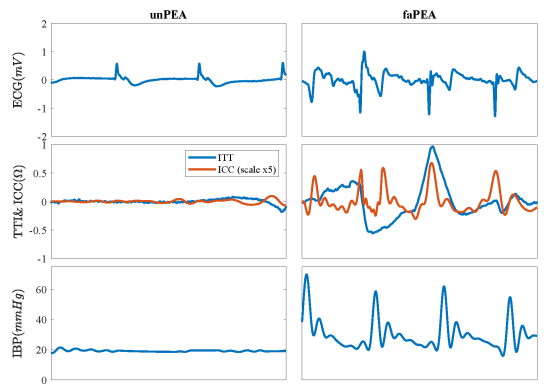


Fig. 2. ECG, TTI, ICC and IBP signals from 5-s windows for unPEA (left) and faPEA (right) segments.



N referring to the duration of the signal and  $\sigma$  computed as follows:

$$\sigma = \frac{\text{Median}\{d_2\}}{0.6745} \quad (2)$$

The denoised IBP signal was reconstructed using the sixth and seventh detail coefficients to remove undesired components.

### B. Feature extraction

In total 25 features were computed for each window according to the following descriptions:

#### 1) ECG features:

- The Amplitude Spectrum Area (AMSA) was calculated by summing the product of spectral amplitudes and frequencies in the 2-48 Hz band of the ECG signal [17].
- Smoothed Nonlinear Energy Operator of the ECG signal (SNEO<sub>ECG</sub>) is obtained by convolving a Kaiser window ( $w_L(n)$ ) with a non-linear Teager-Kaiser Energy Operator (TKEO) ( $\psi_k[x(n)]$ ) [18]:

$$\psi_{S,L}[x(n)] = \psi_k[x(n)] \otimes w_L(n) \quad (3)$$

The TKEO ( $\psi_k[x(n)]$ ) is computed using the formula:

$$\psi_k[x(n)] = x^2(n) - x[n-k]x[n+k] \quad (4)$$

Where,  $x(n)$  represents the ECG signal and  $k$  the lag parameter, which is linked to the window length ( $L$ ) through the equation  $L = 4k + 1$ .

- The autoregressive Burg's values are obtained through Burg's method to describe the autoregressive nature of a signal [19]. Represented as  $a_k$ , these values model the signal  $x(n)$  by considering its past values and a random component:

$$x(n) = -\sum_{k=1}^4 a_k s(n-k) + v(n)$$

Here,  $v(n)$  is a random sequence, and the  $a_k$  coefficients indicate the autoregressive properties of the model. ARB<sub>ECG</sub> refers to the error made when estimating spectral power using a 4th order autoregressive Burg model.

- Entropy serves as a measure of complexity, assessing the regularity of the ECG pattern [20].
- High<sub>power</sub> measured the power of the ECG in the bandwidth of 17.5 – 40 Hz [20].
- IQR values were computed for the denoised ECG and its SWT detail coefficients  $d_{5,ECG} - d_{7,ECG}$ .

#### 2) TTI features:

- SNEO<sub>TTI</sub> is the Smoothed Nonlinear Energy Operator of the TTI signal, calculated as previously explained.
- ARB<sub>TTI</sub> is the fourth-order autoregressive Burg's coefficient, calculated as previously explained, but for the TTI signal.
- IQR values were computed for the denoised TTI and its SWT detail coefficients  $d_{5,TTI} - d_{7,TTI}$ .

- Log<sub>Power</sub> represents the logarithmic energy of the TTI, which is correlated with ventricular wall movement [21].

- ECGvsTTI<sub>CrossPower</sub> denotes the cross power between the denoised ECG and TTI signals [22].

3) *IBP features*: To compute the features from IBP signal, the instants of systolic peak and diastolic onsets were computed using an automated algorithm [23].

- Systolic arterial pressure (SAP) is the maximum pressure value achieved during the cardiac contraction phase [24].
- Diastolic arterial pressure (DAP) is the minimum pressure measured during the cardiac relaxation phase [24].
- Pulse pressure (PP) is the difference between SAP and DAP [24].
- The Mean Arterial Pressure (MAP) is defined as the average arterial pressure throughout one cardiac cycle and it is calculated with the following formula [25]:

$$\text{MAP} = \text{DAP} + \frac{1}{3}\text{PP} \quad (5)$$

- The Heart Rate (HR) is computed as the inverse of the interval between heartbeats in the IBP signal.
- IBP<sub>Power</sub> represents the energy of the IBP signal.
- ECGvsIBP<sub>CrossPower</sub> denotes the cross power between the ECG and IBP signals.
- TTIvsIBP<sub>CrossPower</sub> denotes the cross power between the TTI and IBP signals.

### C. Building the classifier

A Random Forest (RF) classifier was employed for feature selection and binary classification of 5 s windows into faPEA and unPEA. RF, known for robustness with unbalanced datasets, comprises 500 trees, each trained with a bootstrap replica of the dataset. Trees grow via recursive binary splitting with random feature selection. The final decision results from a majority vote. Parameters include a 10 % bootstrap replica and a minimum of 5 observations per terminal node [15].

### D. Evaluation

The performance of the RF was analyzed by training with three different sets of features: 1) ECG and TTI features, 2) IBP features, and 3) features from all signals.

Classifiers underwent 10-fold cross-validation with patient-wise, stratified partitions to avoid data leakage and maintain class imbalance consistency. This process was repeated 10 times with different random partitions. Evaluation metrics included Sensitivity (Se), Specificity (Sp), Positive Predictive Value (PPV), Negative Predictive Value (NPV), Balanced Accuracy (BAC) and Area under the curve (AUC).

The importance of features is calculated by the RF classifier based on permuted error. In 10-fold cross-validation, features were iteratively removed (one at a time) based on their importance, and RF models were retrained to re-rank the remaining features for the given  $N_f$ . Selection probability for each feature was the percentage of times it was chosen.

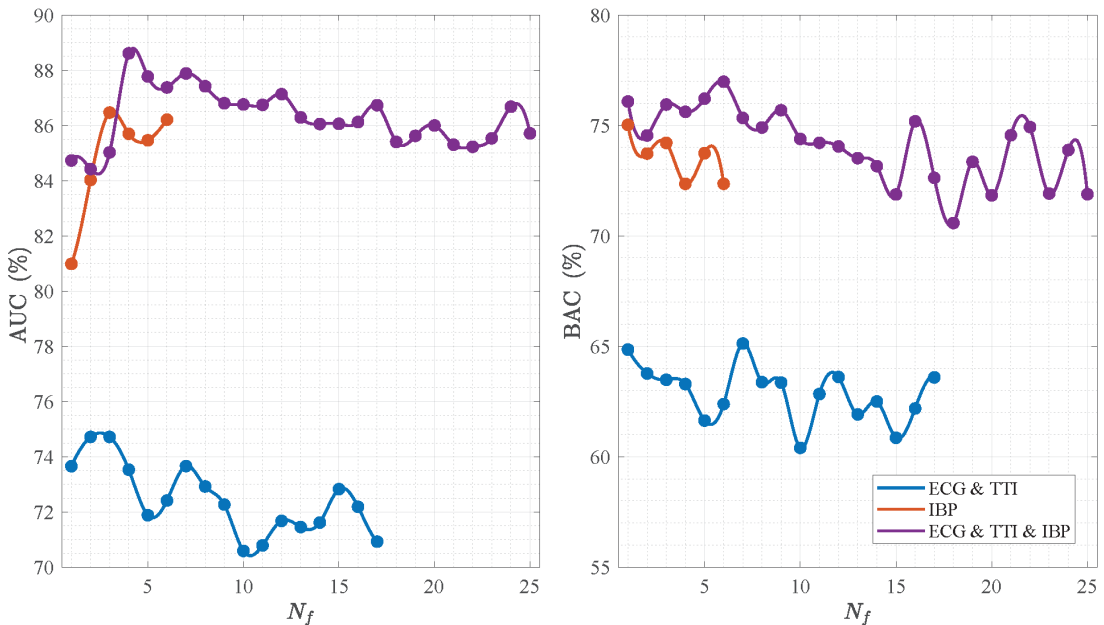


Fig. 3. Performance of the RF models in terms of the AUC (left) and BAC (right) as function of  $N_f$  the number of features included in each model using features from the ECG/TTI, the IBP and the ECG/TTI/IBP signals.

#### IV. RESULTS

Fig. 3 shows the performance of the RF binary classifier. The AUC (left) and BAC (right) are given as function of  $N_f$ , the number of features included in each model. Three models were tested using features from 1) ECG/TTI, 2) the IBP and 3) ECG/TTI/IBP signals. It is noticeable that the inclusion of the IBP improves the models using exclusively the ECG and TTI. The maximum AUC was provided by a 4-feature model, and the maximum BAC by a 6-feature model.

The comprehensive performance of the method is presented in Tab. I, encompassing AUC, BAC, Se, Sp, PPV, and NPV. Various models with different sets of features were evaluated. Using ECG and TTI features; using IBP features, using features of all three signals; and two reduced models, one with the top 4 features (identified as the features most frequently selected by the RF model) and another with the

top 6 features. In accordance with the results shown in Fig. 3, it is observed that utilizing all features of the IBP signal yields results with an AUC 20 points higher than when using ECG & TTI. Combining all three signals further enhances the discrimination capability by three points compared to using only the IBP signal. It also outperforms similar state-of-the-art studies that only utilize features computed from ECG and TTI [15], [26]. The models with reduced feature sets showed better performance, between 1.5 and 2 points of AUC, than using all the features.

Fig. 4 shows the proportion of times each feature was included in the  $N_f$ -feature model. It can be observed that features with the highest selection probabilities are those utilizing the IBP signal: TTIvsIBP<sub>CrossPower</sub>, IBPPower, ECGvsIBP<sub>CrossPower</sub> and PP. However, it should be noted that TTIvsIBP<sub>CrossPower</sub> and ECGvsIBP<sub>CrossPower</sub> use the IBP signal in conjunction with the TTI and ECG signals, re-

TABLE I

THE TABLE PRESENTS THE PERFORMANCE OF THE METHODS WITH DIFFERENT SETS OF FEATURES. IT DISPLAYS THE MEDIAN (IQR) VALUES FOR AUC, SE, SP, PPV, AND NPV.

	Feat #	AUC	BAC	SE	SP	PPV	NPV
ECG & TTI	17	62.24 (17.34)	69.77 (22.89)	61.07 (47.89)	65.32 (27.40)	49.44 (34.19)	73.23 (35.05)
IBP	6	83.48 (19.75)	73.19 (17.27)	67.14 (38.18)	80.71 (29.65)	69.65 (29.85)	84.38 (23.14)
ECG & TTI & IBP	25	86.72 (19.38)	74.66 (14.57)	78.87 (33.33)	69.84 (25.50)	63.48 (30.66)	87.35 (22.73)
Reduced #1	4	88.88 (14.23)	76.07 (14.35)	94.11 (21.67)	68.13 (30.62)	66.40 (29.47)	95.01 (19.39)
Reduced #2	6	88.24 (18.00)	77.96 (17.54)	84.94 (22.82)	73.44 (28.70)	66.49 (27.14)	87.53 (21.55)

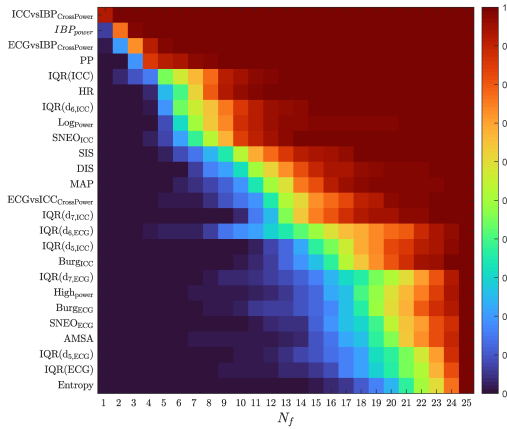


Fig. 4. The frequency of selection for the 25 features, as a function of  $N_f$ , the number of features included in the RF classifier.

spectively. These findings are consistent with those presented in Tab. I, where it is demonstrated that by combining various signals, superior results are achieved.

## V. CONCLUSIONS

In this study, a ML-based method was introduced for the prediction of PEA prognosis, incorporating the IBP signal. The proposal was compared with previously proposed models based on the ECG and TTI signals. It was demonstrated that features extracted from the IBP are the most discriminative and that they boost the classifier performance of models based on the ECG & TTI. This study emphasizes the value of using IBP monitoring to address PEA OHCA. This underscores the importance of considering IBP alongside other monitoring modalities for a comprehensive approach to managing OHCA and improving patient outcomes.

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## A.2 BIGARREN HELBURUARI LOTUTAKOAK

### A.2.1 AURRENEKO ARGITALPENA NAZIOARTEKO KONFERENTZIAN

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#### Argitalpena Nazioarteko Konferentzian

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Erreferentzia

Jon Urteaga, Andoni Elola, Elisabete Aramendi, Per Olav Berve, Lars Wik, "Automated detection of pulse using continuous invasive arterial blood pressure in patients during cardiopulmonary resuscitation", *Computing in Cardiology Conference (CinC), 2021*

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Kalitate Adierazleak

- **Argitalpen mota:** Nazioarteko Konferentzian SJRen
  - **Impaktu Faktorea:** 0.257
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# Automated Detection of Pulse Using Continuous Invasive Arterial Blood Pressure in Patients During Cardiopulmonary Resuscitation

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## Abstract

Continuous invasive arterial blood pressure (ABP) and its characteristic waveform features are widely used to monitor cardiovascular health. The invasive ABP signal has been proven useful to guide therapy during cardiopulmonary resuscitation (CPR) of patients in cardiac arrest. Automated algorithms to compute ABP parameters were not designed to work during CPR, so their performance in this scenario is unknown. The aim of this study was to develop automated algorithms to detect pulse and measure physiological ABP variables during CPR. A dataset of 122 segments of invasive ABP were extracted during chest compression pauses from 26 patients with regular ECG during and a total duration of 262 min. The ABP was denoised using a stationary wavelet decomposition and pulse peaks were detected in the first difference of the ABP by applying adaptive thresholding. The following parameters were computed: systolic blood pressure (SBP), diastolic blood pressure (DBP), pulse pressure (PP) and heart rate (HR). The algorithm presented a median (IQR)  $Se/PPV/F1$  of 97.6(17.5)/99.3(10.0)/97.2(10.1)% for diastolic peak detection, 4-points above the  $F1$  obtained with Physionet's wabp algorithm. The absolute and relative errors were 0.62(1.40)mmHg and 1.22(1.62)%, 0.74(1.43)mmHg and 1.81(2.76)%, 1.13(1.67)mmHg and 4.68(4.86)%, 0.50(1.42)min<sup>-1</sup> and 0.58(1.31)% for SBP, DBP, PP and HR, respectively.

## 1. Introduction

Arterial blood pressure (ABP) monitoring is widely used in modern medicine to prevent, detect and evaluate cardiovascular diseases [1–3]. The ABP signal waveform contains valuable information about the cardiovascular system, including heart rate, blood pressure values and pulse waveform [4, 5].

The invasive ABP signal is also used to monitor cardio-

vascular health during post cardiac arrest care and in intensive care units, and it is recommended to monitor hemodynamically unstable patients [3, 6, 7]. To improve survival rates, the American Heart Association and the Australian Resuscitation guidelines recommended that during post resuscitation care systolic blood pressure (SBP) to be maintained above 90 mmHg and 100 mmHg, respectively [8–10]. Furthermore, invasive ABP has been proven to be useful to guide therapy during cardiopulmonary resuscitation (CPR) [11–14].

Several automatic algorithms have been proposed to denoise and characterize the ABP signal [4, 5, 15], which is usually corrupted by artifacts such as clotting, movement artifacts and high frequency noise [1, 16]. Filters are applied to remove noise and artefact before calculating physiological ABP variables, such as systolic blood pressure (SBP), diastolic blood pressure (DBP), pulse pressure (PP), heart rate (HR) and the dicrotic notch [4, 5, 15].

Known automated algorithms were designed for hemodynamically stable patients, but they have not been tested during CPR. The aim of this study was to develop automated algorithms to detect pulse and measure physiological ABP variables in patients during chest compression pauses in CPR therapy.

## 2. Materials

The dataset used in this study was recorded by the physician manned rapid response car of the Oslo Emergency Medical System in patients during out-of-hospital cardiac arrest. All episodes were recorded using LifePak 15 defibrillators, and include the ECG and the invasive ABP (radial cannulation) signals, both with a sampling frequency of 250 Hz.

A total of 122 segments with concurrent recordings of ECG and ABP were extracted from 26 patients during chest compression pauses, periods without chest compressions artifacts. The top pannel of Figure 1 shows 5 s of

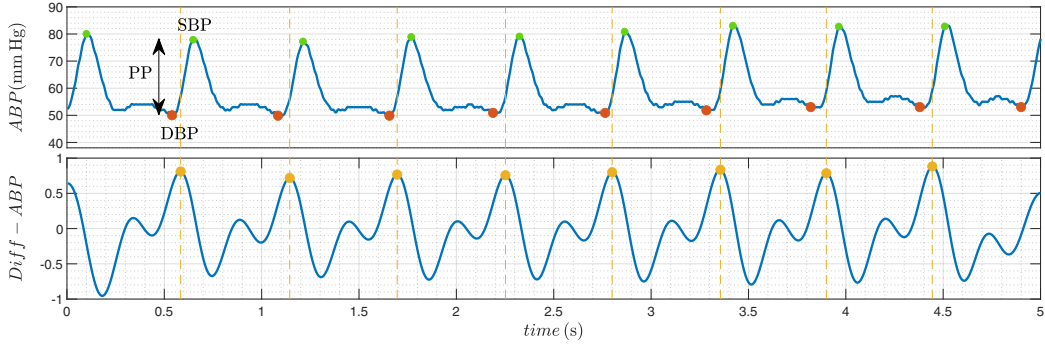


Figure 1. The top panel represents a 5 s segment of the ABP waveform. SBP and DBP, are indicated by green and red dots, respectively. The bottom panel shows the first difference of the ABP signal where yellow dots and dash lines show the peak value and instant of the first difference, respectively.

the ABP waveform, where the main variables, SBP, DBP and PP are annotated. The total duration of the dataset was 262 min, with a mean duration of  $2.15 \pm 5$  min per segment. The systolic and diastolic peaks of each heartbeat were manually annotated to be used as gold standard.

### 3. Methods

Figure 2 shows the overall scheme followed in this study to detect peaks in the ABP signal and measure the ABP variables. First, the ABP signal was preprocessed to remove undesired components. Then, an adaptive peak detector was applied to the first difference of the ABP waveform to determine systolic and diastolic peaks. Finally, the physiological variables were computed from the original ABP signal.

#### 3.1. Signal preprocessing

The ABP signal was preprocessed using the stationary wavelet transform (SWT) to remove baseline wandering

and high frequency noise. An 8-level SWT decomposition was used with a Daubechies-4 mother wavelet and soft thresholding. Detail coefficients  $d_6$  and  $d_7$  were used to reconstruct the denoised ABP signal,  $ABP_{\text{filt}}$ , corresponding to the 1 – 4 Hz frequency band.

#### 3.2. Pulse peak detection

The systolic peak, corresponding to the maximum pressure value of the heartbeat, and the diastolic peak, corresponding to the inflection just before heartbeat compression phase, were computed for each heartbeat. Heartbeats were detected using the first difference of the ABP signal. Peaks with first difference above a threshold for  $i$ -th pulse were considered, and the threshold was adapted according to the following equation:

$$Th_i = \text{median}(P_{i-1} : P_{i-5})/2 \quad (1)$$

where the median amplitude of the previous 5 peaks were considered. A minimum distance of 300 ms was set between consecutive peaks.

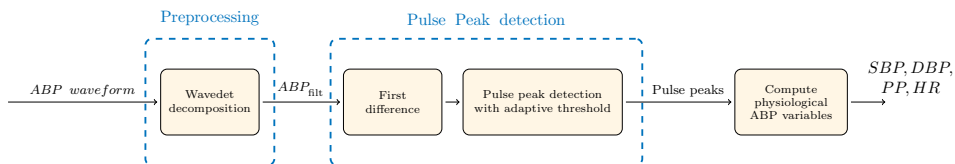


Figure 2. Overall scheme of the automated method applied to the ABP waveform to compute the pulse peaks and the ABP features.



The local maxima of the first difference in each heartbeat correspond to the maximum upslope of the ABP pulse, as shown in Figure 1. The systolic and diastolic peaks were computed by identifying the posterior and previous inflexion points to the instance of the maximum upslope in ABP signal, respectively. At the top panel of Figure 1 the instant of the maximum upslope is shown as a yellow dash line, and the systolic and diastolic peaks by red and green dots, respectively.

### 3.3. Computation of the physiological ABP variables

Variables used to monitor cardiovascular health were computed from the raw ABP signal using the systolic and diastolic peaks. SBP and DBP were used to compute the PP, their difference. The HR was computed as the inverse of the median distance between consecutive diastolic peaks.

### 3.4. Statistical evaluation

The ABP heartbeat detector proposed in this study was compared to the wabp algorithm from Physionet, a well known method proposed by Zong et al. [4].

Manually annotated diastolic peaks were considered as ground truth to evaluate the methods. A detected peak was considered a positive heartbeat detection if it fell within 300 ms of the ground truth. Methods were evaluated in terms of sensitivity (Se): percentage of correctly detected peaks; positive predictive value (PPV): percentage of detected peaks that are actual peaks; and F-score (F1): the harmonic mean of Se and PPV. The performance metrics were computed per patient and the final results were presented as the median (interquartile range, IQR) of all patients.

The absolute error of the physiological ABP variables were computed patient wise so all patients contributed equally.

## 4. Results

Table 1 shows the Se, PPV and F1 of the proposed heartbeat detector, and results are compared those of the wabp algorithm. It can be observed that the new algorithm outperformed the wabp algorithm in 27-points of Se, 1-point of PPV and 5-points of F1.

In Table 2 the absolute and percentage errors are reported for the SBP, DBP, PP and HR derived from the systolic and diastolic peak detections. It can be observed that absolute errors were below or close to 1 % for the pressure values, and below 5 % in percentage errors.

Figure 3 shows three examples of ABP segments of the dataset. In the first example the proposed diastolic peak

Table 1. Performance of the method introduced in this study compared to the wabp algorithm for heartbeat detection (using the diastolic peak). The table shows the median (IQR) values for Se, PPV and F1.

	Se (%)	PPV (%)	F1 (%)
This study	97.6 (17.5)	99.3 (10.0)	97.2 (10.1)
Zong et al. [4]	70.2 (85.0)	98.3 (100.0)	92.9 (61.1)

Table 2. Performance of the method to compute physiological ABP variables. The table shows the median (IQR) absolute and percentage errors for SBP, DBP, PP and HR.

	Absolute error	Percentage error
SBP	0.62 (1.40) mmHg	1.22 (1.62) %
DBP	0.74 (1.43) mmHg	1.81 (2.76) %
PP	1.13 (1.67) mmHg	4.68 (4.86) %
HR	0.50 (1.42) min <sup>-1</sup>	0.58 (1.31) %

detector and the wabp algorithm correctly identified every diastolic peak. The second and third examples show cases where the wabp algorithm missed several peaks, which were correctly detected by the proposed algorithm.

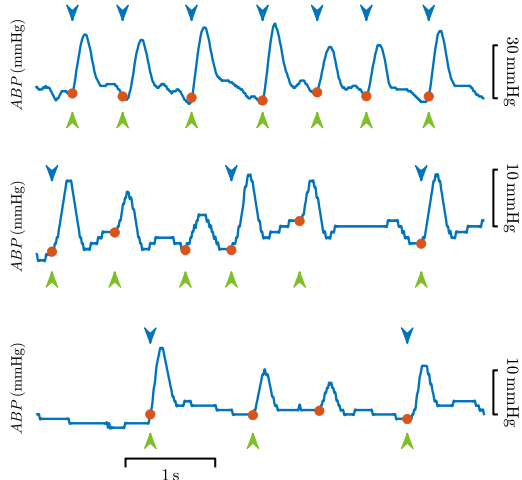


Figure 3. Three examples of the performance of the diastolic peak detection algorithm. The gold standard annotations are shown as red dots, and the annotations of the algorithms in green (this study) and blue (wabp algorithm).

## 5. Discussion and conclusions

The invasive ABP signal is widely used to monitor cardiovascular health in patients with different diseases. However, current methods to automatically monitor the ABP signal were designed to be used with hemodynamically stable patients. This is, to the best of our knowledge, the first automatic method that detects diastolic and systolic time-stamp during CPR, which could be used thereafter to accurately compute the characteristic ABP variables.

Current ABP algorithms are inaccurate during CPR due to the irregular waveform and the noise/artifact components of the ABP signal. The wabp algorithm showed low sensitivity compared to the algorithm proposed in this study (70% vs 97%). During CPR the pulse pressure showed high amplitude variability in short intervals, inpatient SD of 3.3 mmHg and interpatient SD of 20.6 mmHg in this dataset, and the proposed algorithm based on adaptive thresholding outperformed the classical method. Filtering the signal using the SWT was also more efficient than using constant coefficient filters, and improved the accuracy of the heartbeat detector. Consequently the overall F1 score was more than four points above, and the physiological variables were computed with errors below or close to 1%.

## Acknowledgments

This work was supported by the Spanish Ministerio de Ciencia, Innovación y Universidades through grant RTI2018-101475-BI00, jointly with the Fondo Europeo de Desarrollo Regional (FEDER), by the Basque Government through grant IT1229-19 and grant PRE2020\_1\_0177, and by the university of the Basque Country (UPV/EHU) under grant COLAB20/01.

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## A.2.2 AURRENEKO ARGITALPENA NAZIOARTEKO ALDIZKARIAN

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**Argitalpena nazioarteko aldizkarian**

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**Erreferentzia**

Urteaga, J., Elola, A., Aramendi, E., Berve, P. O., Wik, L., "Invasive arterial blood pressure delineator for cardiopulmonary resuscitation patients during pauses of chest compressions", *Biomedical Signal Processing and Control*, 2024, 94, 106349.

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**Kalitate Adierazleak**

- **Argitalpen mota:** JCRen indexatutako aldizkari artikulua
  - **Kuartila:** Q2 (26/96) Web of Science Rank-en oinarrituta 2022
  - **Impaktu Faktorea:** 5.1
-





# Invasive arterial blood pressure delineator for cardiopulmonary resuscitation patients during pauses of chest compressions

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## ARTICLE INFO

### Keywords:

Invasive arterial blood pressure  
Cardiac arrest  
Adaptive thresholding  
Wavelet transform

## ABSTRACT

Invasive arterial blood pressure (IBP) monitoring is important to assess patient's cardiovascular competence and guide clinical treatment. Besides, international resuscitation guidelines in force suggest its use during Cardiopulmonary Resuscitation (CPR), but current automated algorithms for IBP variables computation were not designed for cardiac arrest patients. A lack of knowledge is detected in the automated processing of IBP signal during CPR.

The aim of this study was to design algorithms for heartbeat detection and for IBP physiological variable computation during CPR, and compare to state-of-the-art (SoA) proposals. The dataset used consists of 81 out-of-hospital-cardiac-arrest (OHCA) patients and two additional public datasets with hemodynamically stable patients. A set of 377 IBP segments, total duration of 1127 min, were extracted from the OHCA dataset during the pauses of chest compressions. The method includes artifact removing from the in IBP using Stationary Wavelet Decomposition and heartbeat detection in the first difference signal. A multicomponent evaluation and two adaptive thresholds were applied to compute IBP physiological variables.

Pulsatile segments with heartbeats were discriminated from pulseless segments with mean (standard deviation) sensitivity(Se)/specificity and positive (PPV)/negative predictive values of 98.8(6.9)/91.6(20.2)% and 97.4(9.7)/98.7(6.1)%, respectively. The heartbeat detection showed 96.1(8.3)% of Se, 96.1(7.6)% of PPV and 95.7(6.4)% of F1-score, with absolute errors of 0.55(2.91)/0.39(4.87)/0.78(6.08) mmHg in systolic, diastolic and pulse pressure values, respectively. The proposed algorithms outperformed SoA solutions with both OHCA and stable patients.

## 1. Introduction

Cardiac arrest (CA) is defined as cessation of cardiac functions, and out-of-hospital cardiac arrest (OHCA) stands as the predominant cause of annual mortality in Europe and the United States, accounting for respective total death tolls of 275,000 and 420,000 [1–3]. Application of Cardiopulmonary Resuscitation (CPR, chest compressions and ventilations), and defibrillation of shockable heart rhythm increases the survival rates from 4% to above 10% [3]. Many contributions have been proposed to improve CPR quality, to personalize the treatment and to predict the prognosis of the patient. However, the survival rates keep very low worldwide [4–6].

Invasive arterial blood pressure (IBP) is a basic hemodynamic indicator claimed to be promising to monitor treatment and response during OHCA [7]. Blood pressure is defined as the pressure exerted by

the blood in the arteries and it is usually monitored through cannulation in a peripheral artery. IBP waveform is widely used in the clinical practice, since it describes the cardiac function of contraction and relaxation, and it contains information about the cardiovascular system, such as heart rate (HR), cardiac rhythms and pressure values [8,9]. That information is essential in modern medicine for cardiovascular disease detection and monitoring in both operating room and intensive care unit [9–13]. There are several techniques to measure the blood pressure signal using, invasive and non-invasive measurement techniques but IBP is the gold standard in cardiovascular health monitoring [14–16].

Recent resuscitation guidelines recommend the use of IBP during treatment of OHCA patients. The association between blood pressure and the survival of patients in CA has been proven, supporting its benefit to guide resuscitation therapy [17,18]. Both European and American guidelines recommend minimum pressure values aligned

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<https://doi.org/10.1016/j.bspc.2024.106349>

Received 19 July 2023; Received in revised form 21 February 2024; Accepted 8 April 2024

Available online 13 April 2024

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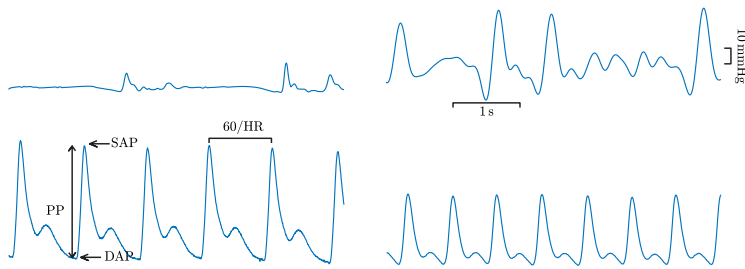


Fig. 1. Four examples of 5 s segments of IBP signals. The two segments at the top correspond to OHCA cases, and the two at the bottom to stable patients from the public datasets. The systolic arterial pressure (SAP), diastolic arterial pressure (DAP), peak pressure (PP) and HR are indicated.

with improved survival rates. During CPR a minimum diastolic arterial pressure (DAP) of 30 mmHg is the goal and a minimum systolic arterial pressure (SAP) of 90 mmHg is recommended before starting post-resuscitation therapy [19–21].

In hemodynamically stable patients, the continuous IBP waveform exhibits systolic peak, diastolic onset and a diastolic notch with each heartbeat [9,22]. SAP is defined as the maximum pressure value achieved during the cardiac contraction phase (ventricular systole), while the DAP is the minimum pressure measured during the cardiac relaxation phase (ventricular diastole). The difference between systolic and diastolic pressures is called pulse pressure (PP) [22,23]. These physiological variables are shown in Fig. 1.

Fiducial points in the IBP are needed to compute main physiological variables as proposed in the literature [8–10,22,24,25]. However, during CA, the IBP signal shows aberrant waveforms with atypical physiological variables. IBP waveform is often distorted by the patient movement, the positioning of the catheter in the artery, the hemodynamic situation or high frequency artifacts, which may lead to unreliable measures of physiological variables. [9,10,26].

Many IBP delineators have shown excellent performance in hemodynamically stable patients, but none has been tested with OHCA patients where a poorer performance is expected. This study proposes new algorithms for heartbeat detection, which permit the discrimination of pulsatile segments, and heartbeat delineation, which permit the calculation of IBP physiological variables. Fig. 2 illustrates the flow chart of the process of pulsatile segment discrimination and the subsequent calculation of physiological variables. The algorithms were tested with both OHCA and hemodynamically stable patients, and compared with state-of-the-art (SoA) algorithms.

## 2. Materials

Two datasets were used in this study. The first acquired by the emergency medical systems (EMS) during OHCA and the second corresponding to hemodynamically stable patients available in public repositories. To illustrate the differences, examples of continuous IBP waveforms for both datasets are shown in Fig. 1. The greater variability in waveform and amplitude can be observed for the OHCA cases.

### 2.1. OHCA dataset

The OHCA dataset was extracted from a larger dataset recorded between 2015 and 2017 by physician manned rapid response car of the Oslo EMS. They used Lifepak 15 monitor/defibrillators (Physio-Control, Redmond, United States of America). All episodes included electrocardiogram (ECG) signal recorded through defibrillation pads and IBP waveform signal acquired via onsite radial/femoral cannulation [27].

Segments with concurrent ECG and IBP signals with a sampling frequency of 250 Hz were extracted during the pauses of chest compressions to avoid compression artifacts. A total of 377 segments (total duration of 1127 min) were extracted from 81 patients, median (Interquartile range, IQR) of 4 (4) segments per patient and 39.8 (132.1) s duration.

The instants of systolic peaks ( $t_{sp}$ ) and diastolic onsets ( $t_{do}$ ), designated as gold standard, were manually annotated utilizing the IBP and ECG signals with the aid of an ad-hoc created Matlab tool. A minimum PP of 4 mmHg was set for the systolic peaks to consider as heartbeat [28]. A total of 252 segments showed at least one heartbeat and included 75682 systolic peaks. The average values for SAP, DAP and PP were 70.09 (63.90) mmHg, 40.65 (28.00) and 26.01 (38.87) mmHg, respectively.

Fig. 3 illustrates ECG and IBP examples of five different cardiac rhythms from the OHCA dataset [29,30]. Asystole and ventricular fibrillation (VF) are unorganized rhythms, whereas pulsatile rhythm (PR) and pulseless electrical activity (PEA), both true and pseudo PEA, are organized rhythms. Unorganized rhythms and true PEA do not show any fluctuation in the IBP signal as no effective heartbeats are associated with these rhythms. Pseudo PEA shows small fluctuations due to some cardiac mechanical activity, although insufficient to maintain a stable spontaneous circulation as it is the case in PR rhythms.

### 2.2. Public datasets

The Polysomnographic and the Complex Systems Laboratory (CSL) public datasets were considered to extract the segments from hemodynamically stable patients.

The Polysomnographic dataset, available in PhysioNet,<sup>1</sup> includes ECG and the IBP signal of 18 sleeping patients [31]. Heartbeat annotations in the ECG, fixed with a delay of 200 ms were used to annotate the heartbeats in the IBP signal, as proposed by Zong et al. [10].

The CSL dataset, recorded at Doernbecher Children's Hospital, Oregon Health & Science University, is a standard dataset for the evaluation of IBP delineation algorithms.<sup>2</sup> It contains two episodes of 60 min of IBP signal with systolic peak instants annotated by two expert clinicians [25].

A total of 20 segments (total duration of 5257 min and 381443 annotated heartbeats) were extracted, with a median (IQR) duration of 335 (202.5) s and 22319 (14291) heartbeats per segment.

<sup>1</sup> <https://physionet.org/content/slpdb/1.0.0/>

<sup>2</sup> <https://www.pdx.edu/electrical-computer-engineering/biomedical-signal-processing-lab>

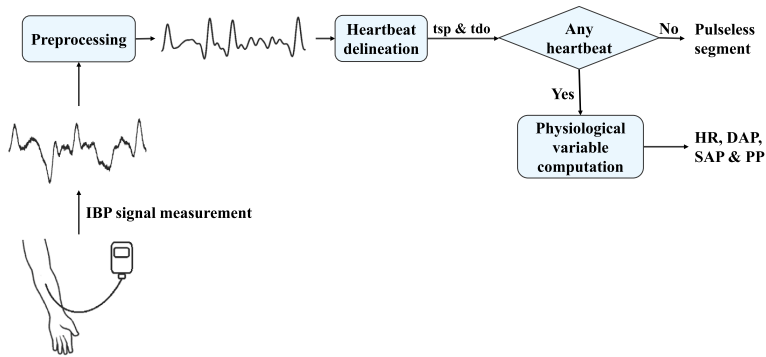


Fig. 2. Detailed flow chart outlining the IBP signal delineation process. It encompasses measurement, preprocessing, heartbeat delineation, discrimination of segments with heartbeats, and computation of IBP physiological variables.

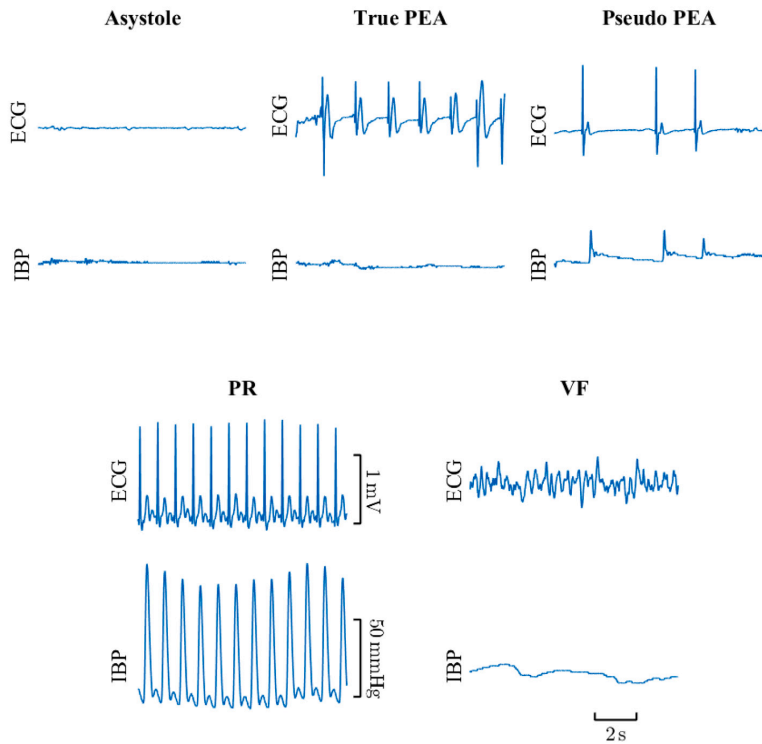


Fig. 3. Examples of 10 s segments of ECG and IBP signals of the dataset, corresponding to different cardiac rhythms. They include rhythms with no heartbeats as asystole, ventricular fibrillation (VF) and true pulseless electrical activity (PEA), and rhythms with heartbeats as pseudo PEA and pulsed rhythms (PR).

### 3. Methods

This section describes the method proposed to delineate the IBP signal and measure physiological variables. Fig. 4 shows the overall scheme of the method. First, the IBP signal was preprocessed to remove undesired components. Then, a peak detector was applied in the IBP signal's first difference to compute potential heartbeats. Adaptive thresholding was applied based on features associated to each peak to confirm detected heartbeats. Finally, the physiological variables as SAP, DAP, PP and HR were computed in pulsatile segments.

#### 3.1. IBP preprocessing

The raw IBP signal,  $IBP_{raw}$ , showed artifacts due to quantification noise, baseline wandering and other high frequency noise. The signal was preprocessed using the stationary wavelet transform (SWT) with 8-level decomposition (Daubechies-4 mother wavelet). In order to reduce noise in the signal, the universal threshold for each segment was calculated in  $d_2$ , the 2nd detail coefficients of  $IBP_{fil}$ , as follows:

$$\gamma = \sigma \sqrt{2 \cdot \ln(N)} \tag{1}$$

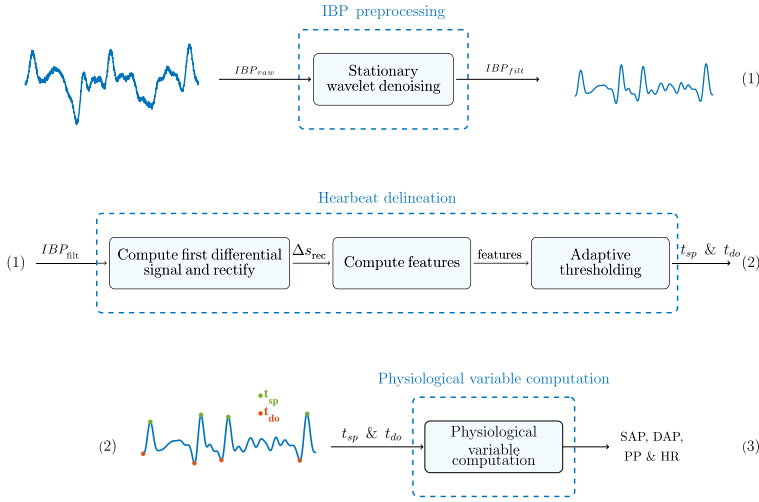


Fig. 4. Overall scheme of the IBP delineator including the preprocessing phase, where original IBP signal ( $IBP_{raw}$ ) was filtered to obtain  $IBP_{filt}$  (1); the heartbeat detection, where  $t_{sp}$  and  $t_{do}$  were computed from the rectified first difference signal ( $\Delta s_{rec}$ ) (2); and the physiological variable computation (3).

when referring to  $N$  as the signal's duration and  $\sigma$  is computed as follows:

$$\sigma = \frac{\text{Median}\{|d_2|\}}{0.6745} \quad (2)$$

Then, soft thresholding was applied in the rest of the levels for denoising. The filtered IBP signal,  $IBP_{filt}$ , was reconstructed using the sixth and seventh detail coefficients.

### 3.2. Heartbeat detection and delineation

In the heartbeat detection procedure  $\Delta s$  was obtained as the first difference of  $IBP_{filt}$  followed by low-pass filtering (zero-phase third order Butterworth filter with a cut-off frequency of 5 Hz). The rectified version,  $\Delta s_{rec}$ , was derived setting to zero the negative values (see Fig. 5).

In Fig. 5, it is noticeable that the peaks of  $\Delta s_{rec}$  are aligned with the maximum slope instants in  $IBP_{filt}$ , associated to the heart's contraction which occurs between the diastolic onset and the systolic peak. The  $t_{sp}$  instant represents the systolic peak position in the  $IBP_{filt}$ , and was located in  $\Delta s_{rec}$  signal detecting the change from positive value to zero (due to rectification). The  $t_{do}$  instant represents the diastolic onset position in the  $IBP_{filt}$ , and was located in  $\Delta s_{rec}$  signal detecting the change from zero to a positive value.

Local peaks were searched in  $\Delta s_{rec}$  with a minimum distance of 100 ms between consecutive peaks (see Fig. 5).  $t_{sp}$  and  $t_{do}$  instants of each heartbeat were computed as the first zero value point after and before the peak in  $\Delta s_{rec}$ , respectively. Peaks and onsets were time aligned with the maximum and minimum in a tolerance interval of 100 ms before and after the detected peaks and onsets, respectively. Finally, SAP and DAP values were measured in  $IBP_{raw}$ .

The potential heartbeats detected in the previous step were confirmed after applying adaptive thresholding criteria using two physiological variables. Heartbeats with SAP and PP above  $th_{SAP}$  and  $th_{PP}$ , respectively, were considered as true heartbeats. Thresholds were updated according to the following equations:

$$th_{SAP} = w_{SAP} * \text{median}\{SAP_{i-5}, \dots, SAP_i\} \quad (3)$$

$$th_{PP} = w_{PP} * \text{median}\{PP_{i-1}, \dots, PP_{i-5}\} \quad (4)$$

where  $w_{SAP}$  and  $w_{PP}$  were weights within [0.05, 0.5]. The initial value for both thresholds is 5 mmHg and weights were optimized as explained in Section 3.4.

### 3.3. Computation of physiological variables

For each detected heartbeat SAP and DAP were computed as the values of  $IBP_{raw}$  signal at  $t_{sp}$  and  $t_{do}$  instants, respectively. PP was defined as the difference between SAP and DAP values, and HR was computed as the inverse of the time distance in minutes between consecutive  $t_{do}$  instants.

### 3.4. Statistical evaluation of the methods

#### 3.4.1. Comparison with the SoA algorithms

The IBP delineator proposed in this study was compared to the algorithm proposed by Zong et al. [10] and the delineator proposed by Li et al. [9]. The scripts to implement both methods are public and can be accessed via PhysioNet<sup>3</sup> [32] and Matlab<sup>4</sup> File Exchange, respectively. We used Zong et al.'s function (called *run\_wabp*) which takes the IBP signal at a sampling rate of 125 Hz as input and provides the positions of  $t_{dp}$  in samples as output. We first resampled our IBP signals from the original sampling rates. In contrast, Li et al.'s function (called *delineator*) requires the IBP signal and the sampling rate as inputs, and delivers both the  $t_{dp}$  and  $t_{sp}$  instants as outputs.

<sup>3</sup> <https://physionet.org/content/pcst/1.0.0/>

<sup>4</sup> [https://es.mathworks.com/matlabcentral/fileexchange/29484-pulse-waveform-delineator?s\\_tid=FX\\_rc3\\_behav](https://es.mathworks.com/matlabcentral/fileexchange/29484-pulse-waveform-delineator?s_tid=FX_rc3_behav)



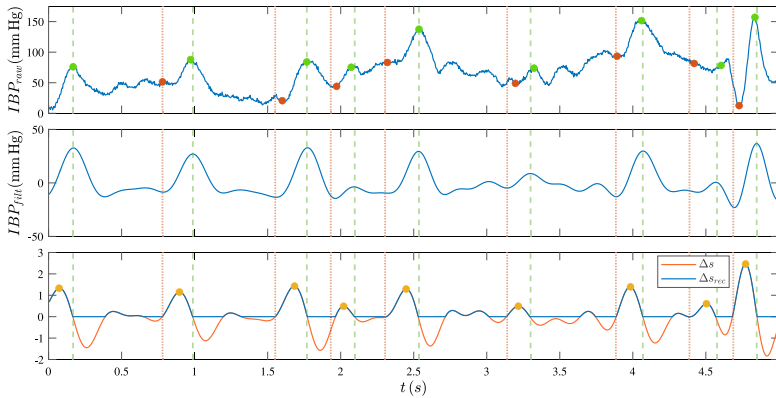


Fig. 5. From top to bottom:  $IBP_{raw}$ ,  $IBP_{filt}$ ; and the first difference signal,  $\Delta s$  (in orange), and rectified first difference signal,  $\Delta s_{rec}$  (in blue). The yellow dots in the bottom panel indicate maximums of  $\Delta s_{rec}$ . Green and red dots are  $t_{sp}$  and  $t_{do}$  instants, respectively.

### 3.4.2. Heartbeat detector

Performance of the detector was given in terms of heartbeat detection sensitivity ( $Se_{hb}$ ), positive predictive value ( $PPV_{hb}$ ) and F-score ( $F1_{hb}$ ), harmonic mean of  $Se_{hb}$  and  $PPV_{hb}$ . Correct detections were defined as those falling within a 50 ms interval around the ground truth annotation, and results were given as patient wise mean (standard deviation, std). This analysis was performed for the segments with at least one heartbeat annotated.

For the OHCA, a 10-fold cross-validation scheme was used to evaluate the heartbeat delineator. The optimization of  $w_{SAP}$  and  $w_{PP}$  was done in the training set at each iteration to maximize  $F1_{hb}$  using a uniform grid search. For public datasets, the weights were set to  $w_{SAP} = 0.28$  and  $w_{PP} = 0.3$ , the mean values of those variables in the OHCA dataset, because the low number of segments made the optimization unfeasible.

### 3.4.3. Pulsatile segment discrimination

It is essential to correctly discriminate segments with or without heartbeats (see Section 2.1). A secondary analysis was performed to assess the algorithm at discriminating pulsatile segments (segments containing at least one heartbeat). The performance was evaluated in terms of sensitivity ( $Se_s$ ), specificity ( $Sp_s$ ), positive predictive value ( $PPV_s$ ) and negative predictive value ( $NPV_s$ ); considering as positive class segments with at least one heartbeat. This analysis was only done with OHCA segments, because all segments of the public datasets were pulsatile.

### 3.4.4. Accuracy of the IBP physiological variables

The physiological variables measured during chest compression pauses from the IBP, i.e., SAP, DAP, PP and HR, were compared to the ground truth. The errors were plotted using Bland-Altman diagrams and the 95% Level of Agreement (LOA).

The measurement of physiological variables by the proposed solution underwent comparison against the SoA in terms of absolute/relative median error LOA. Zong et al. [10] algorithm only computes  $t_{do}$ , therefore only DAP and HR were compared. For the method proposed in this study and by Li et al. [9], all physiological variables were compared for the OHCA and CSL datasets. But only DAP and HR were considered in Polysomographic dataset because only  $t_{do}$  instants were available.

### 3.4.5. Signal quality index analysis

For a better interpretation of the performance of our methods, two Signal Quality Indexes (SQI) were applied to the IBP signal: the Non-Binary SQI (NB-SQI) and the jSQI, proposed in [33] and [34], respectively.

Table 1

Overall performance of proposed method compared to SoAs. The OHCA and the two public datasets were used to evaluate the algorithms for heartbeat detection. Performance is provided in terms of mean (std) sensitivity ( $Se_{hb}$ ), positive predictive value ( $PPV_{hb}$ ), and F1 ( $F1_{hb}$ ).

		Heartbeat detection		
		$Se_{hb}$ (%)	$PPV_{hb}$ (%)	$F1_{hb}$ (%)
OHCA dataset	Proposed	96.1 (8.3)	96.1 (7.6)	95.7 (6.4)
	Zong [10]	62.8 (36.3)	93.8 (10.5)	71.9 (29.8)
	Li [9]	94.1 (9.6)	85.4 (23.7)	86.7 (19.6)
Public dataset	Proposed	98.4 (1.5)	98.8 (1.3)	98.6 (1.3)
	Zong [10]	97.9 (3.8)	98.4 (3.2)	98.1 (3.4)
	Li [9]	98.6 (1.3)	99.1 (1.0)	98.6 (1.0)

NB-SQI is based on 30-feature vector (computed in time, wavelet and statistical domains) and provides a scalar value between 0–5 for low to excellent heartbeats. jSQI is based on HR, mean pressure and waveform abnormalities, and provides a binary value 0/1 for low/high quality heartbeats.

Every segment was divided into 8 s windows before SQI computation. NB-SQI was normalized (0–1) and jSQI was computed as the fraction of high quality heartbeats in that window. The mean SQI was computed for each segment.

### 3.5. Time duration analysis

Duration of the pauses with no chest compressions is critical in the survival of a patient in CA, as longer pauses are linked to poorer prognosis. In this study the OHCA segments were extracted from intervals with no chest compressions to avoid artifacts. The effect of the duration of the segment in our algorithms was analyzed. The total segments were split in four groups equally distributed according to their duration, and performance was evaluated in terms of  $F1_{hb}$ .

## 4. Results

### 4.1. Heartbeat detection and pulsatile segment discrimination

Table 1 compares the performance of the proposed heartbeat detection method with SoA algorithms. It can be observed that our solution outperforms previous proposals with the OHCA dataset, and similar performance is reported for the public datasets.

Table 2 provides the performance of the pulsatile segment discriminator for the OHCA consisted of 377 segments (252 pulsatile).

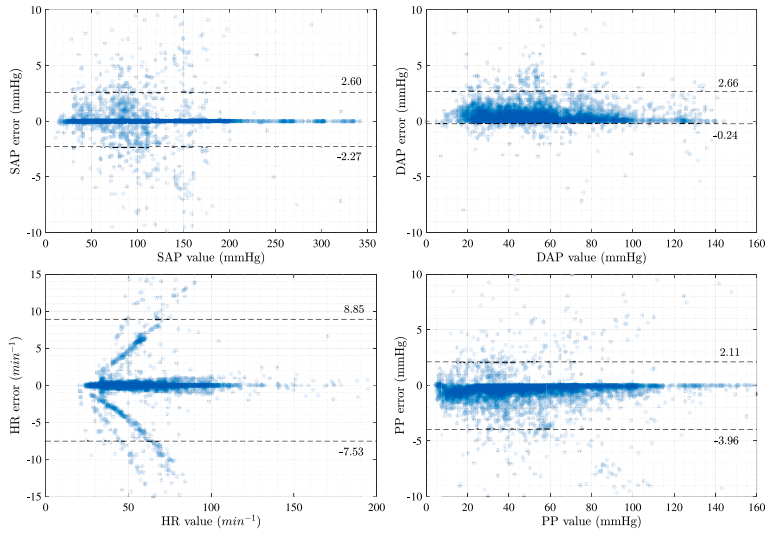


Fig. 6. Bland-Altman diagrams for SAP and DAP in the top panel, and for HR and PP in the panel below. The dashed lines depict the limits of the 95% LOA.

Table 2

Overall performance of proposed method compared to SoAs in OHCA dataset. Performance is provided in terms of sensitivity ( $S_e$ ), specificity ( $S_p$ ), positive predictive value ( $PPV_s$ ), and negative predictive value ( $NPV_s$ ). All the results were given as patient wise mean (std).

	Pulsatile segment discrimination			
	$S_e$ (%)	$S_p$ (%)	$PPV_s$ (%)	$NPV_s$ (%)
Proposed	98.8 (6.9)	91.6 (20.2)	97.4 (9.7)	98.7 (6.1)
Zong [10]	81.2 (31.0)	75.6 (31.5)	92.4 (20.5)	87.7 (21.8)
Li [9]	99.7 (2.6)	8.9 (23.3)	82.4 (24.4)	88.9 (33.3)

#### 4.2. Accuracy of IBP physiological variables

The comparison of performance between the proposed method and SoA methods in computing physiological variables is presented in Table 3. It can be observed that the proposed algorithm outperforms the SoA algorithms for OHCA dataset. For public datasets, the performance for computing the physiological variables was similar to Li et al. [9] and better than Zong et al. [10].

The detailed error distribution is shown in Fig. 6, where Bland-Altman diagrams report the errors for SAP, DAP, PP and HR. The measured LOA (4.9/2.9/16.4/6.1 mmHg for SAP/DAP/HR/PP) is smaller than using Li et al. (12.8/5.9/45.3/18.2 mmHg) and Zong et al. (-/20.4/-/70.5 mmHg) methods (note that Zong method does not calculate SAP and PP).

#### 4.3. SQI analysis

The values of both indexes, NB-SQI and jSQI, were significantly lower for the OHCA dataset than for the public datasets ( $p < 0.05$ ). The patient wise mean(std) values were 60.42(28.17) vs 91.79(20.88)% for jSQI and 33.47(18.80) vs 75.66(12.10)% for NB-SQI. These results highlight the differences in waveform for the CA IBP compared with stable patients, for which higher SQI are associated attending to current quality measurement methods. OHCA episodes are not hemodynamically stable patients, episodes are noisier and IBP waveform shows more aberrant fluctuations with more variability in peak amplitude.

Fig. 7 shows the violin plots of  $F1_{hb}$  for the OHCA dataset segments grouped in quartiles depending on the SQI (highest values in 4th quartile). A clear positive relation can be observed between the performance

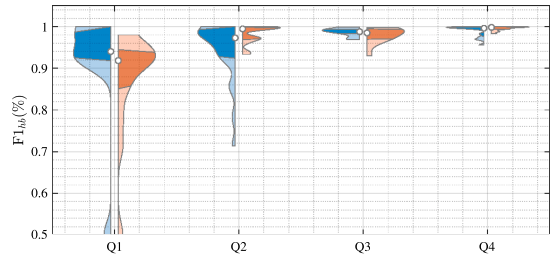


Fig. 7. Violin plots of  $F1_{hb}$  for NB-SQI (blue) and jSQI (orange) grouped by SQI quartiles (Q1 the lowest). The white dot indicates the median value, the dark colored areas represent the 25–75 percentile interval, and light colored areas represent values higher than percentile 75 or lower than percentile 25.

of the methods and the SQI. Elevated median values and reduced variability were observed in segments of higher quality, varying from median NB-SQI/jSQI values of 0.94/0.92 in Q1 to 0.99/0.99 in 4th quartile.

#### 4.4. Time duration analysis

The performance of the proposed heartbeat detector for segments of different duration is shown in Fig. 8. Compared to the method by Li et al. [9] it can be observed that both increase performance with longer segments: 97.4%/92.5%, respectively, for 8–16 s segments, and 99.4%/97.9%, respectively, for segments longer than 138 s. The positive correlation between  $F1_{hb}$  and the duration of the segment was expected. In OHCA episodes, PR segments (which are the most similar rhythms compared with hemodynamically stable patients) tend to be longer as they were not corrupted by chest compressions, while other organized rhythms such as PEA (more challenging to delineate correctly) need compression therapy and segments without compressions are shorter.

#### 5. Discussion

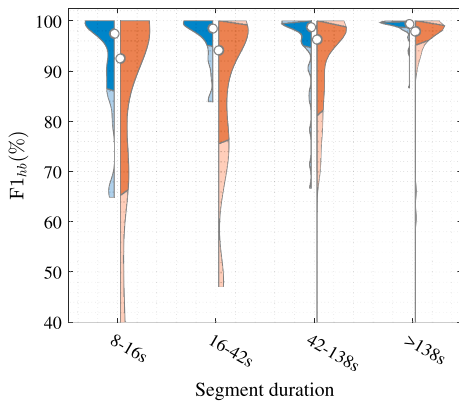
An automated algorithm for IBP signal delineation and physiological variables computation was proposed. It outperforms SoA solutions

**Table 3**

Overall performance of proposed method compared to SoAs. The OHCA and public datasets were used to evaluate the algorithms for physiological variable computation. Performance is provided in terms absolute/relative mean (LOA) error.

		IBP physiological variables			
		DAP (mmHg%)	SAP (mmHg%)	PP (mmHg%)	HR (min <sup>-1</sup> /%)
OHCA	Proposed	0.55(2.89)/1.25(7.05)	0.39(4.87)/0.44(5.74)	0.79(6.07)/2.45(18.91)	1.82(16.39)/2.35(27.54)
	Zong [10]	2.20(20.59)/5.17(47.47)	-(-)/(-)	-(-)/(-)	51.50(72.67)/98.24(2.51)
	Li [9]	0.75(5.90)/1.63(13.98)	1.35(12.80)/1.46(15.34)	1.70(16.11)/4.46(45.35)	4.78(45.30)/6.71(69.65)
Public	Proposed	0.19(6.03)/0.26(6.84)	0.35(2.02)/0.39(2.94) <sup>a</sup>	0.78(3.92)/1.63(7.91) <sup>a</sup>	0.17(1.87)/0.08(0.84)
	Zong [10]	0.18(5.98)/0.24(6.88)	-(-)/(-)	-(-)/(-)	0.13(14.13)/0.05(8.37)
	Li [9]	0.20(5.87)/0.27(6.56)	0.49(0.64)/0.69(1.03) <sup>a</sup>	0.73(3.68)/1.48(7.81) <sup>a</sup>	0.17(1.66)/0.08(0.80)

<sup>a</sup> In the public dataset, SAP and PP were computed using episodes from CSL dataset because systolic peak annotations were not available in Polysomographic dataset.



**Fig. 8.** Violin plots of the proposed heartbeat detector (blue) compared to the method by Li et al. (orange) for segments of different duration. The white dot indicates the median value, the dark colored areas represent the 25–75 percentile interval, and light colored areas represent values higher than percentile 75 or lower than percentile 25.

with OHCA patients in both heartbeat detection and computation of variables as SAP, DAP, PP and HR. To the best of our knowledge, this represents the first proposal tested utilizing the IBP signal of patients suffering CA. The approach was challenging because IBP is not normally available in OHCA and because the waveform highly differs from the IBP signal acquired in hemodynamically stable patients, as those of public datasets.

This study also reports the performance of SoA algorithms with OHCA data. Proposals by Zong et al. and Li et al. exhibit strong performance metrics with stable patients but limited performance with the OHCA database. Both algorithms were designed for stable patients and include low-pass filters (with cutoff frequencies of 16 Hz [10] and 25 Hz [9], respectively). Conversely, the proposed SWT-based filtering in our algorithms applies a more restrictive 1–4 Hz bandpass filter for IBP signals, aligned with the frequency band of interest in OHCA. This more selective filtering effectively removes noise and undesired components during OHCA. Additionally, both SoA algorithms were designed to detect heartbeats in every IBP segment. They compute minimum peak thresholds using either complete or partial segments of the IBP signal, and for pulseless cases as those in CA, they apply a very low minimum threshold. In contrast, our algorithm applies adaptive thresholding, adequate to the variety of IBP signals of both OHCA and public datasets.

Fig. 9 shows the performance of the different algorithms with two examples with different noise levels. In the top example with little noise, all algorithms correctly identify the heartbeats, and the  $t_{sp}/t_{do}$  instants. The example in the bottom with a higher noise level, was more

challenging for the SoA algorithms as they incorrectly identify fluctuations as heartbeats (false positives) and miss detecting some heartbeats (false negatives). Mislabeling minor fluctuations as heartbeats distorts physiological variable computation, which jeopardize accurate health assessments in medical algorithms, impacting diagnoses and patient monitoring.

The quality of the signals acquired in out-of-hospital scenario is highly affected by the state of the patients and by external actions that jeopardize the performance of the algorithms. The existing SQIs, such as those for ECG [35–37] or impedance [38,39], have been widely utilized and could serve as the basis for a signal quality control mechanism. The SQIs used in the study were designed for hemodynamically stable patients, and they revealed significantly lower values in OHCA than in the public. It would be beneficial to develop a SQI specifically tailored for the population of hemodynamically unstable patients. By incorporating an appropriate SQI, it would be possible to anticipate the reliability of algorithms, detect low-performance segments, and prevent the occurrence of erroneous values in real applications.

The analysis of IBP during OHCA is associated to many applications in monitoring both the CPR therapy and the patient response. On one hand, goal-directed CPR has shown to improve survival rates in animals [7,40,41], and recent consensus statements from the American Heart Association suggest aiming for a DAP exceeding 25 to 30 mmHg in adult CPR [42]. On the other hand, several blood pressure variables have been associated to post-CA outcomes [20,43]: PP values above 65mmHg happen to be correlated with favorable outcome [43,44], and restoration of PR, in contrast to PEA, can be identified based on IBP metrics avoiding the inaccuracy of pulse palpation [20,43,45,46]. It can be concluded that advanced IBP signal processing might play a crucial role in assisting clinicians and rescue teams in the challenge of enhancing resuscitation treatment. The integration of automated IBP analysis in monitor-defibrillators presents a technological challenge in the development of future resuscitation science.

## 6. Conclusion

This study proposes an algorithm for the automatic delineation of the IBP signal, which can be used in both hemodynamically stable and OHCA patients. The solution is based on a filtering technique using the STW and adaptive thresholding for peak detection. This method could be employed to automatically calculate physiological variables and assist rescuers in making decisions during OHCA. The progress in this field would ultimately contribute to enhance assessment and care during OHCA, improving patient outcome and survival rates.

## 7. Limitations

The main limitation of this study relies on the size of the OHCA dataset used. Despite the exceptionality of the IBP records acquired in an out-of-hospital environment, a larger dataset with a variety of defibrillators and more emergency teams and protocols involved, would further support the conclusions of this study.

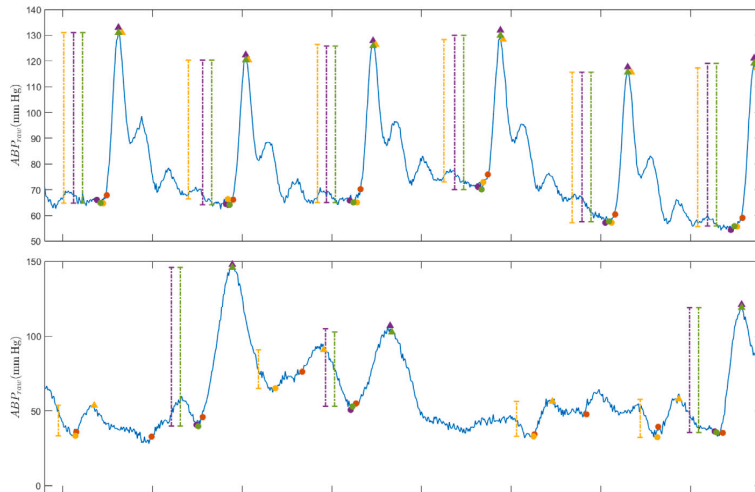


Fig. 9. Two IBP segments of 3 s showing algorithms performance. DAP denoted by dots, SAP by triangles and PP by dash-dotted line. Green, purple, orange, and yellow indicate gold standard, the algorithm proposed in this study, Zong et al.'s algorithm, and Li et al.'s algorithm, respectively.

### CRedit authorship contribution statement

**Jon Urteaga:** Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation. **Andoni Elola:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Elisabete Aramendi:** Writing – review & editing, Writing – original draft, Validation, Supervision, Project administration, Methodology, Investigation, Funding acquisition, Formal analysis, Data curation, Conceptualization. **Per Olav Berve:** Writing – review & editing, Writing – original draft, Resources, Investigation, Data curation, Conceptualization. **Lars Wik:** Writing – review & editing, Writing – original draft, Resources, Investigation, Data curation, Conceptualization.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

The authors do not have permission to share data.

### Acknowledgments

The authors would also like to express our heartfelt gratitude to Fred W. Champan and Fredrick Arnwald (Stryker Ltd.) for their invaluable support and contributions to this study.

This work has been financially supported by the Spanish Ministry of Science, Innovation and Universities through grant PID2021-122727OB-I00, in collaboration with the European Regional Development Fund (FEDER), by the Basque Government, Spain through grants IT1717-22 and PRE2021\_2\_0173, and by the University of the Basque Country (UPV/EHU), Spain through COLAB20/01.

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## A.2.3 BIGARREN ARGITALPENA NAZIOARTEKO KONFERENTZIAN

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**Argitalpena Nazioarteko Konferentzian**

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Erreferentzia

Jon Urteaga, Andoni Elola, Elisabete Aramendi, Anders Norvik, Eirik Unneland, Eirik Skogvoll, "Automated Algorithm for QRS Detection in Cardiac Arrest Patients with PEA", *Computing in Cardiology (CinC)*, 2022

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Kalitate Adierazleak

- **Argitalpen mota:** Nazioarteko Konferentzian SJRen
  - **Impaktu Faktorea:** 0.212
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# Automated Algorithm for QRS Detection in Cardiac Arrest Patients with PEA

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## Abstract

*Pulseless electrical activity (PEA) is one of the most common rhythms during a cardiac arrest (CA), and it consists in lack of palpable pulse in presence of electrical activity in the heart. The main treatment for a CA is the cardiopulmonary resuscitation (CPR), including chest compressions and ventilations, together with defibrillation shocks and drugs when necessary. The therapy of PEA depends on its characteristics, mainly the morphology of the QRS complex. Well known algorithms for QRS complex detection and delineation were designed for hemodynamically stable patients with pulsed rhythm (PR). The aim of this study was to develop an automatic method for QRS complex detection in patients with PEA during CA. The database for this study consists of 5128 PEA segments from 264 in-hospital CA patients. The ECG signal was decomposed using the stationary wavelet transform, a peak detector was applied on the third detail component and a multicomponent verification was set to detect the peaks. Finally, a time alignment of the detected QRS complexes was performed using the original ECG signal. The proposed method presents median (IQR) Se/PPV/F1 values of 92.4(15.2)/88.5(15.4)/88.8(15.6) for PEA segments.*

## 1. Introduction

Cardiac arrest (CA) is a main cause of death in the industrialized world, with an average incidence of 55 per 100.000 persons-year and a survival rate below 8.4% [1,2]. An early recognition and a rapid treatment of the CA are essential to enhance survival chance, and the treatment depends on the heart rhythm of the patient [3]. The pulseless electrical activity (PEA) is one of the most frequent rhythms by the time the emergency services arrive, with an incidence between 20-30% and 40-60% in out- and in-hospital CA, respectively [4-6].

PEA is a clinical condition with a electromechanical dissociation, characterized by organized cardiac electrical activity without palpable pulse [7]. The cardiopulmonary resuscitation (CPR) and pharmacological treatment of a PEA during a CA depends on the characteristics of the PEA. Recent studies have shown that PEAs with narrow QRS duration and high slopes have better prognosis and deserve different treatment in contrast to those with wider QRS complex in which immediate pharmacological treatment is advised [8-10].

ECG waves delineation is essential for rhythm characterization. Once ECG is delineated information such as heart rate, and wave segment duration and amplitude features can be computed. The QRS complex is the most characteristic waveform in the ECG and its detection is the most critical step in ECG delineation [11, 12].

Several automatic methods have been proposed in the literature for QRS detection and delineation in patients with pulsed rhythm (PR) [11-15]. Wavelet transform is considered an encouraging technique for QRS detection and delineation. Decomposing ECG in different frequency band details allows discriminating different waves in the ECG avoiding the baseline and high frequency noise [11]. The QRS is usually identified detecting the maximum slope point of the R wave, which is considered the reference point of the QRS complex and it has high amplitude that makes easier to detect [11, 13].

Well known automated QRS detectors have not been evaluated in patients during PEA. In this study an automated algorithm was designed for QRS complex detection in PEA rhythms.

## 2. Materials

The database used in this study is a subset of a larger in hospital CA episodes database. It consists of 264 episodes, recorded by emergency services and include

ECG, transthoracic impedance (TI) and ventilations signals. 89 of those episodes were from St. Olav University Hospital (Norway), 136 from Hospital of the University of Pennsylvania (USA) and 39 from Penn Presbyterian Medical Center (USA). The 89 episodes from Norway were recorded using LIFEPAK-20 (Stryker, Redmond, USA) defibrillators between 2018 and 2021, while the 175 episodes from USA hospital were recorded using HeartStart MRx-defibrillators (Philips Medical Systems, Andover, Massachusetts, USA) between 2008 and 2010.

All episodes were manually assessed and annotated by expert clinicians. Rhythm type and QRS complexes were annotated in the ECG signal, and the intervals with chest compressions identified in the TI signal. Intervals with duration between 3-6 s were selected in chest compression pauses, and separated in 3 s segments. A total of 5128 segments with a mean duration of 3.47 s per segment were extracted. The total duration of the database was 335 min, with 19085 heart beats, 3.7 per segment.

### 3. Methods

Figure 1 shows the overall scheme of the proposed algorithm. First, the ECG signal was decomposed using a 8-level stationary wavelet transform (SWT). Then, possible peaks were searched in the 3rd detail component and a multicomponent evaluation was applied to validate those peaks. Finally, the peak positions were searched in the maximums of the ECG signal.

#### 3.1. SWT decomposition

For the SWT decomposition Daubechies-3 mother wavelet was applied and 8-level decomposition used, following procedures proposed in [2, 15].

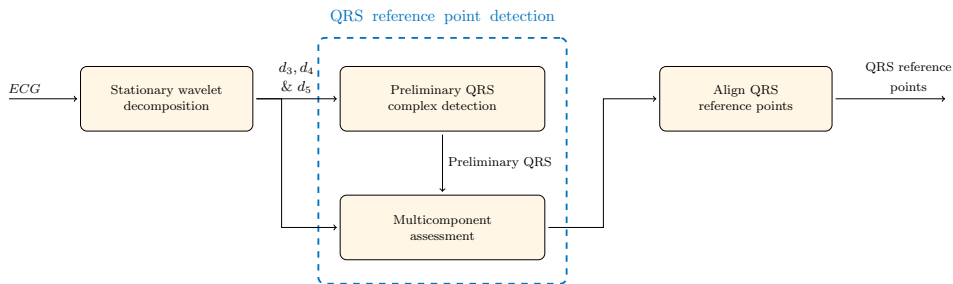


Figure 1. Overall scheme of the automatic algorithm to detect QRS complexes during PEA.

#### 3.2. QRS reference point detection

As the energy of the QRS complex is concentrated in 3-40 Hz frequency band [16, 17] the detailed components d3-d5 were analysed to detect the QRS reference points. An example of a PEA segment decomposition in d3, d4 and d5 details is shown in Figure 2.

The QRS reference points were computed in the d3 detail component applying the amplitude threshold given in 1. A minimum peak to peak distance of 100 ms was established between consecutive peaks.

$$Th_3 = 0.5 * \max(-d3) \quad (1)$$

A multicomponent evaluation was applied in d4 and d5 detail components. Peaks predicted in d3 were considered as QRS reference points only if its value in d4 and d5 detail coefficients were above th4 and th5 thresholds:

$$Th_4 = 0.4 * \max(-d4) \quad (2)$$

$$Th_5 = 0.2 * \max(-d5) \quad (3)$$

#### 3.3. Align QRS reference points

Finally, the QRS reference points computed in the previous steps were time aligned with the maximum of the ECG signal in a tolerance interval of 150 ms before and after the detected peak.

#### 3.4. Statistical evaluation

QRS instants manually annotated by clinicians were considered as ground truth for evaluation purposes. A QRS was considered correct if detected in a range of 100 ms around the ground truth. Algorithms were evaluated in terms of sensitivity (Se), percentage of correctly detected QRS complexes; positive predictive value (PPV), percentage of detected QRS complexes that are actually QRS; and

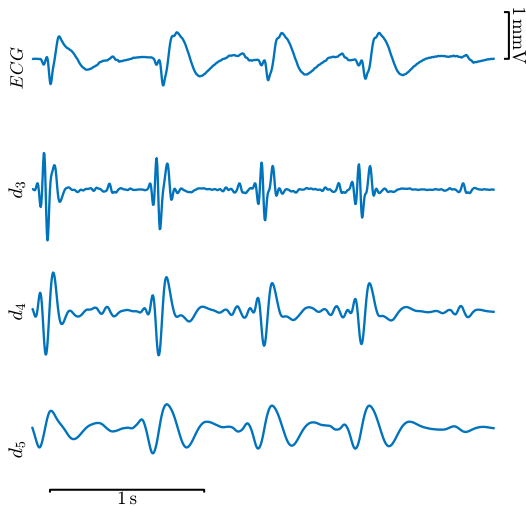


Figure 2. Examples of the ECG signal and its detail components  $d_3$ ,  $d_4$  and  $d_5$ .

F-score (F1), the harmonic mean of Se and PPV. The performance metrics were calculated per patient, and the final results were presented as the median (interquartile range, IQR) for all patients.

The QRS detector proposed in the study was compared with two QRS detection/delineation algorithms proposed in the literature: Martinez et al. [12] and Elola et al. [18].

#### 4. Results

The performance metrics are shown in the Table 1 in terms of Se, PPV and F1. It can be observed that the proposed algorithm outperformed the best literature algorithm in more than 6 points of F1. Its higher Se means that it correctly detects many QRS complexes missed by the other algorithms.

Table 1. Performance metrics for the proposed algorithm and two other methods. The table shows the median (IQR) values for Se, PPV and F1.

	Se (%)	PPV (%)	F1 (%)
This study	92.4 (15.2)	88.5 (15.4)	88.8 (15.6)
Martinez et al. [12]	75.6 (16.4)	89.1 (18.9)	80.0 (16.6)
Elola et al. [18]	82.7 (28.5)	84.5 (28.7)	82.7 (28.3)

#### 5. Discussion and conclusions

The detection and delineation of QRS complexes is widely used in rhythm characterization during CA. However, automated methods proposed in the literature have not been tested with organized PEA rhythms. This study is the first proposing an automatic algorithm for QRS complex detection in patients in CA presenting PEA.

Comparing to proposals by Martinez et al. [12] and Elola et al. [18], our algorithm outperforms in 10 points of Se with similar PPV values. Two are the main reasons for this improvement. Firstly, the proposed technique is better adapted to the chaotic and variable characteristics of QRS complex during CA. Secondly, CPR therapy implies that the ECG analysis intervals are limited to pauses between compressions, with a duration of 3-10 s. Unlike other published methods, the proposed algorithm was optimized for short-duration segments.

This work is subject to a number of limitations. On the one hand, the database has a limited number of patients, and only in-hospital CA were included in this study. On the other hand, the algorithm assumes that all segments are organized rhythms with PEA, and it would require an adaptation for other organized rhythms or non-organized rhythms.

This study is the first step for the development of automatic algorithms that characterize the QRS complex of PEA patients during a CA. This characterization could assist and guide clinicians in determining the most appropriate resuscitation treatment.

#### Acknowledgments

This work was supported by the Spanish Ministerio de Ciencia, Innovacion y Universidades through grant RTI2018-101475-BI00, jointly with the Fondo Europeo de Desarrollo Regional (FEDER), by the Basque Government through grant IT1717-22 and grant PRE\_2021\_2\_0173, and by the university of the Basque Country (UPV/EHU) under grant COLAB20/01.

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## A.2.4 BIGARREN ARGITALPENAZ NAZIOARTEKO ALDIZKARIAN

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**Argitalpena nazioarteko aldizkarian**

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**Erreferentzia**

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**Kalitate Adierazleak**

- **Argitalpen mota:** JCRen indextutako aldizkari artikulua
  - **Kuartila:** Q2 (34/96) Web of Science Rank-en oinarrituta 2022
  - **Impaktu Faktorea:** 4.6
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# A Deep Learning Model for QRS Delineation in Organized Rhythms during In-Hospital Cardiac Arrest

Jon Urteaga, Andoni Elola, Daniel Herráez, Anders Norvik, Eirik Unneland, Abhishek Bhardwaj, David Buckler, Benjamin S. Abella, Eirik Skogvoll, Elisabete Aramendi, *Member, IEEE*

**Abstract**—Cardiac arrest (CA) is the sudden cessation of heart function, typically resulting in loss of consciousness and cessation of pulse and breathing. The electrocardiogram (ECG) stands as an essential tool extensively utilized by clinicians, during CA treatment. Within the ECG, the QRS complex reflects the depolarization of the ventricles, yielding valuable perspectives on cardiac health and potential irregularities. The delineation of QRS complexes is crucial for obtaining that information, but classical algorithms have not been tested with CA rhythms. This research aims to introduce a new deep learning-based model for accurately delineating QRS complexes in patients experiencing organized rhythms during in-hospital CA. Two databases have been employed, one comprising 332 episodes of in-hospital CA (151815 QRS complexes) and another consisting of 105 hemodynamically stable patients (112497 QRS complexes). The method comprises three stages: signal preprocessing for noise removal, windowing and sample classification with a U-Net model, and finally, the segmented windows are merged to complete the process. The proposed method exhibited median (interquartile range) F1 score/Sensitivity/Specificity/intersection over union values of 97.03(8.28)/97.69(11.38)/96.47(9.92)/79.09(15.78), and a 8.56(11.62) ms error for  $QRS_{on}$ , and 25.11(25.86) ms for  $QRS_{off}$  instant delineation.

**Index Terms**—Cardiac Arrest, Deep Learning, Delineation, QRS complex, U-Net

## I. INTRODUCTION

The electrocardiogram (ECG) is an indispensable tool extensively employed by clinicians to diagnose heart diseases by non-invasively recording the heart's electrical activity. Within the ECG, the QRS complex, consisting of Q, R, and S fiducial points, reflects ventricular

This research has been partially supported by the MCIN/AEI/10.13039/501100011033/ and by FEDER Una manera de hacer Europa through grant PID2021-122727OB-I00. Additional support has been provided by the Basque Government through grants IT1717-22 and PRE2021\_2\_0173, as well as by the University of the Basque Country (UPV/EHU) through COLAB20/01.

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depolarization, offering insights into cardiac health and abnormalities [1]–[3].

ECG monitoring is also indispensable during cardiac arrest (CA), which stands as a leading cause of death in industrialized nations. With an average incidence of 55 cases per 100,000 persons-year and a survival rate below 8.4% [4], [5]. Timely recognition and swift intervention are paramount to improve survival rates, with treatment hinging on the patient's heart rhythm [6].

Pulseless electrical activity (PEA) is defined as the electromechanical dissociation between the electrical and mechanical activity of the heart, where the heart shows a organized electrical activity, but lacks a palpable pulse [7]. It is a specific rhythm of CA with a high prevalence as initial rhythm, occurring in 20-30% and 40-60% of out-of-hospital and in-hospital CAs (IHCA), respectively [8]–[10]. The management of PEA during cardiac arrest, including cardiopulmonary resuscitation (CPR) and pharmacological interventions, is contingent upon the specific etiology and characteristics of the PEA. Recent research indicates that PEAs characterized by narrow QRS duration and steep slopes exhibit a more favorable prognosis, while those with wider QRS complexes the prognosis is less favorable. Therefore the latter may require pharmacological intervention or other specific intervention [11]–[13]. Emergency medical services aim to restore spontaneous circulation (ROSC), resulting in a pulsed rhythm (PR).

Both, the PEA and PR, correspond to regular rhythms exhibiting QRS complexes. The delineation of these complexes is crucial for rhythm characterization and essential for computing vital information like heart rate, complex durations, and amplitude features [1], [10]. QRS complex delineation involves precisely identifying the start and end points ( $QRS_{on}$  and  $QRS_{off}$ ) in the waveform, as indicated in Fig. 1, facilitating accurate assessment of the heart's electrical activity and its clinical interpretation [14], [15]. Apart from monitoring patient's vital signs, QRS delineation is a basic preprocessing step for many classification tasks during CA, such as re-arrest prediction, rhythm classification or outcome prediction [16]–[18].

Manually delineating ECG recordings is characterized by a labor-intensive and repetitive task. Consequently, many algorithms have been proposed for ECG signal delineation. These approaches encompass advanced signal processing methodologies [15], [19]–[23] and machine learning techniques or deep learning algorithms [1], [3], [14], [24]–[26]. State of the art (SoA) algorithms have not been assessed within the clinical setting of patients in CA, where irregular, aberrant and uneven QRS complexes are expected [27]. As a result, their applicability in these critical scenarios remain unexplored. As observed in Figure 1, the waveforms of PR and PEA during IHCA differs from the waveform of a stable patient's PR. Hence, the need for a dedicated algorithm for delineation becomes necessary.

The aim of this study is to assess the performance of existing algorithms and propose a new deep learning-based approach for QRS delineation, for both stable and IHCA patients.

## II. MATERIALS

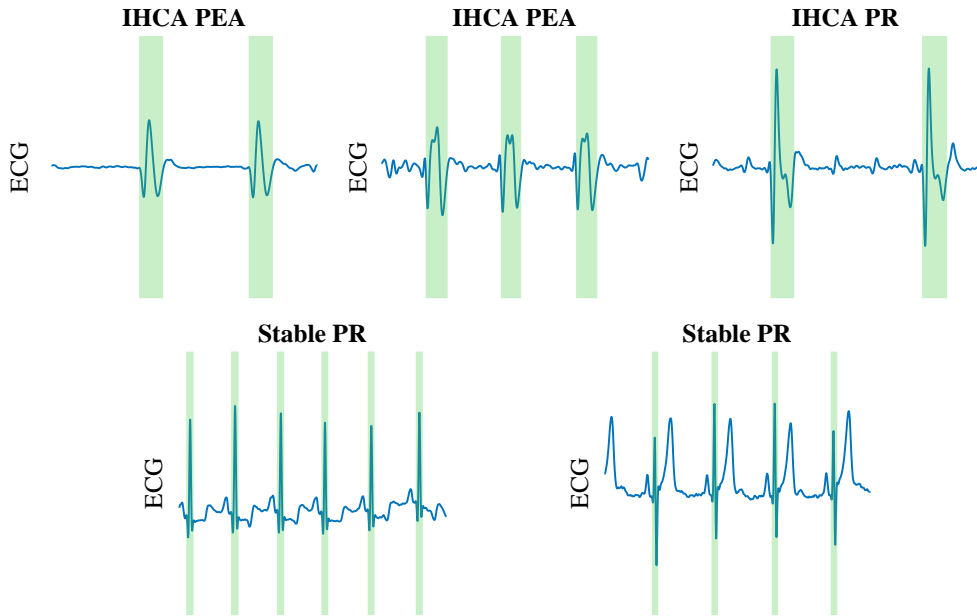


Fig. 1: Examples of 6 s segments of regular rhythms. Two PEA and one PR from IHCA are shown in the upper row. Below, two PR segments from hemodynamically stable patients are presented. QRS complexes are indicated by green shading.

#### A. IHCA database

The IHCA database utilized in this study is a subset extracted from a larger IHCA database. It comprises a total of 332 episodes that were recorded by emergency services and encompasses ECG and transthoracic impedance (TI). A set of, 124 were from St. Olav University Hospital in Norway, 163 from the Hospital of the University of Pennsylvania in the USA, and 45 from the Penn Presbyterian Medical Center, also in the USA. The 124 episodes from Norway were recorded between 2018 and 2021 using LIFEPAK-20 defibrillators manufactured by Stryker (Redmond, USA). Conversely, the 208 episodes from the US hospitals were captured between 2008 and 2010 using HeartStart MRx defibrillators manufactured by Philips Medical Systems, situated in Andover, Massachusetts, USA. Medical professionals conducted the annotation of rhythms and QRS complexes, which served as the gold standard in this study. Intervals with chest compressions have also been annotated utilizing the transthoracic impedance signal.

ECG segments of organized rhythms (PR/PEA) with a minimum duration of 6 s extracted during pauses in chest compressions, as observed in the transthoracic impedance. In total, 2485 segments were acquired (with mean (standard deviation, SD) duration of 43.6 (188.6)s), amounting to 30 h of data and 151815 QRS complexes. Among these, 978 segments were associated with PR rhythms, totaling 25 h, while the remaining 1507 segments, accounting for 5 h, were associated with PEA rhythms. Three examples of the segments extracted from the IHCA database can be observed in the top row of Figure 1.

#### B. Public dataset

The dataset of stable patients was extracted from the QT public dataset from Physionet [28], widely used to develop QRS delineation

algorithms. The database comprises recordings of 105 patients, resulting in a total duration of 1575 min and 112497 QRS complexes annotated by medical professionals. In Figure 1 two examples of 6 s segments extracted from this database are shown in the lower row.

### III. METHODS

The method proposed to delineate the QRS complexes is divided into three stages: First, the signal is preprocessed to remove the noise. Next, it is windowed into 6 s overlapping segments to feed the U-Net model dedicated to delineate the QRS complexes, and finally the segmented windows are merged.

#### A. Preprocessing

The raw ECG signal frequently exhibited movements noise, baseline drift and other high-frequency interferences. The signal (with a sampling frequency of 250 Hz) was decomposed using an 8-level stationary wavelet transform (SWT) with Daubechies-4 mother wavelet. Then the signal was reconstructed using only  $d_3$  (15.62-31.25 Hz),  $d_4$  (7.81-15.62 Hz),  $d_5$  (3.90-7.81 Hz),  $d_6$  (1.95-3.90 Hz) and  $d_7$  (0.98-1.95 Hz) detail coefficients to eliminate undesired components. Details were chosen according to the frequency bands associated to the QRS complexes [2], [10], [29]. The ECG signal was divided into 6 s windows with a 50% overlap to feed the U-Net model. The U-Net model utilized in this study requires the input length to be a multiple of 16. Padding was applied to reach processing segments of 1536 samples.

#### B. U-Net model

U-NET architecture is a robust deep learning framework widely used in image processing, including medical image segmentation



[30], [31]. It is characterized by the U-shaped encoder-decoder structure, which is able to extract high-level spatial information. The encoder extracts the information from the input data through multiple convolutional layers while employing downsampling blocks to reduce data complexity. The decoder rebuilds the output by employing a combination of convolutional blocks, upsampling techniques, and concatenation operations, restoring the information back to a more detailed and complete state [29], [32], [33].

The architecture employed in this study is a modified version of the U-Net tailored for one-dimensional data (shown in Figure 2).

The encoding path comprises four downsampling blocks, consisting of: Firstly, convolution layers with a filter order of 18 and ReLU activation, batch normalization, and a dropout layer set at a rate of 0.25 to avoid overfitting. Secondly, an additional convolution layer with same filter order, activation and normalization. Finally, a max pooling layer with a pool size of 3 and a stride of 2 is applied, enabling the network to focus on essential features while reducing computational load. This pattern repeats across subsequent blocks, doubling the number of feature maps at each iteration. Following the fourth downsampling block and preceding the first upsampling block, two additional convolutional layers have been incorporated similar to the convolutional layers found within the downsampling block.

The decoding path comprises four blocks, each including: An upsampling layer that duplicates values and concatenation to skip connections (merging the decoder's output with the same-level encoder's feature maps). Convolution layers with a 18 order filter and ReLU activation, followed by a batch normalization layer and a dropout layer with a rate of 0.25. Then, the process is repeated without dropout before passing the feature maps to the next upsampling block. Finally, a convolution of order 18 is applied with sigmoid activation function in order to obtain a single feature map, which is the binary mask. Each element of the obtained binary mask denotes inclusion in the QRS complex (value of 1) or exclusion from the complex (value of 0) as shown in Figure 2.

### C. Window merging

Each window processed by the U-net scheme is merged to reconstruct the segment delineated with 1/0 values. Since the overlapping during windowing was 50%, the central 3s (50% of the window) of consecutive windows were concatenated. This helps avoiding edge effects in cases where the QRS complex is near the beginning or end, providing the model with sufficient information to handle accurately.

### D. Training of the Deep Neural Network

The optimizer chosen for this work was the Adaptive Moment Estimation (Adam) method, which is widely used in deep learning due to its capability to dynamically adjust learning rates for each parameter, resulting in faster convergence and improved model training [34].

The loss function used in the training process of the model was defined as 1-Dice, which is aimed to be reduced during the training. The Dice coefficient measures the similarity between two sets of elements as follows [35], [36]:

$$Dice(A, B) = \frac{2 \cdot |A \cap B|}{|A| + |B|}$$

Where  $A$  and  $B$  represent the sets being compared,  $|A|$  and  $|B|$  represent the sizes, and  $|A \cap B|$  stands for the number of elements shared between both sets [35]. For this study,  $A$  corresponds to the output of the method, and  $B$  to the gold standard.

The output of Dice coefficient is a value between 0 and 1, where 0 means no commonality and 1 means complete similarity.

## IV. EVALUATION

A 10-fold patient wise cross-validation approach was employed to evaluate the model. The dataset was divided into 10 equal parts, enabling the model to be trained and tested 10 times. Each iteration used a different test set, ensuring an impartial evaluation of the model's performance.

### A. Metrics

The evaluation of the models was conducted to 1) detect the QRS complexes and 2) delineate the QRS complex detecting the  $QRS_{on}/QRS_{off}$ . A tolerance of 100 ms was considered in the QRS detection instant [37], [38], and performance was measured in terms of sensitivity (Se), positive predictive value (PPV), and F1-score, which represents the harmonic mean of Se and PPV. Additionally, time errors in the  $QRS_{on}/QRS_{off}$  instant detection were quantified. Lastly, an Intersection over Union (IOU) was calculated, which combines the results in detection and delineation. In cases where the QRS complex was not detected, the IOU value was zero, otherwise, it was calculated using the following formula:

$$IOU(A, B) = \frac{|A \cap B|}{|A \cup B|}$$

Where  $A$  and  $B$  represent the sets being compared ( $A$  corresponds to the QRS gold standard annotations and  $B$  to the delineation performed by the method being evaluated),  $|A \cap B|$  denotes the overlap area between  $A$  and  $B$ , and  $|A \cup B|$  denotes the total area covered by either  $A$  and  $B$ , without double-counting.

In each segment, Se, PPV and F1 metrics were calculated for QRS detection. Errors in  $QRS_{on}/QRS_{off}$  and IOU were computed as the mean value for all complexes in that segment. The final results are presented in terms of patient wise mean (SD).

Additionally, the error of two physiological parameters were computed: Heart Rate ( $HR$ ), calculated as the inverse of the mean time difference between consecutive  $QRS_{on}$  instants, and the duration of the QRS complex ( $QRS_{width}$ ), computed as the difference between  $QRS_{on}$  and  $QRS_{off}$  of each complex.

### B. SoA Methods

The proposed method was compared to four SoA algorithms. Two of them, Martinez et al. [15] and Pilia et al. [20], employed advanced signal processing techniques such as Stationary Wavelet Transform and adaptive thresholds. The other two, Peimankar et al. [25] and Camps et al. [14], applied deep learning methods for QRS delineation. The former used convolutional layers and a long short-term memory (LSTM) model, while the latter combined convolutional layers with fully connected neural networks. The source code for the first two methods was available online (PhysioNet<sup>1</sup> and GitHub<sup>2</sup> File Exchange, respectively), and we implemented the other two architectures in accordance with the specifications outlined in their respective papers, adhering to the preprocessing methodologies proposed in this study.

### C. Noise analysis

In order to assess the robustness of the proposed algorithm in different contexts where the ECG can be distorted by real-world conditions, a noise analysis was performed. Different types of noise at several signal-to-noise ratio (SNR) levels were added to the ECG, and the QRS detection algorithm performance assessed. The most

<sup>1</sup><https://physionet.org/content/ecgkit/1.0/common/wavedet/>

<sup>2</sup><https://github.com/KIT-IBT/ECGdeli/tree/master>

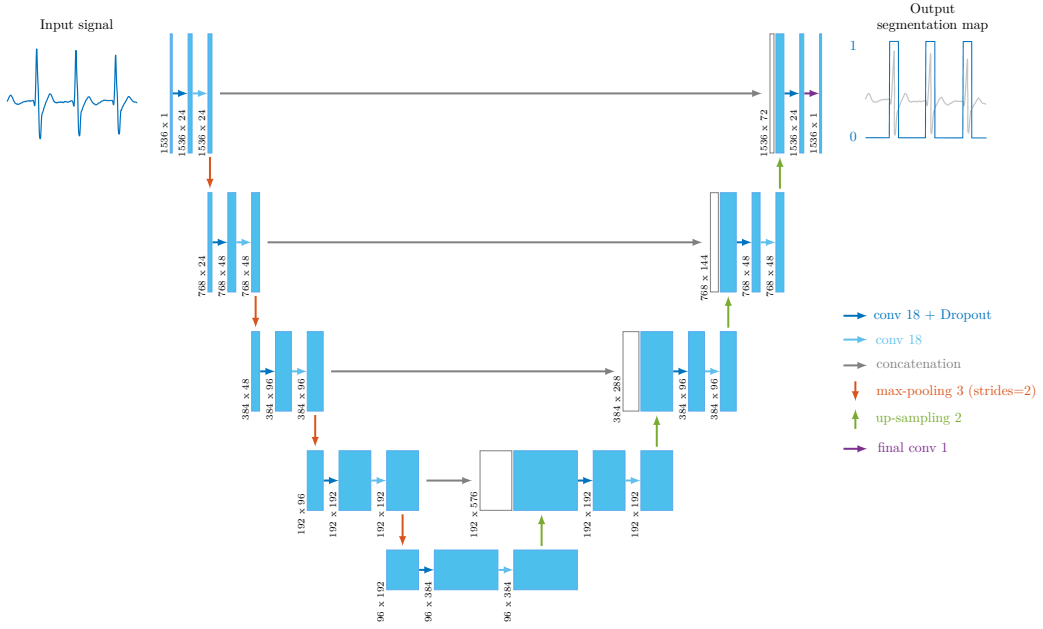


Fig. 2: Overall architecture of the deep learning model for every 6s window delineation

common noises were artificially generated and added: colored noise, muscular noise, electrode motion noise and baseline wandering.

Colored noise was generated a spectral density function defined by the formula  $S(f) \propto \frac{1}{f^\alpha}$ , where  $\alpha$  value sets the variation of the spectral density with frequency: 0 for white and 1 for pink [39]. Noise associated to muscle interference, baseline shifts and electrode movement were extracted from the MIT/BIH open database available in PhysioNet<sup>3</sup>.

Aligned with similar analyses in QRS detection robustness [1], [40], [41], SNR values of -6 dB, 0 dB, 6 dB, and 12 dB were tested. The models trained with the clean IHCA dataset, were tested with the segments corrupted with noise.

## V. RESULTS

The overall results of the proposed algorithm for the detection and delineation of QRS complexes in the IHCA database can be observed in Table I. The results are reported in the form of the overall True Positive (TP), False Positive (FP), and False Negative (FN) values across the entire dataset. Patient wise mean (SD) values of Se, PPV, F1, IOU and  $QRS_{on}/QRS_{off}$  errors are given. It can be observed that the proposed algorithm outperforms other SoA proposals, with F1 and IOU 1.0-7.5 and 0.9-28.8 points higher and  $QRS_{on}/QRS_{off}$  errors 0.3–14.3/0.3–13.9 ms lower. For some segments, the Se was 0, resulting in an indeterminate PPV. Those segments were excluded when computing the mean of F1 (and PPV), which explains why some methods (Martinez et al. and Camps et al.) showed low Se but high F1.

Performance of the algorithm for the QT database is shown in Table II. It can be observed that Martinez et al. surpasses our proposal by 2.2 points for F1 and IOU, with similar  $QRS_{on}/QRS_{off}$  errors. This could be attributed to the fact that SoA algorithms were trained using the QT database. Regarding the deep learning-based algorithms, both Peimankar et al. and Camps et al. demonstrate poorer performance in both detection and delineation, probably due to overfitting with the IHCA database, resulting in a compromised performance on the QT database.

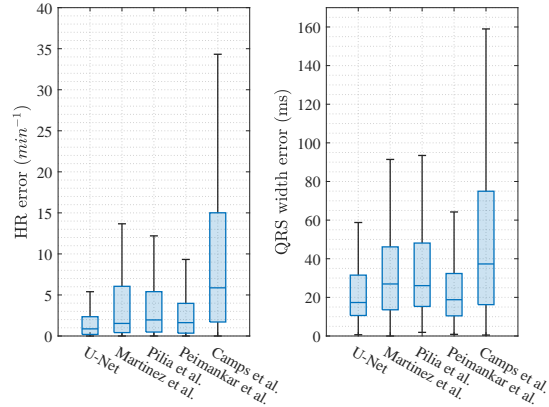
Errors in computing the HR and the QRS duration are shown for the IHCA and the QT datasets in Figure 3 and Figure 4, respectively. It can be observed that our proposal based on the U-Net provides smaller errors than other SoA solutions in IHCA, statistically significant ( $p \leq 0.005$  Wilconxon test) for all solutions except for Peimankar with QRS width. Comparison with the QT dataset showed lower errors for Martinez et al. and Pilia et al., although not statistically significant in either HR or QRS duration.

Performance of the algorithm for different regular rhythms is shown in Figure 5. In overall, slightly higher performance was observed with PR segments. The mean (SD) values of F1/Se/PPV/IOU/ $QRS_{on}/QRS_{off}$  for PEA are 95.84 (7.62)/96.36 (13.51)/94.65 (11.82)/77.07 (15.88)/9.94 (12.59)/26.05 (22.34), while for PR segments, they are 98.47 (4.42)/97.84 (11.86)/98.17 (5.19)/79.72 (13.69)/8.03 (6.60)/23.02 (21.45). Similar results were obtained when comparing performance of the SoA algorithms with PEA and PR rhythms as shown in tables III and IV of the Appendix I. Slightly higher performance with PR rhythms could have been expected as these algorithms were designed with stable patients with

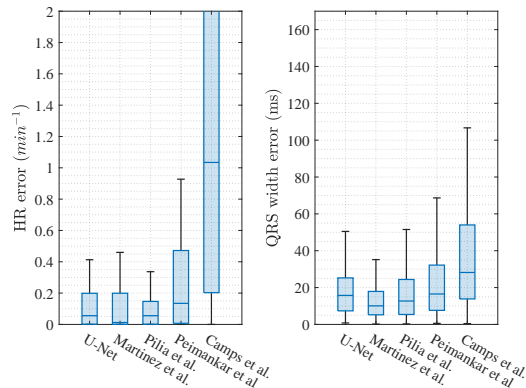
<sup>3</sup><https://physionet.org/content/nstdb/1.0.0/>

Model	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	<b>165302</b>	2305	<b>1250</b>	<b>97.03 (8.28)</b>	<b>97.69 (11.38)</b>	96.47 (9.92)	<b>79.09 (15.78)</b>	<b>8.56 (11.62)/25.11 (25.86)</b>
Martinez et al. [15]	106754	<b>556</b>	59798	93.80 (14.31)	63.96 (45.46)	<b>96.98(10.85)</b>	50.25 (38.11)	8.89 (15.38)/32.73 (34.94)
Pilia et al. [20]	162835	2678	3717	93.30 (13.49)	93.28 (17.84)	93.45(16.06)	61.88 (18.71)	22.92 (19.34)/38.98 (35.08)
Peimankar et al. [25]	163906	2883	2646	96.03 (9.38)	96.93 (12.10)	95.56 (11.20)	78.15 (16.58)	9.34 (14.39)/25.43 (26.14)
Camps et al. [14]	156945	10330	9607	89.55 (17.96)	84.47 (31.40)	92.47(14.32)	59.31 (28.48)	16.78 (22.31)/35.77 (35.71)

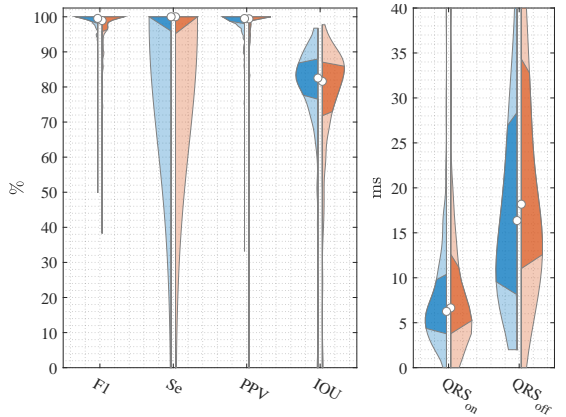
**TABLE I:** Performance of the QRS delineation methods for the IHCA database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.



**Fig. 3:** Boxplots representing the errors of different methods for calculating HR (on the left) and QRS width (on the right) for the IHCA database in terms of median (IQR).



**Fig. 4:** Boxplots representing the errors of different methods for calculating HR (on the left) and QRS width (on the right) for the QT database in terms of median (IQR).



**Fig. 5:** Performance of the proposed method with PEA (orange) and PR (blue) rhythm segments in terms of F1, Se, PPV, IOU,  $QRS_{on}$ , and  $QRS_{off}$ . The white point in the middle of the distribution defines the median.

cardiac rhythms closer to PR in ECG waveform and regularity.

An additional independent analysis was conducted, wherein the model proposed in this study was trained using the QT database. The results presented in Appendix II reveal that when training the model with the QT database, the performance of the proposed model is similar to that of Martinez et al., which is the most effective within this particular database.

The performance analysis of the proposed method with different noises can be observed in Figure 6. With a different color line for each type of noise, the QRS detection error in terms of F1 is shown on the left, while the delineation error in terms of  $QRS_{on}$  and  $QRS_{off}$  are displayed on the center and right panels, respectively. As expected, all performance metrics deteriorate as SNR decreases. Baseline wandering is the most easily manageable noise, whereas electrode movement, white and pink noises are the most challenging, aligned with previous studies [39], [42]. Similar results were obtained in the noise analysis for the SoA methods as provided in Appendix III. They confirm the higher robustness of our proposal across all SNR values and types of noise.

## VI. DISCUSSION

The proposed method for QRS complex detection and delineation based on the U-Net architecture has surpassed SoA methods. For the IHCA database, it has demonstrated a mean (SD) QRS detection performance of 97.03 (8.28)%, 97.69 (11.38)% 96.47 (9.92)% in terms of F1, Se and PPV, respectively; a delineation error of 8.56 (11.62)ms for  $QRS_{on}$  and 25.11 (25.86)ms for  $QRS_{off}$ ; and a IOU of 79.09 (15.78)%.

Model	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	107094	883	3783	97.37 (12.74)	96.39(16.92)	99.23(2.91)	77.11 (16.93)	16.78 (11.80)/11.25 (10.46)
Martinez et al. [15]	110323	<b>172</b>	554	<b>99.63 (1.05)</b>	99.48 (1.39)	<b>99.79 (0.86)</b>	<b>79.29 (7.03)</b>	<b>16.53 (8.74)/11.26 (9.33)</b>
Pilia et al. [20]	<b>110509</b>	850	<b>368</b>	99.40 (3.38)	<b>99.63 (1.28)</b>	99.32 (4.86)	72.11 (8.76)	16.89 (9.28)/23.33 (17.09)
Peimankar et al. [25]	90568	1198	20309	92.64 (22.49)	79.68 (38.28)	98.05 (11.43)	62.95 (32.21)	19.12 (13.23)/14.56 (14.56)
Camps et al. [14]	97912	8608	12965	86.96 (25.57)	85.92 (29.78)	95.08 (11.11)	61.31 (25.58)	25.34 (18.72)/18.43 (19.66)

TABLE II: Performance of the QRS delineation methods for the QT database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.

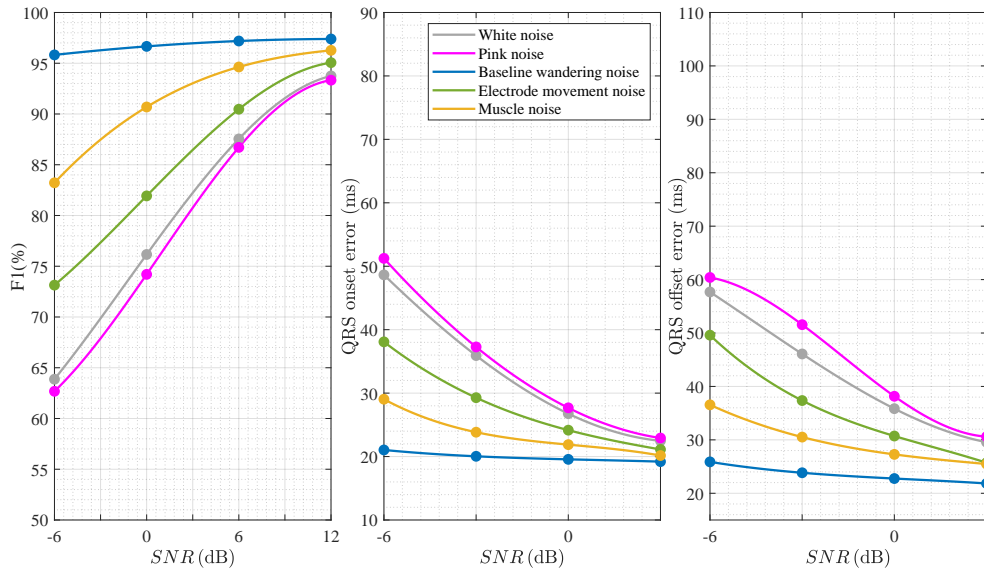


Fig. 6: Performance analysis of the algorithm for different types of noise: white, pink, baseline wandering, electrode motion and muscular. The F1 score,  $QRS_{on}$  and  $QRS_{off}$  errors are shown in the left, central and right panel, respectively.

The SoA algorithms compared in this study were designed using hemodynamically stable patients, which leads to algorithms that exhibit excellent performance with stable patients but considerably poorer performance when tested on CA patients, specially with PEA rhythms. In contrast, the proposed method demonstrates competency for both patient categories and efficacy with both PR and PEA rhythms, surpassing the SoA methods.

Regarding the deep learning-based methods, the number of trainable parameters was 540931 for the proposed U-Net, 1395038 for Peimankar et al., and 41672 for Camps et al. Consequently, the Peimankar et al. approach requires more time for both the training and testing phases in comparison to the other two methods. This is also attributed to the computational weight associated with the use of LSTM layers, which are inherently more demanding than the convolutional and fully connected layers featured in the alternative methods. It can also be observed in Table II that the Peimankar et al. method performs less effectively when tested on stable patients after being trained with IHCA patients. This may be attributed to overfitting, which seems to affect less the proposed algorithm which keeps accurate when tested on a different datasets.

The potential applications of a QRS delineation during CA could be manifold in the optimization of the resuscitation therapy. Close relationship between the duration of the QRS complex and the HR with key aspects of CA arrest have been reported, mainly with the cardiac rhythm interpretation, outcome prediction, rearrest prediction,

patient response to treatment, etc. [16], [18], [43]–[45]. Application of this algorithm for real-time delineation could be integrated in current monitors in order to monitor therapy and predict evolution through QRS complex features that are currently done using manual annotations [17], [46]–[48]. Additionally, retrospective analysis of massive CA episodes could benefit for the automated delineation of QRS and contribute to research studies of resuscitation therapy efficiency.

## VII. LIMITATIONS

Algorithms proposed in this study were designed using 332 IHCA patients from three hospitals and 105 stable patients from various healthcare facilities. Diversity in hospitals and patient types contributes to a more comprehensive evaluation, preventing overfitting to a specific patient type or monitoring equipment. However, further assessment is needed for patients experiencing out-of-hospital CA, as such cases may vary slightly from those recorded within hospital settings, potentially affecting the performance of the methods.

## VIII. CONCLUSION

A method employing SWT denoising and U-Net architecture has been introduced for QRS complex segmentation during CA. Demonstrating superiority over comparable SoA methods, it has proven to be reliable with stable patients and robust when exposed to various types of noise.

## APPENDIX I DELINEATION PERFORMANCE FOR PEA AND PR SEGMENTS

The delineation models were tested to analyze their performance with different types of organized rhythms present during CA, i.e. PEA and PR. The results of this analysis are presented in Tables III and IV for PEA and PR segments, respectively. It can be observed that the results for PR segments are slightly better than those for PEA segments.

## APPENDIX II TRAIN WITH QT DATABASE

An extensive analysis was undertaken where the models were trained utilizing the hemodynamically stable patients database (QT database). In Tables V and VI, the performance of this method is shown when tested on the QT and IHCA databases, respectively. The findings demonstrate that upon training the model with the QT database, the performance of U-Net model aligns comparably with that of Martinez *et al.*

## APPENDIX III NOISE ANALYSIS

Figures 7, 8, 9, and 10 display the performance of methods proposed by Martinez *et al.*, Pilia *et al.*, Peimankar *et al.*, and Camps *et al.* across multiple noise types at SNR levels of -6 dB, 0 dB, 6 dB, and 12 dB. These comparisons, together with the results of the method proposed in this study depicted in Figure 6, offer valuable insights into the adaptability of these methods under diverse noise conditions.

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Modelo	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	<b>16046</b>	540	<b>456</b>	96.23 (9.82)	<b>96.99 (13.22)</b>	95.67 (11.77)	<b>78.40 (17.85)</b>	<b>8.93 (13.21)/26.41 (27.82)</b>
Martinez et al. [15]	10798	<b>281</b>	5704	<b>98.46 (14.82)</b>	64.08 (45.86)	<b>95.98 (12.81)</b>	50.12 (38.16)	9.86 (17.77)/33.90 (34.41)
Pilia et al. [20]	15574	1093	928	91.25 (15.42)	91.45 (21.09)	90.95 (19.00)	60.66 (20.26)	23.89 (20.39)/40.73 (35.04)
Peimankar et al. [25]	16036	741	466	95.23 (10.88)	96.83 (13.03)	94.32 (13.53)	77.31 (18.12)	10.21 (16.89)/27.81 (28.67)
Camps et al. [14]	14107	1411	2395	89.92 (17.00)	81.92 (34.63)	91.51 (16.19)	56.37 (30.81)	18.55 (25.45)/38.14 (38.13)

TABLE III: Performance of the QRS delineation methods for PEA segments of the IHCA database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.

Modelo	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	<b>149256</b>	1765	<b>794</b>	<b>98.27 (4.85)</b>	<b>98.78 (7.58)</b>	97.77 (5.83)	<b>80.16 (11.81)</b>	8.01 (8.62)/22.39 (22.39)
Martinez et al. [15]	95956	<b>275</b>	54094	94.33 (13.48)	63.77 (44.86)	<b>98.49 (6.57)</b>	50.45 (38.05)	7.41 (10.58)/30.94 (35.68)
Pilia et al. [20]	147261	1585	2782	96.39 (9.06)	96.11 (10.49)	97.25 (8.82)	63.77 (15.84)	21.45 (17.56)/36.36 (35.00)
Peimankar et al. [25]	147870	2142	2180	97.27 (6.23)	97.07 (10.52)	97.48 (5.51)	79.44 (13.77)	<b>7.99 (9.18)/21.78 (21.18)</b>
Camps et al. [14]	142838	8919	7212	89.04 (19.21)	88.39 (25.14)	93.82 (11.07)	63.84 (23.76)	14.33 (16.70)/32.46 (31.78)

TABLE IV: Performance of the QRS delineation methods for PR segments of the IHCA database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.

Modelo	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	<b>110668</b>	1620	<b>209</b>	99.31 (2.89)	<b>99.78 (0.98)</b>	98.97 (4.54)	<b>92.88 (5.64)</b>	<b>4.98 (4.50)/5.11 (7.01)</b>
Martinez et al. [15]	110323	<b>172</b>	554	<b>99.63 (1.05)</b>	99.48 (1.39)	<b>99.79 (0.86)</b>	79.29 (7.03)	16.53 (8.74)/11.26 (9.33)
Pilia et al. [20]	110509	850	368	99.40 (3.38)	99.63 (1.28)	99.32 (4.86)	72.11 (8.76)	16.89 (9.28)/23.33 (17.09)
Peimankar et al. [25]	108763	885	2114	98.72 (5.46)	97.71 (12.01)	99.01 (3.06)	90.09 (14.50)	5.55(6.05)/6.58(10.61)
Camps et al. [14]	107942	6883	2935	95.82 (10.23)	97.08 (10.51)	95.44 (11.68)	81.25 (17.45)	12.74 (14.11)/12.81 (19.44)

TABLE V: Performance of the QRS delineation methods trained and tested using QT database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.

Model	TP	FP	FN	F1(%)	Se(%)	PPV(%)	IOU(%)	$QRS_{on}/QRS_{off}$ (ms)
U-Net	161642	6175	4910	93.17 (12.42)	94.40 (17.49)	92.52 (13.99)	<b>71.75 (19.15)</b>	17.96 (16.72)/28.66 (27.85)
Martinez et al. [15]	106754	<b>556</b>	59798	<b>93.80 (14.31)</b>	63.96 (45.46)	<b>96.98(10.85)</b>	50.25 (38.11)	<b>8.89 (15.38)/32.73 (34.94)</b>
Pilia et al. [20]	<b>162835</b>	2678	<b>3717</b>	93.30 (13.49)	93.28 (17.84)	93.45(16.06)	61.88 (18.71)	22.92 (19.34)/38.98 (35.08)
Peimankar et al. [25]	161727	6620	4825	92.68 (12.31)	<b>94.76 (15.26)</b>	91.41 (15.15)	71.05 (17.96)	19.74 (18.63)/28.19 (26.81)
Camps et al. [14]	154846	11026	11706	87.65 (19.74)	83.84 (32.54)	90.01 (16.84)	57.07(28.38)	21.82 (22.21)/37.49 (37.03)

TABLE VI: Performance of the QRS delineation methods testing the IHCA database after being trained in QT database in terms of mean (SD) values of F1, Se, PPV, IOU and  $QRS_{on}/QRS_{off}$  errors.

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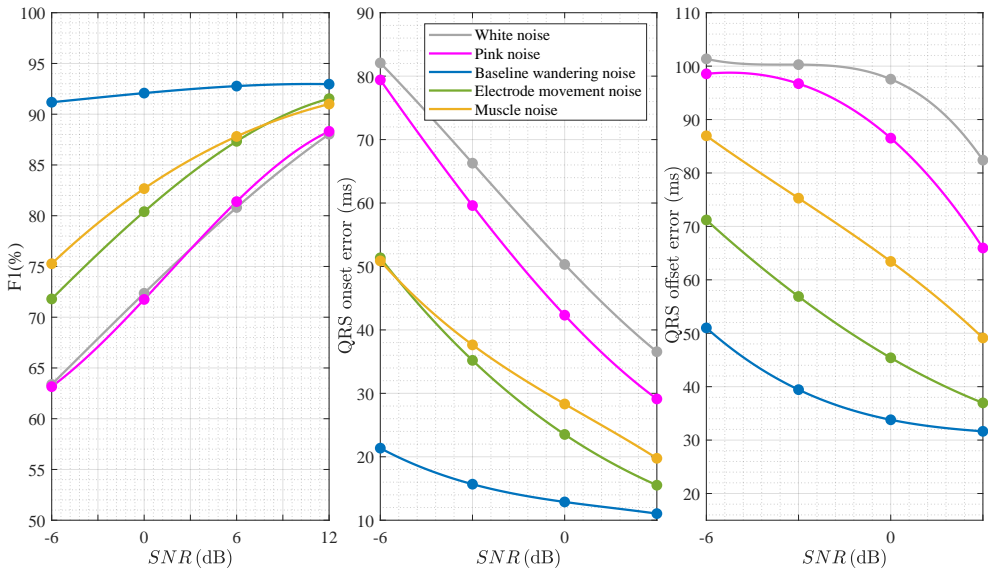


Fig. 7: Performance analysis of the Martinez et al. method for different types of noise: white (in gray), pink (in pink), baseline wandering (in blue), electrode motion (in green), and muscular (in yellow). The F1 score,  $QRS_{on}$  and  $QRS_{off}$  values are shown in the left, central and right panel, respectively.

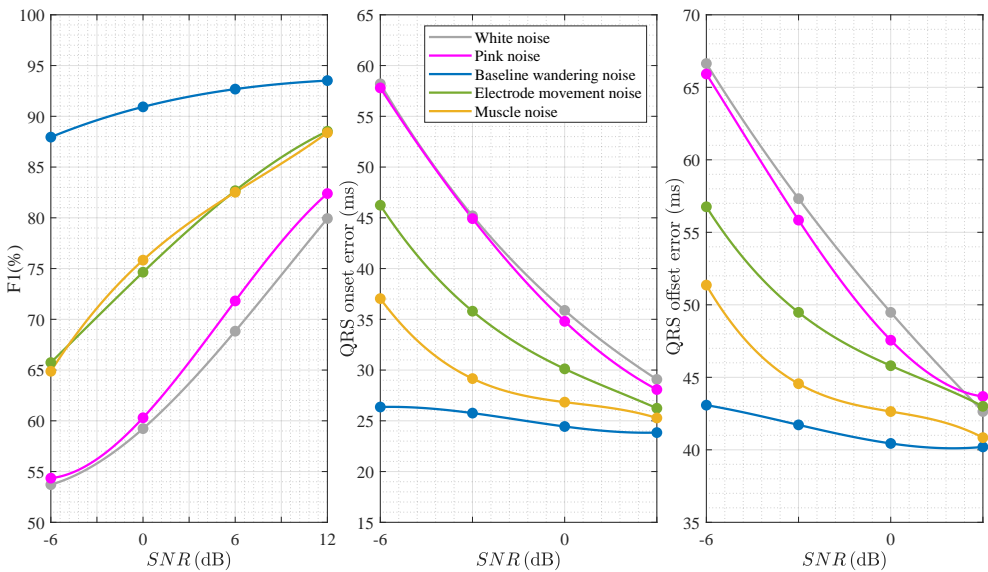


Fig. 8: Performance analysis of the Pilia et al. method for different types of noise: white (in gray), pink (in pink), baseline wandering (in blue), electrode motion (in green), and muscular (in yellow). The F1 score,  $QRS_{on}$  and  $QRS_{off}$  values are shown in the left, central and right panel, respectively.

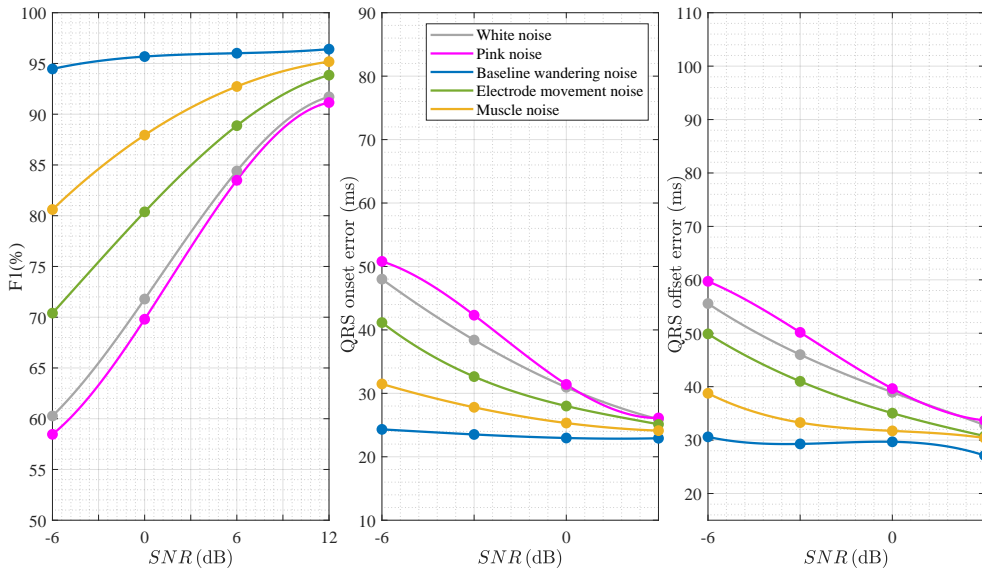


Fig. 9: Performance analysis of the Peimankar et al. method for different types of noise: white (in gray), pink (in pink), baseline wandering (in blue), electrode motion (in green), and muscular (in yellow). The F1 score,  $QRS_{on}$  and  $QRS_{off}$  values are shown in the left, central and right panel, respectively.

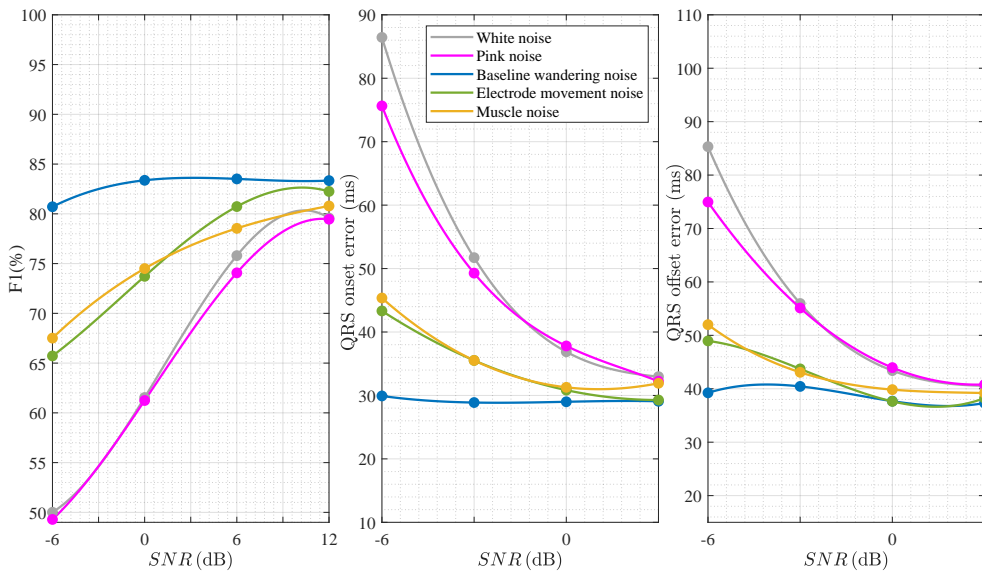


Fig. 10: Performance analysis of the Camps et al. method for different types of noise: white (in gray), pink (in pink), baseline wandering (in blue), electrode motion (in green), and muscular (in yellow). The F1 score,  $QRS_{on}$  and  $QRS_{off}$  values are shown in the left, central and right panel, respectively.