

# Confined Crystallization of Polymers within Nanopores

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## CONSPECTUS

Crystallization of polymeric materials under nanoscopic confinement is highly relevant for nanotechnology applications. When a polymer is confined within rigid nanoporous anodic aluminum oxide (AAO) templates, the crystallization behavior experiences dramatic changes as the pore size is reduced, including nucleation mechanism, crystal orientation, crystallization kinetics, and polymorphic transition, etc. As an experimental prerequisite, exhaustive cleaning procedures after infiltrations of polymers in AAO pores must be performed to ensure producing an ensemble of isolated polymer-filled nanopores. Layers of residual polymers on the AAO surface percolate nanopores and lead to the so-called “fractionated crystallization”, i.e., multiple crystallization peaks during cooling.

As the density of isolated nanopores in a typical AAO template exceeds the density of heterogeneities in bulk polymers, the majority of nanopores will be heterogeneity-free. This means that the nucleation will proceed by surface or homogeneous nucleation. As a consequence, a very large supercooling is necessary for crystallization, and its kinetics is reduced to a first-order process that is dominated by nucleation. Self-nucleation is a powerful method to exponentially increase nucleation density. However, when the diameter of the nanopores is lower than a critical value, confinement prevents the possibility to self-nucleate the material.

Because of the anisotropic nature of AAO pores, polymer crystals inside AAO also exhibit anisotropy, which is determined by thermodynamic stability and kinetic selection rules. For low molecular weight poly(ethylene oxide) (PEO) with extended chain crystals, the orientation of polymer crystals changes from the “chain perpendicular to” to “chain parallel to” AAO pore axis, when the diameter of AAO decreases to the contour length of the PEO, indicating the effect of

thermodynamic stability. When the thermodynamic requirement is satisfied, the orientation is determined by kinetics including crystal growth, nucleation and crystal growth rate. An orientation diagram has been established for PEO/AAO system, considering the cooling condition and pore size.

The interfacial polymer layer has different physical properties as compared to the bulk. In poly(L-lactic acid), the relationship between the segmental mobility of the interfacial layer and crystallization rate is established. For the investigation of polymorphic transition of poly(butane-1), the results indicate that a 12 nm interfacial layer hinders the transition of Form II to Form I. Block and random copolymers have also been infiltrated into AAO nanopores, and their crystallization behavior is analogously affected as pore size is reduced.

## KEY REFERENCES

- Guan, Y.; Liu, G.; Gao, P.; Li, L.; Ding, G.; Wang, D., Manipulating Crystal Orientation of Poly(ethylene oxide) by Nanopores. *ACS Macro Letters* **2013**, 2, 181-184.<sup>1</sup> *The orientation of a low molecular weight PEO changes when the diameter of AAO approaches the counter length of the PEO extended chain crystal, indicating the decisive effect of thermodynamic stability on crystal orientation.*
- Shi, G.; Liu, G.; Su, C.; Chen, H.; Chen, Y.; Su, Y.; Müller, A. J.; Wang, D., Reexamining the Crystallization of Poly( $\epsilon$ -caprolactone) and Isotactic Polypropylene under Hard Confinement: Nucleation and Orientation. *Macromolecules* **2017**, 50, 9015-9023.<sup>2</sup> *Fractionated crystallization of confined polymers in AAO is explained by the residual polymers on the AAO surface. The importance of surface cleaning is emphasized, providing a general experimental guideline to the study of confined crystallization using templates.*

- Su, C.; Shi, G.; Li, X.; Zhang, X.; Müller, A. J.; Wang, D.; Liu, G., Uniaxial and Mixed Orientations of Poly(ethylene oxide) in Nanoporous Alumina Studied by X-ray Pole Figure Analysis. *Macromolecules* **2018**, 51, 9484-9493.<sup>3</sup> *A model is proposed to explain the orientation diagram of PEO, illustrating the role of nucleation and crystal growth rates and contributing to a complete understanding of crystal orientation.*
- Shi, G.; Guan, Y.; Liu, G.; Müller, A. J.; Wang, D., Segmental Dynamics Govern the Cold Crystallization of Poly(lactic acid) in Nanoporous Alumina. *Macromolecules* **2019**, 52, 6904-6912.<sup>4</sup> *The relationship between crystallization and segmental mobility of PLLA chains at the polymer/AAO interface is established, which contributes to a general understanding of the impact of the interfacial layer.*

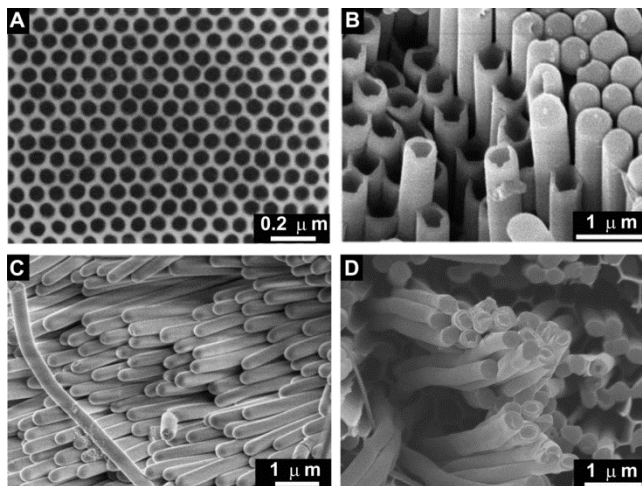
## 1. INTRODUCTION

Polymeric materials are ubiquitous in industry and in human life, among which 70% are semi-crystalline polymers. How a long flexible chain, frequently entangled with neighboring chains, crystallizes as crystals with three-dimensional order has been a puzzle. Till now, there is still a lack of a well-accepted crystallization theory that can explain all of the experimental phenomena.<sup>5,6</sup> The duality of “commercial success” and “theoretical deficiency” has coexisted in this field for decades. The basic building block of polymer crystals is the two-dimensional folded-chain lamella with a typical thickness of ca. 10 nm. On this scale, metastable states and kinetics play a critical role in polymer crystallization.<sup>7,8</sup>

With the blooming of nanoscience and nanotechnology, the physical properties of materials in very small dimensions have caught great attention because they deviate significantly from those of the bulk. How the crystallization behavior changes with decreasing size became a hot topic of research since the 1990s with the development of block copolymers.<sup>9-13</sup> Meanwhile, self-ordered porous anodic aluminum oxide templates (AAO) were fabricated to prepare organic or inorganic nanostructures.<sup>14-17</sup> AAO contains hexagonal arrays of nanopores that are parallel and separated by boundaries. The pore diameter is in the range of 10 ~ 400 nm, and the length of the pore ranges from tens to hundreds of micrometers.

Figure 1 shows the typical nanostructures prepared using AAO as templates, including platinum (Pt) arrays,<sup>14</sup> polystyrene (PS) nanotubes,<sup>16</sup> isotactic polypropylene (iPP) nanorods,<sup>18</sup> and poly( $\epsilon$ -caprolactone) (PCL) nanorods.<sup>2</sup> Geometrically, AAO pores are similar to the cylinder phase of block copolymers. Compared with block copolymers, the pores of AAO cover a broader range of diameter and length. More importantly, they are physically isolated, and there are no

chemical bonds at the interface. Thus, AAO templates provide a convenient model system to study the crystallization behavior of polymers under cylindrical confinement.

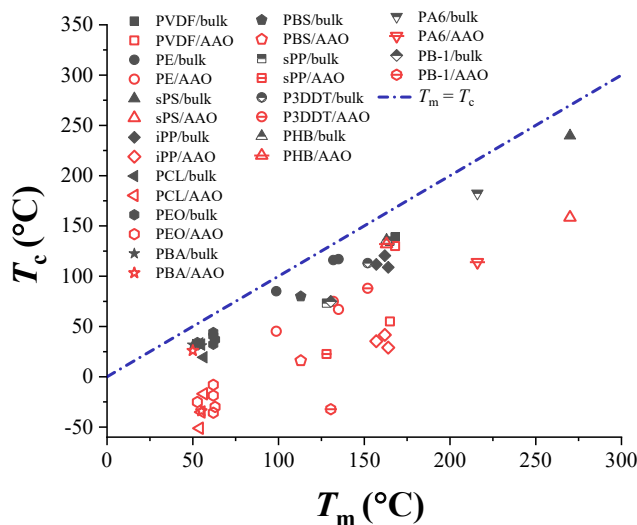


**Figure 1.** (A) Top image of Pt template prepared by using a two-step replica method of AAO,<sup>14</sup> (B) PS nanotubes,<sup>16</sup> (C) *i*PP rods,<sup>18</sup> and (D) PCL rods<sup>2</sup> prepared by AAO molding and subsequent dissolving the templates. Reproduced with permission from refs <sup>14</sup>, <sup>16</sup>, <sup>18</sup>, and <sup>2</sup>. Copyright 1995, 2002 AAAS, Copyright 2011, 2017 American Chemical Society.

This account highlights the experimental results and understanding of the features of confined crystallization of semi-crystalline polymers in our group in a phenomenological view. Considering crystallization, the easiest experiment is to perform a differential scanning calorimetry (DSC) test, where crystallization temperature ( $T_c$ ), melting temperature ( $T_m$ ) and crystallization and melting enthalpies ( $\Delta H_c$  and  $\Delta H_m$ ) can be determined. Most frequently, the  $T_m$  of polymers confined within AAO shows no change or a slight decrease, and the melting enthalpy, corresponding to the crystallinity, decreases when the diameter of AAO pore decreases.<sup>13, 19</sup> The most interesting observation is the variation in  $T_c$ . Figure 2 summarizes the  $T_c$  of bulk and infiltrated homopolymers within AAO, plotted as a function of their  $T_m$  values (measured during a DSC heating scan). Data are taken from the ref.<sup>1, 2, 18, 20-37</sup> The

dotted line represents the thermodynamic equilibrium condition, where  $T_c = T_m$ . Depending on the type of polymers, the bulk samples exhibit supercoolings ( $\Delta T = T_m - T_c$ ) in the range of 15 ~ 60 °C. The red points are the  $T_c$  values of infiltrated polymers within AAO templates (only the lowest  $T_c$  values are shown here if multiple crystallization peaks exist). A dramatic decrease of  $T_c$ , as compared to the bulk, in the order of ~ 50 °C can be observed.

The depression of  $T_c$  is a general phenomenon in confined polymers and has been explained by the transition of the nucleation mechanism from heterogeneous nucleation to surface or homogeneous nucleation. It is well-known that under normal cooling conditions, crystallization of a bulk polymer is primarily nucleated by the heterogeneities that persist in the melt, such as impurities, catalyst residues, etc. Once nucleated, the crystallization proceeds to fill the space by the growth step. However, for polymers that are confined in microdomains, e.g., the case of AAO pores, the growth step is physically prohibited among adjacent domains. Because each microdomain has to nucleate inside itself, naturally, those pores free from heterogeneities must crystallize *via* surface or homogeneous nucleation, which occurs at lower  $T_c$  values to overcome a higher free energy barrier.<sup>38</sup>



**Figure 2.** Crystallization temperature as a function of the melting temperature of different homopolymers infiltrated within AAO templates. The data points are taken from iPP,<sup>2, 18, 20</sup> PCL,<sup>2, 21, 22</sup> poly(vinylidene fluoride) (PVDF),<sup>23, 24</sup> polyethylene (PE),<sup>25, 26</sup> syndiotactic polystyrene (sPS),<sup>27</sup> poly(ethylene oxide) (PEO),<sup>1, 26, 28-30</sup> poly(butylene adipate) (PBA),<sup>31, 32</sup> poly(butylene succinate) (PBS),<sup>32</sup> syndiotactic polypropylene (sPP),<sup>33</sup> poly(3-dodecylthiophene) (P3DDT),<sup>34</sup> poly(3-hydroxybutyrate) (PHB),<sup>35</sup> polyamide 6 (PA6),<sup>36</sup> and poly(butene-1) (PB-1).<sup>37</sup>

## 2. FEATURES OF CONFINED CRYSTALLIZATION

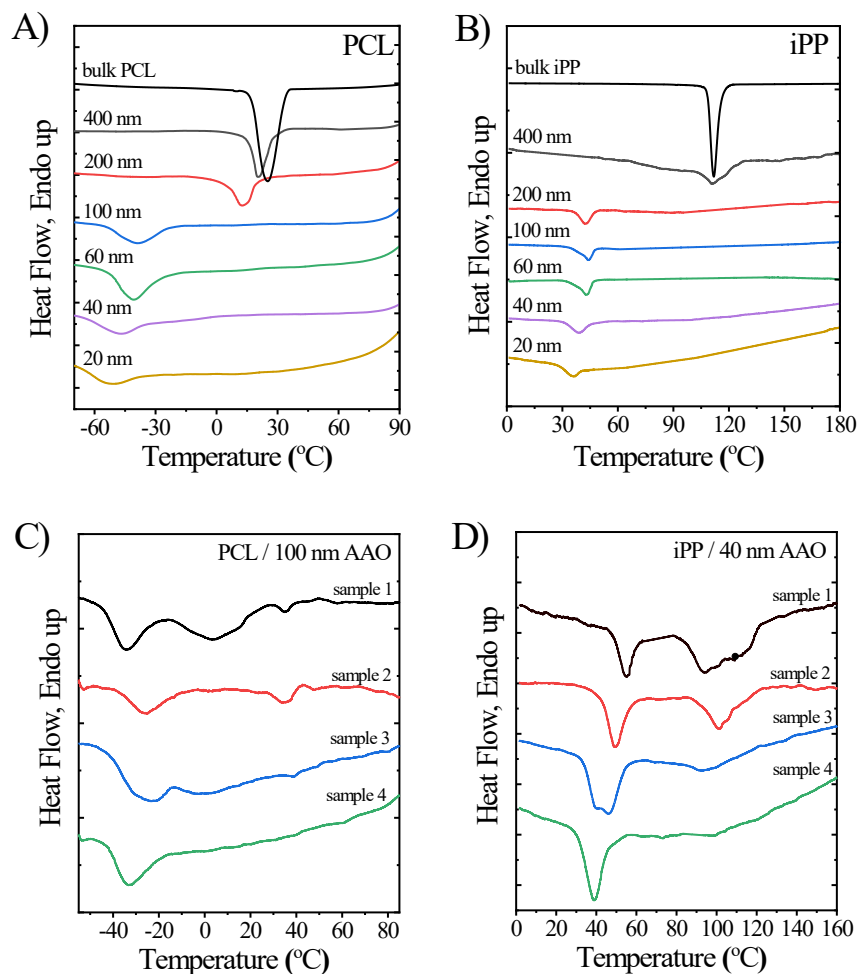
### 2.1. Nature of Fractionated Crystallization

A frequently reported observation of polymer crystallization under confinement in AAO is the “fractionated crystallization”, where “multiple crystallization peaks” were observed in a DSC cooling curve. Fractionated crystallization behavior has been reported in homopolymers, including PE,<sup>25</sup> iPP,<sup>18, 20</sup> sPS,<sup>39</sup> PCL,<sup>21, 22</sup> PEO,<sup>28, 30</sup> PVDF,<sup>24</sup> and copolymers such as PEO-*b*-PCL.<sup>40</sup> By intentionally placing a surface layer of PEO on top of the AAO template filled with PEO, we successfully observed an extra crystallization peak at a higher temperature, indicating a potential relationship between the surface layer and fractionated crystallization behavior.<sup>41</sup>

Motivated by the above peculiar experimental phenomenon, we systematically reexamined the crystallization behavior of PCL and iPP to clarify the nature of fractionated crystallization under confinement. By testing different cleaning procedures, the polymers were infiltrated into AAO templates without surface residue. Different from the previous results,<sup>18, 20, 21</sup> only one crystallization peak was observed for all the samples (Figures 3A, 3B). To elucidate the relationship between surface residue and fractionated crystallization, we studied the effect of the



surface cleaning procedure. Figures 3C, 3D show four DSC curves of samples that were cleaned with different methods. From sample 1 to sample 4, with increasing the cleaning effectiveness, the high-temperature exothermic peak vanished gradually. This proves unambiguously that the surface residue is the reason for the observed fractionated crystallization behavior in the investigated two polymers, and can be a general reason for other polymers.



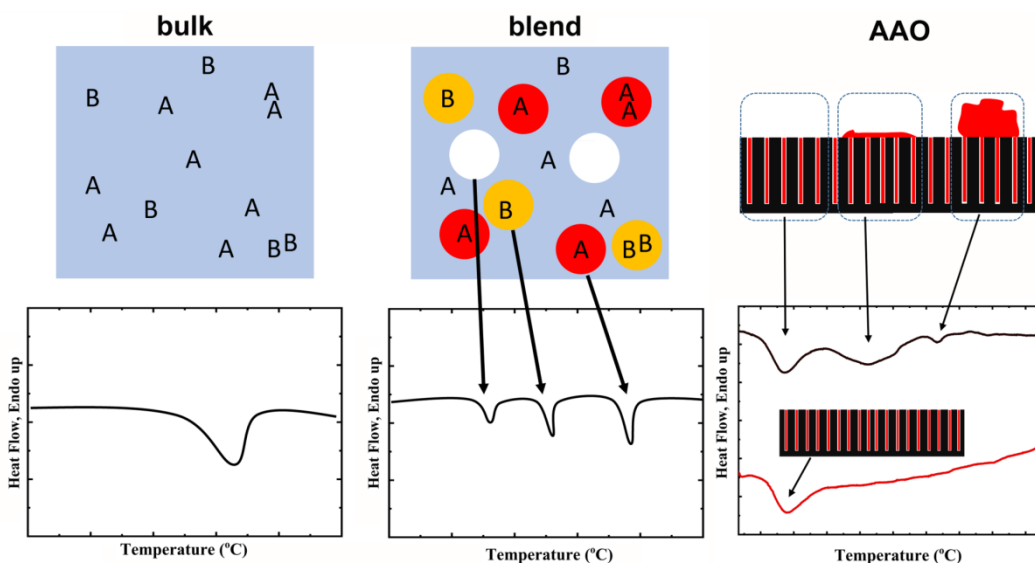
**Figure 3.** DSC cooling curves of bulk and infiltrated samples: (A) and (C) PCL, (B) and (D) iPP. For samples with different surface cleaning procedures, DSC cooling scans are shown in (C) and (D). The curves labeled with “sample 4” had no surface residue and showed only one exothermic

peak during cooling. Reproduced with permission from ref. <sup>2</sup>. Copyright 2017 American Chemical Society.

In fact, the origin of fractionated crystallization is already known in polymer blends.<sup>42, 43</sup> In an immiscible crystalline/amorphous blend, the crystalline polymer is dispersed in the amorphous matrix as microdomains if the crystalline polymer is the minor component. The heterogeneities that act as nuclei are also included in those microdomains (Figure 4, left-hand side). Here, “A” and “B” mean two different types of heterogeneities with different activities. Because the domains are physically isolated, a nucleus can only nucleate the microdomain that contains it. When a sample is cooled from the melt, the bulk sample is nucleated by “A” nuclei first, and the crystal spreads over to fill the space. On the other hand, if a blend with crystalline microdomains is cooled, the domains that contain “A” heterogeneities will crystallize first, resulting in the highest exothermic peak in DSC. The domains that contain “B” heterogeneities crystallize at a lower temperature. Finally, those heterogeneity-free domains crystallize at the lowest temperature *via* homogeneous nucleation or surface nucleation.

The key condition for fractionated crystallization is that the density of microdomains is in the same order of magnitude as the density of heterogeneities of the crystallizable polymer in the bulk, which is true in polymer blends. The typical heterogeneous nucleation density of a bulk polymer is  $10^5 \sim 10^9 \text{ cm}^{-3}$ .<sup>2</sup> The size of the domain of a blend is typically  $5 \sim 100 \text{ }\mu\text{m}$ , corresponding to a number density of  $10^6 \sim 10^{10} \text{ cm}^{-3}$ . However, the typical density of AAO pores is in the range of  $10^{11} \sim 10^{14} \text{ cm}^{-3}$ , which is several orders of magnitude larger than that of heterogeneities in a polymer. Statistically, the majority of the AAO pores are heterogeneity-free. Therefore, fractionated crystallization in AAO must have other origins. A schematic is shown on the left-hand side of Figure 4. The highest crystallization peak that usually locates at a similar

temperature as that of the bulk is most probably caused by a large grain of residue that contains highly active heterogeneities and percolates a certain population of nanopores. The middle peak may be caused by the percolation of another group of nanopores by a thin surface layer. The lowest peak may be attributed to the heterogeneity-free pores. If the surface residue is cleaned by a proper method, only the lowest peak survives. The importance of surface cleaning must be emphasized because the presence of a surface layer may influence many aspects of crystallization.



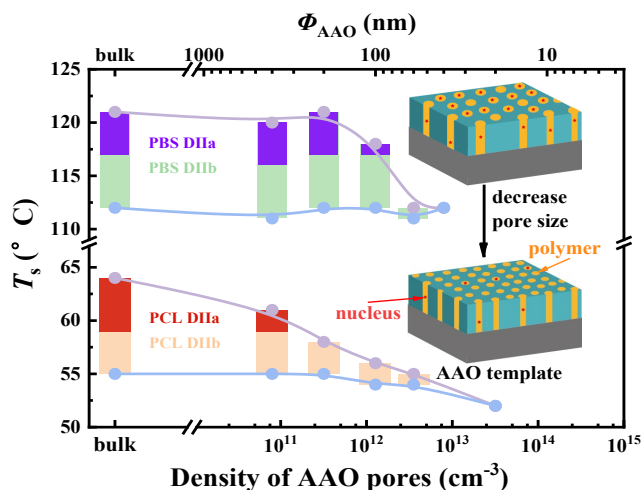
**Figure 4.** Schematic illustrating the origin of fractionated crystallization in blends and the effect of surface residue on the fractionated crystallization in AAO system. Adapted with permission from ref. <sup>2</sup>, Copyright 2017 American Chemical Society.

## 2.2. Suppression of the Self-Nucleation Effect

Since the fractionated crystallization is decided by the relative numbers of nuclei and microdomains, in principle, introducing more nuclei will have an impact. Theoretically, the most effective way of enhancing nucleation is by self-nucleation.<sup>44-46</sup> Typically, the peak

crystallization temperature upon cooling from the melt,  $T_c$ , is independent of the temperature where the melt is cooled from (termed as  $T_s$ ), if  $T_s$  is high enough to remove all the crystalline thermal history (in this case, the polymer is under *Domain I*, or full melting *Domain*, or isotropic melt *Domain*). By decreasing  $T_s$ , the crystallization will enter a *Domain* where the  $T_c$  shows an increase, while the  $T_m$  is essentially the same (*Domain II*, self-nucleation *Domain*). Further decreasing  $T_s$  will move the material into *Domain III*, the self-nucleation and annealing domain, where  $T_c$  increases, and there is an increase of  $T_m$  or a new melting peak characteristic of the annealed crystals. *Domain II* can be further divided into *Domain IIa*, where all the crystals are melted, and *Domain IIb*, where there are residual crystal fragments that can act as crystalline self-seeds. The typical concentration of self-nuclei at the lowest  $T_s$  of *Domain II* is in the order of  $10^9 \sim 10^{12} \text{ cm}^{-3}$ ,<sup>44, 47</sup> which is close to the density of AAO nanopores.

We studied the self-nucleation of PBS and PCL within AAO pores.<sup>48</sup> Figure 5 shows the temperature range of *Domain II* (and sub-domains) as a function of the density/diameter of AAO pores. Clearly, with decreasing the pore size, the width of *Domain II* decreases. *Domain IIa* disappears first, while *Domain IIb* vanishes within the smallest pores, indicating a total suppression of the self-nucleation effect. This suggests that the number of self-nuclei is still below the number of AAO pores in the high limit of AAO pore density. Interestingly, fractionated crystallization appears in self-nucleated infiltrated polymers. In *Domain II*, the pores can be divided by whether they contain self-nuclei or not. The pores that contain self-nuclei crystallize at a higher temperature, though the self-nuclei-free pores crystallize at a lower temperature as expected for homogeneous or surface nucleation mechanisms.



**Figure 5.** The effect of confinement on the self-nucleation *Domain* or *Domain II* (DII) for PBS and PCL. Reproduced with permission from ref. <sup>48</sup>, Copyright 2021 American Chemical Society.

### 2.3. First Order Crystallization Kinetics

The overall crystallization kinetics of polymers (comprising both nucleation and growth) can be described by the Avrami equation:<sup>49, 50</sup>

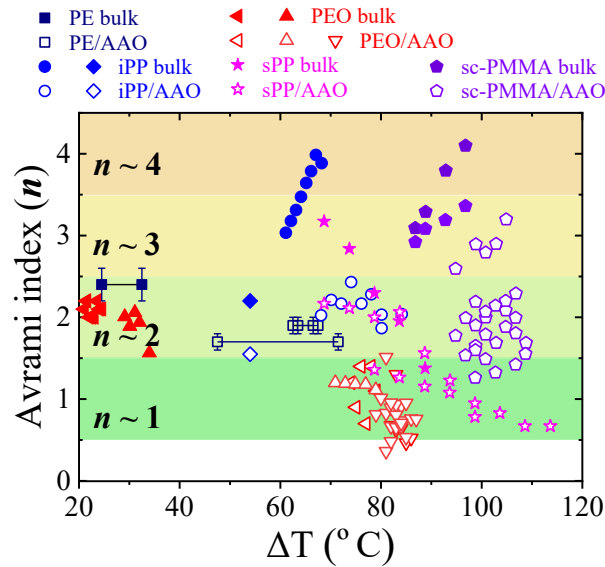
$$1 - V_c(t) = e^{-k(t-t_0)^n}$$

where  $V_c$  is the volume fraction of crystals, and  $t$  is the crystallization time,  $t_0$  the induction time,  $k$  the rate constant of overall crystallization, and  $n$  the Avrami index. The Avrami index,  $n$ , can be further expressed by the addition of two terms:<sup>12, 51</sup>

$$n = n_n + n_{gd}$$

where  $n_n$  is the nucleation term, and  $n_{gd}$  is related to the growth dimensionality. The  $n_n$  varies from 0 to 1, where 0 represents instantaneous nucleation and 1 corresponds to sporadic nucleation. Between these two extremes,  $n_n$  can be fractional values.

Figure 6 plots the  $n$  values of different polymers. A general observation of the crystallization kinetics of polymers within AAO pores is the reduction of  $n$ .<sup>43</sup> An approximate  $n$  value of 1 (i.e., in the range between 0.5 and 1.4), i.e., first-order kinetics, has been observed for infiltrated PEO and sPP.<sup>29, 41, 52</sup> The reason is that, in AAO pores, because of the small volume, the time needed for crystal grow is negligible as compared to the time needed for nucleation. Thus, the growth term,  $n_{gd}$ , becomes 0 independent of the geometry. Taking the sporadic nucleation term that is typical for homogeneous nucleation of confined polymers, the overall Avrami index becomes 1. When the nucleation becomes less sporadic, the  $n$  value can even become lower than 1 (see Figure 6).

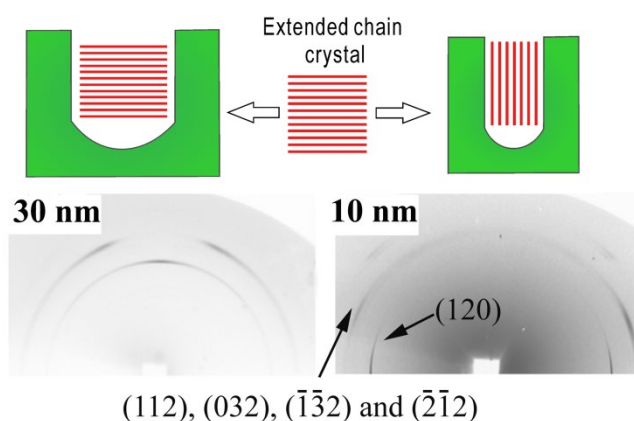


**Figure 6.** Avrami index of polymers plotted as a function of supercooling ( $\Delta T$ ). The bulk polymer is plotted as filled symbols and the infiltrated sample is plotted as hollow symbols. The data were taken from ref. <sup>25</sup> for PE, ref. <sup>18</sup> (blue circle) and <sup>20</sup> (blue diamond) for iPP, ref. <sup>41</sup> (red left triangle), <sup>29</sup> (red up triangle), and <sup>52</sup> (red down triangle) for PEO, ref. <sup>33</sup> (pink star) for sPP, ref. <sup>53</sup> for poly(methyl methacrylate) stereocomplex (sc-PMMA). The  $\Delta T$  was calculated by the

difference of crystallization temperature and equilibrium melting temperature ( $T_m^0$ , values can be found in ref. <sup>43</sup>). For sc-PMMA, the highest melting temperature was used as  $T_m^0$  (510 K<sup>54</sup>).

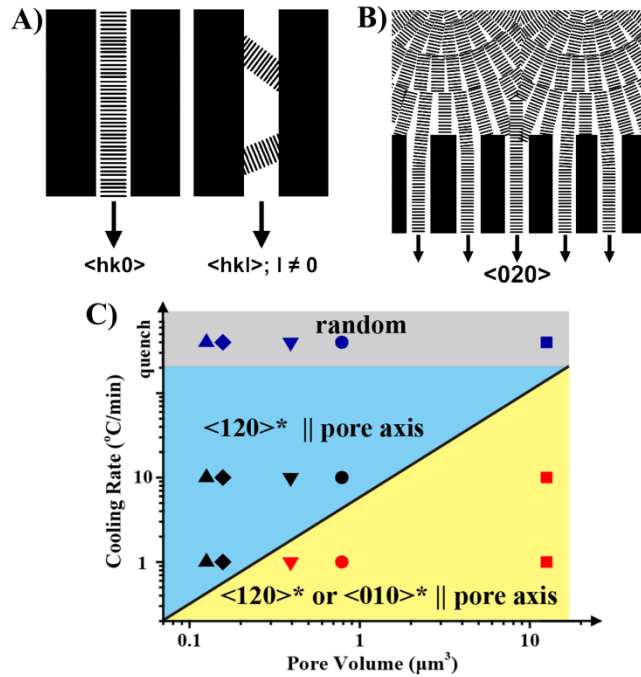
## 2.4. Crystal Orientation

Controlling the orientation of crystallites provides an opportunity to tailor the physical properties of polymer materials for functional applications. Let's first focus on the thermodynamic effects. It is well known that polymer crystallizes into extended chain crystals when the molecular weight is below a critical value.<sup>55</sup> We chose a monodisperse PEO with a number average molecular weight of 2000 g/mol and a polydispersity index of 1.1. The PEO has a contour length of 12.6 nm, and only extended chain crystals are stable for this sample in bulk.<sup>56</sup> As shown in Figure 7, it was found that when the diameter of AAO is above the contour length of PEO, the chain in the crystal is perpendicular to the pore axis. On the other hand, when the diameter of AAO is 10 nm, the PEO chain aligns parallel to the AAO axis.<sup>1</sup> The results indicate that to maintain a thermodynamically stable conformation (extended chain crystal), the low molecular weight PEO adopts different orientations in the vicinity of a critical AAO diameter.



**Figure 7.** 2D WAXS patterns and orientation modes of monodisperse PEO within AAO templates. Adapted with permission from ref. <sup>1</sup>. Copyright 2013 American Chemical Society.

The cylindrical geometry plays an essential role in selecting crystals that have the right orientation inside AAO pores. Steinhart et al.<sup>23</sup> proposed a “kinetic selection” model based on the study of PVDF/AAO. The core idea is shown in Figure 8A. The homogeneous nuclei inside the AAO pores are expected to be randomly oriented. If the growing direction of the crystals is parallel to the pore axis, the crystal will fill the space easily. However, if the growing direction is inclined to the pore axis, the crystal growth will be “blocked” by the AAO wall. Because polymer lamella grows perpendicular to the chain axis (*c*-axis), statistically, the final oriented structure of polymer crystals in separated AAO pores is one with the *c* axis perpendicular to the pore axis. On the other hand, if a surface reservoir is connected with the AAO, crystallization will start in the bulk and “grow into” the pore, resulting in the fastest growth direction ( $\langle 020 \rangle$  for PVDF) parallel to the pore axis (Figure 8B). This indicates that the existence of a surface layer may alter the orientation of crystals inside the AAO pores.





**Figure 8.** Schematic illustrating the kinetic selection of crystals: (A) without surface layer; (B) in connection with bulk reservoir. (C) Orientation diagram of PEO within AAO templates showing the effect of cooling rate and pore volume. Reproduced with permission from ref. <sup>23</sup> and <sup>3</sup>. Copyright 2006 American Physical Society and Copyright 2018 American Chemical Society.

One question of this model is whether the population of crystallites with  $\langle hk0 \rangle$  parallel to the pore axis is the same as that in the bulk. By noticing that the (020) reflection has a higher relative intensity in PVDF nanotubes within 400 nm AAO, Steinhart et al.<sup>23</sup> hypothesized that there could be several nuclei with different orientation coexisting within one pore, all of which are compatible with the kinetic selection rule ( $\langle hk0 \rangle$  parallel to the pore axis). In this case, crystal planes with a faster growth rate will dominate. Similar observations have also been reported in the crystallization of PEO blocks in the cylindrical phase of block copolymers, where the maximum growth direction ([120]) preferentially aligns along the pore axis.<sup>57</sup>

A survey of the literature indicates that PVDF is a unique example that shows all the (hk0) reflections with a similar intensity ratio as that of the bulk sample. Most frequently, one or two dominant crystal planes preferentially grow along the pore axis.<sup>3</sup> To elucidate the orientation of PEO within AAO pores and under different crystallization conditions, we measured the pole figure of PEO. The results lead to an orientation diagram. As shown in Figure 8C, the crystals in a quenched sample are isotropic, independent of the pore size. For relatively fast cooling in small pores (blue region), the (120) plane grows along the pore axis. However, mixed orientations are observed for larger pores under lower cooling (yellow region). This indicates that the crystal orientation of PEO in AAO is more complicated than the “kinetic selection” model. According to the single crystal growth studies,<sup>58-60</sup> the (010) plane becomes the fastest growth plane in PEO at higher crystallization temperature.

The orientation of polymer crystals within AAO was simulated by a 1D lattice model.<sup>52, 61</sup> The effect of growth rate and nucleation rate was considered. The results indicate three characteristic zones. When the nucleation rate is very high (corresponding to high supercooling), a large number of nuclei form simultaneously within a single AAO pore via homogeneous nucleation. The dimension of crystal growth is very limited. As the nuclei are isotropic, the overall orientation of polymer crystals is isotropic as well. In another limit, when the nucleation rate is very low, and the growth rate is high, statistically, any nucleus that matches the selection rule (Figure 8A) is able to grow and fill the pore rapidly before another nucleus can form. In the middle zone, where the nucleation rate and growth rate are both intermediate, several nuclei will form in a pore, and the growth rates of the various planes determine the populations of crystal orientations.

## 2.5. Surface/Interface Effect

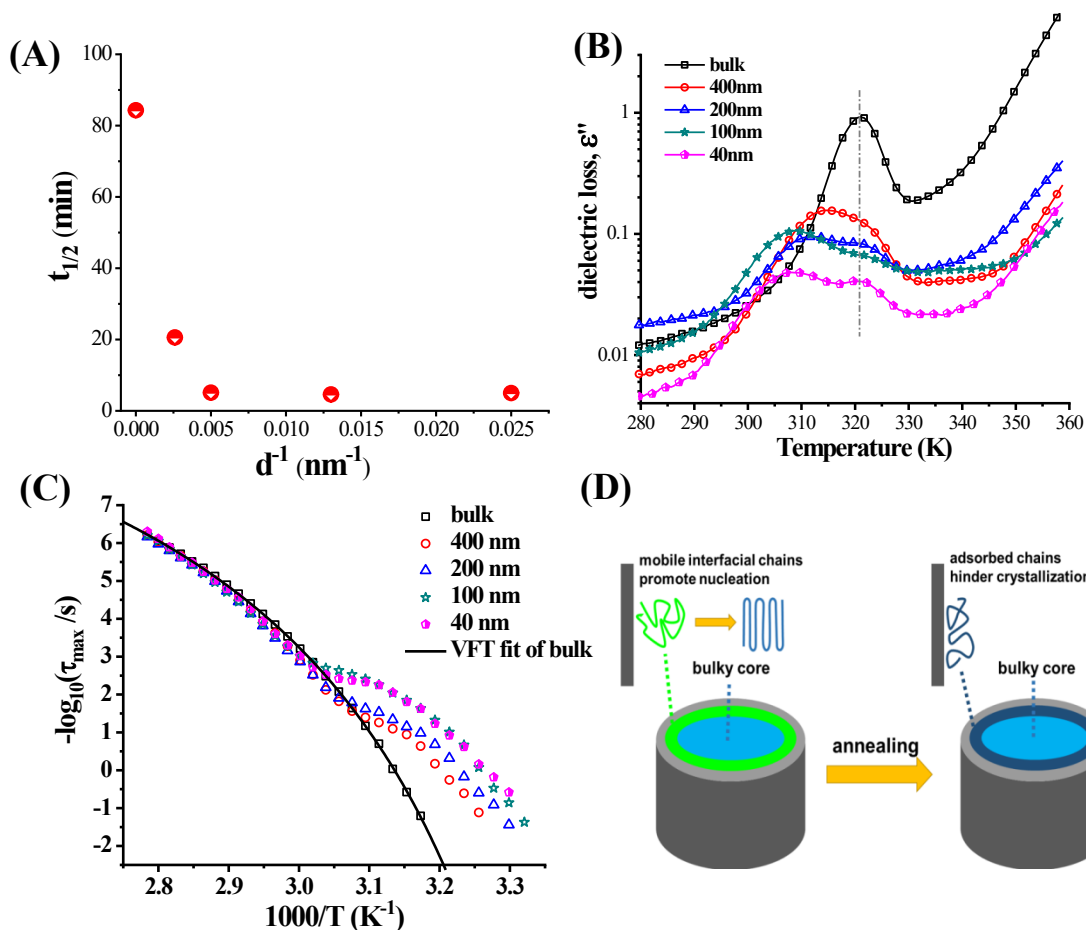
We have so far only considered the size effect and geometrical effect on polymer crystallization inside AAO. Polymer chains are in contact with the inorganic AAO wall. The interactions should influence the crystallization of polymers on nucleation and/or chain mobility. However, the studies on the impact of the interface on the crystallization behavior of polymers within AAO are rare, and it is often difficult to disentangle the impact of interface and the impact of lack of nucleation sites.<sup>62-64</sup> Surface nucleation has been argued in infiltrated PE<sup>26</sup> and PBS<sup>32</sup>, based on the idea that homogeneous nucleation only occurs at a temperature close to  $T_g$ , and the  $T_c$ s of the two polymers are well above their  $T_g$ s.

An unusual acceleration of cold crystallization was observed in poly(L-lactic acid) (PLLA).<sup>65</sup> As shown in Figure 9A, the crystallization rate increases significantly with decreasing pore size.

This indicates that there must be a different mechanism for crystal nucleation in PLLA. To reveal the possible interactions, an amorphous PDLLA was used instead of PLLA to eliminate the influence of crystallization on the dielectric signal. Two  $T_g$ s were observed in the heating run of quenched PDLLA, as shown in Figure 9B, one of which is located at a similar temperature as the bulk sample, while a low-temperature peak appears. This indicates that there is a fraction of chains with higher mobility. Indeed, the segmental relaxation time of the infiltrated samples deviates from the bulk sample at the lower temperature side, where the segmental relaxation time decreases with decreasing pore diameter. According to the classical nucleation theory,<sup>66</sup> the nucleation rate can be expressed as:

$$I = I_0 \exp\left(-\frac{\Delta G^* + \Delta E}{kT}\right)$$

where  $\Delta G^*$  is the free energy barrier of forming a critical nucleus, and  $\Delta E$  is the activation energy of diffusion of segments across the phase boundary. The accelerated nucleation of PLLA within AAO is most probably induced by a reduced diffusion barrier  $\Delta E$ . This is physically similar to the case of amorphous thin films, where a free surface layer exhibits faster dynamics, i.e., a lower  $T_g$ .<sup>67</sup> A high mobility interfacial layer was also observed in PMMA/AAO system.<sup>68</sup> <sup>69</sup> Further experiments showed that the mobility of the interfacial layer decreased during annealing just above  $T_g$ , indicating that the interfacial layer is in a non-equilibrium state. As a result, the nucleation of PLLA decreases during annealing.



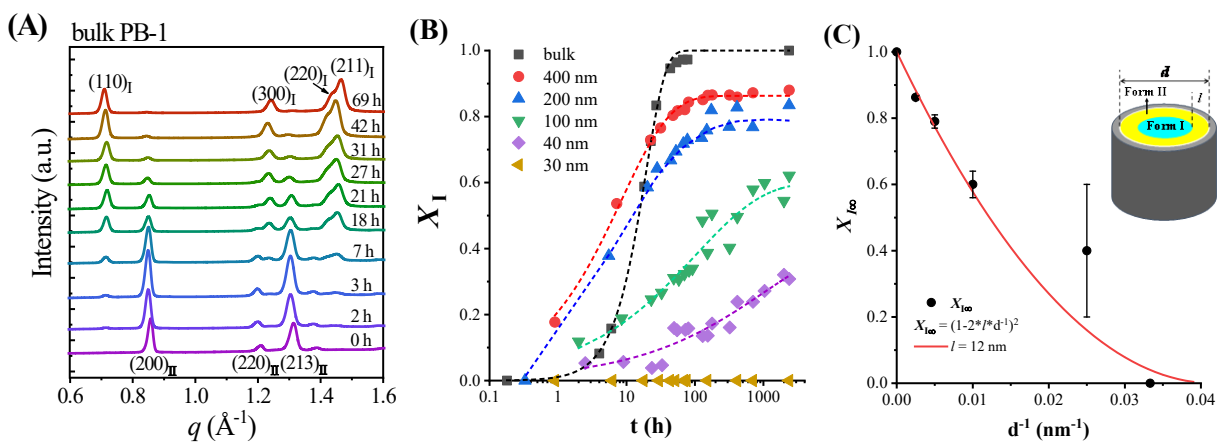
**Figure 9.** (A) Crystallization half time of PLLA at 75 °C as a function of the inverse diameter of AAO pores; (B) dielectric loss of melt quenched PDLLA during heating; (C) Arrhenius plot of PDLLA within AAO pores measured during heating of quenched samples; (D) schematic illustrating the existence of interfacial layer with segmental mobility depending on the thermal history. Reproduced with permission from ref. <sup>65</sup> and <sup>4</sup>. Copyright 2015 and 2019 American Chemical Society.

Another example of the interfacial effect on crystalline polymers is the polymorphic phase transition in polybutene-1 (PB-1).<sup>37</sup> Under melt crystallization conditions, PB-1 crystallizes into a metastable phase (Form II), characterized by a  $11_3$  helical conformation in a tetragonal unit cell.

During annealing, Form II transforms instantaneously into the stable Form I phase. As shown in WAXS results (Figure 10A), it is obvious that the intensity of Form II reflections decreases with annealing at room temperature. The reflections of Form I gradually appear as a function of time. The change of the Form I fraction of bulk and infiltrated PB-1 is plotted in Figure 10B. Two features are visible: (a) the rate change is different among different samples; (b) the saturation fraction of Form I,  $X_{I\infty}$ , decreases with confinement. Surprisingly, the PB-1 infiltrated within 30 nm AAO does not show any transition. To account for the reduced transition degree, the  $X_{I\infty}$  can be fitted by a simple formula:

$$X_{I\infty} = \left(1 - \frac{2l}{d}\right)^2$$

This formula agrees with a two-layer model with a 12 nm interfacial layer that does not transform to Form I.

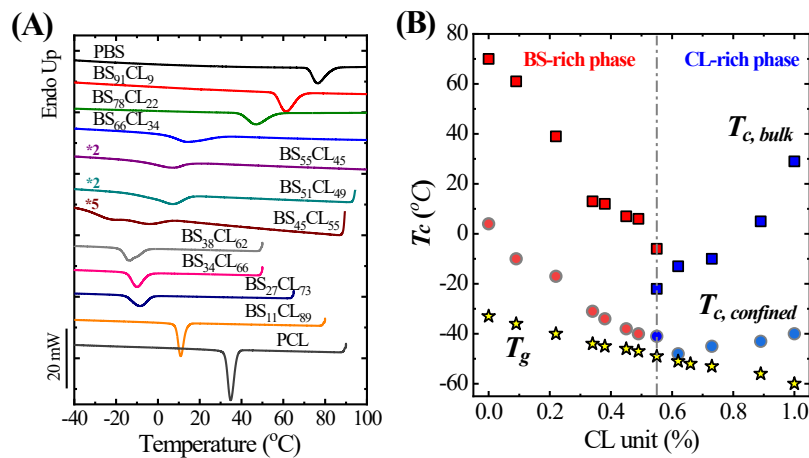


**Figure 10.** (A) WAXS curves of PB-1 during annealing at room temperature indicating the transition of Form II to Form I. (B) The degree of transition  $X_I$  as a function of annealing time. (C) The maximum transition degree ( $X_{I\infty}$ ) as a function of the inverse pore diameter. Reproduced with permission from ref. <sup>37</sup>. Copyright 2020 American Chemical Society.

The crystallization or crystal transition rate of polymer is determined by factors that originate from finite size and interfacial effects. Prediction of the crystallization rates has been challenging. For polymers under 1D confinement (thin films), an analytical model was proposed to estimate the time scale for crystallization, by assuming the crystallization rate can be expressed by a product of the probabilities involving nucleation and chain diffusion.<sup>70</sup>

## 2.6. From Homopolymers to Copolymers

Confined crystallization of polymers has been extended to more complicated systems such as block copolymers and random copolymers. In strongly segregated PE-*b*-PS diblock copolymers, the PE crystalline phase experienced double confinement.<sup>26</sup> The  $T_c$  shifts to lower temperatures. All the copolymers show a core-shell morphology, where PE block forms the shell in contact with the AAO wall.<sup>71</sup> Similar to the infiltrated homopolymer, the nucleation mechanism of the PE component of PE-*b*-PS is assigned to surface nucleation. In crystalline-crystalline PCL-*b*-PEO diblock copolymer, the crystallization of PEO was suppressed by the double confinement of AAO and crystalline PCL.<sup>40</sup>



**Figure 11.** (A) DSC cooling curves of PBS-*ran*-PCL with different compositions. (B) Summary of the  $T_c$  of the bulk and infiltrated copolymers together with the  $T_g$ . Reproduced with permission from ref. <sup>72</sup> and <sup>73</sup>. Copyright 2018 and 2020 American Chemical Society.

Random copolymers show interesting comonomer inclusion or comonomer exclusion behavior depending on the miscibility of comonomers in the crystalline phase. Figure 11A shows the DSC cooling curves of random copolymers of butylene succinate and caprolactone (PBS-*ran*-PCL, BS<sub>x</sub>CL<sub>100-x</sub>).<sup>72</sup> For most of the copolymers, only one crystallization peak was observed. The crystallization and melting temperatures decrease with comonomer contents and go through a pseudo-eutectic point that is characteristic of isodimorphic random copolymers. In these isodimorphic copolymers, the PBS-rich compositions exhibit a similar crystalline structure as PBS homopolymer but with small inclusions of CL comonomer units, and conversely, the PCL-rich compositions exhibit a similar crystalline structure as PCL homopolymer but with small inclusions of BS comonomer units.<sup>72, 74</sup> For BS<sub>45</sub>CL<sub>55</sub>, two crystallization peaks were observed (at the pseudoeutectic point), indicating two crystalline phases, one PBS-rich and another PCL-rich. A series of isodimorphic PBS-*ran*-PCL polymers were infiltrated into AAO templates with a diameter of 100 nm.<sup>73</sup> Figure 11B summarizes the crystallization temperatures of the bulk and infiltrated PBS-*ran*-PCL. Only one  $T_g$  was observed (as the amorphous phases are miscible in the random copolymers), which changed linearly with composition. After infiltration, a very large reduction in crystallization temperature can be observed for all the copolymers, which is in accordance to that observed in homopolymers. Interestingly, the isodimorphic behavior of the copolyesters is maintained for the infiltrated copolymers.

### 3. CONCLUSIONS AND OUTLOOK

In summary, AAO nanopores provide an ideal 1D cylindrical environment to explore the basic mechanism of confined crystallization of polymers. We have shown that infiltrated polymers crystallize distinctly different from the bulk. A message from the experimental point of view is the importance of surface residue, which is the origin of fractionated crystallization and also influences the orientation of the crystals. Because the number of heterogeneities in a bulk polymer is several orders of magnitude lower than the number of nanopores within the AAO, the nucleation mechanism changes from heterogeneous nucleation to homogeneous/surface nucleation. By introducing self-nuclei, the nuclei density can be comparable to the pore density of AAO. The self-nucleation effect is completely suppressed in AAO pores with the lowest diameter (below 60 nm). Fractionated crystallization “reappears” in self-nucleated polymer inside AAO due to the co-existence of self-nuclei and surface or homogeneous nuclei. Polymer crystals inside AAO exhibit anisotropic structures, which are determined by thermodynamic stability and kinetic selection rules, including chain conformation, nucleation rate, and growth rates of different crystal planes. The interface between polymer and AAO has an impact on the nucleation of PLLA and polymorphic transition in PB-1. The confinement study in AAO nanopores was extended to block and random copolymers.

There are open questions that remain unsolved. First, the usual diameter of AAO nanopores (ranging between 400 and 20 nm) is above the radius of gyration, critical nucleus size, and lamellar thickness of typical polymers. Therefore, the confinement effect does not reach the smallest length scale of polymeric crystals. Developing templates with smaller pores will be interesting to push the limit to even smaller dimensions. Secondly, there is still a lack of general understanding of how the interfacial interaction affects the nucleation of polymers. The



development of surface modification techniques and the study of the impact of specific interactions may provide crucial evidence of the factors affecting surface nucleation.

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The manuscript was written through contributions of all authors. All authors have given approval to the final version of the manuscript.

## Notes

The authors declare no competing financial interest.

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